City of Lubbock TEXAS

CITY OF LUBBOCK
FY 2016-17 PROPOSED BUDGET

VOLUME I
OPERATING BUDGET

Fiscal Year 2016-17
Proposed Operating Budget and Capital Program

City Council

Daniel M. Pope	Mayor
Juan Chadis	Council Member - District 1
Shelia Patterson-Harris	Council Member - District 2
Jeff Griffith	Council Member - District 3
Steve Massengale	Council Member - District 4
Karen Gibson	Council Member - District 5
Latrelle Joy	Council Member - District 6

Senior Management

James W. Loomis	City Manager
Becky Garza	City Secretary
Chad Weaver	City Attorney
Cheryl Brock	Executive Director of Budget
Linda Cuellar	Executive Director of Finance - Interim
Greg Stevens	Chief of Police
Bill Howerton	Assistant City Manager
Lance Phelps	Fire Chief
Kelly Campbell	Executive Director - Airport
Wood Franklin	Director of Public Works
Scott Snider	Assistant City Manager
Quincy White	Deputy City Manager
Mark Yearwood	Assistant City Manager

This budget will raise more total property taxes than last year's budget by $2,858,726, or 3.82%, and of that amount $1,653,231 is tax revenue to be raised from new property added to the roll this year.

GOVERNMENT FINANCE OFFICERS ASSOCIATION

Distinguished Budget Presentation Award

PRESENTED TO

City of Lubbock

Texas

For the Fiscal Year Beginning

October 1, 2015

Executive Director

The Government Finance Officers Association of the United States and Canada (GFOA) presented a Distinguished Budget Presentation Award to the City of Lubbock, Texas, for its annual budget for the fiscal year beginning October 1, 2015. In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, as an operations guide, as a financial plan, and as a communications device.

This award is valid for a period of one year only. We believe our current budget continues to conform to program requirements, and are submitting it to GFOA to determine its eligibility for another award.

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July 14, 2016

Honorable Mayor Daniel M. Pope and
Members of the City Council, and Citizens of Lubbock:

Staff is pleased to provide the Proposed Fiscal Year 2016-17 Operating Budget and Capital Program, fulfilling the requirements of Texas Local Government Code Section 102.002 and the City of Lubbock Charter.

The Proposed Budget incorporates a flat property tax rate of 53.802 cents per $100 valuation, which should generate $76.9 million in total property tax revenue, equaling an increase of $2.8 million compared to the prior year. This revenue projection is based on an assessed value estimate from Lubbock Central Appraisal District (LCAD) of $15.5 billion. LCAD will provide the final assessed value on July 25, 2016.

Funding sources for all funds total $740.3 million, a decrease of $23.1 million, or 3.0 percent, compared to the FY 2015-16 Amended Budget. Funding sources for all funds, except the Debt Service Fund, total $702 million, a decrease of 8.5 million, or 1.2 percent, compared to the FY 2015-16 Amended Budget. The decrease is primarily a result of lower property tax revenues due to the shift of 1.7 cents from the Operations and Maintenance rate to the Interest and Sinking Fund rate, a decrease in utilization of net assets in General Fund, and a decrease in revenue for Lubbock Power and Light. The decreases are offset slightly by a base and volume rate increase in Wastewater, a base rate increase in Water, and an increase in utilization of net assets in multiple funds. Wastewater includes a nine percent increase in the volume rate as well as a $2.00 increase in the base rate. Water includes a $2.00 increase in the base rate, with the volume rate remaining level with FY 2015-16. Lubbock Power and Light includes a 5.75 percent increase in the base rate.

Appropriations in the Proposed Budget total $737.1 million, a decrease of $21.8 million, or 2.9 percent, compared to the FY 2015-16 Amended Budget. The total Proposed Budget appropriation, without the Debt Service Fund, is $698.8 million, a decrease of 7.4 million, or 1.0 percent, compared to the FY 2015-16 Amended Budget. The Proposed Budget includes a 2.0 percent compensation adjustment and the addition of ten new positions, beginning in January 2017. Cost increases in the General Fund are driven by the proposed compensation adjustment, additional positions, step increases in Fire and Police civil service positions, increases in many of the internal service and scheduled charges, increased health insurance costs, increased costs of liability insurance, and increased costs for maintenance of parks and other green spaces. Some of the capital projects included are: Facility Roof Replacements, Buddy Holly Center Renovations Phase II, Street Maintenance Program, Major Repairs at Fire Facilities, Municipal Square Repairs, additional funding for Erskine Street from Indiana Avenue to Quaker Avenue, and 98th Street from Upland Avenue to Milwaukee Avenue. Cost increases reflected in utilities are driven by increases in operating costs and capital projects costs, such as the Airport Terminal Building Remodel, many new projects for Lubbock Power and Light, Landfill 69 Groundwater Remediation, Northwest Lubbock and Maxey Park Project, Storm Water Master Plan, Major Sanitary Sewer Mains Rehabilitation, Southeast Water Reclamation Plant Improvements Plant 3, Bailey County Well Field Improvements, and Pump Station 10. Increases in expenses for operations experienced in all funds are related to the compensation adjustment, increased health care costs, increased liability insurance costs, increased costs for Information Technology software and hardware maintenance agreements, telephone equipment and services, upgrade to P25-compliant communication infrastructure and equipment, and computer and server replacements.

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In accordance with City Council policy, this budget assumes the Police and Fire Departments maintain their ranking as top-ten paid departments in the State of Texas, in addition to annual step increases for eligible police officers and fire fighters.

The City continues to face operational challenges with the implementation of the Affordable Care Act which requires additional fees. In 2020, an excise tax becomes effective and will be paid on any plan valued over $10,200 for an individual or $27,500 for family and will include Flexible Spending Account contributions. The probability of this tax being applied to a section of the City's health plan is high.

Restructured grants for transit prevent funds from being used for purchases of new buses, so the City has begun replacing its aging fleet of buses and vans. The Proposed Budget includes replacement of four buses for Citibus on the vehicle/equipment debt financing program. Discussion of how to proceed with programs funded by grants will need to occur as the City is notified of grant eliminations or reductions in Citibus, Community Development, Public Health, and Emergency Management.

Reflecting the priorities and goals of the City Council, this budget serves as a financial road map for the City. The Proposed Budget continues the practice of conservative and prudent fiscal planning in an uncertain economic climate, while continuing emphasis is placed on the provision of quality municipal services in the most cost-conscious manner possible.

Respectfully,

James W. Loomis
City Manager

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Executive Summary

FY 2016-17 Operating Budget and Capital Program Highlights

The City of Lubbock organization is made up of many talented employees who perform a range of important functions for the citizens of Lubbock. The many important functions served by the City's employees are identified and described in detail in the Fiscal Year (FY) 2016-17 Operating Budget and Capital Program. There are numerous core services, such as public safety, transportation infrastructure, and water supply; yet all functions performed by the organization are important to the success and viability of Lubbock. The following are the major drivers of the FY 2016-17 Operating Budget:

I. General Fund Revenues

For FY 2016-17, the estimated funding sources for the General Fund total $168.9 million, a decrease of $5.8 million, or 3.3 percent, from the FY 2015-16 budget amount. Overall the revenues remain relatively flat with a $317,578, or 0.5 percent, increase in sales tax and no change to the total tax rate. Fees and Fines increased $0.9 million, or 15.4 percent, due mainly to increases in building permit related revenues. The transfers into the General Fund had a slight increase of $0.6 million. Utilization of net assets decreased by $7.4 million, or 85.6 percent, compared to FY 2015-16. The major changes in budgeted revenues are highlighted below:

- **Property Tax ($368,325)**
  This section incorporates discussion of the complete tax rate including the interest and sinking property tax rate revenues, economic development rate revenues, and the operations and maintenance property tax rate revenues. This budget incorporates a flat property tax rate, keeping the total tax rate at 53.802 cents per $100 valuation. The total increase in the property tax revenue at 99 percent collection equals $2.8 million.

  The FY 2016-17 Proposed Budget includes adjustments between the operations and maintenance and debt tax rates. The Operations and Maintenance rate decreased by 1.7 cents from 3.922 cents to 3.752 cents per $100 valuation, which generates a decrease in tax revenues for operations and maintenance of $368,325. The tax rate for debt service increased from 1.2267 cents to 1.3967, or 1.7 cents, in FY 2016-17. The increase is mainly related to the issuance of additional debt during FY 2015-16 for the Citizens Tower remodel. The economic development rate remained the same at 2.315.

  The property tax rate distribution is illustrated in the following table:

Tax Rate Distribution	2015	2016	+ / -
General Fund	39.220¢	37.520¢	-1.700¢
Debt Service Fund	12.267¢	13.967¢	1.700¢
Economic Development	2.315¢	2.315¢	0.000¢
Total Tax Rate	**53.802¢**	**53.802¢**	**0.000¢**

- **Sales Tax $ 317,578**
  Sales tax revenues are expected to increase 0.5 percent compared to the budgeted FY 2015-16 amount. When compared to the re-forecasted FY 2015-16 sales tax amount of $62.3 million, the FY 2016-17 projection is 2.4 percent greater than the previous year.

- **Licenses and Permits $ 762,189**
  License and permit revenues increased 30.6 percent for FY 2016-17. These payments are for building permit related fees, food establishments, food truck permit, peddler permit, mixed beverage permits, charitable solicitations, animal licensing, oil and gas permit and numerous other licenses and permits required by the City.

  Payments in Lieu of Franchise Fee $ (91,306)
  Payments in lieu of franchise tax decreased 0.5 percent for FY 2016-17. These payments are received as transfers from the LP&L, Water, Wastewater, Storm Water, and Solid Waste Enterprise Funds. Franchise fee payments are based on 5 percent of metered revenues for LP&L and 5 percent of gross revenues for all other municipal utilities.

- **Payments in Lieu of Property Tax $ 422,245**
  Payments in lieu of property taxes from LP&L, Water, Wastewater, Storm Water, and Solid Waste Enterprise Funds increased 4.6 percent due to higher capital asset values.

- **Utilization of Net Assets ($7,402,263)**
  There is a proposed utilization of appropriable net assets of $1.2 million for the FY 2016-17. This is a decrease of 85.6 percent from the FY 2015-16 amount of $8,643,178.

- A more thorough discussion of General Fund revenues is included in the "General Fund Revenue" section of this document. In that section, proposed revenues are compared to re-forecasted FY 2015-16 revenues.

Executive Summary

II. General Fund Expenditures

For FY 2016-17, the estimated expenditures for the General Fund total $168.9 million, a decrease of $5.8 million or 3.3 percent from the Amended FY 2015-16. The major changes in expenditure levels are highlighted below:

- **Compensation $ 1,238,985**
  A two percent compensation adjustment has been included in the Proposed FY 2016-17 budget. With that adjustment, compensation increased 1.4 percent from the Amended FY 2015-16 budget and totals $88.0 million for FY 2016-17 General Fund. The total compensation increase, with the compensation adjustment, for Fire and Police Departments totals 1.1 million. Four additional positions were also added to critical areas to address the needs of the City.

- **Benefits –Health Insurance $ 934,892**
  Health Insurance costs increased 6.8 percent for FY 2016-17 due to rising health care costs. For FY 2016-17, there is a 6.64% increase to City cost centers, going from $818.90 a month to $873.26 a month per employee. The City's contribution for medical premiums increased $1,261,694, or 6.0 percent, based on the increase to the monthly premium.

- **Benefits – Pension $ 414,414**
  Pension costs increased 2.6 percent based on an increase in the Police and Fire costs. The contribution rate decreased from 18.11 percent of payroll to 17.95 percent of payroll for Police and non-Civil Service employees who are members of TMRS, and decreased from 21.86 to 21.72 percent of payroll for firefighters, who are in the City's Fire Pension Fund. The decrease in the rates is attributed to an actuarial valuation that reflect a change in post-retirement mortality assumptions, actuarial cost method, and amortization policy. The TMRS Board changed the actuarial cost method for funding purposes from the Projected Unit Credit (PUC) method to the Entry Age Normal (EAN) method. This change meets two objectives; lower funded ratios that minimize the contribution rate volatility and meets Governmental Accounting Standards Board (GASB) Statement No. 67.

- **Supplies – Fuel ($ 77,707)**
  Unleaded and diesel fuel costs decreased 3.2 percent for FY 2016-17. The decrease is due to a decrease in contracted fuel prices through December 2016 with a slight increase in the fuel price for the remaining nine months.

- **Professional Services $ 404,647**
  Professional Services – (Privitization) increased 18.4 percent mainly due to an increase in the contractual costs to maintain the parks and recreation areas.

- **Special Projects $ (208,146)**
  Special projects decreased 31.7 percent. The decrease was mainly not requiring a budget for elections in FY 2016-17.

- **Scheduled Charges – Communication Equipment $ 103,165**
  Communication equipment increased 7.2 percent. The increase was due to an increase in the Radio Shop scheduled charge resulting from an upgrade to P25-compliant communications network infrastructure and equipment.

- **Scheduled Charges – IT $ 313,440**
  Data processing charges increased 6.2 percent. The majority of the increase was due to increases in the cost of software maintenance contracts and hardware upgrades. The remainder of the increase is due to the increased employee positions, and a higher percentage of equipment and capital costs funded with cash.

- **Scheduled Charges – Liability $ 510,503**
  Liability charges increased 45.8 percent, due to increases in prior year claims.

- **Scheduled Charges – Electricity $ 166,627**
  Electric charges increased 8.8 percent, for FY 2016-17. Electric charges were allocated among City funds to reflect electricity usage and account for a proposed rate increase included in the LP&L budget.

- **Vehicle/Equipment Debt $ 714,060**
  The annual payment for the City's Vehicle/Equipment Debt Program increased 13.7 percent, for FY 2016-17. The FY 2016-17 planned vehicle/equipment debt total is $3.7 million. The bulk of the purchases planned are 16 police units for the Police Department, one Quint and 2 Pumpers for the Fire Department, and a pothole patcher and asphalt paver for the Streets Department.

- **Transfer to Capital Program $ 950,000**
  Five capital projects are funded on a pay-as-you-go basis in FY 2016-17, four of them are related to the ongoing maintenance of existing City facilities. One of the projects, Golf Course Improvements, has dedicated funding for the improvements paid by Meadowbrook. One other project, Buddy Holly Center Renovations Phase II, will be funded from Hotel Occupancy Tax. The other three projects will be funded from General Fund. Additional funding was added for the continued systematic replacement of roofs that have exceeded their useful lives, repairs at Municipal Square to extend its life until a new police station is ready, and renovations to the City's 19 fire stations.

Executive Summary

III. Other Budget Impacts

- There have been four new positions added to General Fund.

IV. Budget Calendar

Following is the calendar of events detailing the budget process:

07/14: Operating and CIP budget presentation to City Council; File Proposed Budget with City Secretary

07/25: Last day for Chief Appraiser to certify appraisal roll

08/11: Regular City Council Meeting – Discuss tax rate (tax rate, effective rate and rollback rate); Take vote on proposed tax rate; Schedule and announce dates for public hearings on tax rate

08/25: Regular City Council Meeting – First Tax Rate Public Hearing @ 5:30 p.m.; Schedule and announce date for second public hearing on tax rate and first public hearing on the budget

09/01: Special City Council Meeting – Budget Public Hearing @ 1:30 p.m.; Second Tax Rate Public Hearing @ 1:30 p.m.; Schedule and announce meeting to adopt rate; First reading of Budget Ordinance/Tax Levy Ordinance

09/08: Regular City Council Meeting – Second reading of Budget Ordinance / Tax Levy Ordinance

Fiscal Year	Operation & Maintenance	Interest & Sinking Fund	Economic Development	Total
2000	0.42839	0.12161	0.03000	0.58000
2001	0.42718	0.11282	0.03000	0.57000
2002	0.42844	0.11156	0.03000	0.57000
2003	0.43204	0.10796	0.03000	0.57000
2004	0.41504	0.10066	0.03000	0.54570
2005*	0.33474	0.09496	0.03000	0.45970
2006	0.35630	0.06090	0.03000	0.44720
2007	0.36074	0.07125	0.03000	0.46199
2008	0.35380	0.07125	0.03000	0.45505
2009	0.32540	0.09100	0.03000	0.44640
2010	0.33240	0.08400	0.03000	0.44640
2011	0.33240	0.09377	0.03000	0.45617
2012	0.34200	0.10200	0.03000	0.47400
2013	0.35683	0.10591	0.02937	0.49211
2014	0.36080	0.11656	0.02705	0.50441
2015	0.38500	0.11425	0.02315	0.52240
2016	0.39220	0.12267	0.02315	0.53802
2017	0.37520	0.13967	0.02315	0.53802

* Sales tax swap

V. Texas Truth-in-Taxation Laws

The Texas Constitution and Property Tax Code embody the concept of truth-in-taxation to require taxing units to comply with certain steps in adopting their tax rates. The truth-in-taxation requirements are contained in the Texas Constitution, Chapter 26, Property Tax Code, Article VIII, Section 21, in Senate Bill 18 of the 79th Texas Legislature and Senate Bill 567 of the 80th Texas Legislature.

Effective Tax Rate

The effective tax rate is a calculated rate that provides the City with about the same amount of revenue received during the prior year on properties taxed in both years. If property values increase, the effective tax rate will go down, and vice versa.

Rollback Tax Rate

The rollback rate is a calculated maximum rate allowed by law without voter approval. The rollback rate provides the City with approximately the same amount of tax revenue it spent the previous year for day-to-day operations, plus an extra 8 percent increase for those operations plus sufficient funds to pay debts in the coming year. If the City adopts a tax rate that is higher than the rollback rate, 10 percent of the registered voters in the City may circulate a petition calling for an election to limit the size of the tax increase.

Proposed Tax Rate

If the City Council proposes the tax rate of $0.53802 per $100 valuation, it is anticipated that the rate will exceed the effective tax rate, but will be below the rollback tax rate. The exact effective and rollback tax rates will be calculated as soon as the information to calculate those rates has been received from LCAD.

If the tax rate proposed by the City Council exceeds the effective or rollback tax rate, whichever is lower, the City Council follows legislative guidelines for proposing and adopting a tax rate. These legislative guidelines include:

1. The City Council votes to place a proposal to adopt the rate on the agenda of a future City Council meeting as an action item. This vote is recorded.
2. The proposal specifies the desired rate. The City Council cannot vote to adopt a proposal to increase taxes by an unspecified amount.
3. If the motion passes, the City Council schedules two public hearings on the proposal.
4. The City Council notifies the public of the dates, times and place or places for the public hearings and provides information about the proposed tax rate by September 1, at least seven days before the public hearings. This notice is titled "Notice of 2016 Proposed Tax Rate for City of Lubbock"
5. After publishing the required notice, the City holds two public hearings. Taxpayers have the opportunity to express their views on the increase at each public hearing. The City Council may not adopt the tax rate at either of these hearings. At each hearing, the City

Executive Summary

Council announces the date, time, and place of the meeting at which it will vote on the tax rate.

6. The Tax Code requires that the City Council adopt the tax rate no less than three days, but no more than fourteen days, after the second public hearing. If the City does not adopt during this time period, then it republishes the second notice of the meeting to adopt the tax rate, with the new date, time, and location to adopt the rate.

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Executive Summary

I. Airport Budget Highlights

Airport revenues are expected to increase $0.15 million, or 1.4 percent, from FY 2015-16. The increase is due to increases in landing, hangar, and parking revenues. The transfer from the Passenger Facility Charge (PFC) Fund increased by $0.02 million due to the amount needed to cover the debt service on projects funded with PFC revenue. There is a utilization of $86,835 in net assets required this year.

Expenses for the fund are expected to decrease $0.39 million, or 3.7 percent, from FY 2015-16. The budgetary increases include an increase to compensation and benefits, fuel and chemical (de-icing) supplies, funding provided for the replacement of taxiway markings and curbside assistance services, and increases to the indirect cost allocation and Aircraft Rescue Fire Fighting transfer.

The budgetary decreases that offset the increases include a decrease in maintenance for communication equipment, and capital outlay due to not budgeting for vehicles and equipment.

The operating model incorporates many variables including interest rates, inflation rates, number of enplanements, Passenger Facility Charge (PFC) rates, Customer Facility Charge (CFC) rates, and changes in the cost or priority of capital projects.

	Budget	Forecast				
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Revenues	$ 10,961,484	12,428,245	14,255,430	14,360,228	14,476,402	14,002,754
Use of Net Assets	86,835	-	427,702	653,009	1,323,486	1,074,857
Total Funding Sources	11,048,319	12,428,245	14,683,132	15,013,237	15,799,888	15,077,612
Operating Expenditures	7,039,607	7,218,360	7,406,293	7,602,411	7,807,321	8,021,685
Fund Level Expenditures	4,008,712	4,918,423	7,276,839	7,410,825	7,992,568	7,055,927
Total Expenditures	$ 11,048,319	12,136,783	14,683,132	15,013,236	15,799,889	15,077,612

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II. Solid Waste Utility Budget Highlights

For FY 2016-17, the landfill tipping fee is $32.00 and the monthly residential rate is $15.25. All other collection rates will remain level as well.

Revenues for the fund increased $0.4 million, or 2.1 percent, from FY 2015-16. A utilization of $1.8 million of net assets is required in FY 2016-17.

Expenses for the fund increased $1.0 million, or 4.5 percent, from FY 2015-16. The budgetary increases include $0.04 million in supplies due to a projected increase in the cost of diesel fuel; $0.7 million in the debt service payment; $0.7 million in the vehicle/equipment debt payment; $0.02 million each in the transfer in lieu of property tax due to increased fixed asset value and the transfer in lieu of franchise fee as a result of increased revenue; $0.01 million in the transfer to other funds; $0.01 million in the transfer to the solid waste capital project fund; and $0.02 million for miscellaneous expenditures such as vehicles, equipment and debt payment agent fees. These increases are partially offset by slight decreases in maintenance, professional services, other charges, scheduled charges, capital outlay, and indirect costs, as well as the transfer to LP&L for billing and collections.

The rate structure incorporated in the model is subject to change depending on many variables. Some of these variables may include competition with smaller, arid exempt landfills, interest rates, commodity prices, inflation rates, and changes in the cost or priority of capital projects.

	Budget	Forecast				
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Revenues	$ 21,630,119	22,955,763	24,565,192	24,824,166	25,957,646	26,266,348
Use of Net Assets	1,854,132	1,565,558	783,350	1,405,928	-	-
Total Funding Sources	23,484,251	24,521,321	25,348,542	26,230,095	25,957,646	26,266,348
Operating Expenditures	12,773,134	13,122,303	13,485,472	13,885,422	14,285,734	14,706,662
Fund Level Expenditures	10,711,118	11,399,017	11,863,070	12,344,673	11,425,472	10,617,188
Total Expenditures	$ 23,484,251	24,521,321	25,348,542	26,230,095	25,711,205	25,323,850
Landfill Tipping Fee - Per Ton	32.00	34.00	36.00	36.00	38.00	38.00
Monthly Residential Collection Fee	15.25	16.00	17.00	17.00	17.50	17.50
Commercial Rate - 2 Cubic Yard Container	40.57	42.57	45.23	45.23	46.56	46.56
Commercial Rate - 3 Cubic Yard Container	60.14	63.09	67.04	67.04	69.01	69.01
Commercial Rate - 4 Cubic Yard Container	79.70	83.62	88.85	88.85	91.46	91.46
Commercial Rate - 6 Cubic Yard Container	115.38	121.06	128.62	128.62	132.41	132.41
Commercial Rate - 8 Cubic Yard Container	151.06	158.49	168.40	168.40	173.35	173.35

Executive Summary

III. Storm Water Utility Budget Highlights

For FY 2016-17, the rates remain the same as the prior fiscal year.

Revenues are expected to increase $0.3 million, or 1.4 percent, from FY 2015-16 based upon a 0.5 percent annual growth rate in the total number of storm water accounts.

Expenses are expected to decrease $1.0 million, or 4.3 percent, from FY 2015-16. Budgetary decreases include slight decreases in professional services and training and miscellaneous expenses; a $0.09 million decrease in debt service due to the refunding of bonds in FY 2014-15 and FY 2015-16; a $0.05 million decrease in indirect cost; a $0.03 million decrease in the transfer to LP&L for billing and collections; a $1.1 million decrease in the transfer to the storm water capital project fund. The projects to be cash funded in FY 2016-17 are the Storm Water Master Plan Update, Digital Orthos and Storm Water Utility Maintenance, and Storm Water Vehicle Replacement. Offsetting the decreases are increases in benefits, supplies, maintenance, other charges, scheduled charges, the vehicle and equipment debt payment, the transfer payments to the general fund in lieu of property tax and franchise fee and for playa lake maintenance and the transfer payment to the debt service fund.

The rate structure incorporated in the model is subject to change depending on many variables. Some of the variables may include interest rates, commodity prices, inflation rates, and changes in the cost or priority of capital projects.

	Budget	Forecast				
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Revenues	$ 23,687,217	23,808,866	23,935,327	24,049,133	21,983,675	22,110,847
Use of Net Assets	-	1,932,487	1,109,950	-	-	223,265
Total Funding Sources	23,687,217	25,741,351	25,045,277	24,049,133	21,983,675	22,334,112
Operating Expenditures	3,023,843	3,115,653	3,212,485	3,314,758	3,422,931	3,537,507
Fund Level Expenditures	19,272,150	22,625,699	21,832,792	19,445,107	17,947,366	18,796,604
Total Expenditures	$ 22,295,993	25,741,352	25,045,277	22,759,865	21,370,297	22,334,112
Residential Rate Structure						
Residential Rate - Monthly (Tier 1)	8.80	8.80	8.80	8.80	8.00	8.00
Residential Rate - Monthly (Tier 2)	13.48	13.48	13.48	13.48	12.25	12.25
Residential Rate - Monthly (Tier 3)	17.05	17.05	17.05	17.05	15.50	15.50
Residential Rate - Monthly (Tier 4)	25.58	25.58	25.58	25.58	23.25	23.25
Commercial Rate Structure						
Commercial Rate - Monthly	25.58	25.58	25.58	25.58	23.25	23.25

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IV. Wastewater Utility Budget Highlights

The monthly wastewater volume rates will increase $0.24 to $2.91, and the base rate will increase $2.00 to $18.00 in FY 2016-17.

Revenues are expected to increase $4.4 million, or 11.2 percent, from FY 2015-16. The increase is primarily due to expected increase in customer growth along with increases in the base rate and the volume charge. Utilization of net assets totaling $2.2 million is projected for FY 2016-17.

Expenses for the fund are expected to increase $3.7 million, or 8.8 percent, from FY 2015-16. The major increases include approximately $1.3 million in debt service, $0.8 million in transfer to Wastewater Capital Fund, $0.5 million in scheduled charges, $0.4 million in transfer in lieu of property tax, $0.2 million in transfer in lieu of franchise fees, and $0.2 million in compensation and benefits.

The modeled expenses continue the implementation of the strategic Wastewater Master Plan and currently planned projects. The model below does include costs associated with the operations of the new Northwest Water Reclamation Plant planned to be on-line in FY 2017-18. The rate structure incorporated in the model is subject to change depending on many variables. Some of these variables may include wastewater volumes, interest rates, commodity prices, inflation rates, operational impact of new facilities, any activities or projects as a result of the Wastewater Master Plan, and changes in the cost or priority of capital projects.

	Budget	Forecast				
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Revenues	$ 43,100,913	45,840,818	48,047,458	48,819,909	49,315,658	49,630,126
Use of Net Assets	2,171,432	454,728	-	-	-	-
Total Funding Sources	45,272,345	46,295,546	48,047,458	48,819,909	49,315,658	49,630,126
Operating Expenditures	13,348,078	13,653,496	13,971,344	14,302,531	14,648,048	15,008,980
Fund Level Expenditures	31,924,267	32,642,050	32,855,744	33,975,982	34,034,362	33,746,582
Total Expenditures	$ 45,272,345	46,295,546	46,827,089	48,278,512	48,682,410	48,755,563
Base Charges						
Meter Size (in inches)						
Residential No Meter	68.14	68.14	68.14	68.14	68.14	68.14
0.75	18.00	18.00	18.00	18.00	18.00	18.00
1.00	21.93	21.93	21.93	21.93	21.93	21.93
1.50	31.71	31.71	31.71	31.71	31.71	31.71
2.00	43.47	43.47	43.47	43.47	43.47	43.47
3.00	70.95	70.95	70.95	70.95	70.95	70.95
4.00	110.16	110.16	110.16	110.16	110.16	110.16
6.00	208.21	208.21	208.21	208.21	208.21	208.21
8.00	325.84	325.84	325.84	325.84	325.84	325.84
10.00	463.13	463.13	463.13	463.13	463.13	463.13
Volume Charge	2.91	3.17	3.39	3.39	3.39	3.39

Executive Summary

V. Water Utility Budget Highlights

Lubbock Water Advisory Commission (LWAC) are proposing two water models, Option 1 and Option 2, for the City Council's consideration. LWAC is recommending Option 1 which includes a proposed base rate increase with no change to the volume rate. Option 1 is included below and on the page in the budget book labeled Water Utility Rate Model Option 1. Revenues in the proposed budget are based on Option 1. LWAC also requested that the City Council be provided with a Water Utility rate model that reflects no change to the current base rate or volume rate. Option 2 is included for reference only and is found on the page labeled Water Utility Rate Model Option 2, found under the Water Fund tab. If the City Council decides to select Option 2, there will be budgetary implications to the Water Fund as well as the General Fund.

For FY 2016-17, the model keeps the volume rate level, while increasing the base rate by $2.00 to $18.00. Going forward, the base rate is projected to increase by $1.00 per year with corresponding increases in the volume rate over the next two years. The model shows the rate stabilizing with no base rate increases proposed after FY 2018-19. Given the future estimated operational growth, equipment replacement, and capital program requirements, future rate increases are needed. The projected rates are identified in the table below.

Revenues are expected to increase $1.5 million, or 1.8 percent, from FY 2015-16. The increases include an additional $1.4 million in metered sales revenue due to changes in projected demand and increase to the base rate. Utilization of net assets totaling $2.5 million is projected for FY 2016-17.

Expenses for the fund are expected to increase $1.8 million, or 2.1 percent, from FY 2015-16. Overall, operating expenses decreased over $0.2 million. The largest increase to expenses is a $1.2 million increase in debt service. The transfer to Water Capital increased $0.6 million due to funding more cash funded projects this year. Other increases include $0.1 million for the transfer in lieu of property tax and $0.075 million for the transfer in lieu of franchise fees.

The modeled expenses continue the implementation of the strategic water plan and currently planned projects. The rate structure incorporated in the model is subject to change depending on many variables. Some of these variables may include water volumes, interest rates, commodity prices, inflation rates, operational impact of new facilities, and changes in the cost or priority of capital projects.

	Budget	Forecast				
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Revenues	$ 82,988,525	87,103,244	91,245,523	94,099,984	95,650,389	99,783,449
Use of Net Assets	2,448,229	362,422	-	-	-	-
Total Funding Sources	85,436,755	87,465,666	91,245,523	94,099,984	95,650,389	99,783,449
Operating Expenditures	29,981,742	30,683,212	31,412,431	32,171,365	32,962,159	33,787,160
Fund Level Expenditures	55,455,013	56,782,454	58,101,452	59,409,294	58,593,867	55,162,700
Total Expenditures	$ 85,436,755	87,465,666	89,513,883	91,580,659	91,556,027	88,949,860
Base Charges						
Meter Size (in inches)						
Residential No Meter						
0.75	18.00	19.00	20.00	20.00	20.00	20.00
1.00	30.05	31.72	33.39	33.39	33.39	33.39
1.50	59.91	63.24	66.57	66.57	66.57	66.57
2.00	96.30	101.65	107.00	107.00	107.00	107.00
3.00	191.97	202.63	213.30	213.30	213.30	213.30
4.00	299.91	316.57	333.23	333.23	333.23	333.23
6.00	599.65	632.96	666.28	666.28	666.28	666.28
8.00	959.47	1,012.77	1,066.07	1,066.07	1,066.07	1,066.07
10.00	1,379.38	1,456.01	1,532.64	1,532.64	1,532.64	1,532.64
Volume Charge						
Block 1	4.76	4.91	5.05	5.20	5.20	5.20
Block 2	6.50	6.69	6.89	7.10	7.10	7.10
Block 3	7.79	8.03	8.27	8.52	8.52	8.52

Executive Summary

VI. LP&L Budget Highlights

For FY 2016-17 the model increases the base rate by 5.75 percent. The rate management methodology is aligned with a philosophy that smaller, incremental rate adjustments are the most responsible way to manage the utility and support our customers.

Revenues are expected to decrease $7.3 million, or 3.0 percent, for FY 2016-17. The unit contingent and power marketing sales revenue decreased $8.9 million and revenue from fees and charges decreased $0.7 million. The decreased amounts were offset by the $4.0 million increase in metered revenues.

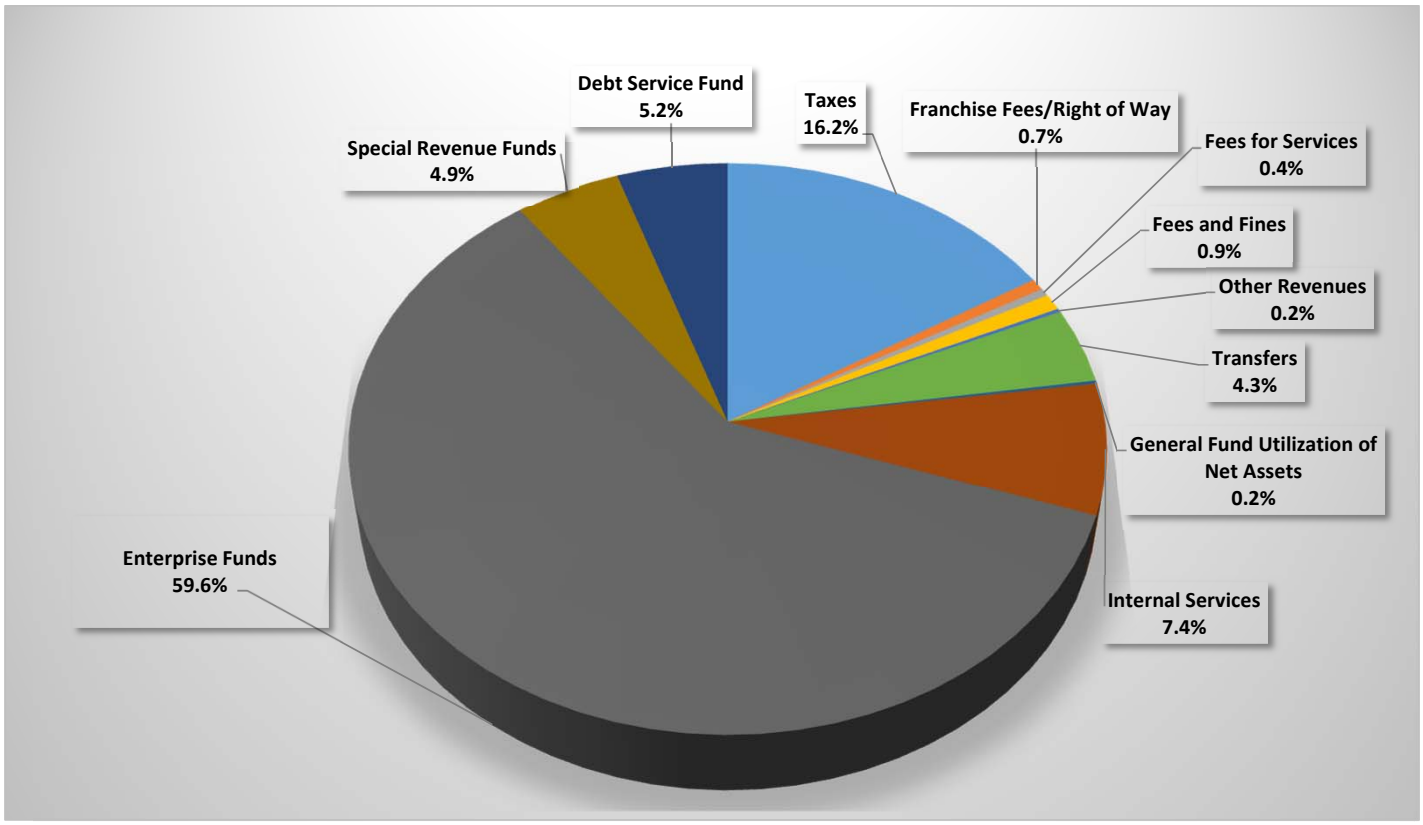
Expenses for the fund are expected to decrease $6.0 million, or 2.5 percent, from FY 2015-16. Reimbursement for utility collections increased $0.2 million. The largest decreases are $6.9 million in fuel costs and $6.8 million in purchased power costs for energy/fuel and capacity. Compensation, benefits, scheduled charges, indirect cost, and transfers to the general fund and gateway fund also decreased. The decreases were offset by increases in maintenance, professional services and training, capital outlay, the payment for debt service, the transfer to WTMPA, and a $3.9 million increase in the transfer to the capital program, as well as a $4.4 million increase in purchased power costs for transmission.

The proposed rate structure incorporated in the model is subject to change depending on many variables. Some of these variables may include: volumes, weather, interest rates, commodity prices, inflation rates, the operational impact of new facilities, and changes in the cost or priority of capital projects.

	Budget	Forecast				
	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Revenues	$ 234,275,496	242,415,047	252,540,606	259,344,521	246,602,178	256,894,120
Use of General Reserve	683,664	274,496	-	6,803,287	-	-
Total Funding Sources	234,959,160	242,689,543	252,540,606	266,147,807	246,602,178	256,894,120
Operating Expenditures	189,359,987	192,726,409	202,155,771	216,476,843	182,460,050	188,314,810
Fund Level Expenditures	45,599,173	49,963,134	47,266,727	49,670,965	60,205,099	58,711,294
Total Expenditures	$ 234,959,160	242,689,543	249,422,498	266,147,807	242,665,150	247,026,104
Projected Base Rate Increase	5.75%	5.75%	5.75%	0.00%	0.00%	0.00%
Residential Standard - Rate 1:						
Service Availability	7.69	8.13	8.60	8.60	8.60	8.60
Summer Energy (per kWh)	0.03837	0.04058	0.04291	0.04291	0.04291	0.04291
Non-Summer Energy (per kWh)	0.02653	0.02806	0.02967	0.02967	0.02967	0.02967
Residential All Electric - Rate 3						
Service Availability	7.69	8.13	8.60	8.60	8.60	8.60
Summer Energy (per kWh)	0.05002	0.05290	0.05594	0.05594	0.05594	0.05594
Non-Summer Energy (per kWh)	0.01455	0.01539	0.01627	0.01627	0.01627	0.01627
Residential Net Metering - Rate 5						
Service Availability	28.98	30.65	32.41	32.41	32.41	32.41
Summer Energy <1,000kWh (per kWh)	0.01230	0.01301	0.01376	0.01376	0.01376	0.01376
Summer Energy >1,000kWh (per kWh)	0.02237	0.02366	0.02502	0.02502	0.02502	0.02502
Non-Summer Energy <1,000kWh(per kWh)	0.00378	0.00400	0.00423	0.00423	0.00423	0.00423
Non-Summer Energy >1,000kWh(per kWh)	0.01119	0.01183	0.01251	0.01251	0.01251	0.01251

The above is a sampling of the rates for Lubbock Power and Light. All rates for all classes can be seen in the Enterprise Fund section.

City of Lubbock
FY 2016-17 Summary of All Funding Sources



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17
Taxes	$ 103,075,174	107,092,547	115,411,347	119,876,488	119,851,091
Franchise Fees/Right of Way	5,155,471	5,200,398	6,130,349	4,864,407	4,869,977
Fees for Services	3,230,320	3,153,652	3,258,688	3,112,420	3,178,062
Fees and Fines	6,633,202	6,200,096	6,737,219	5,929,901	6,844,912
Other Revenues	2,388,140	1,641,572	1,819,335	1,421,434	1,434,370
Transfers	28,305,596	29,844,696	31,362,365	30,884,365	31,468,588
General Fund Utilization of Net Assets	*	*	*	8,643,178	1,240,915
Internal Services	52,296,769	48,387,459	51,287,174	57,844,893	55,126,781
Enterprise Funds	402,306,361	413,801,669	415,688,276	440,773,703	441,446,224
Special Revenue Funds	35,372,965	36,405,301	36,614,639	37,087,436	36,510,725
Debt Service Fund	61,873,682	53,343,131	79,366,812	52,946,310	38,313,027
TOTAL ALL FUNDING SOURCES	**$ 700,637,680**	**705,070,521**	**747,676,203**	**763,384,534**	**740,284,673**

* General Fund Utilization of Net Assets is only shown for those years that are budgeted numbers, not actual receipts.

The City of Lubbock has many funding sources with the majority of General Fund revenues from tax revenues, both sales and property tax, Franchise Fees/Right of Way, Fees and Fines, and Transfers. Internal Service Fund sources of funding are internal and are funded from all other departments. The majority of the revenues, 59.7 percent, are generated by the Enterprise Funds with most of the revenues generated in the Utilities such as water, wastewater, solid waste, electric, and storm water. Source of funding in Special Revenue Funds are from different sources related to the purpose of the Fund.

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Funding Source Summary - General Fund

		Actual	Actual	Actual	Amended	Budget	% Change
TAXES		FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Forecast
Property Taxes	$	43,811,898	45,526,284	50,677,109	54,029,140	53,660,815	(0.7)
Delinquent Taxes/Penalties & Interest		934,270	808,543	804,011	851,650	802,000	(5.8)
Sales Tax		57,062,628	59,263,359	62,367,562	63,515,698	63,833,276	0.5
Mixed Beverage Tax		961,161	1,206,698	1,277,552	1,200,000	1,275,000	6.3
Bingo Tax		305,217	287,663	285,113	280,000	280,000	-
TOTAL TAXES		103,075,174	107,092,547	115,411,347	119,876,488	119,851,091	(0.0)
FRANCHISE FEES/RIGHT OF WAY							
Suddenlink		1,188,541	1,232,377	1,197,638	1,251,687	1,195,000	(4.5)
Xcel Energy		1,613	3,096	4,426	3,000	3,000	-
Atmos		1,335,211	1,395,855	1,837,842	1,365,600	1,392,912	2.0
South Plains Electric Coop.		579,726	668,400	749,325	589,800	540,000	(8.4)
West Texas Gas		4,098	4,725	7,258	4,320	7,000	62.0
Telecom ROW		2,046,282	1,895,946	2,333,861	1,650,000	1,732,065	5.0
TOTAL FRANCHISE FEES/RIGHT OF WAY		5,155,471	5,200,398	6,130,349	4,864,407	4,869,977	0.1
FEES FOR SERVICES							
Development Services		185,520	204,645	215,438	211,894	233,550	10.2
General Government		83,452	90,186	104,587	84,068	92,521	10.1
City Secretery		309,009	327,656	330,076	316,084	316,360	0.1
Public Safety		1,150,289	970,741	914,992	955,576	925,408	(3.2)
Public Works		106,249	126,235	178,236	68,400	69,582	1.7
Public Health		16,228	20,092	20,263	47,955	50,473	5.3
Animal Shelter		132,662	131,412	120,691	135,000	127,000	(5.9)
Cultural/Recreational		1,017,933	1,032,251	1,103,435	1,037,093	1,084,818	4.6
Museum		228,978	250,434	270,970	256,350	278,350	8.6
TOTAL FEES FOR SERVICES		3,230,320	3,153,652	3,258,688	3,112,420	3,178,062	2.1
FEES AND FINES							
Licenses and Permits		2,621,518	2,813,215	3,001,839	2,492,586	3,254,775	30.6
Intergovernmental		353,441	356,036	396,563	360,315	363,137	0.8
Fines and Forfeitures		3,658,243	3,030,846	3,338,816	3,077,000	3,227,000	4.9
TOTAL FEES AND FINES		6,633,202	6,200,096	6,737,219	5,929,901	6,844,912	15.4
OTHER REVENUES							
Interest Earnings		29,389	118,262	269,952	-	-	-
Rental		8,003	7,583	14,403	11,000	10,700	(2.7)
Recoveries of Expenditures		875,470	923,443	962,307	867,434	986,170	13.7
Other		1,475,279	592,286	572,673	543,000	437,500	(19.4)
TOTAL OTHER REVENUES		2,388,140	1,641,572	1,819,335	1,421,434	1,434,370	0.9
TRANSFERS							
Transfers from Special Revenue Funds		299,720	299,720	299,720	149,860	149,860	-
Transfers from LP&L		8,917,997	9,533,867	9,728,815	10,015,506	9,621,062	(3.9)
Transfers from Water Fund		9,245,651	9,784,640	9,973,976	9,687,337	9,795,736	1.1
Transfers from Wastewater Fund		3,753,410	3,997,080	4,267,840	4,304,695	5,020,687	16.6
Transfers from Solid Waste		2,347,431	2,426,530	2,658,369	2,457,850	2,501,260	1.8
Transfers from Airport Fund		1,603,080	1,566,601	1,648,486	1,684,171	1,751,227	4.0
Transfers from Stormwater		2,138,307	2,236,258	2,785,159	2,584,946	2,628,757	1.7
TOTAL TRANSFERS		28,305,596	29,844,696	31,362,365	30,884,365	31,468,588	1.9
TOTAL REVENUE SOURCES		148,787,902	153,132,962	164,719,303	166,089,015	167,647,001	0.9
Utilization of Net Assets		-	-	-	8,643,178	1,240,915	(85.6)
TOTAL FUNDING SOURCES	$	148,787,902	153,132,962	164,719,303	174,732,193	168,887,915	(3.3)
CAPITAL LEASE PROCEEDS	$	5,778,891	5,842,667	4,555,298	-	-	-

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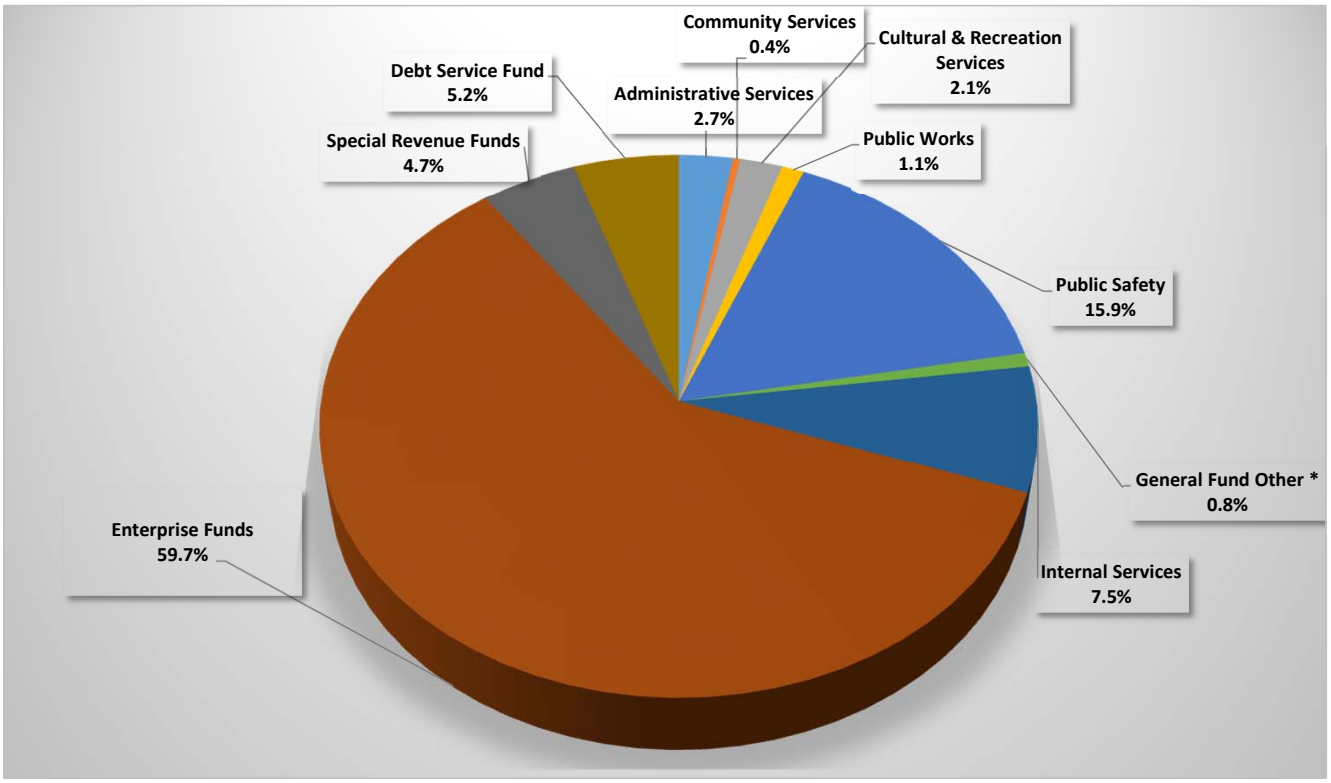
Funding Source Summary by Fund

	Actual	Actual	Actual	Amended	Budget	% Change
INTERNAL SERVICE FUNDS	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Fleet	$ 1,385,134	1,457,842	1,544,347	1,634,532	1,536,792	(6.0)
Health Benefits	32,363,111	30,411,667	31,207,612	34,697,960	33,542,066	(3.3)
Information Technology	9,400,009	10,178,540	11,290,189	12,902,835	13,433,639	4.1
Investment Pool	138,838	98,502	174,648	178,242	148,736	(16.6)
Print Shop/Warehouse	929,613	729,015	671,542	690,428	508,231	(26.4)
Risk Management	8,080,064	5,511,893	6,398,835	7,740,895	5,957,318	(23.0)
TOTAL INTERNAL SERVICE FUNDS	52,296,769	48,387,459	51,287,174	57,844,893	55,126,781	(4.7)
ENTERPRISE FUNDS						
Airport	11,127,198	11,036,673	12,286,504	10,805,328	11,048,319	2.2
Cemetery	651,020	728,775	835,462	782,499	780,703	(0.2)
Civic Centers	3,600,834	3,390,784	3,495,357	3,561,762	3,674,350	3.2
Lake Alan Henry	643,930	549,401	509,586	575,800	576,660	0.1
LP&L	213,923,122	228,647,128	223,041,246	241,567,476	234,959,160	(2.7)
Solid Waste	20,699,693	20,530,616	21,705,959	22,477,299	23,484,251	4.5
Storm Water	22,189,612	21,787,442	25,061,060	23,352,417	23,687,217	1.4
Transit	12,549,998	11,709,352	11,847,975	12,359,632	12,526,463	1.3
Wastewater	34,300,547	35,230,275	38,166,798	41,618,430	45,272,345	8.8
Water	82,620,406	80,191,224	78,738,330	83,673,059	85,436,755	2.1
TOTAL ENTERPRISE FUNDS	402,306,361	413,801,669	415,688,276	440,773,703	441,446,224	0.2
SPECIAL REVENUE FUNDS						
Abandoned Vehicles	718,615	723,961	822,677	575,000	600,000	4.3
Animal Assistance Program	107,536	136,155	121,641	110,000	112,000	1.8
Cable Services Fund	534,462	605,641	631,344	613,311	-	(100.0)
Central Business District Tax Increment	1,335,330	728,248	977,340	1,073,811	1,162,208	8.2
Community Development	3,322,556	3,491,765	2,820,886	3,136,391	2,802,540	(10.6)
Criminal Investigation Fund	274,134	465,949	553,490	481,783	386,783	(19.7)
Department of Justice Asset Sharing	1,430	123,890	562	100,000	25,000	(75.0)
Economic Development	3,707,051	3,491,214	3,098,763	3,260,125	3,348,210	2.7
Emergency Management	415,894	457,643	458,598	496,177	434,093	(12.5)
Gateway Streets	8,294,279	8,199,853	8,429,183	8,425,728	8,311,498	(1.4)
Hotel/Motel Tax	6,147,506	6,276,688	6,823,165	6,800,000	6,879,428	1.2
Juvenile Case Manager	10,753	20,195	34,800	25,200	-	(100.0)
Lubbock Business Park TIF	261,139	372,222	360,806	436,122	479,751	10.0
Lubbock Economic Development Alliance	5,187,512	5,387,578	5,669,778	5,774,154	5,803,025	0.5
Municipal Court	305,560	277,932	275,875	235,204	236,890	0.7
North and East Lubbock Fund	-	724,530	523,617	310,222	164,899	(46.8)
North Overton Public Improvement District	483,661	570,939	586,441	575,764	663,000	15.2
North Overton Tax Increment	3,363,314	3,934,831	4,062,224	4,148,796	4,619,339	11.3
North Point Public Improvement District	82,965	85,104	95,354	223,206	159,087	(28.7)
Quincy Park Public Improvement District	40,744	52,887	73,082	87,272	117,032	34.1
Valencia Public Improvement District	3,918	6,462	8,580	10,620	12,764	20.2
Vintage Township Public Improvement Dist.	774,607	271,613	186,432	188,548	193,179	2.5
TOTAL SPECIAL REVENUE FUNDS	35,372,965	36,405,301	36,614,639	37,087,436	36,510,725	(1.6)
SUBTOTAL ALL FUNDS	638,763,998	651,727,390	668,309,391	710,438,224	701,971,646	(1.2)
DEBT SERVICE FUND						
Debt Service Fund	61,873,682	53,343,131	79,366,812	52,946,310	38,313,027	(27.6)
TOTAL DEBT SERVICE FUND	61,873,682	53,343,131	79,366,812	52,946,310	38,313,027	(27.6)
TOTAL ALL FUNDS	$ 700,637,680	705,070,521	747,676,203	763,384,534	740,284,673	(3.03)

City of Lubbock
FY 2016-17 Summary of All Expenses



		Actual	Actual	Actual	Amended	Budget
TOTAL EXPENDITURES		FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Administrative Services	$	16,518,444	17,592,988	18,382,282	20,151,394	19,593,951
Community Services		2,203,793	2,176,211	2,273,020	2,619,062	2,697,702
Cultural & Recreation Services		13,761,933	13,578,195	13,820,760	14,731,282	15,348,709
Public Works		6,521,622	6,885,470	7,206,794	8,169,910	8,142,136
Public Safety		100,618,157	104,487,464	108,730,455	115,083,873	117,025,856
General Fund Other *		3,925,473	10,616,342	6,564,932	13,976,671	6,079,561
Internal Services		46,678,416	46,442,368	48,974,032	55,845,772	55,077,319
Enterprise Funds		386,023,643	402,584,013	410,728,482	439,929,361	440,019,888
Special Revenue Funds		33,930,686	33,193,901	33,698,227	35,630,584	34,773,570
Debt Service Fund		61,714,236	53,157,860	79,001,521	52,736,509	38,313,027
TOTAL ALL FUNDS	**$**	**671,896,402**	**690,714,811**	**729,380,505**	**758,874,418**	**737,071,719**

Total expenditures for all City of Lubbock funds are projected to decrease for FY 2016-17. The General Fund supports the majority of basic City services such as Streets, Parks, Libraries, Museums, Public Health, Code Enforcements, Animal Services, Police, and Fire. Public Safety expenditures represent 69.9 percent of the General Fund budget and 15.9 percent when all funds are combined. The largest portion of expenses are incurred by the Enterprise Funds, with 59.8 percent of the amount appropriated for the Enterprise Funds allocated to Lubbock Power and Light.

* General Fund Other includes the proposed 2% compensation adjustment for General Fund Departments in FY 2016-17

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Appropriation Summary - General Fund

ADMINISTRATIVE SERVICES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
City Attorney	$ 1,797,156	1,867,605	1,813,922	2,188,575	2,132,154	(2.6)
City Council	292,123	236,455	254,770	306,916	315,470	2.8
City Manager	790,323	830,265	1,055,053	1,090,054	1,092,458	0.2
City Secretary	928,121	999,001	1,067,317	1,199,494	1,242,117	3.6
Facilities Management	3,539,148	3,097,402	2,845,093	3,307,970	3,345,226	1.1
Finance	2,289,755	2,423,394	2,370,301	2,931,194	2,827,689	(3.5)
Human Resources	389,794	481,813	470,964	529,832	544,653	2.8
Internal Audit	305,848	366,961	379,519	405,658	392,733	(3.2)
Non Departmental	6,186,174	7,290,093	7,668,205	7,627,723	7,193,459	(5.7)
Public Information	-	-	457,139	563,978	507,992	(9.9)
TOTAL ADMINISTRATIVE SERVICES	16,518,444	17,592,988	18,382,282	20,151,394	19,593,951	(2.8)
COMMUNITY SERVICES						
Building Inspection	1,642,200	1,731,697	1,741,920	1,949,355	2,014,746	3.4
Planning	561,593	444,513	531,100	669,708	682,956	2.0
TOTAL COMMUNITY SERVICES	2,203,793	2,176,211	2,273,020	2,619,062	2,697,702	3.0
CULTURAL & RECREATION SVCS						
Library	3,708,159	3,680,837	3,443,835	3,638,421	3,700,160	1.7
Museums	856,101	884,957	974,323	1,026,498	1,047,999	2.1
Parks and Recreation	9,197,674	9,012,401	9,402,601	10,066,364	10,600,550	5.3
TOTAL CULTURAL & RECREATION SVCS	13,761,933	13,578,195	13,820,760	14,731,282	15,348,709	4.2
PUBLIC WORKS						
Engineering	1,035,562	1,182,724	1,267,090	1,404,629	1,364,931	(2.8)
Streets	2,318,275	2,385,994	2,596,623	3,055,858	3,094,923	1.3
Traffic	3,167,785	3,316,752	3,343,081	3,709,423	3,682,283	(0.7)
TOTAL PUBLIC WORKS	6,521,622	6,885,470	7,206,794	8,169,910	8,142,136	(0.3)
PUBLIC SAFETY						
Animal Services	1,747,961	1,809,237	1,883,869	2,068,154	2,039,799	(1.4)
Codes and Environmental Health	1,878,027	2,212,177	2,411,984	2,659,076	2,735,948	2.9
Fire	40,049,928	42,047,094	43,858,166	45,991,891	47,313,783	2.9
Municipal Court	1,581,953	1,584,745	1,535,816	1,857,206	1,866,524	0.5
Police	54,487,795	55,902,081	57,817,807	61,151,423	61,586,009	0.7
Public Health	530,912	617,193	791,265	923,147	968,791	4.9
Vector Control	341,580	314,938	431,548	432,977	515,004	18.9
TOTAL PUBLIC SAFETY	100,618,157	104,487,464	108,730,455	115,083,873	117,025,856	1.7
OTHER						
Transfer to Grants	389,314	381,969	446,059	496,177	434,093	(12.5)
Transfer to CIP (Pay-as-you-go)	1,363,964	4,855,807	2,678,820	10,210,298	950,000	(90.7)
Transfer to Storm Water CIP	-	-	405,191	-	-	-
Transfer to Citibus	1,431,877	1,647,223	2,235,272	2,247,383	2,247,383	-
Transfer to Cemetery	80,234	272,205	354,618	350,181	315,203	(10.0)
Transfer to Civic Centers	510,084	573,349	444,972	672,632	660,612	(1.8)
Transfer to Debt Service Fund	-	2,635,789	-	-	-	-
Transfer to Internal Service Fund	-	250,000	-	-	-	-
Transfer to Special Revenue Fund	150,000	-	-	-	-	-
TOTAL OTHER	3,925,473	10,616,342	6,564,932	13,976,671	4,607,291	(67.0)
TOTAL	$ 143,549,421	155,336,668	156,978,243	174,732,193	167,415,645	(4.2)
Compensation Adjustment	-	-	-	-	1,472,270	-
TOTAL GENERAL FUND	$ 143,549,421	155,336,668	156,978,243	174,732,193	168,887,915	(3.3)
CAPITAL LEASE ASSET VALUE	$ 5,283,642	6,794,497	3,651,471	-	-	-

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Appropriation Summary by Fund

	Actual	Actual	Actual	Amended	Budget	% Change
INTERNAL SERVICE FUNDS	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Fleet	$ 1,385,134	1,457,842	1,354,637	1,634,532	1,487,792	(9.0)
Health Benefits	27,366,824	30,411,667	31,207,612	34,697,960	33,542,066	(3.3)
Information Technology	9,106,516	10,125,718	11,290,189	12,902,835	13,433,639	4.1
Investment Pool	138,838	93,004	105,777	178,242	148,736	(16.6)
Print Shop/Warehouse	601,040	613,388	604,302	661,974	508,231	(23.2)
Risk Management	8,080,064	3,740,749	4,411,515	5,770,229	5,956,856	3.2
TOTAL INTERNAL SERVICE FUNDS	46,678,416	46,442,368	48,974,032	55,845,772	55,077,319	(1.4)
ENTERPRISE FUNDS						
Airport	10,708,591	9,439,046	12,286,504	10,655,722	11,048,319	3.7
Cemetery	651,020	639,826	705,157	782,499	780,703	(0.2)
Civic Centers	3,276,175	3,285,224	3,495,357	3,561,762	3,674,350	3.2
Lake Alan Henry	643,930	549,401	489,771	495,625	541,548	9.3
LP&L	209,601,182	225,679,149	221,807,327	240,999,923	234,959,160	(2.5)
Solid Waste	20,699,693	20,475,415	21,705,959	22,477,299	23,484,251	4.5
Storm Water	21,623,326	19,185,685	22,035,298	23,305,409	22,295,993	(4.3)
Transit	12,511,430	11,699,389	11,801,172	12,359,632	12,526,463	1.3
Wastewater	31,905,784	34,953,752	38,166,798	41,618,430	45,272,345	8.8
Water	74,402,513	76,677,126	78,235,141	83,673,059	85,436,755	2.1
TOTAL ENTERPRISE FUNDS	386,023,643	402,584,013	410,728,482	439,929,361	440,019,888	0.0
SPECIAL REVENUE FUNDS						
Abandoned Vehicles	718,615	723,961	822,677	575,000	599,860	4.3
Animal Assistance Program	81,583	102,275	72,713	110,000	110,179	0.2
Cable Services Fund	-	31,185	36,186	613,311	-	(100.0)
Central Business District Tax Increment	1,335,330	399,641	582,682	1,011,141	1,162,208	14.9
Community Development	3,322,556	3,396,057	2,820,886	3,136,391	2,802,540	(10.6)
Criminal Investigation Fund	245,016	465,949	243,186	481,783	386,783	(19.7)
Department of Justice Asset Sharing	-	-	-	100,000	25,000	(75.0)
Economic Development	3,684,582	3,491,214	3,094,790	3,260,125	3,348,210	2.7
Emergency Management	415,894	453,283	458,598	496,177	434,093	(12.5)
Gateway Streets	8,294,279	8,199,853	8,353,469	8,425,728	8,311,498	(1.4)
Hotel Occupancy Tax	5,817,987	6,276,688	6,823,165	6,800,000	6,879,428	1.2
Lubbock Business Park Tax Increment	3,292	6,584	13,909	15,766	15,769	0.0
Lubbock Economic Development Alliance, Inc.	5,187,512	5,387,578	5,669,778	5,774,154	5,803,025	0.5
Municipal Court	305,560	277,932	275,875	213,544	227,317	6.4
North and East Lubbock Fund	-	-	523,617	310,222	164,899	(46.8)
North Overton Public Improvement District	421,993	416,249	480,895	556,432	663,000	19.2
North Overton Tax Increment	3,274,494	3,246,720	3,217,169	3,267,061	3,365,738	3.0
North Point Public Improvement District	36,357	29,200	20,231	223,206	159,087	(28.7)
Quincy Park Public Improvement District	7,818	14,610	6,913	66,501	117,032	76.0
Valencia Public Improvement District	3,211	3,308	3,342	5,492	4,725	(14.0)
Vintage Township Public Improvement Dist.	774,607	271,613	178,144	188,548	193,179	2.5
TOTAL SPECIAL REVENUE FUNDS	33,930,686	33,193,901	33,698,227	35,630,584	34,773,570	(2.4)
SUBTOTAL ALL FUNDS	610,182,166	637,556,951	650,378,984	706,137,909	698,758,692	(1.0)
DEBT SERVICE FUND						
Debt Service Fund	61,714,236	53,157,860	79,001,521	52,736,509	38,313,027	(27.4)
TOTAL DEBT SERVICE FUND	61,714,236	53,157,860	79,001,521	52,736,509	38,313,027	(27.4)
TOTAL ALL FUNDS	$671,896,402	690,714,811	729,380,505	758,874,418	737,071,719	(2.9)

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Position History - General Fund

ADMINISTRATIVE SERVICES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
City Attorney	14	14	16	16	16	-
City Council	1	-	-	-	-	-
City Manager	2	5	7	7	7	-
City Secretary	12	12	12	12	12	-
Facilities Management	14	14	16	16	16	-
Finance	28	29	30	30	31	1
Human Resources	6	6	6	6	6	-
Internal Audit	3	3	3	3	3	-
Non Departmental	-	-	-	-	-	-
Public Information	-	-	7	7	7	-
TOTAL ADMINISTRATIVE SERVICES	80	83	97	97	98	1
COMMUNITY SERVICES						
Building Inspection	22	24	24	24	26	2
Planning	5	5	7	7	7	-
TOTAL COMMUNITY SERVICES	27	29	31	31	33	2
CULTURAL & RECREATION SVCS						
Library	36	36	36	36	36	-
Museums	8	8	8	8	8	-
Parks	80	80	81	81	81	-
TOTAL CULTURAL & RECREATION SVCS	124	124	125	125	125	-
PUBLIC WORKS						
Engineering	17	17	17	17	16	(1)
Streets	36	36	36	36	36	-
Traffic	36	36	37	37	37	-
TOTAL PUBLIC WORKS	89	89	90	90	89	(1)
PUBLIC SAFETY						
Animal Services	24	24	24	24	24	-
Codes and Environmental Health	32	32	32	32	33	1
Fire	398	414	429	429	429	-
Municipal Court	20	20	20	20	20	-
Police	540	545	545	545	544	(1)
Public Health	13	13	14	17	17	-
Vector Control	6	6	6	6	6	-
TOTAL PUBLIC SAFETY	1,033	1,054	1,070	1,073	1,073	-
OTHER						
Transfers	-	-	-	-	-	-
Payroll Accrual/Other Adjustments	-	-	-	-	-	-
TOTAL OTHER	-	-	-	-	-	-
TOTAL GENERAL FUND	1,353	1,379	1,413	1,416	1,418	2

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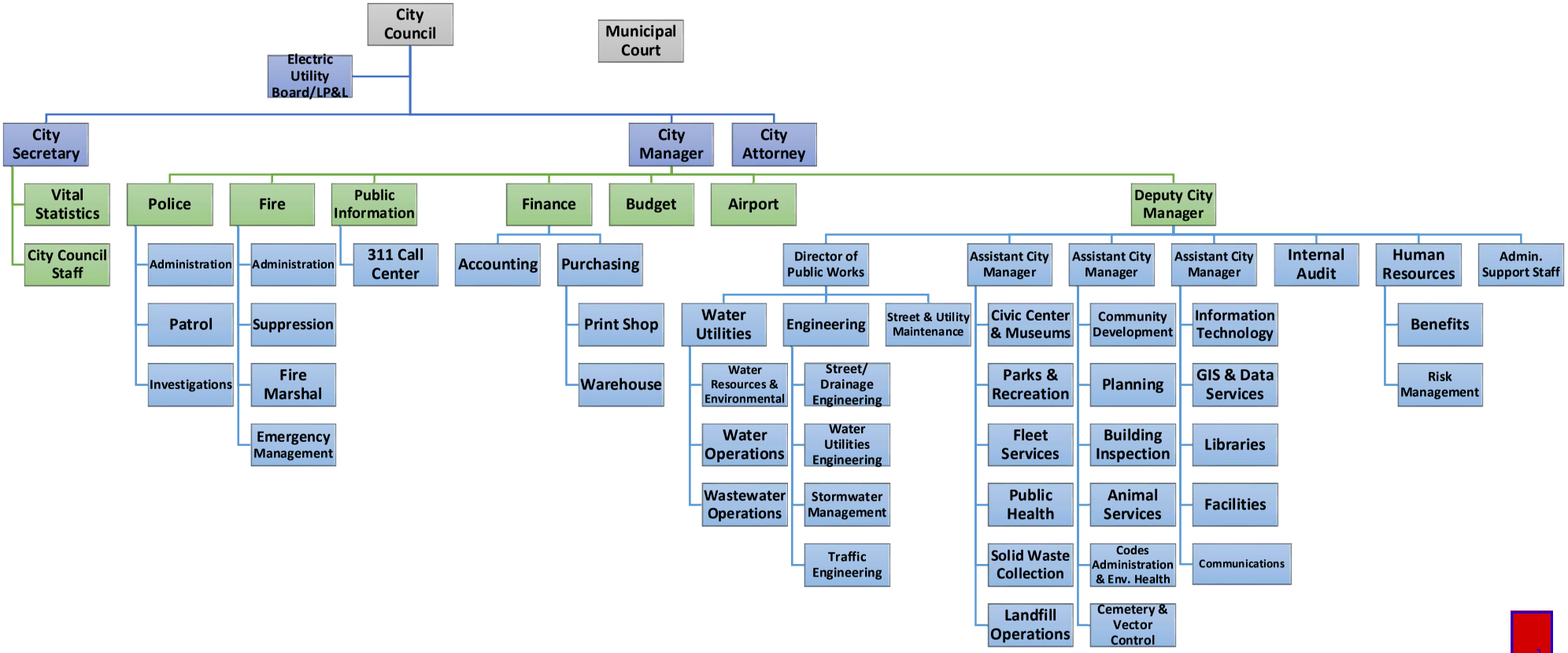
Position History by Fund

	Actual	Actual	Actual	Amended	Budget	Change
INTERNAL SERVICE FUNDS	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Fleet	18	18	18	17	17	-
Health Benefits	3	3	3	3	4	1
Information Technology	53	53	44	44	47	3
Investment Pool	1	1	1	1	1	-
Print Shop/Warehouse	5	5	6	6	6	-
Risk Management	4	4	6	6	7	1
TOTAL INTERNAL SERVICE FUNDS	84	84	78	77	82	5
ENTERPRISE FUNDS						
Airport	48	48	48	48	48	-
Cemetery	7	7	7	7	7	-
Civic Centers	27	27	27	27	27	-
Lake Alan Henry	1	1	1	1	1	-
LP&L	289	303	303	303	303	-
Solid Waste	111	112	112	113	113	-
Storm Water	36	37	38	38	38	-
Wastewater	74	74	76	76	79	3
Water	167	168	170	170	171	1
TOTAL ENTERPRISE FUNDS	760	777	782	783	787	4
SPECIAL REVENUE FUNDS						
Abandoned Vehicles	-	-	-	-	-	-
Animal Assistance Program	-	-	-	-	-	-
Cable Services Fund	-	-	-	-	-	-
Central Business District Tax Increment	-	-	-	-	-	-
Community Development	16	16	14	14	13	(1)
Criminal Investigation Fund	-	-	-	-	-	-
Department of Justice Asset Sharing	-	-	-	-	-	-
Economic Development	2	-	-	-	-	-
Emergency Management	5	5	5	2	2	-
Gateway Streets	-	-	-	-	-	-
Hotel/Motel Tax	-	-	-	-	-	-
Juvenile Case Manager Fund	-	-	-	-	-	-
Lubbock Business Park Tax Increment	-	-	-	-	-	-
Lubbock Economic Development Alliance, Inc.	-	-	-	-	-	-
Municipal Court	2	2	2	2	2	-
North and East Lubbock Fund	-	-	-	-	-	-
North Overton Public Improvement District	-	-	-	-	-	-
North Overton Tax Increment	-	-	-	-	-	-
North Point Public Improvement District	-	-	-	-	-	-
Quincy Park Public Improvement District	-	-	-	-	-	-
Valencia Public Improvement District	-	-	-	-	-	-
Vintage Township Public Improvement District	-	-	-	-	-	-
TOTAL SPECIAL REVENUE FUNDS	25	23	21	18	17	(1)
DEBT SERVICE FUND						
Debt Service Fund	-	-	-	-	-	-
TOTAL DEBT SERVICE FUND	-	-	-	-	-	-
TOTAL ALL FUNDS	2,222	2,263	2,294	2,294	2,304	10

City of Lubbock Organizational Chart



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Budget Process

The preparation and administration of the budget is one of the most important activities in any municipal operation. The budget process includes various elements that determine the needs of the community and City departments.

Budgetary and Accounting Basis

The City's budget is prepared on a cash basis of accounting for all fund types, which means certain transactions are recognized in the budget on a basis other than Generally Accepted Accounting Principles (GAAP), which is the basis used to prepare the City's Comprehensive Annual Financial Report (CAFR). The major differences between the budgetary and GAAP bases include:

- Certain revenues, expenditures, and transfers are not included on the budget basis, but are accrued and reported on the GAAP basis. For example, increases or decreases in compensated absences are not reported for budget basis purposes, but are presented as revenues or expenditures on a GAAP basis.
- Indirect administrative cost allocations (including in lieu of property tax and franchise fees) charges to the Enterprise Funds are accounted for as transfers in or out on the budgetary basis, but are recorded as revenues or expenses on a GAAP basis.
- Capital outlays in the Enterprise Funds are presented as expenses for budget basis, but are recorded as assets along with associated depreciation expenses on a GAAP basis.
- Debt service principal payments in the Enterprise Funds are accounted for as expenses for budget purposes, but are reported as reductions of long-term debt liability on a GAAP basis.
- Certain debt service principal and interest payments are accounted for as expenses in the General Fund for budget basis purposes, but are reported as expenses in the Debt Service Fund on a GAAP basis.
- For budget purposes the Risk Fund presents claim expenditures on a cash basis, while on a GAAP basis the claim expenditures reflect an accrual for incurred but not reported (IBNR) claims.
- All actual amounts in the budget document are shown on the budgetary basis to facilitate meaningful comparisons. Budgeted funds include the General, Special Revenue, Debt Service, Enterprise, Internal Service, and Capital Program.

Performance Benchmarks

Performance benchmarks and activity measures are developed as part of the budget process that measure and track actual performance to budget benchmarks. When performance measures are developed, resources necessary to achieve the performance are estimated and submitted to City Management staff for review and modification.

Budget Control

Annually, the City Manager submits to the City Council a proposed operating budget for the upcoming fiscal year. A Public hearing is conducted to obtain taxpayer comments, and the budget is legally enacted through passage of an ordinance by the City Council.

Budget controls are designed to ensure compliance with legal provisions embodied in the annual appropriated budget approved by the City Council. The level of budgetary control is established by fund. A budget supplement, approved by the City Council is required if actual expenditures will exceed the total budget.

Budgetary control is maintained per department and by the following categories of expenditures: compensation, benefits, supplies, maintenance, professional services/training, other charges, scheduled charges, and capital outlay. All budget supplements must be approved by the City Council. Administrative transfers and increases or decreases in accounts may be made by management as long as expenditures do not exceed budgeted appropriation at the department level. Appropriation for budgeted funds lapses at year-end.

Each year, in accordance with State law, the City Council sets an ad valorem tax levy for a sinking fund (General Obligation Debt Service) which, with cash and investments in the fund, is sufficient to pay all the bonded indebtedness and interest due in the following fiscal year.

Budget Calendar

The annual budget process is scheduled in the following manner:

- **February –** The City Manager and staff develop an initial timetable for the budget process and the Executive Director of Budget meets with Department Heads and Senior Management Staff to communicate Council Goals.
- **March/April –** Departments develop a program of services, including goals and objectives, and activity and performance measures for the new fiscal year; revenue projections are prepared and provided to the City Manager; departments develop operating budget and capital program requests.
- **May/June –** The Executive Director of Budget and staff review and update financial forecasting models and Senior Management reviews budgets and all other requests with departments and Fiscal Policy.
- **July** – The City Manager establishes a proposed budget that is printed and provided to the City Council; the City Manager presents a recommended budget to the City Council in a public work session; the Fiscal Policy Department

Budget Process

files with the City Secretary; the Budget document is made available on the City's website and is also distributed to the media. Also, the notice of public hearing on the proposed budget the public hearings on the tax rate, if necessary, are published in accordance with State law.

- **August/September –** A public hearing is held on the budget and two public hearings are held on the tax rate, if necessary. First and second readings are held for the budget and tax rate ordinances. Second reading and formal adoption of the budget and tax rate ordinances occurs after the public hearings and first readings.
- **November/December -** The Fiscal Policy Department prints approved budgets, files with City Secretary and County Clerk and distributes to City departments.

Revenue Forecasting

The City of Lubbock uses both qualitative and quantitative methods for forecasting revenues, blending various techniques to develop conservative and prudent revenue projections. Qualitative revenue forecasting methods used by staff to develop multi-year financial plans include consensus, judgmental, and expert forecasting, while trend analysis is used as a quantitative technique. This balanced approach to revenue forecasting is strongly encouraged by the Government Finance Officers Association (GFOA), since research shows that forecasting accuracy is improved by combining qualitative and quantitative techniques. According to the GFOA, each method by itself has inherent weaknesses: qualitative methods can be too subjective at times and may be subject to wishful thinking and selective perception on behalf of the forecaster; quantitative methods may fail to consider changing conditions inside and outside a jurisdiction and also tend to discount important historical events. By combining qualitative and quantitative methods, forecasters integrate judgmental assumptions within the forecasting framework to produce more realistic revenue projections.

To enhance the revenue forecasting process and gain the broader input into the planning process, Fiscal Policy staff works collaboratively with City departments throughout the year to prepare the revenue estimates. This multi-disciplinary approach and continual reassessment creates a synergy between the finance staff and the departments, which reduces the likelihood of disconnects in formulating the revenue estimates. The department's participation in the revenue estimates also increases their ownership and accountability for achieving the proposed plan.

Budget Updates

During the fiscal year, the City Manager evaluates the budget and makes revisions in response to changes in the local economy and unanticipated, unfunded expenditure demands. Changes made to the current year budget (known as the Amended Budget) are legally adopted as part of a budget ordinance.

Basis of Accounting

The financial transactions of the City are recorded in individual funds. Each fund is accounted for by providing a separate set of self-balancing accounts that comprise its assets, liabilities, fund balance or net assets, revenues, and expenditures. The following fund types are used by the City.

Governmental Funds

Governmental funds are used to account for activities supported through taxes, intergovernmental revenues, and other specific revenues. These funds are appropriated annually and appropriations lapse at year-end, with the exception of Grants Special Revenue Funds.

General Fund - Fund used to account for all financial resources except those required to be accounted for in another fund. This fund includes most of the basic operating services such as fire and police protection, health services, parks and recreation, libraries, street maintenance, and general administration. Proceeds from capital leases for purchases of governmental machinery and equipment, and the related debt service are also accounted for in the General Fund.

Debt Service Fund - Fund established to account for the accumulation of resources for the payment of principal and interest on long term bonded debt. This fund is also referred to as the Interest and Sinking Fund.

Special Revenue Funds – Funds used to account for the proceeds of specific revenue sources that are legally restricted to expenditure for specified purposes. These funds include: Abandoned Motor Vehicles, Animal Assistance, Cable Service, Central Business District Tax Increment Financing Reinvestment Zone, Community Development, Criminal Investigations, Department of Justice Asset Sharing, Economic Development, Emergency Management, Gateway Streets, Hotel Occupancy Tax, Juvenile Case Manager, Lubbock Business Park Tax Increment Financing Reinvestment Zone, Lubbock Economic Development Alliance, Municipal Court, North and East Lubbock Neighborhood and Infrastructure Fund, North Overton Public Improvement District, North Overton Tax Increment Financing Reinvestment Zone, North Point Public Improvement District, Quincy Park Public Improvement District, Valencia Public Improvement District, and Vintage Township Public Improvement District.

Grant Special Revenue Funds - Contributions of assets from another government or entity to be used or expended for a specified purpose, activity, or facility. Unlike other governmental funds where appropriations lapse at year-end, these funds lapse when the period of availability specified in the grants lapse. These funds include Community Development, Department of Justice Asset Sharing, and Emergency Management. Many other grants are budgeted through a supplement budget ordinance when the grants are awarded.

Proprietary Funds

Proprietary funds focus on the determination of operating income, changes in net assets (or cost recovery), financial position, and cash flows. These funds are appropriated annually, with the main focus on whether revenues are covering outflows.

Enterprise Funds - Funds in which the services provided are financed and operated similarly to those of a private business. The rates for these services are established to insure that revenues are adequate to meet all necessary expenditures. Enterprise Funds are established for Water, Wastewater, Storm Water, Airport, Solid Waste, Transit, Cemetery, Civic Centers, Lake Alan Henry and Lubbock Power and Light.

Internal Service Funds - Funds used to account for the financing of goods or services provided by one department to another on a cost reimbursement basis. Funds include Fleet Maintenance, Print Shop/Warehouse, Risk Management, Health Benefits, Information Technology, and Investment Pool.

Capital Program

The Capital Program is a planning and budgeting tool that identifies the necessary capital projects for the City over a five year period. Projects typically cost over $25,000 and frequently are used for infrastructure and building expansions, additions, or improvements. Most capital projects have a life expectancy of five or more years, but occasionally studies that could potentially lead to a capital project or a large environmental cleanup will be included in the capital program. Capital projects are budgeted on a multi-year basis and lapse when the project is completed.

Funding is provided through cash transfers from other funds, donations, General Obligation Bonds, Certificates of Obligation, and other special funding sources.

Governmental capital projects are attached to governmental activities, while proprietary capital projects become assets and expenses of the related proprietary fund.

Governmental Capital Projects - Provides for capital improvements including the construction, expansion, and renovation of City facilities; development of new parks and facilities and replacement or renovation of existing park facilities (swimming pools, recreational facilities, drainage systems, lighting systems, irrigation systems, and playground equipment); construction of new streets and widening or reconstruction of existing streets or intersections; residential, collector, and thoroughfare street lighting projects; and the traffic signal system, including replacement or rehabilitation of traffic control lights or

Basis of Accounting

related equipment and installation of new traffic control systems at intersections and school zones.

Tax Increment Finance (TIF) Project Funds –Governmental capital project funds that accounts for the financing and expenditures related to the Central Business, Lubbock Business Park and North Overton Districts.

Gateway Streets Project Fund – A governmental capital project fund that accounts for the financing and expenditures related to major street improvements.

Water Capital Projects Fund - Provides for capital improvements to the water treatment, storage, and distribution systems. Projects related to the acquisition of water rights, or development of the City's water supply, are also included in this fund.

Wastewater Capital Projects Fund - Provides for expansions, additions, or improvements to the wastewater collection, treatment, and disposal systems. Capital purchases for improvements to the land application site are also included in this fund.

Storm Water Capital Projects Fund - Provides for capital improvements to the storm drainage system. Projects focus on drainage and flood control.

Airport Capital Projects Fund - Provides for capital improvements to airport buildings, facilities and purchases of major capital items with the Lubbock Preston Smith International Airport. In addition to bond and other fund financing, projects are funded through Federal Aviation Administration grants and passenger facility charges.

Solid Waste Management Capital Projects Fund - Provides for capital improvements to the Sanitary Landfill.

Cemetery Capital Projects Fund – Provides for capital improvements to the cemetery and mausoleum facilities.

Civic Centers Capital Projects Fund – Provides for capital improvements to the Civic Center, Auditorium, and Coliseum.

Internal Service Capital Projects Fund - Provides for capital improvements to City facilities and major capital equipment, which are part of Internal Service operations. Projects include construction, expansion, and renovation to buildings and facilities and acquisition of major capital items. Improvements to the City's computer network are also included.

City of Lubbock TEXAS

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General Fund Revenue Analysis

The General Fund Revenue Analysis provides an overview of major revenue categories. A funding source summary is also provided which shows a four-year history of each General Fund revenue account.

Fiscal Year (FY) 2016-17 revenues are estimated using historic growth rates, rolling averages, and other linear methods. In some cases, the revenue is analyzed based on agreements or other external factors having a significant impact in the upcoming year.

For FY 2016-17, the estimated revenues for the General Fund total $167.7 million, an increase of $2.7 million, or 1.6 percent, from the FY 2015-16 Operating Budget Forecast (re-forecasted).

Fee Changes

There are various fee increases proposed for FY 2016-17.

Environmental Health Department is proposing fee increases for: café permits, grease hauler permits, smoking permits, health plan review, annual pool school, and duplicate permits.

Museums, both Buddy Holly Center and Silent Wings, are proposing changes to fees associated with rentals of their respective facilities. They are also proposing a revision of the membership fee structure of both museums. Silent Wings is also proposing changes to photo reproduction fees.

Parks and Recreation is proposing a revision in the fee for computer educations class for seniors, as well as increasing fees for the rental of the show wagon and park shelter rentals. Parks is also proposing a change to the structure for park vendor commissions. Garden and Arts is proposing a fee change for facility rental.

A list of all proposed fee changes follows this analysis.

The following new fees have been proposed for FY 2016-17.

Parks and Recreation:

Park Permit Fee – $50.00

Fee charged for any special event held on park property under 50 people.

Park Usage Fee: - $100 - $1,500

Fee associated with special events as these require staff time and overtime. Staff is charging a nominal fee according to the size of the event.

Indoor Rec Camp Scholarship Weekly Fee - $37.50

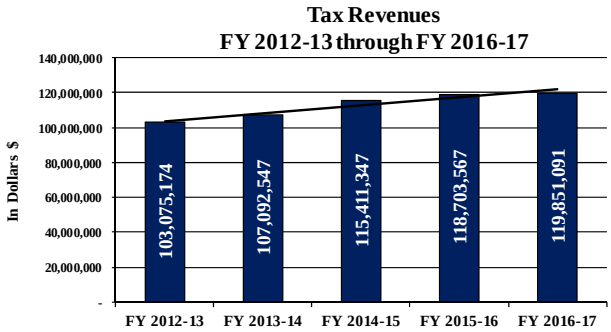
Indoor Rec Camp Scholarship One Time Fee - $15.00

Scholarships allow qualified low-income families to enroll children at reduced cost.

The park permit fee is projected to generate approximately $6,650 in additional revenue, and the park usage fee is projected to generate approximately $41,600 in additional revenue. The projected revenue from the new fees are not included in the General Fund revenue total.

Taxes

Tax revenues make up 71.5 percent of General Fund revenues. Tax revenues are expected to increase $1.1 million, or 1.0 percent, from the re-forecasted FY 2015-16 amount.



Sales tax revenues are expected to increase $1.5 million, or 2.4 percent, for FY 2016-17. Projected FY 2015-16 sales tax revenues are expected to decline by 0.07 percent compared to the prior year. The projections are based on historical and current trends as well as current and projected local economic factors. The sales tax estimate for FY 2016-17 is 0.5 percent over the FY 2015-16 budgeted amount. A conservative estimate was used due to uncertainty on whether the decline in FY 2015-16 is a continuing trend or if in FY 2016-17 it will begin the growth pattern seen over the last few years. The conservative estimate is based on the possibility that general economic growth could continue at a slower pace over the next fiscal year.

Property tax revenues, including delinquent and penalty and interest revenues, are expected to decrease $359,325, or 0.7 percent, for FY 2016-17. This budget maintains the tax rate at 53.802 cents per $100 valuation.

The Maintenance and Operations rate decreased by 1.7 cents. The tax rate for debt service increased from 1.2267 cent to 1.3967, or 1.7 cents, in FY 2016-17. The increase is related to the issue of additional debt in FY 2015-16 for the Citizens Tower/Police Department, Emergency Operations Center, Erskine Street – Indiana Avenue to Quaker Avenue, and the Street Maintenance program.

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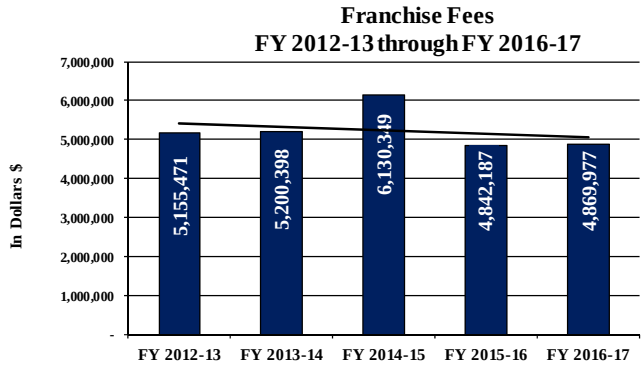
General Fund Revenue Analysis

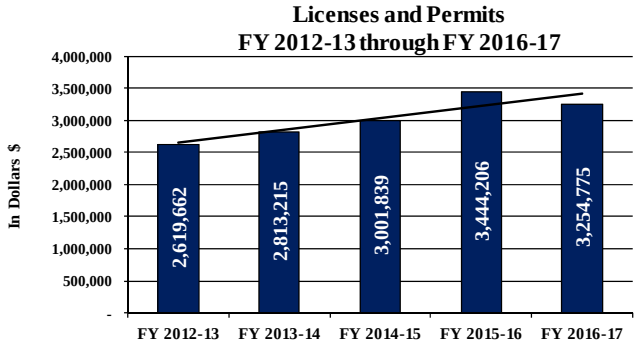
Franchise Fees

Franchise fees make up 2.9 percent of total General Fund revenues. Revenues for FY 2015-16 are expected to be slightly below budgeted expectations due mainly to lower receipts received from Cable and Electric Franchise Fees. For FY 2016-17, franchise fees are projected to increase by $27,790, or 0.6 percent, due to conservative estimates based on revenue trends in this category over the last three years and Consumer Price Index adjustment to municipal telecommunications right-of-way access line rates decreasing from previous year.



Licenses and Permits

Licenses and permits revenues make up 1.9 percent of General Fund revenues. This category is expected to decrease $189,431, or 5.5 percent, in FY 2016-17 from the FY 2015-16 re-forecasted amount.



All revenues in this category are projected based on historical trends with adjustments to the forecast based on current economic conditions. The average annual growth rate for the last five years is 6.5 percent. Over the last three years, the growth rate has been high, with FY 2015-16 projected to come in 14.74 percent above the prior year. However, the forecast for FY 2016-17 is conservative with a slight decline of 5.50 percent overall for all License and Permits due mainly to expected decreases in building and construction permits. The largest revenues in this category continue to be construction-related permits. Construction-related permits have had significant increases over the past three years and are expected to level off in FY 2016-17 due to an expected decrease in number of permits issued. Construction related permits for FY 2015-16 have been re-forecasted to come in 22.22 percent above prior year actuals. Revenues are projected to decrease by 10.0 percent in FY 2016-17 from the FY 2015-16 re-forecasted amount. Current and projected future economic trends are utilized to project construction-related permits as well as historical trends. Other changes to this area include proposed changes to rates in Environmental Health. Proposed is an increase to the rates for café permits food processing, grease hauler permit, smoking permit, and health plan review fee. These are outlined in the Summary of General Fund Fee Changes.

Fees for Service

Fees for service represent 1.9 percent of total General Fund revenues. Fees for service offset a portion of the costs of the service being provided. This category is expected to increase by $29,020, or 0.9 percent, from the FY 2015-16 re-forecasted amount. The largest revenues in this category include birth and death certificate fees, citation dismissal fees, cost of court fees, and park recreation/museum fees. There are proposed increases to several of the fees in the category. Environmental Health fees associated with re-inspection of permitted facilities, annual pool school, and duplicate permits are increasing. There are changes proposed to the membership fee structure for both the Buddy Holly Center and Silent Wings Museum, increase to photo reproduction fees at Silent Wings Museum as well as increases to facility rental fees at Buddy Holly Center and Silent Wings Museum. The Garden and Arts Center is also increasing fees associated with facility rental. Parks and Recreation is increasing fees for rental of show wagon and proposing more flexibility in the fee charged for computer education classes offered to seniors. Parks and Recreation is increasing fees for park shelter rentals and proposing a change to the structure of park vendor commissions. The new fees to be added in this category, if approved, are the park permit fee and park usage fee. All of these revenues are forecasted individually. Some forecasts are based on historical trends and expected activity for the future. Others, due to no clear trends historically, are forecasted based on current and expected activity utilizing the knowledge of future events/activities of the department that collects the revenue.

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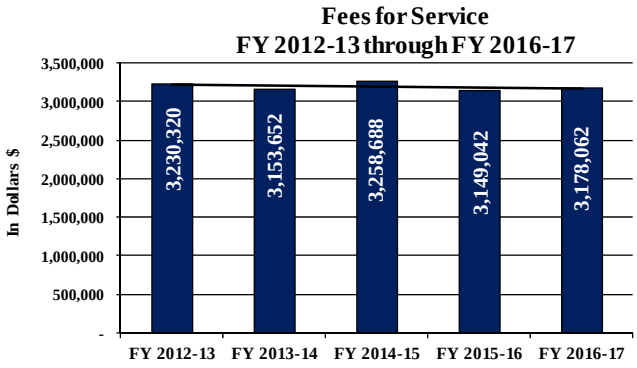
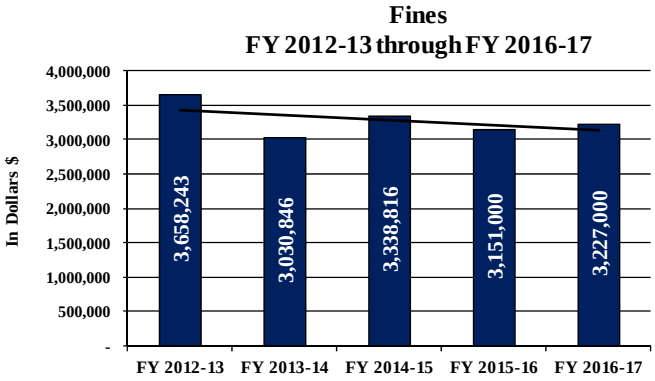
General Fund Revenue Analysis



Fines

Fines make up 1.9 percent of General Fund revenues. This category is expected to increase $76,000, or 2.4 percent, from the FY 2015-16 re-forecasted amount.



Court fines generate the majority of the revenue in this category and are projected to increase in FY 2016-17 by 2.5 percent when compared to the FY 2015-16 re-forecasted. Library fines make up a smaller portion of this category, and are expected to remain the same for FY 2016-17. These revenues are forecasted based on historical trends and current activity.

Interest Earnings

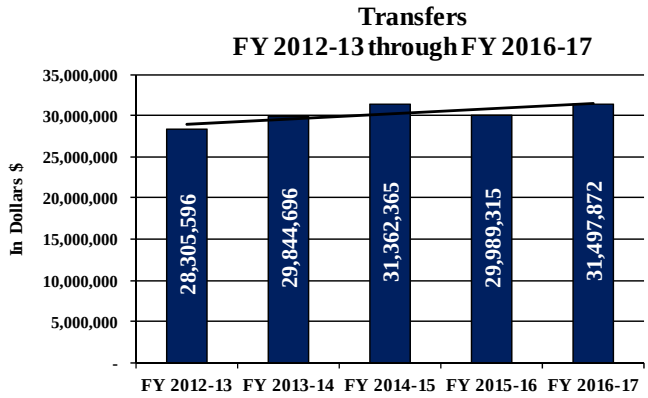
Interest earnings are not budgeted for FY 2016-17 as they are not projected to exceed the costs of administering the investment pool, which includes compensation, bank fees, arbitrage compliance services, bond issuance costs, rating agency fees, and bond counsel fees.

Other General Fund Revenues

Other revenues, including Recoveries of Expenditures and Intergovernmental Revenue, make up 1.1 percent of total General Fund revenues. Other revenues include oil and gas royalties and the sale of surplus equipment. Revenues in these categories are expected to increase $41,428, or 2.4 percent, for FY 2016-17 due mainly to the increase to tow truck cost recovery based on a new contract.

Transfers

Transfers to the General Fund represent 18.8 percent of total General Fund revenue. Transfers from enterprise funds include payments in lieu of franchise fees, indirect costs, payments in lieu of taxes, and maintenance costs. Transfers increased $1.5 million, or 5.1 percent, for FY 2016-17. The increase is attributed to higher payments in lieu of franchise fee from the Water, Wastewater, Solid Waste and Storm Water Funds. This is due to proposed increases to the revenue in each of those funds. There are also increases in indirect costs from the Wastewater and Airport Funds which is calculated annually using a cost allocation software. This year there is an increase to the in lieu of property tax transfer for the Water, Wastewater, Solid Waste, and Storm Water Funds. The transfer from LP&L is expected to increase by $500,605, or 5.5 percent, for FY 2016-17 compared to FY 2015-16 re-forecasted. The re-forecasted FY 2015-16 transfer from LP&L is expected to decline by $608,358 from the prior year.



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General Fund Line Item Funding Source Summary

TAXES	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17	% Change from Amended
Operation and Maintenance Property Taxes	6002	43,811,898	45,526,284	50,677,109	54,029,140	53,660,815	(0.7)
Delinquent Property Taxes	6003	561,402	448,846	446,552	438,000	447,000	2.1
Penalty and Interest - Delinquent Property Taxes	6050	372,868	359,697	357,459	355,000	355,000	-
Sales Tax	6101	57,062,628	59,263,359	62,367,562	62,326,427	63,833,276	2.4
Mixed Beverage Sales Tax	6114	-	-	-	-	-	-
Mixed Beverage Tax	6115	961,161	1,206,698	1,277,552	1,275,000	1,275,000	-
Bingo Tax	6116	305,217	287,663	285,113	280,000	280,000	-
TOTAL TAXES		103,075,174	107,092,547	115,411,347	118,703,567	119,851,091	1.0
FRANCHISE FEES/RIGHT OF WAY							
Suddenlink Cable Franchise	6102	1,188,541	1,232,377	1,197,638	1,193,000	1,195,000	0.2
Xcel Power Franchise	6103	1,613	3,096	4,426	3,000	3,000	-
Atmos Gas Utility Franchise	6105	1,335,211	1,395,855	1,837,842	1,365,600	1,392,912	2.0
South Plains Electric Cooperative Franchise	6107	579,726	668,400	749,325	540,000	540,000	-
West Texas Gas	6110	4,098	4,725	7,258	7,000	7,000	-
Telecommunications Right-of-Way	6125	2,046,282	1,895,946	2,333,861	1,733,587	1,732,065	(0.1)
TOTAL FRANCHISE FEES/RIGHT OF WAY		5,155,471	5,200,398	6,130,349	4,842,187	4,869,977	0.6
LICENSES AND PERMITS							
Mixed Beverage Permit	6201	130,330	72,690	121,028	80,000	122,000	52.5
Late Night Mixed Beverage Permit	6202	10,125	5,325	9,150	6,000	9,450	57.5
Catering Mixed Beverage Permit	6203	12,000	3,250	7,250	3,500	7,250	107.1
Cartage Mixed Beverage Permit	6204	970	350	2,250	350	1,100	214.3
Food Establishment Permit	6206	276,801	277,210	283,344	285,000	295,845	3.8
Recreational Water Permit	6207	78,850	81,544	81,950	82,000	83,000	1.2
Food Truck Permit	6211	-	-	3,750	6,000	8,750	45.8
Chauffeur's License	6212	2,130	2,330	2,550	2,200	2,200	-
Coin Operated Machine Permit	6214	36,100	43,395	45,115	46,000	46,460	1.0
Vehicle for Hire Permit	6216	6,070	10,390	10,025	6,500	3,500	(46.2)
Tow Truck Permit	6217	2,420	3,235	3,295	3,360	3,360	-
Underground Storage Tank Inspection Permit	6220	1,320	970	1,765	1,600	1,600	-
Photographer's Permit	6221	1,857	-	-	-	-	-
Building Permit	6222	883,120	1,059,764	1,159,004	1,563,500	1,420,000	(9.2)
Electrical Construction Permit	6223	297,466	314,066	322,372	380,000	322,000	(15.3)
Plumbing Construction Permit	6224	317,980	363,042	354,751	387,210	352,000	(9.1)
Mechanical Construction Permit	6225	279,593	269,356	295,782	275,000	250,000	(9.1)
Peddler Permit	6226	100	-	-	-	-	-
Outside Promotional Sales Permit	6227	18,849	13,485	16,880	15,106	16,405	8.6
Charitable Solicitation Permit	6228	2,350	2,420	2,285	2,305	2,400	4.1
Animal Licensing/Registration	6229	-	-	-	-	-	-
Rabies Vaccination Permit	6230	6,980	7,270	6,390	6,000	7,000	16.7
Land Use License	6232	19,667	16,590	15,823	15,000	15,000	-
Land Abandonment and Closure License	6233	6,250	8,250	5,000	5,500	5,500	-
Loading Zone Permit	6234	800	800	800	800	800	-
Recreational Street Use Permit	6235	16,422	15,870	17,507	16,600	16,600	-
Fire Works Permit	6236	575	230	345	350	350	-
Oil and Gas Permit	6237	-	-	500	-	-	-
Contractor Registration Permit	6240	44,285	64,525	69,780	82,750	80,000	(3.3)
Grease Hauling Permit	6243	2,513	2,699	2,886	2,700	3,990	47.8
Body Art/Piercing Permit	6244	3,900	3,600	7,060	5,500	5,500	-
Food Protection Certificate	6245	2,135	5,790	2,460	7,100	7,500	5.6
False Alarm Permit	6246	129,998	130,250	116,155	123,000	123,000	-
Smoking Permit	6248	14,166	13,080	10,998	10,000	15,190	51.9
Ice Cream Vendor Permit	6249	170	420	570	400	400	-
Barricade Permit	6250	1,050	715	720	1,000	1,000	-
Public Interactive Water Feature	6251	-	150	-	600	600	-
Environmental Health Plan Review	6252	13,650	19,380	21,550	20,500	24,250	18.3
Inflatables Permit	6253	525	775	750	775	775	-
TOTAL LICENSES AND PERMITS		2,621,518	2,813,215	3,001,839	3,444,206	3,254,775	(5.5)
INTERGOVERNMENTAL REVENUE							
Drug Enforcement Grant	6301	-	-	-	-	-	-
State Emergency Grant	6303	-	1,077	-	-	-	-
Texas Forest Service Grant	6334	412	-	-	-	-	-
Library - County	6339	197,314	197,369	203,182	203,218	202,510	(0.3)
Vector Control - County	6340	155,645	148,658	150,627	150,627	150,627	-
State Law Enforcement Training Grant	6356	70	8,931	42,754	47,000	10,000	(78.7)
TOTAL INTERGOVERNMENTAL REVENUE		353,441	356,036	396,563	400,845	363,137	(9.4)

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General Fund Line Item Funding Source Summary

FEES FOR SERVICE	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17	% Change from Amended
Development Services Fees							
Zoning Change	6401	72,512	77,706	74,119	83,238	85,000	2.1
Planning and Zoning	6402	478	-	-	-	-	-
Sale of Map	6403	245	97	107	50	50	-
Tax Certificate	6408	28,161	23,151	23,911	23,500	23,500	-
Zoning Plat	6412	83,985	103,635	117,293	120,000	125,000	4.2
Zoning Copy	6413	139	56	8	8	-	(100.0)
General Government Fees						-	
Returned Check	6410	415	698	518	1,000	608	(39.2)
Library Copy	6411	15,797	16,638	16,420	16,000	16,000	-
Weed Lien	6414	67,241	72,850	87,649	69,518	75,913	9.2
City Secretary Fees						-	
City Secretary Copy	6405	33	663	-	220	-	(100.0)
Public Notarization	6419	6	84	216	204	360	76.5
Birth and Death Certificate	6501	308,970	326,909	329,860	316,000	316,000	-
Public Health Fees						-	
Reinspection/Repeat Violation Fee	6210	3,960	7,920	2,700	2,700	3,750	38.9
Annual Pool School	6429	2,700	3,925	3,000	3,925	3,858	(1.7)
Health Department Duplicate Permit	6432	360	199	80	135	245	81.8
Group Housing Safety Inspection	6434	880	720	798	700	700	-
Health Department Late Payment	6435	7,350	7,200	10,100	9,000	8,200	(8.9)
Miscellaneous Health	6514	978	128	472	120	120	-
Health Department Miscellaneous	6517	-	-	3,113	33,600	33,600	-
Water Laboratory Fee	6527	-	-	-	-	-	-
Animal Shelter Fees						-	
Pet Adoption	6241	44,715	45,540	42,075	44,000	44,000	-
Animal Shelter	6502	69,737	70,372	65,316	68,000	68,000	-
Animal Services Quarantine Processing	6533	18,210	15,500	13,300	15,000	15,000	-
Public Safety Fees						-	
Holding Facility Phone Commissions	6058	3,965	124	-	-	-	-
Mowing Administration	6122	25,999	14,275	10,701	85,000	45,000	(47.1)
Cost of Court	6415	219,004	178,433	199,142	188,000	188,000	-
Child Support Collection Administrative	6417	-	-	-	-	-	-
Citation Dismissal	6421	672,574	569,159	527,211	510,000	510,000	-
Court Time Payment	6428	69,200	52,237	43,326	40,000	40,000	-
Code Enforcement Training	6430	1,893	1,840	1,580	1,669	1,771	6.1
Foster Home Safety Inspection	6433	4,110	4,960	4,170	5,000	4,500	(10.0)
Fire Inspection	6436	20,035	20,119	21,325	21,000	21,000	-
Open Burning	6437	-	700	700	700	700	-
Crossing Guard	6446	45,064	36,892	32,675	30,000	30,000	-
Police Accident Report	6450	70,827	60,755	53,567	55,000	55,000	-
Police Record Check	6452	1,416	1,260	1,343	1,200	1,200	-
Fire Incident Report	6455	-	-	-	-	-	-
Police Fingerprinting	6456	4,602	2,650	5,150	3,940	3,940	-
Fire Classroom	6459	7,400	8,800	5,500	8,500	8,500	-
Junk Vehicle Towing	6461	4,200	1,900	350	12,300	7,500	(39.0)
Demolition Lien	7501	-	16,637	8,252	8,000	8,296	3.7
Public Works Fees						-	
Parking Sign	6439	-	-	4,600	800	800	-
Residential Parking	6441	1,080	3,070	2,182	2,182	2,182	-
Paving Cuts	6470	11,510	21,382	9,222	1,600	1,600	-
Strt Light Developers	6475	-	-	210	-	-	-
Engineering Review	7507	93,660	101,783	162,022	65,000	65,000	-
Cultural and Recreational Fees						-	
Kickball Registration	6442	14,470	11,410	10,276	10,275	11,200	9.0
Test Proctoring	6444	1,762	1,860	2,330	2,000	2,000	-
Interlibrary Loan	6448	2,850	2,352	2,580	1,600	1,500	(6.3)
Swimming Pool Admission	6541	75,910	78,758	94,725	84,000	85,000	1.2
Swimming Pool Instruction	6542	8,080	14,300	14,040	11,000	11,000	-
Swimming Pool Concessions	6543	31,712	34,830	39,924	36,000	36,000	-
Swimming Pool Rental	6544	21,280	21,323	21,082	21,300	21,300	-
Joyland Lease Agreement	6547	47,916	38,333	38,333	38,333	38,333	-
Recreational Room Rental	6548	88,971	95,882	103,650	86,500	90,000	4.0
Indoor Recreational Class	6549	269,912	302,606	312,456	290,000	312,500	7.8
Park Vendor Commissions	6555	4,411	3,499	1,838	2,600	7,000	169.2
Parks and Recreation Special Events	6557	7,929	9,671	10,053	8,800	10,000	13.6
Basketball League Registration	6558	5,790	3,135	3,136	3,135	4,965	58.4
Volleyball League Registration	6559	12,415	15,592	17,809	16,000	13,530	(15.4)
Gym Rental	6560	-	-	-	-	-	-

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General Fund Line Item Funding Source Summary

	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17	% Change from Amended
FEES FOR SERVICE							
Softball League Registration	6561	129,760	112,569	109,199	110,000	120,250	9.3
Field Reservation	6562	59,550	63,655	59,974	60,000	60,000	-
Municipal Athletic Field User	6563	51,185	73,042	74,218	74,000	74,000	-
Adult Baseball League Registration	6564	5,985	4,367	3,443	4,290	4,290	-
Tennis Lesson	6565	41,390	32,125	30,575	31,000	35,000	12.9
Tennis Tournament	6566	1,225	860	640	1,100	1,100	-
Tennis Center Concessions and Pro Shop	6567	1,390	1,307	1,000	1,000	1,000	-
Tennis Center Ball Machine Rental	6568	3,154	2,720	2,671	2,500	2,500	-
Tennis League Registration	6569	7,479	5,648	11,018	5,800	7,300	25.9
Tennis Center Court	6570	5,902	3,578	6,627	4,700	5,200	10.6
Tennis Center Membership	6571	6,150	7,150	6,665	6,000	6,000	-
Outdoor Recreation Concessions	6572	55,891	48,381	63,173	60,000	61,000	1.7
Model Airport User	6573	33	51	86	-	-	-
Show Wagon Rental	6575	12,450	12,450	13,955	13,000	20,000	53.8
Flag Football Registration	6576	9,075	7,860	6,311	7,000	7,800	11.4
Recreational Equipment Rental	6577	10,200	13,110	16,667	13,000	12,300	(5.4)
Softball Tournament	6579	17,645	5,020	16,494	18,000	17,000	(5.6)
Senior Center Computer Class	6621	2,760	1,410	440	1,750	1,750	-
Outdoor Recreation Advertising	6625	3,300	3,400	8,050	4,000	4,000	-
Museum Fees						-	
Buddy Holly Center Merchandise Sales	6530	69,603	71,712	68,683	68,000	70,000	2.9
Garden and Arts Center Merchandise Sales	6546	625	1,003	1,163	1,100	1,100	-
Buddy Holly Gift Shop Merchandise	6591	28,835	39,617	48,441	42,000	42,000	-
Garden and Arts Center Special Events	6593	5,850	6,024	6,005	6,000	6,000	-
Buddy Holly Center Class	6594	3,826	4,250	3,086	3,500	3,500	-
Buddy Holly Center Rental	6595	3,521	1,970	2,283	2,000	2,500	25.0
Buddy Holly Center Tour	6596	1,902	1,154	952	1,200	1,000	(16.7)
Buddy Holly Center Admission	6597	48,160	52,010	61,012	72,000	72,000	-
Buddy Holly Center Consignments	6598	10,437	8,926	9,325	9,500	9,500	-
Buddy Holly Center Membership	6599	1,595	1,750	5,465	1,500	3,000	100.0
Silent Wings Group Tour	7674	19,184	22,915	23,442	26,000	26,000	-
Silent Wings Gift Shop Merchandise Sales	7675	25,030	28,981	29,196	29,000	29,000	-
Silent Wings Facility Rental	7676	2,800	1,575	3,330	3,000	3,500	16.7
Silent Wings Class	7694	2,276	2,602	2,047	2,500	2,500	-
Silent Wings Research Services	7695	58	438	930	750	750	-
Silent Wings Membership	7696	5,275	5,510	5,610	5,500	6,000	9.1
TOTAL FEES FOR SERVICES		3,230,320	3,153,652	3,258,688	3,149,042	3,178,062	0.9
FINES							
Municipal Court Fines	6701	3,579,399	2,953,918	3,262,218	3,074,000	3,150,000	2.5
Library Fines	6702	78,844	76,927	76,598	77,000	77,000	-
TOTAL FINES		3,658,243	3,030,846	3,338,816	3,151,000	3,227,000	2.4
INTEREST EARNINGS							
Interest on Investments	6802	(3,251)	98,275	261,087	-	-	-
Interest on Bank Accounts	6803	11,956	10,842	8,866	-	-	-
Interest on Account Notes	6804	-	-	-	-	-	-
Interest from Judgement	6806	20,684	9,144	-	-	-	
TOTAL INTEREST EARNINGS		29,389	118,262	269,952	-	-	-
RECOVERIES OF EXPENDITURES							
Tow Truck Cost Recovery	7002	160,050	174,600	190,154	174,600	276,000	58.1
Recovery of Indirect Cost	7006	56,379	52,250	43,500	50,000	50,000	-
Recovery of Miscellaneous Expenses	7011		26,972	12,065	10,300	-	(100.0)
Recovery of Discounts	7012	93	105	40	-	-	-
Restitution	7024	-	91	-	-	-	-
Subrogation	7510	354,966	341,359	370,807	325,000	325,000	-
Recovery of Outside Work	7517	95,539	85,237	123,210	85,000	90,000	5.9
Signs for New Subdivisions	7581	4,000	41,493	26,800	26,800	26,800	-
TxDOT Signal Maintenance Agreement	7594	81,620	84,405	86,280	86,280	99,160	14.9
Recovery of Weed Mowing Cost	7599	22,159	19,918	20,294	21,834	20,790	(4.8)
MPO Congestion Management Study	7614	7,000	7,000	7,000	7,000	7,000	-
Texas Tech Signal Maintenance Agreement	7615	15,900	9,540	12,720	12,720	12,720	-
TxDOT TMC and Fiber Maintenance Agreement	7617	77,764	80,473	69,437	77,000	78,700	2.2
Airport Rescue Fire Fighting Training Facility	7620	-	-	-	-	-	-
TOTAL RECOVERIES OF EXPENDITURES		875,470	923,443	962,307	876,534	986,170	12.5

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General Fund Line Item Funding Source Summary

OTHER REVENUE	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17	% Change from Amended
Oil and Gas Royalties	6238	943,273	178,722	48,218	25,000	25,000	-
Land and Lease Rent	6902	8,003	7,583	14,403	10,700	10,700	-
Sale of Abandoned and Closed Streets and Alleys	7102	30,914	-	26,150	30,000	25,000	(16.7)
Sale of Surplus Equipment	7104	367,986	218,377	256,314	250,000	225,000	(10.0)
Sale of Land	7107	2,604	500	-	1,500	1,500	-
Sale of Miscellaneous Art at Municipal Museums	7111	2,734	2,451	2,539	2,500	2,500	-
Sale of Scrap Metal	7112	3,156	25,344	1,660	5,000	5,000	-
Vending Machine Commissions	7573	18,794	18,435	25,099	18,500	18,500	-
Miscellaneous Other General Fund Revenue	7681	105,818	148,457	212,693	135,500	135,000	(0.4)
TOTAL OTHER REVENUE		1,483,282	599,868	587,075	478,700	448,200	(6.4)
TRANSFERS							
Transfers from Special Revenue Fund	7903	299,720	299,720	299,720	149,860	149,860	-
Transfers from LP&L	7918	8,917,997	9,533,867	9,728,815	9,120,457	9,621,062	5.5
Transfers from Water Fund	7924	9,245,651	9,784,640	9,973,976	9,687,337	9,795,736	1.1
Transfers from Wastewater Fund	7931	3,753,410	3,997,080	4,267,840	4,304,695	5,020,687	16.6
Transfers from Solid Waste	7937	2,347,431	2,426,530	2,658,369	2,457,849	2,501,260	1.8
Transfers from Airport Fund	7941	1,603,080	1,566,601	1,648,486	1,684,171	1,751,227	4.0
Transfers from Storm Water	7973	2,138,307	2,236,258	2,785,159	2,584,946	2,628,757	1.7
TOTAL TRANSFERS		28,305,596	29,844,696	31,362,365	29,989,315	31,468,588	4.9
TOTAL REVENUE SOURCES		148,787,902	153,132,962	164,719,303	165,035,396	167,647,001	1.6
Utilization of Net Assets		-	-	-	8,643,178	1,240,915	-
TOTAL FUNDING SOURCES		$ 148,787,902	153,132,962	164,719,303	173,678,574	168,887,915	(2.8)

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Summary of General Fund Fee Changes

Department	Account Description	Account Number	Rate Details	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Proposed FY 2016-17	$ Change from Amended
Environmental Health	LIC-Café Permits Food Processing (0-1,000 Sq. Ft.)	6206	Annual permit fee for the operation of permanent food establishments within the city limits.	135.00	135.00	135.00	135.00	150.00	15.00
Environmental Health	LIC-Café Permits Food Processing (1,001-5,000 Sq. Ft.)	6206	Annual permit fee for the operation of permanent food establishments within the city limits.	160.00	160.00	160.00	160.00	200.00	40.00
Environmental Health	LIC-Café Permits Food Processing (5,001-10,000 Sq. Ft.)	6206	Annual permit fee for the operation of permanent food establishments within the city limits.	180.00	180.00	180.00	180.00	300.00	120.00
Environmental Health	LIC-Café Permits Food Processing (>10,000 Sq. Ft.)	6206	Annual permit fee for the operation of permanent food establishments within the city limits.	205.00	205.00	205.00	205.00	400.00	195.00
Environmental Health	Lic-Reinsp Fee/Café' Pmt	6210	A reinspection fee is charged to permitted facilities for repeat or critical health code violations.	90.00	90.00	90.00	90.00	125.00	35.00
Environmental Health	Lic-Grease Hauler Permit (Grease Haulting Permit)	6243	A vehicle that hauls grease within the city limits must be permitted by the City.	70.00	70.00	70.00	70.00	100.00	30.00
Environmental Health	Lic-Grease Hauler Permit (Grease Facility Permit)	6243	A facility that collects grease within the city limits must also be permitted.	110.00	110.00	110.00	110.00	150.00	40.00
Environmental Health	Lic-Smoking Permits	6248	Businesses must obtain a permit to allow on-premises smoking within the city limits.	78.00	78.00	78.00	78.00	100.00	22.00
Environmental Health	Health Plan Review	6252	Fee for initial plan review of a new food facility, pool, spa, or public interactive water feature.	150.00	150.00	150.00	150.00	200.00	50.00
Environmental Health	Fee-Annual Pool School	6429	The Health Department offers an annual pool school for area pool operators.	28.00	25.00	25.00	25.00	30.00	5.00
Environmental Health	Fee-Health Department Duplicate Permit	6432	Fee for printing duplicate permits due to loss or other requests by permitted	10.00	10.00	10.00	10.00	15.00	5.00
Museums	Cultrl Arts Serv-Reservation Non-Profit Rental Fee (4 hours)	6595	Revenue is derived from rental of courtyard and/or museum facilities ~~and includes the Buddy & Maria Elena Holly Plaza~~. Additional fees are required for security and cleaning. Rentals are seasonal.	350.00	350.00	350.00	350.00	420.00	70.00
Museums	Cultrl Arts Serv-Reservation Non-Profit Rental Luncheon (2 hours)	6595	Revenue is derived from rental of courtyard and/or museum facilities ~~and includes the Buddy & Maria Elena Holly Plaza~~. Additional fees are required for security and cleaning. Rentals are seasonal.	175.00	175.00	175.00	175.00	210.00	35.00
Museums	Cultrl Arts Serv-Reservation Museum Gift Shop	6595	Revenue is derived from rental of courtyard and/or museum facilities ~~and includes the Buddy & Maria Elena Holly Plaza~~. Additional fees are required for security and cleaning. Rentals are seasonal.	35.00	35.00	35.00	35.00	50.00	15.00

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Summary of General Fund Fee Changes

Department	Account Description	Account Number	Rate Details	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Proposed FY 2016-17	$ Change from Amended
Museums	CULTRL ARTS SERV-RESERVATION Plaza Rental (4 hours)	6595	Revenue is derived from rental of ~~courtyard and/or museum facilities and includes~~ the Buddy & Maria Elena Holly Plaza. Additional fees are required for security and cleaning. Rentals are seasonal.	600.00	600.00	600.00	600.00	750.00	150.00
Museums	CULTRL ARTS SERV-RESERVATION Plaza Refundable Deposit	6595	Revenue is derived from rental of ~~courtyard and/or museum facilities and includes~~ the Buddy & Maria Elena Holly Plaza. Additional fees are required for security and cleaning. Rentals are seasonal.	250.00	250.00	250.00	250.00	300.00	50.00
Museums	CULTRL ARTS SERV-RESERVATION Non-Profit Plaza Rental (4 hours)	6595	Revenue is derived from rental of ~~courtyard and/or museum facilities and includes~~ the Buddy & Maria Elena Holly Plaza. Additional fees are required for security and cleaning. Rentals are seasonal.	420.00	420.00	420.00	420.00	525.00	105.00
Museums	CULTRL ARTS SERV-RESERVATION Plaza Overtime Per Hour	6595	Revenue is derived from rental of ~~courtyard and/or museum facilities and includes~~ the Buddy & Maria Elena Holly Plaza. Additional fees are required for security and cleaning. Rentals are seasonal.	100.00	100.00	100.00	100.00	187.50	87.50
Museums	CULTRL ARTS SERV-RESERVATION Overtime per additional hour	6595	Revenue is derived from rental of courtyard and/or museum facilities ~~and includes the Buddy & Maria Elena Holly Plaza~~. Additional fees are required for security and cleaning. Rentals are seasonal.	100.00	100.00	100.00	100.00	150.00	50.00
Museums	CULTRL ARTS SERV-RESERVATION Refundable Deposit	6595	Revenue is derived from rental of courtyard and/or museum facilities ~~and includes the Buddy & Maria Elena Holly Plaza~~. Additional fees are required for security and cleaning. Rentals are seasonal.	150.00	150.00	150.00	150.00	200.00	50.00
Museums	CULTRL ARTS SERV-RESERVATION Luncheon Rental (2 hours)	6595	Revenue is derived from rental of courtyard and/or museum facilities ~~and includes the Buddy & Maria Elena Holly Plaza~~. Additional fees are required for security and cleaning. Rentals are seasonal.	250.00	250.00	250.00	250.00	300.00	50.00
Museums	CULTRL ARTS SERV-RESERVATION Rental Fee (4 hours)	6595	Revenue is derived from rental of courtyard and/or museum facilities ~~and includes the Buddy & Maria Elena Holly Plaza~~. Additional fees are required for security and cleaning. Rentals are seasonal.	500.00	500.00	500.00	500.00	600.00	100.00
Museums	BHC MEMBERSHIPS/ DONATIONS ~~Apprentice~~ Student (College Student with ID)	6599	Revenue is derived from sales of membership at the Buddy Holly Center.	20.00	20.00	20.00	20.00	20.00	-

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Summary of General Fund Fee Changes

Department	Account Description	Account Number	Rate Details	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Proposed FY 2016-17	$ Change from Amended
Museums	BHC MEMBERSHIPS/ DONATIONS ~~Artisan~~ Individual	6599	Revenue is derived from sales of membership at the Buddy Holly Center.	25.00	25.00	25.00	25.00	50.00	25.00
Museums	BHC MEMBERSHIPS/ DONATIONS ~~Ensemble~~ Duo	6599	Revenue is derived from sales of membership at the Buddy Holly Center.	50.00	50.00	50.00	50.00	75.00	25.00
Museums	BHC MEMBERSHIPS/ DONATIONS ~~Entertainer~~ Group	6599	Revenue is derived from sales of membership at the Buddy Holly Center.	100.00	100.00	100.00	100.00	125.00	25.00
Museums	BHC MEMBERSHIPS/ DONATIONS ~~Courtyard Brick~~ Group-Joint Museums	6599	Revenue is derived from sales of membership at the Buddy Holly Center.	100.00	100.00	100.00	100.00	230.00	130.00
Museums	BHC MEMBERSHIPS/ DONATIONS ~~Showcase~~ Individual-Joint Museums	6599	Revenue is derived from sales of membership at the Buddy Holly Center.	250.00	250.00	250.00	250.00	80.00	(170.00)
Museums	RESERVATIONS Luncheon Rental (2 hours)	7676	Revenue is derived from rental of the Silent Wings Museum hanger gallery and the Patriot Plaza. Additional fees are charged as needed for security and cleaning.	250.00	250.00	250.00	250.00	300.00	50.00
Museums	RESERVATIONS Non-Profit Rental (4 hours)	7676	Revenue is derived from rental of the Silent Wings Museum hanger gallery and the Patriot Plaza. Additional fees are charged as needed for security and cleaning.	350.00	350.00	350.00	350.00	420.00	70.00
Museums	RESERVATIONS Non-Profit Rental Luncheon (2 hours)	7676	Revenue is derived from rental of the Silent Wings Museum hanger gallery and the Patriot Plaza. Additional fees are charged as needed for security and cleaning.	175.00	175.00	175.00	175.00	210.00	35.00
Museums	RESERVATIONS Museum Gift Shop	7676	Revenue is derived from rental of the Silent Wings Museum hanger gallery and the Patriot Plaza. Additional fees are charged as needed for security and cleaning.	35.00	35.00	35.00	35.00	50.00	15.00
Museums	RESERVATIONS Overtime	7676	Revenue is derived from rental of the Silent Wings Museum hanger gallery and the Patriot Plaza. Additional fees are charged as needed for security and cleaning.	100.00	100.00	100.00	100.00	150.00	50.00
Museums	RESERVATIONS Refundable Deposit	7676	Revenue is derived from rental of the Silent Wings Museum hanger gallery and the Patriot Plaza. Additional fees are charged as needed for security and cleaning.	150.00	150.00	150.00	150.00	200.00	50.00
Museums	RESERVATIONS Rental Fee (4 hours)	7676	Revenue is derived from rental of the Silent Wings Museum hanger gallery and the Patriot Plaza. Additional fees are charged as needed for security and cleaning.	500.00	500.00	500.00	500.00	600.00	100.00

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Summary of General Fund Fee Changes

Department	Account Description	Account Number	Rate Details	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Proposed FY 2016-17	$ Change from Amended
Museums	SILENT WINGS RESEARCH SERVIC Photo Reproduction B&W 5x7 *	7695	Revenue is derived from fees charged for photographic prints, copies of aircraft plans, and archival records from the Silent Wings Museum collection.	8.50	8.50	8.50	8.50	Varies (to include cost of reproduction plus shipping and handling fees + 20%)	-
Museums	SILENT WINGS RESEARCH SERVIC Photo Reproduction Color 5x7 *	7695	Revenue is derived from fees charged for photographic prints, copies of aircraft plans, and archival records from the Silent Wings Museum collection.	8.50	8.50	8.50	8.50	Varies (to include cost of reproduction plus shipping and handling fees + 20%)	-
Museums	SILENT WINGS RESEARCH SERVIC Photo Reproduction B&W 8x10 *	7695	Revenue is derived from fees charged for photographic prints, copies of aircraft plans, and archival records from the Silent Wings Museum collection.	13.00	13.00	13.00	13.00	Varies (to include cost of reproduction plus shipping and handling fees + 20%)	-
Museums	SILENT WINGS RESEARCH SERVIC Photo Reproduction Color 8x10 *	7695	Revenue is derived from fees charged for photographic prints, copies of aircraft plans, and archival records from the Silent Wings Museum collection.	15.00	15.00	15.00	15.00	Varies (to include cost of reproduction plus shipping and handling fees + 20%)	-
Museums	SILENT WINGS RESEARCH SERVIC Photo Reproduction B&W 11x14 *	7695	Revenue is derived from fees charged for photographic prints, copies of aircraft plans, and archival records from the Silent Wings Museum collection.	18.00	18.00	18.00	18.00	Varies (to include cost of reproduction plus shipping and handling fees + 20%)	-
Museums	SILENT WINGS RESEARCH SERVIC Photo Reproduction Color 11x14 *	7695	Revenue is derived from fees charged for photographic prints, copies of aircraft plans, and archival records from the Silent Wings Museum collection.	20.00	20.00	20.00	20.00	Varies (to include cost of reproduction plus shipping and handling fees + 20%)	-
Museums	SILENT WINGS MEMBERSHIP FEES ~~Bronze~~ Individual	7696	Annual membership dues paid directly to the Silent Wings Museum and through National World War II Glider Pilot Association affiliation.	35.00	35.00	35.00	35.00	50.00	15.00
Museums	SILENT WINGS MEMBERSHIP FEES ~~Silver~~ Duo	7696	Annual membership dues paid directly to the Silent Wings Museum and through National World War II Glider Pilot Association affiliation.	50.00	50.00	50.00	50.00	75.00	25.00
Museums	SILENT WINGS MEMBERSHIP FEES ~~Gold~~ Group	7696	Annual membership dues paid directly to the Silent Wings Museum and through National World War II Glider Pilot Association affiliation.	100.00	100.00	100.00	100.00	125.00	25.00
Museums	SILENT WINGS MEMBERSHIP FEES - Student Membership	7696	Annual membership dues paid directly to the Silent Wings Museum and through National World War II Glider Pilot Association affiliation.	-	-	-	-	20.00	20.00

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Summary of General Fund Fee Changes

Department	Account Description	Account Number	Rate Details	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Proposed FY 2016-17	$ Change from Amended
Museums	SILENT WINGS MEMBERSHIP FEES - Joint Membership - Group Joint Museums	7696	Annual membership dues paid directly to the Silent Wings Museum and through National World War II Glider Pilot Association affiliation.	-	-	-	-	230.00	230.00
Museums	SILENT WINGS MEMBERSHIP FEES - Joint Membership - Individual Joint Museums	7696	Annual membership dues paid directly to the Silent Wings Museum and through National World War II Glider Pilot Association affiliation.	-	-	-	-	80.00	80.00
Indoor Recreation	PR SR COMPUTER EDUC PROGRAM	6621	Revenue is derived from fees charged to senior participants taking classes in the Computer Learning Lab at the Lubbock Senior Center, 2001 19th Street. Fees charged cover the cost of supplies and materials. These courses are taught by approximately 20 volunteers.	30.00	30.00	30.00	30.00	10.00-30.00	(20.00-0.00)
Outdoor Recreation	PR-SHOW WAGON RENTAL Show Wagon	6575	Revenues are derived from the rental of the Show Wagon to groups and organizations throughout Lubbock.	500.00	500.00	500.00	500.00	1,000.00	500.00
Outdoor Recreation	PR-SHOW WAGON RENTAL Extra Staging	6575	Revenues are derived from the rental of the Show Wagon to groups and organizations throughout Lubbock.	150.00	150.00	150.00	150.00	250.00	100.00
Outdoor Recreation	PR-SHOW WAGON RENTAL Multi Day User (per day)	6575	Revenues are derived from the rental of the Show Wagon to groups and organizations throughout Lubbock.	150.00	150.00	150.00	150.00	500.00	350.00
Outdoor Recreation	PR-SHOW WAGON RENTAL Show Wagon ~~Stage Lighting~~ Sound System	6575	Revenues are derived from the rental of the Show Wagon to groups and organizations throughout Lubbock.	200.00	200.00	200.00	200.00	400.00	200.00
Parks and Recreation	PR-Park Vendor Commissions (1-5 Vendors)	6555	Commissions are derived from the sale of goods in parks. Vendors must have an approved permit in order to sell goods in parks.	20%	20%	20%	20%	100.00	-
Parks and Recreation	PR-Park Vendor Commissions (6-10 Vendors)	6555	Commissions are derived from the sale of goods in parks. Vendors must have an approved permit in order to sell goods in parks.	20%	20%	20%	20%	150.00	-
Parks and Recreation	PR-Park Vendor Commissionss (11-15 Vendors)	6555	Commissions are derived from the sale of goods in parks. Vendors must have an approved permit in order to sell goods in parks.	20%	20%	20%	20%	200.00	-
Parks and Recreation	PR-Park Vendor Commissions (16-20 Vendors)	6555	Commissions are derived from the sale of goods in parks. Vendors must have an approved permit in order to sell goods in parks.	20%	20%	20%	20%	250.00	-
Parks and Recreation	PR-Park Vendor Commissions (21+ Vendors)	6555	Commissions are derived from the sale of goods in parks. Vendors must have an approved permit in order to sell goods in parks.	20%	20%	20%	20%	300.00	-

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Summary of General Fund Fee Changes

Department	Account Description	Account Number	Rate Details	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Proposed FY 2016-17	$ Change from Amended
Parks and Recreation	Park Permit Fee	NEW	Fee charged for any special event held on park property under 50 people.	-	-	-	-	50.00	50.00
Parks and Recreation	Park Usage: Micro Event (For Profit)	NEW	Park usage fee for 50-150 people; no rental or electrical equipment on park property; up to 4 hours on the same day. Does not include set-up.	-	-	-	-	200.00	200.00
Parks and Recreation	Park Usage: Micro Event (Non-Profit)	NEW	Park usage fee for 50-150 people; no rental or electrical equipment on park property; up to 4 hours on the same day. Does not include set-up.	-	-	-	-	100.00	100.00
Parks and Recreation	Park Usage: Small Event (For Profit)	NEW	Park usage fee for 151-300 people; no rental or electrical equipment on park property; up to 4 hours on the same day. Does not include set-up.	-	-	-	-	500.00	500.00
Parks and Recreation	Park Usage: Small Event (Non-Profit)	NEW	Park usage fee for 151-300 people; no rental or electrical equipment on park property; up to 4 hours on the same day. Does not include set-up.	-	-	-	-	200.00	200.00
Parks and Recreation	Park Usage: Medium Event (For Profit)	NEW	Park usage fee for 301-500 people; some rental or electrical equipment on park property; fundraisers including non-profit events soliciting donations or registrations; up to 12 hours on same day; $100 for each additional day needing set up or tear down. All other services such as electrical, etc. will be charged at existing rates in addition to this fee.	-	-	-	-	1,000.00	1,000.00
Parks and Recreation	Park Usage: Medium Event (Non-Profit)	NEW	Park usage fee for 301-500 people; some rental or electrical equipment on park property; fundraisers including non-profit events soliciting donations or registrations; up to 12 hours on same day; $100 for each additional day needing set up or tear down. All other services such as electrical, etc. will be charged at existing rates in addition to this fee.	-	-	-	-	500.00	500.00
Parks and Recreation	Park Usage: Large Event (For Profit)	NEW	Park usage fee for 501 or more people; some rental or electrical equipment on park property; fundraisers including non-profit events soliciting donations or registrations; up to 12 hours on same day; $100 for each additional day needing set up or tear down. Up to 4 hours on the same day. All other services such as electrical, etc. will be charged at existing rates in addition to this fee.	-	-	-	-	1,500.00	1,500.00

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Summary of General Fund Fee Changes

Department	Account Description	Account Number	Rate Details	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Proposed FY 2016-17	$ Change from Amended
Parks and Recreation	Park Usage: Large Event (Non-Profit)	NEW	Park usage fee for 501 or more people; some rental or electrical equipment on park property; fundraisers including non-profit events soliciting donations or registrations; up to 12 hours on same day; $100 for each additional day needing set up or tear down. Up to 4 hours on the same day. All other services such as electrical, etc. will be charged at existing rates in addition to this fee.	-	-	-	-	750.00	750.00
Garden & Arts	PR-BUILDING RENT Park Shelters Per Day	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	50.00	50.00	50.00	50.00	100.00	50.00
Garden & Arts	PR-BUILDING RENT Garden & Arts General Public Hourly Overtime	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	100.00	100.00	100.00	100.00	200.00	100.00
Garden & Arts	PR-BUILDING RENT Garden & Arts Non-Profit Hourly Overtime	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	100.00	100.00	100.00	100.00	200.00	100.00
Garden & Arts	PR-BUILDING RENT Garden & Arts Wedding Packet 4 Hours Indoor/Outdoor	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	-	450.00	450.00	450.00	500.00	50.00
Garden & Arts	PR-BUILDING RENT Garden & Arts Meeting Rooms Annual Member Non-Profit ~~Hourly~~ per 4 hours - Daytime	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	6.00	6.00	6.00	6.00	10.00	4.00
Garden & Arts	PR-BUILDING RENT Garden & Arts Meeting Rooms Non-Profit Hourly - Daytime	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	11.00	11.00	11.00	11.00	15.00	4.00
Garden & Arts	PR-BUILDING RENT Garden & Arts Meeting Rooms General Public Hourly - Daytime	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	15.00	15.00	15.00	15.00	25.00	10.00
Garden & Arts	PR-BUILDING RENT Garden & Arts Auditorium Annual Member Non-Profit ~~Hourly~~ per 4 hours - Daytime	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	15.00	15.00	15.00	15.00	20.00	5.00
Garden & Arts	PR-BUILDING RENT Garden & Arts Meeting Rooms Annual Member Non-Profit ~~Hourly~~ per 4 hours - Nights & Weekends	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	15.00	15.00	15.00	15.00	20.00	5.00
Garden & Arts	PR-BUILDING RENT Garden & Arts Auditorium Non-Profit Hourly - Daytime	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	20.00	20.00	20.00	20.00	25.00	5.00
Garden & Arts	PR-BUILDING RENT Garden & Arts Meeting Rooms Non-Profit Hourly - Nights & Weekends	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	20.00	20.00	20.00	20.00	25.00	5.00
Garden & Arts	PR-BUILDING RENT Garden & Arts Auditorium General Public Hourly - Daytime	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	25.00	25.00	25.00	25.00	45.00	20.00

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Summary of General Fund Fee Changes

Department	Account Description	Account Number	Rate Details	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Proposed FY 2016-17	$ Change from Amended
Garden & Arts	PR-BUILDING RENT Garden & Arts Auditorium Annual Member Non-Profit Hourly - Nights & Weekends	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	25.00	25.00	25.00	25.00	40.00	15.00
Garden & Arts	PR-BUILDING RENT Garden & Arts Auditorium Non-Profit Hourly - Nights & Weekends	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	40.00	40.00	40.00	40.00	45.00	5.00
Garden & Arts	PR-BUILDING RENT Garden & Arts Auditorium General Public Hourly - Nights & Weekends	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	45.00	45.00	45.00	45.00	65.00	20.00
Garden & Arts	PR-BUILDING RENT Historic St. Paul's and Rose Garden Mon-Thurs	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	175.00	200.00	200.00	200.00	350.00	150.00
Garden & Arts	PR-BUILDING RENT Historic St. Paul's and Rose Garden Mon-Thurs	6548	Revenue is derived from fees for the rental of rooms at various recreation facilities.	175.00	200.00	200.00	200.00	350.00	150.00
Garden & Arts	PR-BUILDING RENT Historic St. Paul's and Rose Garden Fri-Sun	6549	Revenue is derived from fees for the rental of rooms at various recreation facilities.	350.00	400.00	400.00	400.00	550.00	150.00
Garden & Arts	PR-BUILDING RENT Garden & Arts Wedding Packet 8 Hours Indoor/Outdoor	6549	Revenue is derived from fees for the rental of rooms at various recreation facilities.	600.00	900.00	900.00	900.00	1,000.00	100.00
Garden & Arts	PR-INSTRUCTIONS/CLASSES All Indoor Recreation Camps - Scholarship Weekly Fee	6549	Fees from all Indoor Recreation Camps for scholarship weekly fees.	-	-	-	-	37.50	37.50
Garden & Arts	PR-INSTRUCTIONS/CLASSES Indoor Recreation Summer Camps - One-time Schloarship Registration Fee	6549	Fees from Indoor Recreation summer camp for one-time scholarship registration fees.	-	-	-	-	15.00	15.00

* Consolidate photo reproduction to one line instead of six. This is because actual photo reproduction is being replaced by scanning and printing capabilities in the digitial format. There are no local companies that perform this service and this must be sent off for reproduction. Cost varies due to shipping & handling.

City of Lubbock TEXAS

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Appropriation Summary

ADMINISTRATIVE SERVICES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
City Attorney	$ 1,797,156	1,867,605	1,813,922	2,188,575	2,132,154	(2.6)
City Council	292,123	236,455	254,770	306,916	315,470	2.8
City Manager	790,323	830,265	1,055,053	1,090,054	1,092,458	0.2
City Secretary	928,121	999,001	1,067,317	1,199,494	1,242,117	3.6
Facilities Management	3,539,148	3,097,402	2,845,093	3,307,970	3,345,226	1.1
Finance	2,289,755	2,423,394	2,370,301	2,931,194	2,827,689	(3.5)
Human Resources	389,794	481,813	470,964	529,832	544,653	2.8
Internal Audit	305,848	366,961	379,519	405,658	392,733	(3.2)
Non Departmental	6,186,174	7,290,093	7,668,205	7,627,723	7,193,459	(5.7)
Public Information	-	-	457,139	563,978	507,992	(9.9)
TOTAL ADMINISTRATIVE SERVICES	16,518,444	17,592,988	18,382,282	20,151,394	19,593,951	(2.8)
COMMUNITY SERVICES						
Building Inspection	1,642,200	1,731,697	1,741,920	1,949,355	2,014,746	3.4
Planning	561,593	444,513	531,100	669,708	682,956	2.0
TOTAL COMMUNITY SERVICES	2,203,793	2,176,211	2,273,020	2,619,062	2,697,702	3.0
CULTURAL & RECREATION SVCS						
Library	3,708,159	3,680,837	3,443,835	3,638,421	3,700,160	1.7
Museums	856,101	884,957	974,323	1,026,498	1,047,999	2.1
Parks and Recreation	9,197,674	9,012,401	9,402,601	10,066,364	10,600,550	5.3
TOTAL CULTURAL & RECREATION SVCS	13,761,933	13,578,195	13,820,760	14,731,282	15,348,709	4.2
PUBLIC WORKS						
Engineering	1,035,562	1,182,724	1,267,090	1,404,629	1,364,931	(2.8)
Streets	2,318,275	2,385,994	2,596,623	3,055,858	3,094,923	1.3
Traffic	3,167,785	3,316,752	3,343,081	3,709,423	3,682,283	(0.7)
TOTAL PUBLIC WORKS	6,521,622	6,885,470	7,206,794	8,169,910	8,142,136	(0.3)
PUBLIC SAFETY						
Animal Services	1,747,961	1,809,237	1,883,869	2,068,154	2,039,799	(1.4)
Codes and Environmental Health	1,878,027	2,212,177	2,411,984	2,659,076	2,735,948	2.9
Fire	40,049,928	42,047,094	43,858,166	45,991,891	47,313,783	2.9
Municipal Court	1,581,953	1,584,745	1,535,816	1,857,206	1,866,524	0.5
Police	54,487,795	55,902,081	57,817,807	61,151,423	61,586,009	0.7
Public Health	530,912	617,193	791,265	923,147	968,791	4.9
Vector Control	341,580	314,938	431,548	432,977	515,004	18.9
TOTAL PUBLIC SAFETY	100,618,157	104,487,464	108,730,455	115,083,873	117,025,856	1.7
OTHER						
Transfer to Grants	389,314	381,969	446,059	496,177	434,093	(12.5)
Transfer to CIP (Pay-as-you-go)	1,363,964	4,855,807	2,678,820	10,210,298	950,000	(90.7)
Transfer to Storm Water CIP	-	-	405,191	-	-	-
Transfer to Citibus	1,431,877	1,647,223	2,235,272	2,247,383	2,247,383	-
Transfer to Cemetery	80,234	272,205	354,618	350,181	315,203	(10.0)
Transfer to Civic Centers	510,084	573,349	444,972	672,632	660,612	(1.8)
Transfer to Debt Service Fund	-	2,635,789	-	-	-	-
Transfer to Internal Service Fund	-	250,000	-	-	-	-
Transfer to Special Revenue Fund	150,000	-	-	-	-	-
TOTAL OTHER	3,925,473	10,616,342	6,564,932	13,976,671	4,607,291	(67.0)
TOTAL	$ 143,549,421	155,336,668	156,978,243	174,732,193	167,415,645	(4.2)
Compensation Adjustment	-	-	-	-	1,472,270	-
TOTAL GENERAL FUND	$ 143,549,421	155,336,668	156,978,243	174,732,193	168,887,915	(3.3)
CAPITAL LEASE ASSET VALUE	$ 5,283,642	6,794,497	3,651,471	-	-	-

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Position History by Department

ADMINISTRATIVE SERVICES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
City Attorney	14	14	16	16	16	-
City Council	1	-	-	-	-	-
City Manager	2	5	7	7	7	-
City Secretary	12	12	12	12	12	-
Facilities Management	14	14	16	16	16	-
Finance	28	29	30	30	31	1
Human Resources	6	6	6	6	6	-
Internal Audit	3	3	3	3	3	-
Non Departmental	-	-	-	-	-	-
Public Information	-	-	7	7	7	-
TOTAL ADMINISTRATIVE SERVICES	80	83	97	97	98	1
COMMUNITY SERVICES						
Building Inspection	22	24	24	24	26	2
Planning	5	5	7	7	7	-
TOTAL COMMUNITY SERVICES	27	29	31	31	33	2
CULTURAL & RECREATION SVCS						
Library	36	36	36	36	36	-
Museums	8	8	8	8	8	-
Parks	80	80	81	81	81	-
TOTAL CULTURAL & RECREATION SVCS	124	124	125	125	125	-
PUBLIC WORKS						
Engineering	17	17	17	17	16	(1)
Streets	36	36	36	36	36	-
Traffic	36	36	37	37	37	-
TOTAL PUBLIC WORKS	89	89	90	90	89	(1)
PUBLIC SAFETY						
Animal Services	24	24	24	24	24	-
Codes and Environmental Health	32	32	32	32	33	1
Fire	398	414	429	429	429	-
Municipal Court	20	20	20	20	20	-
Police	540	545	545	545	544	(1)
Public Health	13	13	14	17	17	-
Vector Control	6	6	6	6	6	-
TOTAL PUBLIC SAFETY	1,033	1,054	1,070	1,073	1,073	-
OTHER						
Transfers	-	-	-	-	-	-
Payroll Accrual/Other Adjustments	-	-	-	-	-	-
TOTAL OTHER	-	-	-	-	-	-
TOTAL GENERAL FUND	1,353	1,379	1,413	1,416	1,418	2

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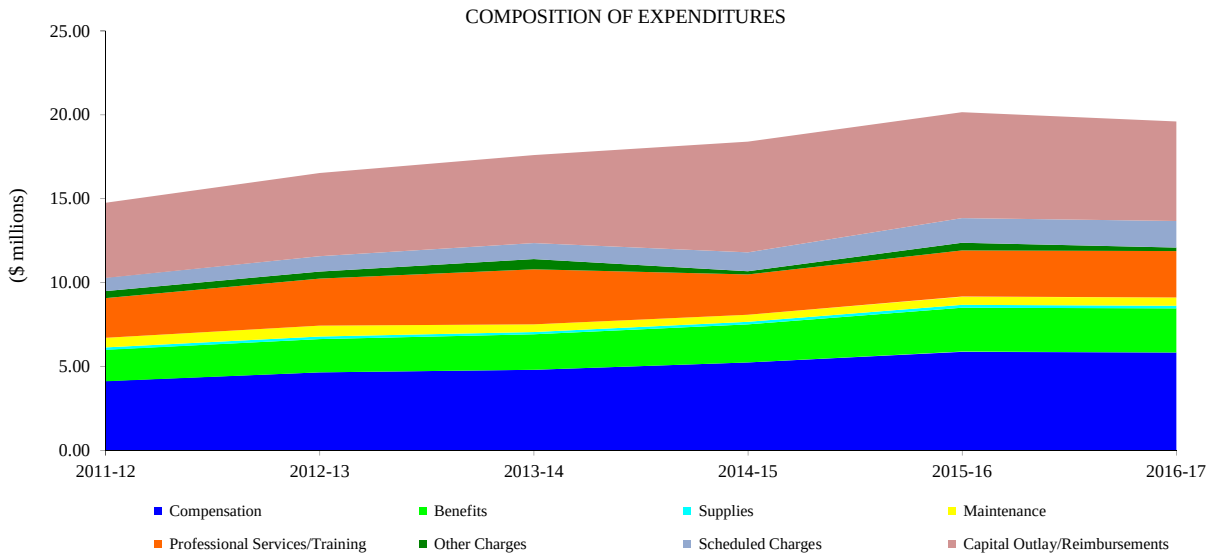
General Fund - Administrative Services



EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 4,629,757	4,795,666	5,221,756	5,872,794	5,819,963	(0.9)
Benefits	1,995,409	2,109,397	2,277,968	2,617,232	2,636,160	0.7
Supplies	140,444	136,567	143,788	174,619	153,535	(12.1)
Maintenance	651,695	463,223	419,884	488,316	485,552	(0.6)
Professional Services/Training	2,808,504	3,264,827	2,415,269	2,760,371	2,777,610	0.6
Other Charges	426,450	616,045	189,883	451,886	207,358	(54.1)
Scheduled Charges	906,118	961,933	1,128,490	1,472,763	1,584,199	7.6
Capital Outlay/Reimbursements	4,960,066	5,245,330	6,585,244	6,313,414	5,929,574	(6.1)
TOTAL EXPENDITURES BY CATEGORY	$ 16,518,444	17,592,988	18,382,282	20,151,394	19,593,951	(2.8)

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
City Attorney	$ 1,797,156	1,867,605	1,813,922	2,188,575	2,132,154	(2.6)
City Council	292,123	236,455	254,770	306,916	315,470	2.8
City Manager	790,323	830,265	1,055,053	1,090,054	1,092,458	0.2
City Secretary	928,121	999,001	1,067,317	1,199,494	1,242,117	3.6
Facilities Management	3,539,148	3,097,402	2,845,093	3,307,970	3,345,226	1.1
Finance	2,289,755	2,423,394	2,370,301	2,931,194	2,827,689	(3.5)
Human Resources	389,794	481,813	470,964	529,832	544,653	2.8
Internal Audit	305,848	366,961	379,519	405,658	392,733	(3.2)
Non-Departmental	6,186,174	7,290,093	7,668,205	7,627,723	7,193,459	(5.7)
Public Information and Call Center	-	-	457,139	563,978	507,992	(9.9)
TOTAL EXPENDITURES BY DEPARTMENT	$ 16,518,444	17,592,988	18,382,282	20,151,394	19,593,951	(2.8)

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
City Attorney	14	14	16	16	16	-
City Council	1	-	-	-	-	-
City Manager	2	5	7	7	7	-
City Secretary	12	12	12	12	12	-
Facilities Management	14	14	16	16	16	-
Finance	28	29	30	30	31	1
Human Resources	6	6	6	6	6	-
Internal Audit	3	3	3	3	3	-
Non-Departmental	-	-	-	-	-	-
Public Information and Call Center	-	-	7	7	7	-
TOTAL STAFFING	80	83	97	97	98	1

City of Lubbock TEXAS

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City Attorney Overview

Department Mission and Purpose

Provide legal services required by the City in its diverse operations. The City Attorney's Office provides the following services:

- Legal counsel to a full service municipality.
- Document preparation and review of a very high volume of legal instruments.
- Legal representation in state and federal courts.
- Legal representation at board and agency meetings.
- Prosecution in Municipal Court.

Goals and Objectives

- Provide prompt and accurate professional legal services.
- Prosecute Class "C" misdemeanors in the Lubbock Municipal Court.

Accomplishments for FY 2015-16

- Drafted and negotiated 212 Agreement for Monsanto.
- Drafted re-write of Vehicles for Hire Ordinance.
- Drafted ordinances on Mobile Billboards, Amending Mobile Food Vendors, and the Keeping of Chickens.
- Conducted all legal work in connection with two annexations.
- Drafted and analyzed a voluminous amount of ordinances, resolutions and agreements, as required for the operation of a full service municipality.

Objectives for FY 2016-17

- Continue to focus on providing high quality legal services to the City.
- Cost effective legal representation as expected by the citizens.

Expenditure Overview

- Total expenditures decreased $56,421, or 2.6 percent when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits decreased $40,263, or 2.2 percent, due to staff turnover.
- Supplies decreased $1,571, or 14.1 percent, due to a reduction to the mail handling fee.
- Professional Services/Training decreased $15,967, or 20.4 percent, due to reduced travel appropriations.
- Scheduled Charges increased $1,380, or 0.7 percent, due to increased data processing charges and postage allocations.

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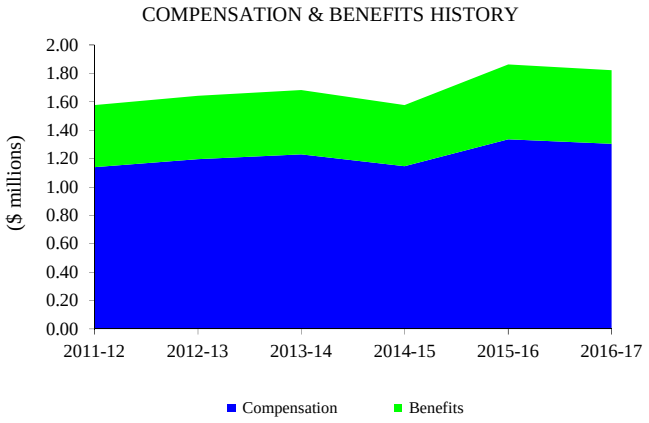
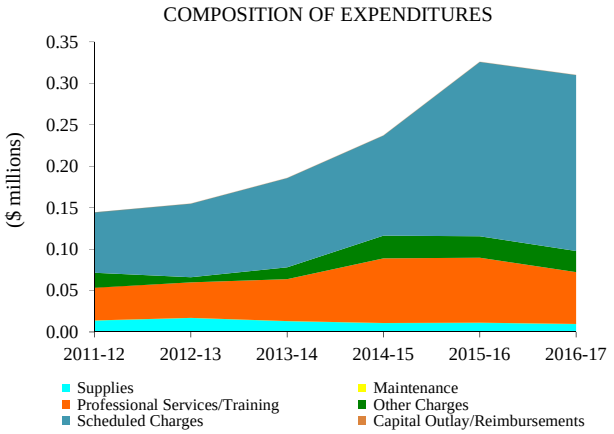
City Attorney Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,194,298	1,229,055	1,145,333	1,335,619	1,303,736	(2.4)
Benefits	448,056	452,967	431,716	526,943	518,563	(1.6)
Supplies	17,005	12,911	10,903	11,179	9,608	(14.1)
Maintenance	-	-	-	-	-	-
Professional Services/Training	42,754	50,926	77,668	78,385	62,418	(20.4)
Other Charges	6,258	14,281	27,740	25,750	25,750	-
Scheduled Charges	88,787	107,466	120,563	210,699	212,079	0.7
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 1,797,156	1,867,605	1,813,922	2,188,575	2,132,154	(2.6)

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
City Attorney	11	11	12	12	16	4
Prosecutor	3	3	4	4	-	(4)
TOTAL STAFFING	14	14	16	16	16	-

Prosecutor Office was combined with the City Attorney's Office in FY 2016-17.

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City Attorney Expenditures

City Attorney

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,066,382	1,092,647	944,376	1,126,172	1,303,736	15.8
Benefits	392,734	386,685	339,450	428,228	518,563	21.1
Supplies	12,976	9,759	8,109	7,777	9,608	23.6
Maintenance	-	-	-	-	-	-
Professional Services/Training	38,564	46,403	72,025	71,535	62,418	(12.7)
Other Charges	3,417	15,014	27,014	25,250	25,750	2.0
Scheduled Charges	75,261	87,435	92,709	179,546	212,079	18.1
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL CITY ATTORNEY	$ 1,589,335	1,637,944	1,483,682	1,838,508	2,132,154	16.0

Prosecutor

EXPENDITURES BY CATEGORY						
Compensation	$ 127,916	136,408	200,957	209,447	-	(100.0)
Benefits	55,321	66,282	92,266	98,715	-	(100.0)
Supplies	4,029	3,151	2,794	3,403	-	(100.0)
Maintenance	-	-	-	-	-	-
Professional Services/Training	4,190	4,523	5,643	6,850	-	(100.0)
Other Charges	2,840	(734)	726	500	-	(100.0)
Scheduled Charges	13,526	20,031	27,853	31,153	-	(100.0)
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL PROSECUTOR	$ 207,822	229,661	330,240	350,068	-	(100.0)

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City Council Overview

Mission and Purpose

Provide the citizens of Lubbock with excellent municipal services, provide fiscally responsible governmental management, advance the quality of life within a diverse and growing municipality, provide leadership and direction for continued economic growth and sustained development, and ensure public participation in an open governmental process.

City Council staff members provide the following services:

- Apprise the Mayor and City Council of citizen communications, inquiries, requests, or concerns.
- Coordinate with all City departments to provide City Council members and their constituents with overall customer service, and assist in providing appropriate resolution to citizen concerns, inquiries, or complaints.
- Provide specific, accurate, and helpful information in response to all inquiries. Thoroughly research and investigate inquiries or concerns regarding City operations. Provide a high degree of professional, staff support to all City Council members.
- Prepare a variety of written materials (correspondence, news releases, speeches, public remarks, Special Recognitions, etc.) for City Council members. Assist in facilitating and preparing for City Council meetings, as well as City Council member appointments, public appearances, and town hall meetings.
- Maintain City Council member calendars and schedules, as well as provide amenities and resources as requested or required for individual or collective City Council member meetings.

Goals and Objectives

- Represent the City Council and City organization in a positive, professional manner.
- Provide excellent customer service and maintain effective communication between City Council members, their constituents, the City organization, and all individuals in contact with the City Council Office.
- Ensure all City Council members are informed and apprised of upcoming events, meetings, and scheduled appearances. Ensure City Council calendars and schedules are accurate and up-to-date. Provide appropriate information and back-up materials for City Council member's appointments, appearances, and activities.

Accomplishments for FY 2015-16

- Staff successfully organized a major public event (Food Truck Fest) on behalf of the City Council.
- Continued to successfully implement operational and procedural changes that complemented individual City Council member's needs, preferences, and individual workloads.
- Successfully provided newly elected officials with staff support, assistance, information, and resources as new City Council members became acclimated and focused on their new responsibilities and duties.

Objectives for FY 2016-17

- Continue to provide excellent customer service and staff support to the entire City Council, and to recognize that a new City Council will undergo a period of transition with the election of new members.
- Continue to improve general office efficiency by evaluating needs, resources, and opportunities to increase the responsiveness and effectiveness of overall operations.
- Implement organizational staffing, priority, and resource changes as required/requested with to meet the needs of the new composition of the City Council and senior management.

Expenditure Overview

- Total expenditures increased $8,553, or 2.8 percent when compared to the FY 2015-16 Operating Budget.
- Benefits increased $4,642, or 6.2 percent, due to an increase in insurance costs.
- Professional Services/Training increased $2,000, or 2.8 percent due to increased board and commission meeting costs.
- Scheduled Charges increased $2,491, or 4.8 percent due to an increase in data processing charges and liability insurance.

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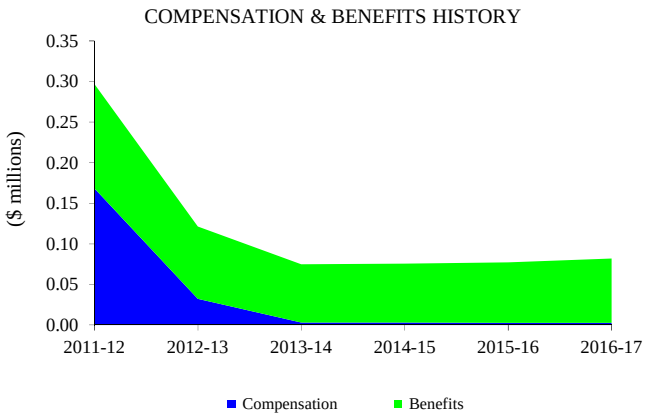
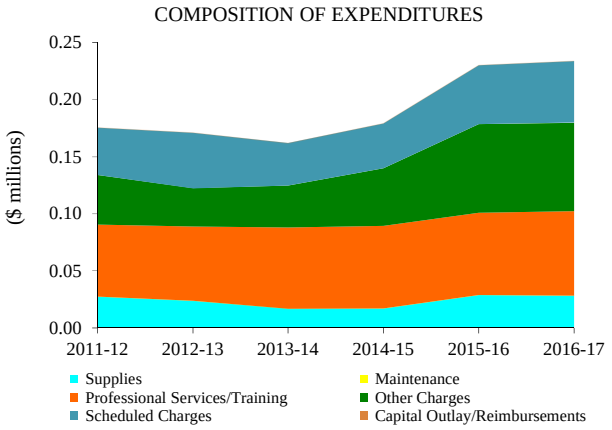
City Council Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 31,946	2,780	2,753	2,698	2,698	-
Benefits	89,365	71,840	72,870	74,335	78,977	6.2
Supplies	23,712	16,959	17,080	28,900	28,320	(2.0)
Maintenance	7	-	-	-	-	-
Professional Services/Training	65,087	71,118	72,320	72,000	74,000	2.8
Other Charges	33,522	36,518	50,267	77,500	77,500	-
Scheduled Charges	48,485	37,240	39,479	51,484	53,975	4.8
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 292,123	236,455	254,770	306,916	315,470	2.8

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
City Council	1	-	-	-	-	-
TOTAL STAFFING	1	-	-	-	-	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Answer and Respond to Citizen Inquiries	1,590	1,000	2,100	2,250	2,250	-
Appointments/Invitations/Requests/ Travel Request	3,585	3,600	3,650	3,600	3,600	-
Organize Town Hall Meetings	6	8	14	2	4	100.0
Pick Up and Deliver Agenda Books	170	182	174	174	174	-
Prepare Written Correspondence, Proclamations, Special Recognitions, Certificates, Speeches, and Talking Points	1,580	2,500	2,000	3,698	2,000	(45.9)

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City Manager Overview

Mission and Purpose

Provide professional leadership and day-to-day operation of the City and administer policies established by the City Council with a commitment to effective and efficient delivery of services. The City Manager provides the following services:

- Implement and administer City Council goals and policies.
- Advise the City Council on the financial condition, administrative activities, and future needs of the City.
- Ensure that laws and ordinances are enforced.
- Continue to focus on efficient and effective management and operations of City departments.
- Prepare and implement the Annual Operating Budget and Capital Program.
- Communicate effectively with the community and City employees.
- Act as the link between the City Council and City departments.
- Work with the Mayor and the City Council to accomplish their goals for the continued development of Lubbock.

Goals and Objectives

- Implement City Council policies in an efficient and effective manner.
- Promote a safe and secure work environment for City employees.
- Maintain a healthy financial position, including the maintenance of strong reserve levels.
- Develop strong relationships with rating agencies to communicate the financial position of the City and maintain or improve the City's bond ratings.
- Provide efficient and effective fire protection, police protection, and health services.
- Ensure a long term water supply and improve the wastewater collection and treatment systems to work toward additional reuse of treated water.
- Ensure that the City organization is prepared for emergency situations.
- Continue efficiency changes in Public Works activities; implement best practices in those same areas.
- Attend community meetings and public events.
- Provide the Mayor and the City Council with professional and comprehensive support in examining and analyzing issues of importance.
- Compile the City Council Agenda for all City Council meetings.
- Manage Internal Service Departments, including Fleet Services, Information Technology, Print Shop and Warehouse to continue to provide:
  - Properly maintained City vehicles.
  - Upgrades to existing technologies.
  - Risk Management and Health Benefits.
  - Competitive health benefits programs for City employees and their families.
  - A safe and healthy environment through insurance products, programs, and policies.

Accomplishments for FY 2015-16

- See Department Narratives in FY 2016-17 Operating Budget.

Objectives for FY 2016-17

- Improve operational efficiencies and effectiveness.
- Effectively and efficiently implement City Council policies.
- Actively promote a safe and secure work environment for all City employees.
- Proactively manage the day-to-day operations of the City.

Expenditures Overview

- Total expenditures increased $2,404 when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits decreased $2,725, or 0.02 percent, due to a reduction in overtime costs.
- Supplies decreased $2,479, or 37.0 percent, due to a reduction in office supplies and the mail handling fee.
- Professional Services/Training decreased $2,000, or 12.0 percent, due to a decrease in professional dues, and boards & commissions costs.
- Other Charges increased $1,000, or 79.9 percent, due to allocations for special projects.
- Scheduled Charges increased $8,608, or 22.5 percent due to data processing charges and liability insurance allocations.

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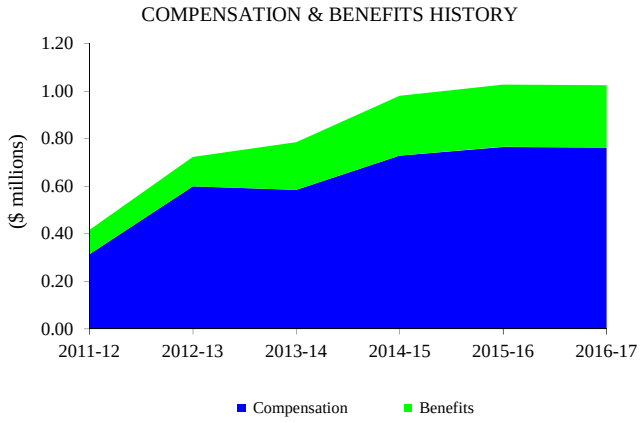
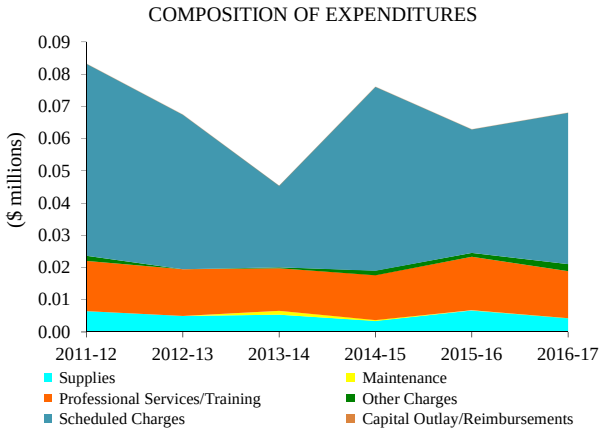
City Manager Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 599,595	584,046	728,347	765,287	761,380	(0.5)
Benefits	123,298	200,901	250,730	261,951	263,133	0.5
Supplies	4,937	5,319	3,429	6,700	4,220	(37.0)
Maintenance	-	1,270	310	-	-	-
Professional Services/Training	14,477	13,156	13,895	16,600	14,600	(12.0)
Other Charges	53	319	1,391	1,251	2,251	79.9
Scheduled Charges	47,962	25,254	56,950	38,266	46,873	22.5
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 790,323	830,265	1,055,053	1,090,054	1,092,458	0.2

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
City Manager	2	5	7	7	7	-
TOTAL STAFFING	2	5	7	7	7	-

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City Secretary Overview

Mission and Purpose

Ensure order and continuity in the municipal legislative process; as well as preserve the integrity of historical records. The City Secretary provides the following services:

- Administer permits.
- Provide City Council support and prepare documents.
- Coordinate Boards and Commissions appointments.
- Administer City elections.
- Maintain the records management system.
- Oversee claims, research, legal publication, and appeals.
- Maintain vital statistics for birth and death records.

Goals and Objectives

- Provide continuity for City government by recording legislative actions, both contemporary and archival, and serve as historian for the City.
- Improve public access to municipal records.
- Enhance the public's participation in municipal government processes through board or commission service.
- Perform the administrative tasks for City elections.
- Serve as the repository for documents related to Title 15 of the Election Code.
- Secure and preserve municipal election documents and outcomes thereof.
- Provide daily assistance to internal and external clientele.
- Maintain the security of municipal birth and death records.

Accomplishments for FY 2015-16

- Administered the 2016 Elections: General, Special and Runoff.
- Published Boards/Commissions Annual Report.
- Received the 2015 Vital Statistics Five Star Award from the Department of State Health Services.
- Provided Records Management training, Boards & Commissions Training, and Council Documents training to City staff. This includes training provided to supervisors at various supervisor training sessions coordinated by the Human Resource Department.
- Coordinated appointments process for City Boards/Commissions with approximately 345 people serving on permanent boards.
- Annually issue approximately 375 permits (right-of-way; recreation; vehicle; and charitable); 1,850 game decals; 12,000 birth records; 3500 death records; and 730 burial transit permits.
- Acknowledgement of Paternity Certification (five employees).
- Added more users (departments) to the electronic Records Management System, and developed the corresponding workflows for each department added.
- Coordinated a large "Slide the City" event with the promoters and various City departments.
- Completed two years of research to provide the policy makers information for amendments to the code regulating vehicles for hire with regard to repealing outdated and antiquated provisions and amending provisions to encompass revised practices.
- City Secretary developed an instructional presentation on Vote Centers, and Deputy City Secretary was the instructor presenting it at the Texas Municipal Clerks Election Law Seminar.
- Deputy City Secretary re-certified as a Texas Registered Municipal Clerk.

Objectives for FY 2016-17

- Implement new TxEVER (Texas Electronic Vital Events Registrar) and TxMOVE (Texas Monitoring of Vital Events) to improve security and data quality associated with registration of vital events and issuance of certified records.
- Add more users (departments) to the electronic Records Management System, and develop the corresponding workflows for each department added.
- Publish the 2016 Boards/Commissions Annual Report.
- Continue collaboration with Information Technology for continued development of online permit processing.
- Provide Records Management training and Boards/Commissions training to City staff.

Expenditures Overview

- Total expenditures increased $42,623, or 3.6 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits increased $23,562, or 2.4 percent, due to a salary adjustment for the City Secretary and increased insurance cost.
- Other Charges decreased $5,000, or 28.9 percent due to a reduction in special projects.
- Scheduled Charges increased $21,706, or 26.5 percent due to an increase in data processing charges and liability insurance.

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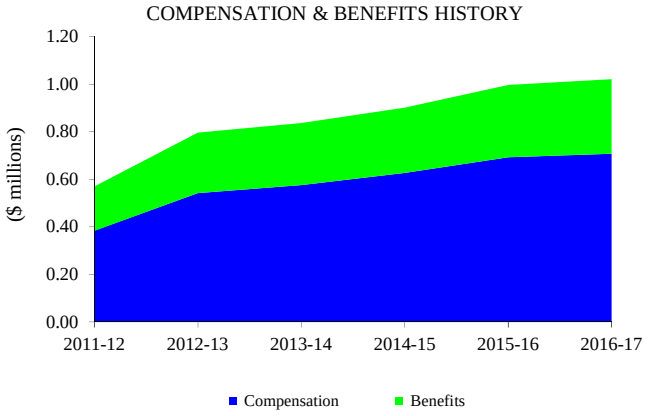
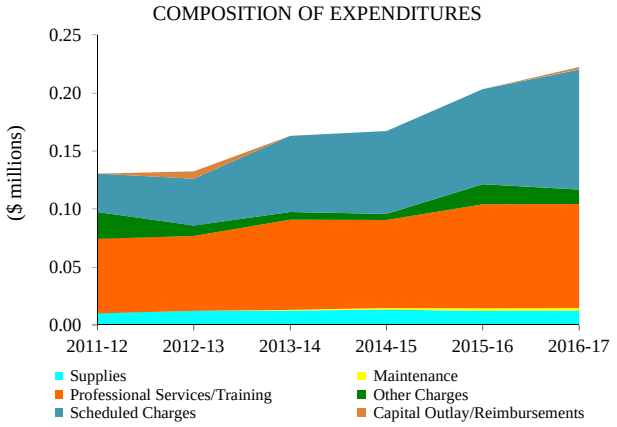
City Secretary Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 541,503	574,083	626,491	691,405	706,453	2.2
Benefits	254,303	262,115	273,765	304,872	313,385	2.8
Supplies	11,975	12,404	13,153	12,080	12,320	2.0
Maintenance	-	455	1,389	2,300	2,300	-
Professional Services/Training	64,668	77,862	75,930	89,570	89,585	0.0
Other Charges	9,018	6,484	5,287	17,320	12,320	(28.9)
Scheduled Charges	40,315	65,597	71,303	81,948	103,654	26.5
Capital Outlay/Reimbursements	6,340	-	-	-	2,100	-
TOTAL EXPENDITURES BY CATEGORY	$ 928,121	999,001	1,067,317	1,199,494	1,242,117	3.6

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
City Secretary	12	12	12	12	12	-
TOTAL STAFFING	12	12	12	12	12	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Elections	-	3	-	3	-	(100.0)
Ordinances/Resolutions Processed	716	634	487	628	640	1.9
Liability Claims Processed	478	264	252	230	250	8.7
Legal Notices Published	134	236	200	222	220	(0.9)
City Council Minutes	74	58	34	45	40	(11.1)
Permits and Decals	2,235	2,261	3,219	3,870	4,200	8.5
Vital Statistics Filed	8,823	8,500	9,058	9,000	9,000	-

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Facilities Management Overview

Mission and Purpose

Maintain and construct efficient, safe, and secure facilities for City employees and citizens. The Facilities Department provides the following services:

- Maintains City facilities through the management of custodial services, botanical contracts, minor renovations, Heating, Ventilating, and Air Conditioning (HVAC) maintenance, and demolitions.
- Conducts environmental inspections.
- Oversees compliance with state boiler, elevator, and escalator regulations.
- Ensures that Capital Improvement Projects involving City facilities are completed on time and within budget while striving to operate City facilities in a manner which is both more cost-efficient and ecologically-aware.

Goals and Objectives

- Manage Capital Improvement Projects associated with City facilities.
- Maintain all City-owned facilities with the exception of a few specific locations that are maintained by the respective departments.

Accomplishments for FY 2015-16

- Directly assisted in managing $93.1 million in capital projects.
- Directly managed approximately 300 Job Order Contract projects and/or single discipline projects.
- Directly performed or managed 120 environmental inspections on City owned or operated facilities.
- Completed renovations for Mae Simmons Senior Center and 19th Street Senior Center.
- Developed plans and specifications for Trejo Super Center, Maxey Community Center.
- Developed plans and specifications for a new Emergency Operations Center located at the Fire Administration Complex.
- Completed the new Fire Station 1.
- Designed and completed a new roof system on Patterson Library, and replaced the HVAC systems.
- Designed and completed new roof systems for Fire Station 4, Fire Station 9, and Silent Wings Museum.
- Designed and bid new baffle installation at the Police Department firing range.
- Completed asbestos and mold abatement in the majority of the Citizens Tower.
- Began demolition of selected areas in the Citizens Tower.
- Designed both the Citizens Tower and new Police Department renovations.
- Bid out the renovation package for the new Citizens Tower renovation.
- Selected Construction Manager for Citizens Tower Renovations.

Objectives for FY 2016-17

- Increase custodial satisfaction.
- Improve the health and safety of our City facilities.
- Maintain City Facilities in as proactive a manner as funding allows.
- Ensure that City projects are completed on time and within budget.
- Continue facility renovations as funding becomes available.
- Complete the renovations for Maxey Community Center, Trejo Super Center, and Mae Simmons Community Center, as funds allow.
- Continue selective demolition of areas within Citizens Tower Complex.
- Work with architectural and engineering firm and Construction Manager to develop the bid out, the plans, and specifications for the Citizens Tower and new Police Department facility.

Expenditures Overview

- Total expenditures increased $37,256, or 1.1 percent, when compared to the FY 2015-16 Operating Budget.
- Supplies decreased $4,111, or 9.5 percent, due to projected decreases in the amount of chemicals needed, uniform purchases, mail handling, and fuel costs, and offset by an increase to the contract for botanical supplies.
- Maintenance decreased $2,313, or 0.5 percent, due to projected decreases in vehicle and communication equipment maintenance, and offset by increases in heavy movable equipment and HVAC system maintenance contracts.
- Professional Services/Training decreased $5,251, or 0.6 percent, as a result of decreases in training and licensing.
- Other Charges decreased $1,000, or 2.7 percent, due to decreased need for rental equipment.
- Scheduled Charges increased $50,311, or 6.7 percent, as a result of increases in data processing, liability insurance, and electric allocations, offset by a decrease in gas utility cost.

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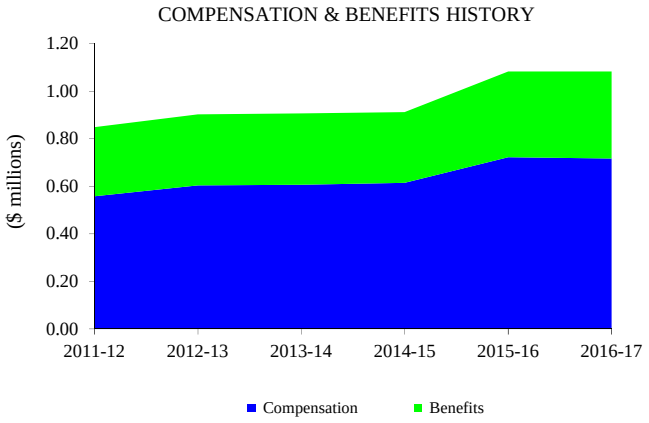
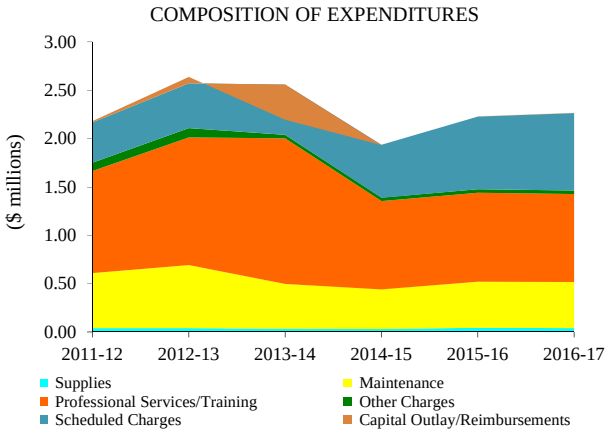
Facilities Management Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 603,064	606,041	614,351	721,503	715,961	(0.8)
Benefits	298,961	299,624	295,938	360,466	365,628	1.4
Supplies	40,927	32,906	31,112	43,155	39,044	(9.5)
Maintenance	651,689	461,498	410,736	477,516	475,204	(0.5)
Professional Services/Training	1,319,252	1,506,266	910,895	918,071	912,820	(0.6)
Other Charges	95,421	38,010	35,203	37,498	36,498	(2.7)
Scheduled Charges	463,097	518,320	546,858	749,761	800,073	6.7
Capital Outlay/Reimbursements	66,737	(365,262)	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 3,539,148	3,097,402	2,845,093	3,307,970	3,345,226	1.1

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Facilities Management	14	14	16	16	16	-
TOTAL STAFFING	14	14	16	16	16	-

PERFORMANCE MEASURES	Actual FY 2012-13	FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Total sq. ft. of Facilities Operated	3,237,500	3,206,500	3,224,628	3,489,372	3,489,372	-
Custodial Complaints	140	130	130	130	130	-
Environmental Inspections	130	140	100	120	130	8.3
Capital Improvement Projects	34	31	28	32	40	25.0
Job Order Contract Projects	185	200	185	205	210	2.4
Single Discipline Contract Projects			50	80	100	25.0

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Finance Overview

Mission and Purpose

Manage the City's finances by administering the debt portfolio, purchasing quality goods and services, and recording and measuring financial activities in the Comprehensive Annual Financial Report (CAFR). The Finance Department provides the following services:

- Provide quality goods and services in a cost-effective and timely manner in accordance with local, state, and federal laws and regulations, sound internal controls, and professional procurement principals.
- Provide accounting services that are high-quality, responsive, timely, cost-effective, and that comply with legal and authoritative guidelines, i.e. Generally Accepted Accounting Principles, Governmental Accounting Standards Board (GASB), and the Texas Local Government Code.
- Coordinate, monitor, and assist in the development of City budgets, ensuring efficient use of resources.
- Support Management by providing a global perspective of City operations through research, analysis, and evaluation.
- Administer the Tax Abatement and Enterprise Zone Programs.
- Develop and administer Tax Increment Finance (TIF) Districts and Public Improvement Districts (PID).

Goals and Objectives

- Assist departments to improve cash receipts controls, provide credit card use training, and evaluate City department operations.
- Solicit competitive bids and proposals for construction projects, and for all goods and services.
- Review and determine contract modification impacts.
- Promote participation in the competitive bid process from Disadvantaged Business Enterprises, Minority- and Women-owned Business Enterprises, and local businesses.
- Train and mentor City personnel in procurement policies and procedures.
- Maintain internal controls through the contract management program.
- Manage citywide grants from a financial and managerial aspect.
- Perform administrative tasks for the City payroll and accounts payable.
- Coordinate procurement activities with City departments, vendors, and outside agencies.
- Obtain cost effective financing for City vehicles, equipment, and major capital projects.
- Provide timely and meaningful monthly financial reports to Management and the City Council.
- Prepare a cost effective and balanced budget annually to ensure the efficient use of resources across the organization.
- Monitor and amend yearly budgets as needed.
- Manage and monitor municipal utility financial models in order to provide the lowest cost service to citizens.
- Coordinate the Public Improvement District Boards and provide assistance to the residents through financial planning and management of maintenance agreements.
- Coordinate Tax Increment Financing Reinvestment Zones Boards and provide long-term planning and financial modeling to ensure the most efficient use of resources.
- Provide assistance to all City departments related to budget and other financial issues.

Accomplishments for FY 2015-16

- Issued the Journal Entry policy that clarified Lubbock Power and Light and Citibus roles and responsibilities in regard to journal entries prepared by their financial staff.
- Implemented GASB Statement Number 68, Accounting and Financial Reporting for Pensions which is an amendment of GASB Statement Number 27. The effects of implementing this statement had a material effect on net position in all funds.
- Updated credit card machines to accept EMV chip card technology. The City updated credit card machines in order to be compliant with industry standards and protect sensitive payment data in the card present environment. The change also limits liability for the City since we are up-to-date on technology.
- Conducted 25 payroll training sessions for payroll clerks.
- Conducted nine cash management training sessions.
- Researched the use of commercial paper.
- Issued $101.3 million in certificates of obligation and $7.5 million in Electric Light and Power System Revenue Bonds.
- Issued $26.6 million in refunding bonds for a NPV Savings of $11.785 million or 3.3%.
- Nominated natural gas units pursuant to the City's agreement with West Texas Gas in the amount of $349,400 Transportation fees to ATMO's in the amount of $3,400 to transportation natural gas to municipal facilities.
- Participated and provided support to departments submitting 350 agenda items and backup attachments for the City Council meetings.
- Oversaw the disposition and sale or lease of city surplus materials, used equipment, supplies and property totaling approximately $805,588 in conformance with the City Charter and disposal of government surplus rules and regulations.
- Participated in Texas Association of School Boards Local Government Purchasing Cooperative Rebate program, total refunds are approximately $82,754.

Finance Overview

- Presented a resolution to City Council to join the North Central Council of Governments Purchasing Cooperative as an administrative agency. This cooperative purchasing membership agreement provides benefits to the City including: compliance with state bid requirements, qualified vendors for goods and services, reduced administrative costs, reduced cost of goods and services and increased efficiency.
- Purchasing conducted the following solicitations for competitive bids, (RFP) request for proposals, (RFQ)request for qualifications and Job Order Contracts (JOC): $120 million for Public Works and Street projects, $30 million for service contracts and $15 million in Architectural/Engineering Services and 1,040,482 in JOC projects,
- Participated in Small Business Programs to promote bid involvement.
- Amendment to contract 11972 for Bulk Fuel Purchase with Rip Griffin Truck Service Center, Inc. (RGTSC) for a price adjustment and extension from January 1, 2016 through December 31, 2016.
- Prepared annual budget and capital program that received approval from the city council.
- Provided financial forecasting to Management and the City Council to assist them in decision-making.
- Updated and revised all financial models in order to provide accurate projections on revenues and expenses in order to adopt appropriate rates on all municipal utilities.
- Updated economic and demographic information on the City of Lubbock Website.
- Coordinated all Tax Increment Finance Reinvestment Zone (TIF) Boards and provided financial modeling to assist in preparing budget and capital planning.
- Coordinated Public Improvement District (PID) Boards and managed all maintenance of public improvements in the PIDs.
- Submitted the annual financial report on the TIFs to the Texas Comptroller of Public Accounts and other participating taxing jurisdictions.
- Received the Government Finance Officers Association Budget Award for the FY 2015-16 Budget.

Objectives for FY 2016-17

- Issue bonds and certificates of obligation to finance the City's Capital Improvement Program.
- Issue a policy on bank reconciliations
- Research changing IRS policies regarding W2s and 1099 tax forms.
- Increase opportunities for minority and small business enterprise to more effectively participate in the bidding process for construction projects, goods, and services of City government.
- Increase opportunities for utilization State Use Programs to participate in the bidding process for goods, and services contracts.
- Solicit competitive bids and proposals for construction, goods and services that provide the best value for the City. Continue to establish term contract for the using departments.
- Continue to make revisions to the budget to make it more user friendly and easier to understand.
- Complete and present the FY 2017-18 budget and capital program to City Council and Management in a timely manner.
- Continue to provide timely and meaningful monthly financial reports to Management and the City Council.
- Design a budget in brief as a summary of the annual budget to provide to citizens and Management.
- Administer the North Overton TIF, Central Business District TIF, and Lubbock Business Park TIF.
- Continue management of the Public Improvement Districts and provide support to the Boards.

Expenditure Overview

- Total expenditures decreased $103,505, or 3.5 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits increased $1,564, or 0.1 percent, due to the increase in health insurance cost. One new position was added to Accounting.
- Supplies decreased $4,738, or 10.2 percent, as a result of decreases in mail handling fees and office supplies.
- Professional Services/Training decreased $91,076, or 23.9 percent, due to the decrease in cost for auditing services, decrease in advertising budget, and a lower budget for training, offset by an increase in armored car costs.
- Other Charges decreased $29,400, or 45.0 percent, due to the decrease in budget for consultants, offset by the increase in equipment cost to purchase equipment for the new Director of Accounting.
- Scheduled Charges increased $20,144, or 11.5 percent, due to increases in data processing, liability insurance, telephone, and postage allocations.

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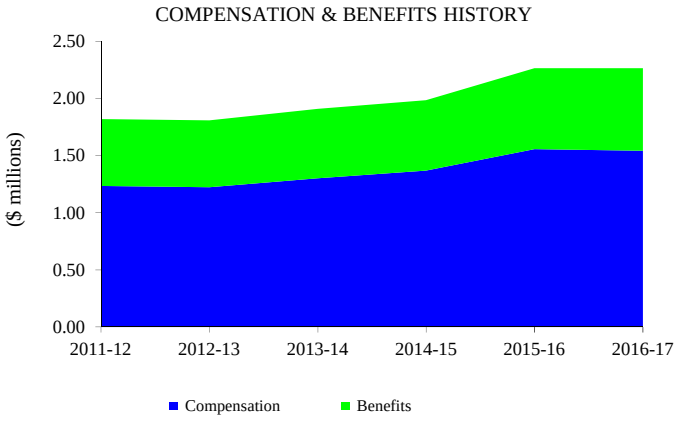
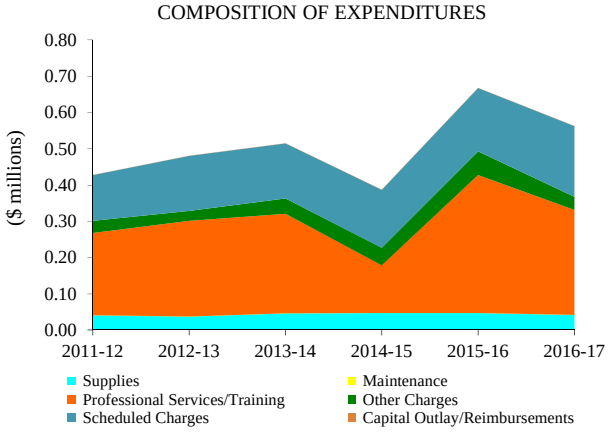
Finance Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,223,660	1,302,196	1,368,061	1,557,052	1,543,248	(0.9)
Benefits	585,847	607,200	616,162	706,846	722,214	2.2
Supplies	37,207	45,898	46,658	46,530	41,792	(10.2)
Maintenance	-	-	-	-	-	-
Professional Services/Training	263,334	274,592	131,928	380,438	289,362	(23.9)
Other Charges	27,429	42,407	47,754	65,400	36,000	(45.0)
Scheduled Charges	152,280	151,101	159,737	174,928	195,073	11.5
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 2,289,755	2,423,394	2,370,301	2,931,194	2,827,689	(3.5)

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Fiscal Policy	5	6	7	7	7	-
Accounting	15	15	15	15	16	1
Purchasing	8	8	8	8	8	-
TOTAL STAFFING	28	29	30	30	31	1

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Month end closed w/in 10 bus. days of month (%)	95	95	95	95	95	-
CAFR by 2nd Council Meeting in February	3/14	2/15	2/16	2/17	2/18	-
GFOA Certificate Awarded - CAFR	Yes	Yes	Applied	Will Apply	Will Apply	-
GFOA Certificate Awarded - Budget	Yes	Yes	Yes	Yes	Will Apply	-
Bids Prepared for City Council	111	113	123	123	123	-
Public Works Contracts Awarded (Millions)	$ 103	125	125	125	125	-
$ to Lubbock Vendors (Millions)	$ 18	15	15	13	13	-
$ to DBE Firms (Millions)	$ 0.5	0.5	0.5	0.5	0.5	-
Complete monthly reports by end of next month (%)				90	90	-
Complete annual budget by deadline (%)				100	100	-
Close capital projects within 30 days of completion (%)				100	100	-
Budget amendments entered into E1 within a week of 2nd reading (%)				100	100	-

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Finance Expenditures

Fiscal Policy

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 195,604	274,044	336,489	399,769	360,108	(9.9)
Benefits	86,199	123,244	140,947	168,164	153,700	(8.6)
Supplies	17,457	19,994	18,791	19,900	19,320	(2.9)
Maintenance	-	-	-	-	-	-
Professional Services/Training	12,935	14,367	12,036	33,710	21,600	(35.9)
Other Charges	1,665	4,587	11,273	40,100	6,100	(84.8)
Scheduled Charges	24,286	26,261	27,847	30,377	34,663	14.1
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL FISCAL POLICY	$ 338,146	462,497	547,383	692,019	595,491	(13.9)

Accounting

EXPENDITURES BY CATEGORY						
Compensation	$ 706,922	727,474	738,562	817,197	845,173	3.4
Benefits	336,617	334,018	332,270	366,412	394,438	7.6
Supplies	15,192	20,135	12,512	16,195	12,615	(22.1)
Maintenance	-	-	-	-	-	-
Professional Services/Training	243,604	254,324	112,266	338,883	260,167	(23.2)
Other Charges	580	12,580	(0)	-	4,600	-
Scheduled Charges	91,283	86,729	94,600	105,466	116,859	10.8
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL ACCOUNTING	$ 1,394,198	1,435,261	1,290,211	1,644,153	1,633,852	(0.6)

Purchasing

EXPENDITURES BY CATEGORY						
Compensation	$ 321,133	300,679	293,009	340,086	337,967	(0.6)
Benefits	163,030	149,938	142,945	172,270	174,076	1.0
Supplies	4,557	5,769	15,355	10,436	9,856	(5.6)
Maintenance	-	-	-	-	-	-
Professional Services/Training	6,795	5,901	7,626	7,845	7,595	(3.2)
Other Charges	25,184	25,239	36,481	25,300	25,300	-
Scheduled Charges	36,711	38,111	37,290	39,085	43,551	11.4
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL PURCHASING	$ 557,411	525,637	532,707	595,022	598,345	0.6

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Human Resources Overview

Mission and Purpose

Provide an organizational framework to recruit, select, classify, compensate, develop, and reward the City's diverse, qualified, and trained workforce. The Human Resources Department provides the following services:

- Recruit a diverse pool of qualified applicants.
- Accept and review applications.
- Conduct salary surveys.
- Provide training and development opportunities for supervisors and employees.
- Assure employment practices are compliant with the Civil Service Code for sworn police officers and firefighters.
- Maintain official personnel files.
- Administer unemployment benefits.
- Investigate complaints of harassment and disparate treatment in the workplace.
- Administer Family Medical Leave Act (FMLA), Americans with Disability Act as Amended (ADAAA), and Sick Leave Sharing.
- Provide employee relations assistance.
- Monitor, track, and participate in the grievance process.

Goals and Objectives

- Pursue applicant pools that reflect community demographics.
- Maintain compensation practices that are competitive with the market and related industry.
- Reduce employment liability through organizational training opportunities.

Accomplishments for FY 2015-16

- Created a new performance-based merit increase system.
- Utilized a new police entrance exam.
- Performed a job evaluation on Police Entrance Level positions.
- Facilitated hiring a new Chief of Police and Fire Chief.
- Assisted in the hiring of a new Chief Financial Officer.
- Conducted a staffing needs analysis for 2020.
- Received, investigated, and responded to employee complaints in a timely manner.
- Began the process of hiring a new City Manager.
- Administered Civil Service entrance level and promotional examinations.
- Continued to resolve employee complaints in a timely and thorough manner.
- Reviewed and started the process of evaluating and validating employee data in software systems.
- Assisted in the coordination of training of employees on supervisory and leadership areas.
- Completed a reorganization of Human Resources to create additional flexibility to assist departments in investigations and dispute resolution.

Objectives for FY 2016-17

- Assist in finalizing the hire of a new City Manager.
- Assist new City Manager in restructuring and potential replacement of departing management staff.
- Continue to reach out to the community through job fairs and outreach activities to increase applicant pool.
- Revise the Employee Policy Manual and Supervisor's Procedure Manual to integrate the two documents.
- Revise the employee grievance procedures and train employees on the process.
- Implement a new E1 Employee Self Service, including time entry and performance management.

Expenditures Overview

- Total expenditures increased $14,820, or 2.8 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits increased by $11,722, or 3.0 percent, due to a position reclassification.
- Supplies decreased by $4,901, or 45.9 percent, due to the reallocation of costs to the correct cost center.
- Professional Services/Training increased by $13,622, or 41.8 percent, as a result of increased costs associated with the search for a new City Manager.
- Scheduled Charges decreased $5,494, or 6.9 percent, due to decreased liability insurance cost, offset by data processing cost.

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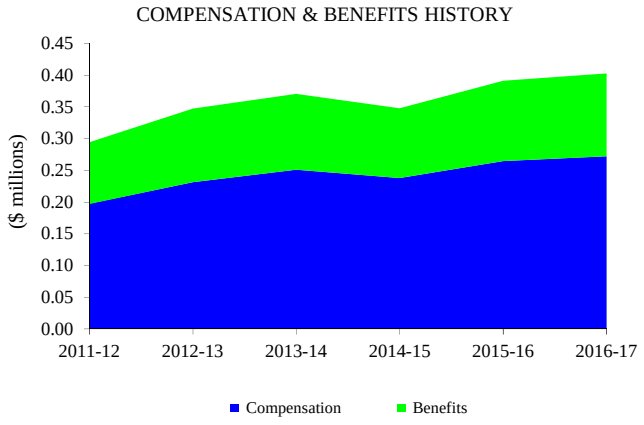
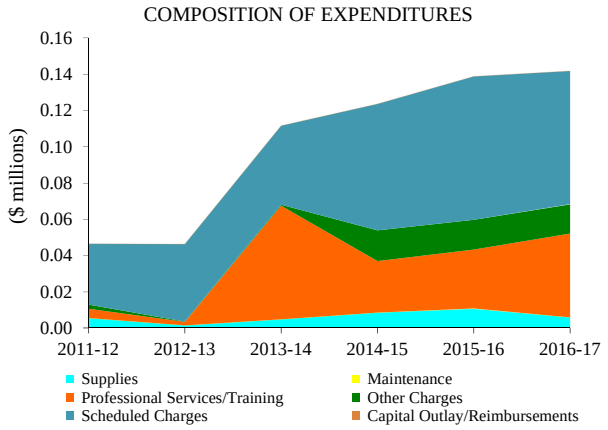
Human Resources Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 231,120	250,934	237,860	264,474	272,148	2.9
Benefits	116,252	119,290	109,597	126,648	130,696	3.2
Supplies	1,438	4,774	8,518	10,683	5,782	(45.9)
Maintenance	-	-	-	-	-	-
Professional Services/Training	(1,908)	62,693	28,398	32,550	46,172	41.8
Other Charges	205	489	16,820	16,367	16,239	(0.8)
Scheduled Charges	42,686	43,633	69,772	79,111	73,617	(6.9)
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 389,794	481,813	470,964	529,832	544,653	2.8

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Human Resources	6	6	6	6	6	-
TOTAL STAFFING	6	6	6	6	6	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Number of Positions Opened	324	360	378	384	392	2.1
Number of applications received	14,117	14,261	15,992	17,334	18,634	7.5
Number of new employees hired	315	438	432	400	400	-
Minority Applications Received:						
% Non-Civil Service	52%	70%	52%	54%	54%	-
% Civil Service	42%	50%	53%	60%	67%	11.7
Classification Recommendations	87	25	108	78	60	(23.1)
Job Description Prepared/Revised	49	27	456	24	19	(20.8)
Number of Days to Classify Filled Positions	7	8	8	7	8	14.3
Number of Days to Classify Vacant Positions	5	5	6	5	6	20.0
Number of Employee Grievances Resolved	51	43	46	34	30	(11.8)

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Internal Audit Overview

Mission and Purpose

Provide independent, objective assurance and consulting services that improve City operations. The Internal Audit Department assists City's management by assessing the effectiveness of risk management, control, and governance processes. Internal Audit conducts reviews and investigations to determine the following:

- Risks are appropriately identified and managed.
- Financial, managerial, and operating information is accurate, reliable, and timely.
- Employees' actions comply with policies, standards, procedures, and applicable laws and regulations.
- Assets are adequately managed and protected.
- Programs, plans, and objectives are identified and achieved.
- Quality and continuous improvement is fostered in the City's control and operating processes.
- Ethics and values within the City are appropriately promoted.
- Legislative and regulatory issues are recognized and timely addressed.
- Contracts are effectively managed and contract terms are complied with.
- Effective information technology controls are maintained.
- Investigations and consulting engagements are conducted as requested.
- Investigated complaints received through the City Hotline.

Goals and Objectives

- Provide high quality assurance and consulting services to operating departments, senior management, and the Audit and Investment Committee.
- Monitor compliance with City policies and procedures, Code of Ordinances, applicable laws and regulations, and contracts.
- Monitor the timeliness, reliability, and integrity of financial information.
- Support the City's management team in achieving short and long-term objectives.
- Conduct procedures to ensure that City assets are identified, recorded, insured, and properly managed.
- Assist management in strengthening controls and improve operating processes.
- Manage the City Hotline.

Accomplishments for FY 2015-16

- Prepared and submitted a Risk-based Annual Audit Plan, including a time budget, for review and approval by City Manager and the Audit and Investment Committee.
- Successfully completed a Peer Review Evaluation which resulted in full compliance.
- Updated the Internal Audit Charter and Policy which was approved by the City Manager and the Audit and Investment Committee.
- Completion of projects included in the Annual Audit Plan.
- Update the Department's Operational Manual.
- Review and revised the City's Fraud Policy.
- Purchased a new software, IDEA, and started using same to improve audit coverage and quality.
- Automate the Follow-Up audit process.
- Automate Department's Survey Tool.
- Conducted special assignments as requested by management.

Objectives for FY 2016-17

- Prepare and submit a Risk-based Annual Audit Plan, inclusive of budget, for review and approval by the City Manager and the Audit and Investment Committee.
- Update the Internal Audit Charter and Policy.
- Complete projects as listed in the Annual Audit Plan.
- Complete special reviews requested by City management.
- Improve automation within department.
- Manage the City Hotline.
- Train all staff in the use of IDEA software.

Expenditure Overview

- Total expenditures decreased $12,925, or 3.2 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits decreased by $2,728, or 0.8 percent, as a result of a decrease in the TMRS rate and workers compensation insurance.
- Supplies decreased $1,579, or 22.2 percent, due to a decrease in office supplies and the mail handling fee.
- Professional Services/Training decreased by $11,570, or 49.6 percent, due to the completion of the Peer Review in the prior year and a decrease in the training and travel budget.
- Scheduled Charges increased $2,952, or 19.2 percent, due to increases in liability insurance, data processing, and postage cost.

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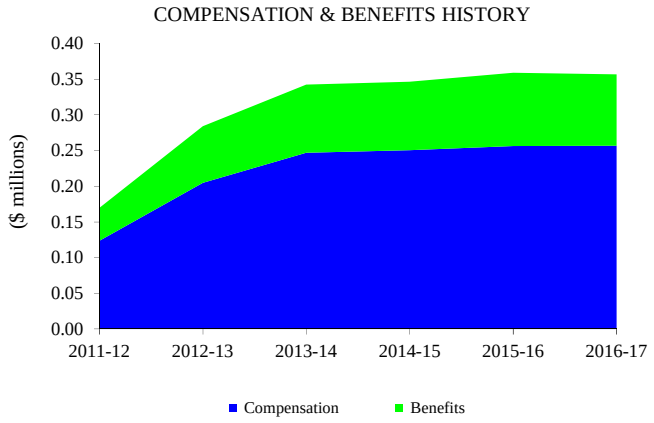
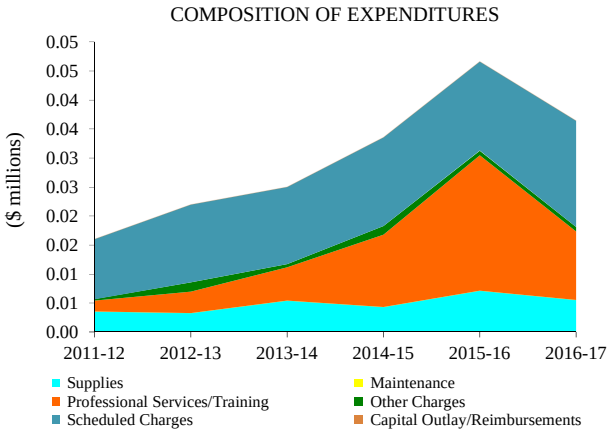
Internal Audit Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 204,571	246,531	250,429	256,334	256,603	0.1
Benefits	79,328	95,462	95,580	102,727	99,731	(2.9)
Supplies	3,243	5,397	4,287	7,100	5,520	(22.2)
Maintenance	-	-	-	-	-	-
Professional Services/Training	3,707	5,757	12,482	23,340	11,770	(49.6)
Other Charges	1,596	545	1,467	800	800	-
Scheduled Charges	13,402	13,270	15,274	15,357	18,309	19.2
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 305,848	366,961	379,519	405,658	392,733	(3.2)

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Internal Audit	3	3	3	3	3	-
TOTAL STAFFING	3	3	3	3	3	-

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Non-Departmental Overview

Mission and Purpose

This cost center tracks expenditures associated with activities that impact areas throughout the City.

Expenditures in Non-Departmental are those that are not directly related to any one cost center. The expenditures included are:

- Election Cost - $0: There are no elections for FY 2016-17.
- Lubbock Central Appraisal District property tax collection fees - $1,047,880: This funds the City of Lubbock portion of the Lubbock Central Appraisal District's annual operating budget.
- Ports to Plains membership dues - $129,543: This funds the City of Lubbock Ports to Plains membership dues.
- Patient Centered Outcomes Research Institute Fee - $13,040: The Patient Centered Outcomes Research Institute Fee (PCORI) is an annual fee that must be paid by all health plans for six years and began in 2012. This fee for calendar year 2016 is $2.17 per enrollee. The fee will be $2.26 per enrollee in 2017. PCORI is required in the Health Care Reform law and will be paid out of the General Fund because it cannot be paid out of plan assets. PCORI will continue to index up for calendar years 2015-2017.
- General Fund Vehicle/Equipment Debt annual payment - $5,927,474: This is the annual payment for all equipment and vehicle purchases for all General Fund cost centers.
- Miscellaneous fees – Worker's Compensation and Liability Insurance - $3,630: This is the workers compensation and liability insurance cost for a Ports to Plains employee who remains on the City of Lubbock payroll, but will be reimbursed by Port to Plains.
- City Contract with Lobbyist - $72,000: This funds the City of Lubbock contract with a lobbyist to assist in legislative matters.

Expenditure Overview

- Total expenditures decreased $434,263, or 5.7 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits decreased $117, or 19.0 percent, due to a decrease in workers compensation charges.
- Professional Services/Training increased $127,111, or 11.2 percent due to the reallocation of contract fees to their correct account and an increase in property tax collection fees.
- Other Charges were eradicated, due to FY 2016-17 not being an election year.
- Scheduled Charges decreased $318, or 9.5 percent, as a result of decreases in liability insurance allocations.
- Capital Outlay decreased $385,940, or 6.1 percent, due to the one-time expense for the purchase of the fire marshal's office building in FY 2015-16, offset by an increase in the capital lease payments.

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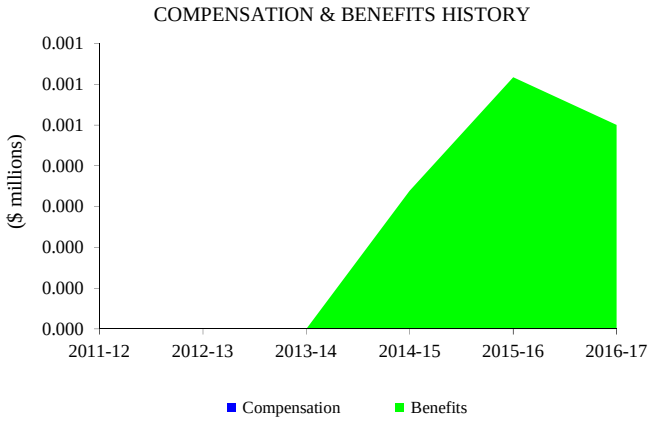
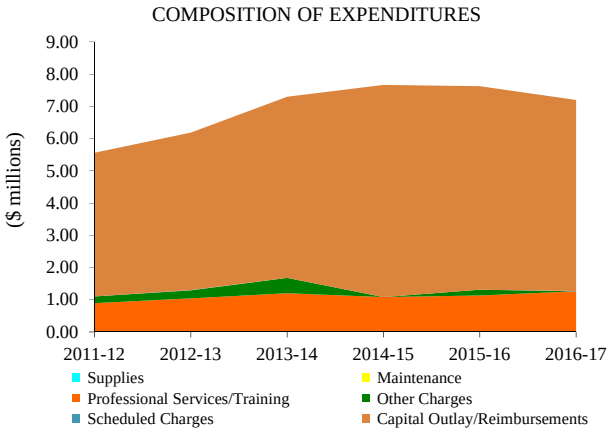
Non-Departmental Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ -	-	-	-	-	-
Benefits	-	-	339	617	500	(19.0)
Supplies	-	-	-	-	-	-
Maintenance	-	-	-	-	-	-
Professional Services/Training	1,037,132	1,202,456	1,078,003	1,135,352	1,262,463	11.2
Other Charges	252,948	476,992	3,774	175,000	-	(100.0)
Scheduled Charges	9,105	52	845	3,339	3,022	(9.5)
Capital Outlay/Reimbursements	4,886,989	5,610,593	6,585,244	6,313,414	5,927,474	(6.1)
TOTAL EXPENDITURES BY CATEGORY	$ 6,186,174	7,290,093	7,668,205	7,627,723	7,193,459	(5.7)

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Public Information and Call Center Overview

Mission and Purpose

Provide current and accurate information about City of Lubbock programs, services, issues, and events both internally and externally.

Overview

Public Information provides the following services:

- Writes and edits news releases and broadcast emails for City departments for both internal and external distribution.
- Provides media and communication services and training as well as campaign strategy and creation for City departments.
- Creates print/graphic items for City departments.
- Posts information to and monitors the City's social media sites.
- Serves as media contact in non-crisis and crisis situations.
- Operates the City's Government Access Channel providing 24 hour a day coverage plus online streaming and video on demand of City of Lubbock issues and events.
- Records and broadcasts City Council meetings, public meetings, and City news conferences.
- Produces videos that spotlight City programs, services, issues and events.
- Provides a centralized contact center through 311 that answers citizen questions, enters citizen service requests for department response, or connects them to proper departments.
- Provides and manages an on-line service request system that allows citizens to submit requests or notify the City of issues 24 hours a day.

Goals and Objectives

- All areas of Public Information strive to provide excellent customer service to everyone both inside and outside the organization.
- Provide excellent information quickly to citizens calling 311 to report an issue/concern or ask a question.
- Provide a 311 on-line service request system so citizens can easily report an issue/concern at their convenience 24 hours a day.
- Provide current and accurate information about City of Lubbock programs, activities, services, events and news making occurrences to citizens and City employees.
- Assist other departments, and the City as a whole, in gaining exposure and recognition for their services, programs, and accomplishments.
- Be the best at telling the City's stories.
- Utilize all forms of communication including website, social media, and government access channel to their fullest potential as a means of communicating needed information to citizens.

Accomplishments for FY 2015-16

- Expanded scope of department services to include graphic design, social media campaigns, and branding consulting.
- Highly successful marketing plan for the 2015 Food Truck Fest, attracted approximately 5,000 people, which significantly surpassed attendance expectations. Boosted Facebook posts reached 239,000 people with 28,000 viewing the posts, and 6,400 people engaged with the posts. Food Fest related webpages were four of the top seven most visited pages in October.
- Established a new consistent look and feel for the City's main social media posts and website image carousel.
- Created new website analytics monthly reports and improved social media monthly analytics reports with much greater detail.
- Implemented a mobile application and new website for citizens to report City issues or concerns 24 hours a day, seven days a week. They are able to follow status of those requests.
- New computer software produced service requests directly to the department, therefore, eliminating duplication of requests made.
- Purchased new server for streaming channel.

Objectives for FY 2016-17

- Create branding guide for the organization.
- Create a new logo and brand for the City government channel.
- Create internal and external newsletters.
- Implement a campaign approach to social media that makes important City topics more noticeable to the City's social media followers.
- Promote 311 services to the public by educating citizens on what 311 offers. Conducting meetings/discussions at various locations around the city.
- Increase citizen usage of the 311 on-line service request system by adding more City departments and categories that a service can be selected from.
- Educate 311 Call Takers on more City department functions in order to answer questions when call is received in 311 Call Center, thus, eliminating a large number of phone calls to the departments.

Expenditure Overview

- Total expenditures decreased $55,986, or 9.9 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits decreased $29,181, or 6.8 percent, due to employee turnover.
- Supplies decreased $1,365, or 16.5 percent, as a result of decreases in mail handling fees.
- Other charges were eliminated, as a result of the removal of funds to create a mobile application.
- Scheduled Charges increased $9,657, or 14.2 percent, due to increases in data processing, liability insurance, and telephone allocations.

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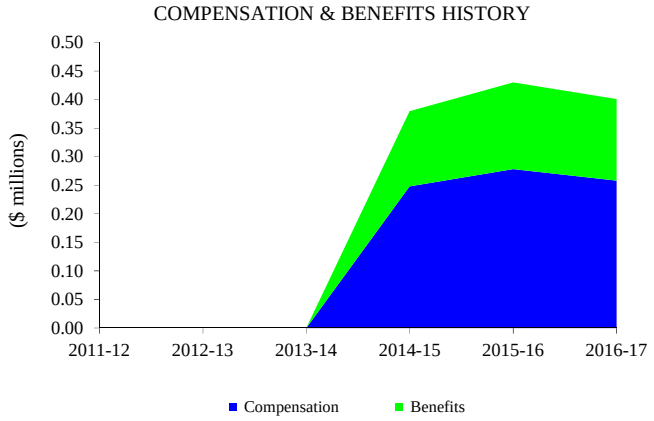
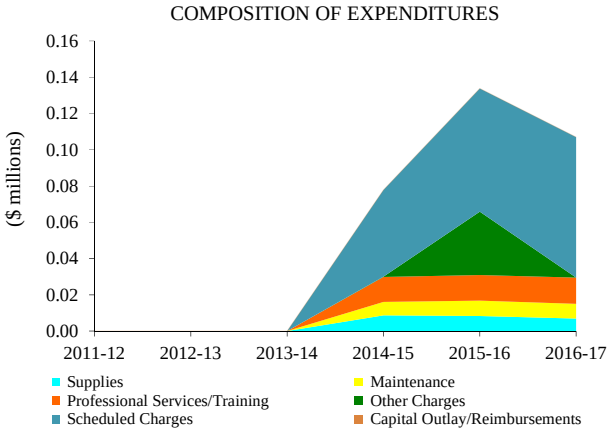
Public Information and Call Center Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13*	Actual FY 2013-14*	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ -	-	248,132	278,422	257,736	(7.4)
Benefits	-	-	131,271	151,827	143,332	(5.6)
Supplies	-	-	8,648	8,295	6,930	(16.5)
Maintenance	-	-	7,450	8,499	8,048	(5.3)
Professional Services/Training	-	-	13,750	14,065	14,420	2.5
Other Charges	-	-	179	35,000	-	(100.0)
Scheduled Charges	-	-	47,709	67,869	77,526	14.2
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ -	-	457,139	563,978	507,992	(9.9)

STAFFING	Actual FY 2012-13*	Actual FY 2013-14*	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Public Information	-	-	3	3	3	-
311 Call Center	-	-	4	4	4	-
TOTAL STAFFING	-	-	7	7	7	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
311 Calls Answered by Call Takers	148,218	137,408	137,884	63,135	125,000	98.0
311 On-Line Citizen Service Requests	1,526	1,452	2,177	869	1,499	72.5
News Releases	358	368	258	100	250	150.0
Employee Broadcasts	82	100	92	65	90	38.5
Main City Facebook Likes (Total)	1,658	1,869	2,824	4,500	6,500	44.4
Main City Followers (Total)	846	2,285	3,891	5,000	6,200	24.0
Communication Campaigns Produced	-	-	12	11	12	9.1
Video Projects	63	30	49	28	50	78.6
Internal Newsletter Views (Issue Average)	-	-	-	-	500	100.0
Internal Newsletter Views (Total)	-	-	-	-	6,000	100.0
External Newsletter Views (Issue Average)	-	-	-	-	5,000	100.0
External Newsletter Views (Total)	-	-	-	-	60,000	100.0

*The 311 Call Center was combined with the Communications department during FY 2012-13 and FY 2013-14

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Public Information and Call Center Expenditures

Public Information

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ -	-	135,376	160,650	139,764	(13.0)
Benefits	-	-	60,566	77,774	69,369	(10.8)
Supplies	-	-	6,021	5,856	5,070	(13.4)
Maintenance	-	-	7,450	8,499	8,048	(5.3)
Professional Services/Training	-	-	13,750	14,065	14,420	2.5
Other Charges	-	-	179	-	-	-
Scheduled Charges	-	-	30,052	30,968	36,469	17.8
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL PUBLIC INFORMATION	$ -	-	253,393	297,811	273,140	(8.3)

311 Call Center

EXPENDITURES BY CATEGORY	Actual FY 2012-13*	Actual FY 2013-14*	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ -	-	112,756	117,772	117,972	0.2
Benefits	-	-	70,705	74,053	73,963	(0.1)
Supplies	-	-	2,627	2,440	1,860	(23.7)
Maintenance	-	-	-	-	-	-
Professional Services/Training	-	-	-	-	-	-
Other Charges	-	-	-	35,000	-	(100.0)
Scheduled Charges	-	-	17,658	36,902	41,057	11.3
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL 311 CALL CENTER	$ -	-	203,746	266,166	234,852	(11.8)

*The 311 Call Center was combined with the Communications department during FY 2012-13 and FY 2013-14

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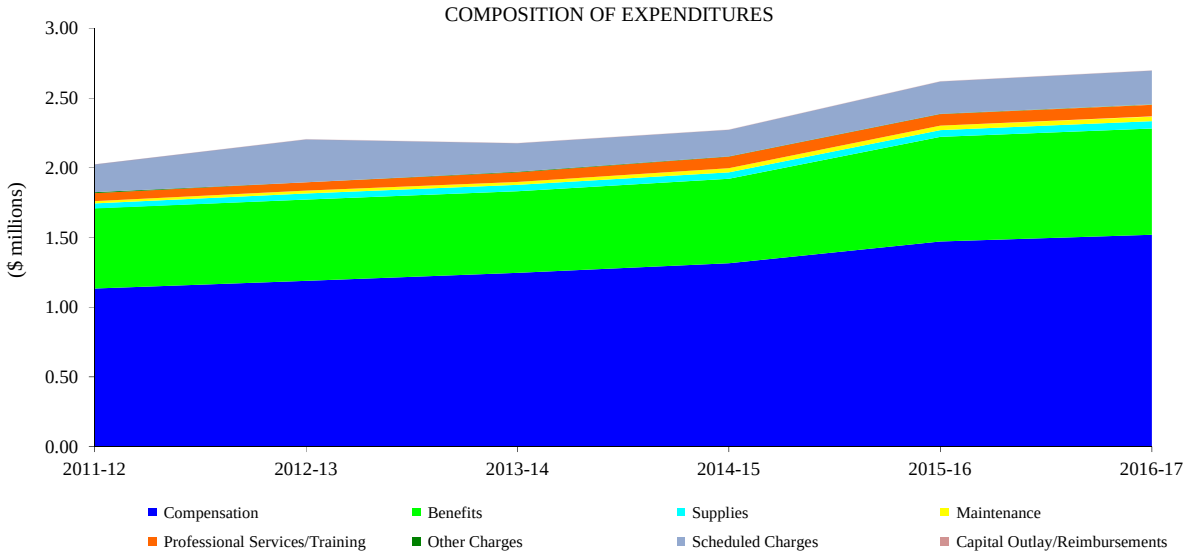
General Fund - Community Services



EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,187,249	1,245,082	1,314,742	1,471,610	1,519,368	3.2
Benefits	584,131	586,766	605,099	748,924	762,649	1.8
Supplies	42,878	44,622	46,915	49,085	51,992	5.9
Maintenance	21,356	21,687	30,597	31,959	33,437	4.6
Professional Services/Training	58,684	68,786	81,536	83,278	84,975	2.0
Other Charges	1,318	4,475	2,658	2,800	3,902	39.4
Scheduled Charges	308,177	204,793	191,472	231,407	241,378	4.3
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 2,203,793	2,176,211	2,273,020	2,619,062	2,697,702	3.0

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Building Inspection	$ 1,642,200	1,731,697	1,741,920	1,949,355	2,014,746	3.4
Planning	561,593	444,513	531,100	669,708	682,956	2.0
TOTAL EXPENDITURES BY DEPARTMENT	$ 2,203,793	2,176,211	2,273,020	2,619,062	2,697,702	3.0

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Building Inspection	22	24	24	24	26	2
Planning	5	5	7	7	7	-
TOTAL STAFFING	27	29	31	31	33	2

City of Lubbock TEXAS

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Building Inspection Overview

Mission and Purpose

Protect life, health, and property through effective and efficient administration of adopted construction codes and ordinances. The Building Inspection Department provides the following services:

- Examine construction plans and specifications.
- Issue and track building, plumbing, mechanical, and electrical permits.
- Inspect building, structural, plumbing, mechanical, and electrical systems.
- Issue Certificates of Occupancy and Completion.

Goals and Objectives

- Facilitate the adoption of modern codes and standards for fire and life safety in the building environment.
- Enforce adopted codes and standards in an efficient, fair, and equitable manner.
- Maintain partnerships with the public and stakeholder departments and organizations to facilitate quality assurance and code compliance.
- Educate the public with regard to the Department's responsibilities and the importance of its role in their lives.
- Provide a high level of efficient, professional, and friendly customer service.

Accomplishments for FY 2015-16

- Testing and deployment of Energov Version 2016.
- Deployment of limited electronic plan review capabilities and enhanced electronic inspection reporting capabilities.
- Establishment of an online citizen portal for issuance of permits, requesting inspections, and customer monitoring of inspection/permit review status.
- Brought to closure the issues associated with corrugated stainless steel tubing for purposes of amending the 2012 International Fuel Gas Code.
- Adoption of the 2012 International Codes.
- Began the review process for the 2015 International Codes.
- Provided consultation, plan review, and inspection service for a record dollar volume of construction activity.
- Began a participative in-house staff training program.
- Began quarterly training programs for the Building Board of Appeals.

Objectives for FY 2016-17

- Continually monitor and adjust business processes and/or reconfigure Energov software as needed to implement best management practices.
- Educate customers regarding use of Energov CAP (Citizen Access Portal) system as additional capabilities are brought online.
- Educate customers and train staff as electronic plan review and document management capabilities are expanded.
- Begin a space planning and document/management storage program effort for planned implementation in FY 2017-18.
- Provide for expanded staff training opportunities due to changing requirements for certification renewal.

Expenditures Overview

- Total expenditures increased $65,391, or 3.4 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits increased $64,534, or 3.9 percent, due to the addition of two full-time employees and increased insurance costs.
- Supplies increased $3,488, or 8.2 percent, due to an increase in educational supplies.
- Maintenance increased $1,477, or 4.6 percent, due to increased cost for communication equipment.
- Professional Services/Training increased $3,060, or 6.4 percent, due to transaction fees associated with customers paying by credit card.
- Scheduled Charges decreased $8,270, or 4.6 percent, due to a reduction in data processing charges.

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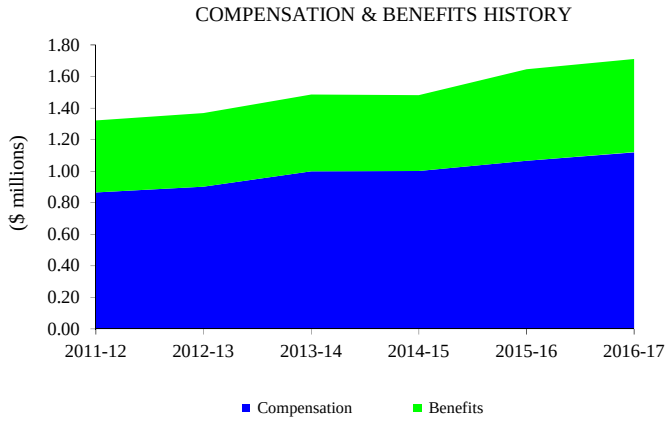
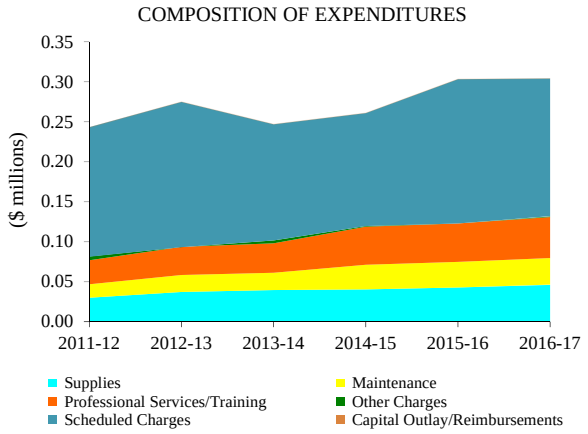
Building Inspection Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 901,747	997,816	1,000,328	1,066,367	1,119,526	5.0
Benefits	465,638	487,151	480,777	579,717	591,092	2.0
Supplies	37,206	39,573	40,450	42,765	46,253	8.2
Maintenance	21,356	21,687	30,597	31,959	33,437	4.6
Professional Services/Training	34,997	36,833	47,846	48,042	51,102	6.4
Other Charges	(218)	3,343	657	-	1,102	-
Scheduled Charges	181,474	145,294	141,264	180,504	172,235	(4.6)
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 1,642,200	1,731,697	1,741,920	1,949,355	2,014,746	3.4

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Building Inspection	22	24	24	24	26	2
TOTAL STAFFING	22	24	24	24	26	2

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Plans reviewed	3,893	3,551	3,603	4,276	3,848	(10.0)
New residential building permits	948	1,015	929	984	886	(10.0)
Non-residential permits	1,063	1,004	1,065	2,033	1,830	(10.0)
Structural inspections per day per inspector	12.7	11.2	11.2	13.0	12.4	(4.6)
Electrical inspections per day per inspector	12.5	9.2	9.4	9.8	9.2	(6.1)
Plumbing inspections per day per inspector	20.5	23.5	21.2	33.8	32.1	(5.0)

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Planning Overview

Department Mission and Purpose

Ensure a quality visual and physical environment through proper planning for land use, zoning, land subdivision, urban design and historic preservation. The Planning Department provides the following services:

- Coordinate the City's zoning, development, and annexation processes.
- Manage platting of property in the city limits and within the City's Extraterritorial Jurisdiction.
- Coordinate the City permitting process for alcoholic beverage permits and group homes.
- Review commercial, residential and sign plans for compliance with the zoning code.
- Work with urban design and historic preservation related activities, including downtown revitalization.
- Oversee the update to the Comprehensive Plan
- Coordinate and oversee the City's Mobile Food Vendor ordinance and Permit process.

Goals and Objectives

- Meet the needs of citizens, developers, and contractors concerning planning issues.
- Update and maintain the Comprehensive Plan, including land use, historic preservation, and growth management components.
- Provide quality service to citizens for zoning, platting, and annexation.

Accomplishments for FY 2015-16

- Completion of a comprehensive annexation report and policy update with the Annexation and Growth Advisory Committee.
- Successfully completed Annexation and Growth Advisory Committee's recommended annexations.
- Implementation of new review and permitting process for Mobile Food Vendors.

Objectives for FY 2016-17

- Complete update to the Comprehensive Plan through a capital improvement project.
- Continue working towards implementing GIS mapping for zoning in cooperation with the GIS and Data Services Department.

Expenditure Overview

- Total expenditures increased $13,248, or 2.0 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and Benefits decreased $3,050, or 0.5 percent, due to a reduction in part-time costs, offset by an increase in insurances costs.
- Supplies decreased $580, or 9.2 percent, due to a reduction in the mail handling fee.
- Professional Services/Training decreased $1,363, or 3.9 percent, due to a reduction in travel costs.
- Scheduled Charges increased $18,241 or 35.8 percent, due to an increase in data processing charges and liability insurance.

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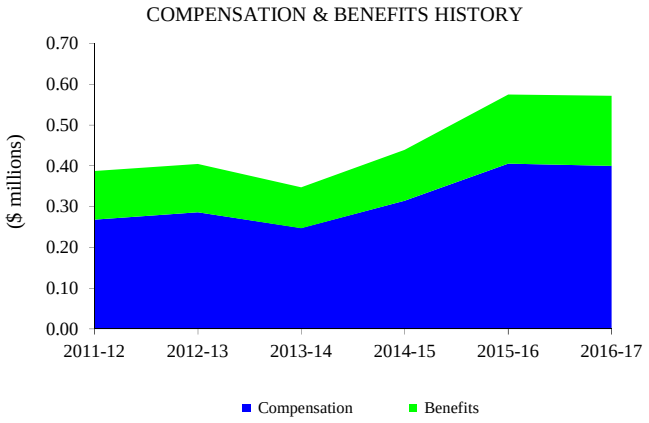
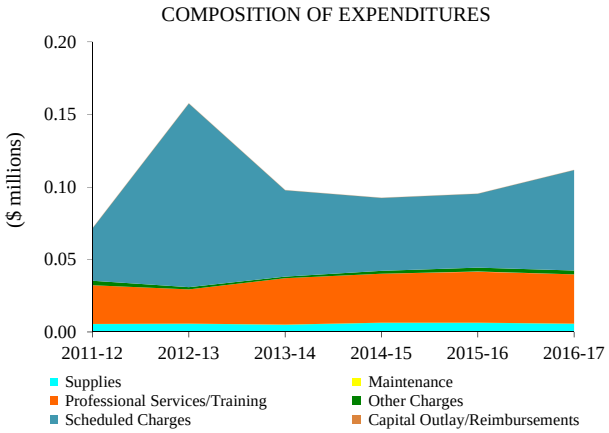
Planning Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 285,502	247,266	314,414	405,243	399,842	(1.3)
Benefits	118,493	99,616	124,322	169,206	171,558	1.4
Supplies	5,672	5,049	6,465	6,319	5,739	(9.2)
Maintenance	-	-	-	-	-	-
Professional Services/Training	23,687	31,953	33,690	35,236	33,873	(3.9)
Other Charges	1,536	1,131	2,002	2,800	2,800	-
Scheduled Charges	126,703	59,499	50,208	50,903	69,144	35.8
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 561,593	444,513	531,100	669,708	682,956	2.0

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Planning	5	5	7	7	7	-
TOTAL STAFFING	5	5	7	7	7	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
ZBA cases	262	180	198	150	174	16.0
Zone cases	59	75	99	120	139	15.8
Number of plats recorded	95	60	65	110	120	9.1
Total parcels (9/30)	87,613	87,613	88,289	89,555	89,411	(0.2)
Total Land Area Sq. Mi. (1/1)	123.8	123.8	124.0	125.0	128.0	2.4

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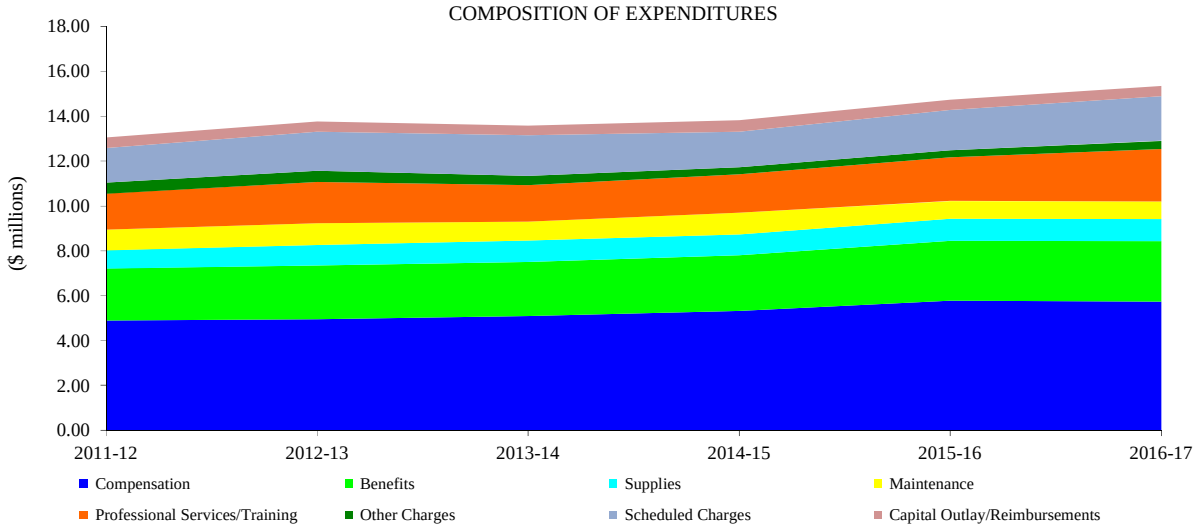
General Fund - Cultural and Recreation Services



EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 4,944,750	5,088,772	5,322,044	5,776,744	5,727,889	(0.8)
Benefits	2,392,903	2,402,935	2,472,493	2,663,075	2,700,159	1.4
Supplies	914,368	963,133	937,167	983,848	976,128	(0.8)
Maintenance	972,075	837,733	966,826	796,142	787,034	(1.1)
Professional Services/Training	1,839,345	1,623,371	1,713,489	1,943,802	2,343,415	20.6
Other Charges	496,342	421,681	305,295	314,036	365,740	16.5
Scheduled Charges	1,750,298	1,813,803	1,589,262	1,801,635	1,993,344	10.6
Capital Outlay/Reimbursements	451,853	426,767	514,184	452,000	455,000	0.7
TOTAL EXPENDITURES BY CATEGORY	$ 13,761,933	13,578,195	13,820,760	14,731,282	15,348,709	4.2

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Libraries	$ 3,708,159	3,680,837	3,443,835	3,638,421	3,700,160	1.7
Museums	856,101	884,957	974,323	1,026,498	1,047,999	2.1
Parks and Recreation	9,197,674	9,012,401	9,402,601	10,066,364	10,600,550	5.3
TOTAL EXPENDITURES BY DEPARTMENT	$ 13,761,933	13,578,195	13,820,760	14,731,282	15,348,709	4.2

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Libraries	36	36	36	36	36	-
Museums	8	8	8	8	8	-
Parks and Recreation	80	80	81	81	81	-
TOTAL STAFFING	124	124	125	125	125	-

City of Lubbock TEXAS

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Libraries Overview

Mission and Purpose

Serve the community through open access to information, recreation, cultural awareness, and lifelong learning resources. The Libraries provide the following services:

- Library materials—including books, multimedia, genealogy research sources, e-books, and online databases.
- Public computing access—including Internet access, word processing, and children's software.
- Reference and information resources—serving patrons on-site, by telephone, mail, and e-mail.
- Programs for children and adults.
- Meeting rooms for community groups.

Goals and Objectives

- Conduct summer reading programs for children and teens.
- Conduct specialized programs for teens.
- Conduct programs for adults, including computer classes, informative programs, and recreational activities.
- Increase library presence on selected social media sites to encourage library usage.
- Increase the visibility of mobile apps in providing library services.
- Market online research databases to increase effectiveness and usage.

Accomplishments for FY 2015-16

- The Friends of the Library donated $136,010 for library projects including programming expenses for children, adults, and young adults, Collection HQ, staff education, the leased book collection, e-books, and display shelving.
- As a member of the TexShare consortium, the library pays $4,763.98 for online access to $318,396.89 worth of databases.
- The Godeke "Cuddle Corner" will be dedicated in the summer of 2016, creating a special space for children and their caregivers. The funding for this project is from the group Cuddle Up and Read Lubbock, or CURL. Art for the space will be completed as a future project.
- A grant was received from the South Plains Foundation for $6,500 to purchase laptops, printer, and resume building software to enhance computer classes at the Patterson Branch Library.
- The CH Foundation awarded a grant for $19,885 for the purchase of e-books and downloadable audio books on the library's e-book platform, Overdrive.
- The Patterson Branch Library celebrated its 20th Anniversary on February 27, 2016 during its annual African-American History Month program. Congressman Emanuel Cleaver (D-Mo.) was the special guest speaker. The Family Learning Center at Patterson was dedicated as the "Helen Viser-Fitzgerald Family Learning Center" in memory of the longtime Patterson Branch Manager, Helen Viser-Fitzgerald. Sponsors of the event were Southwest Digest, Lusk-Fitzgerald Fund, and Friends of the Library.
- A state-of-the-art microfilm reader printer was purchased and installed for the use of both staff and library patrons.
- A new roof and HVAC system were installed at the Patterson Branch Library. New paint and carpet replacement are scheduled to complete this capital project in FY 2015-16.
- The library qualified as a "net lender" again with the statewide Interlibrary Loan program, meaning the Lubbock library loaned more materials to other Texas Libraries than what Lubbock patrons borrowed. As a result, the City received a payment of $17,301 from the Texas State Library, as a reimbursement for providing this service.
- The first year of Freegal, the online music service, was very successful. There were 3,038 songs downloaded and 10,922 songs streamed by library cardholders. This service is available on the library's web site.
- E-book checkouts, including downloadable audio books, have increased 26 percent over last fiscal year.
- There are 40 public access computers, with 51,616 users during FY 2014-15.
- New programs for adults and children have been conducted including coloring for adults, Lego programs, and "Coffee and Conversation" with local leaders. Local author programs have also been very successful. Book discussion groups also have a loyal following.
- Staff have been diligently working with Collection HQ to improve the management of the library collections, including the transfer to materials to other locations within the library system and targeted purchases that reflect usage patterns.

Objectives for FY 2016-17

- Improve the online experience for library users.
- Implement an online payment system for fines and fees.
- Continue to explore grant opportunities to provide enhancements to library services.
- Continue to refine the library's presence on social media.
- Continue to provide excellent customer service and safe and welcoming library facilities.

Expenditure Overview

- Total expenditures increased $61,739, or 1.7 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits decreased $4,978, or 0.2 percent, due to staff turnover.
- Supplies decreased $2,492, or 5.8 percent, as a result of decreases in mail handling and projected decreases in fuel costs.

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Libraries Overview

- Professional Services/Training increased $994, or 1.2 percent, due to a projected increase in use of credit cards to pay fines and dues.
- Other Charges increased by $35,159, or 26.0 percent, as a result of increased lease cost for the Godeke location.
- Scheduled Charges increased by $30,152, or 6.0 percent, due to increases in data processing, electric, and liability insurance allocations, offset by projected decreases in gas utility and postage charges.
- Capital Outlay increased $3,000, or 0.7 percent, as a result of the increased costs for library materials.

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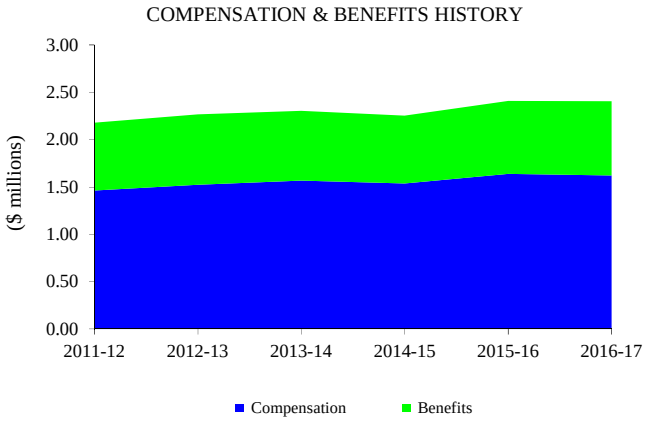
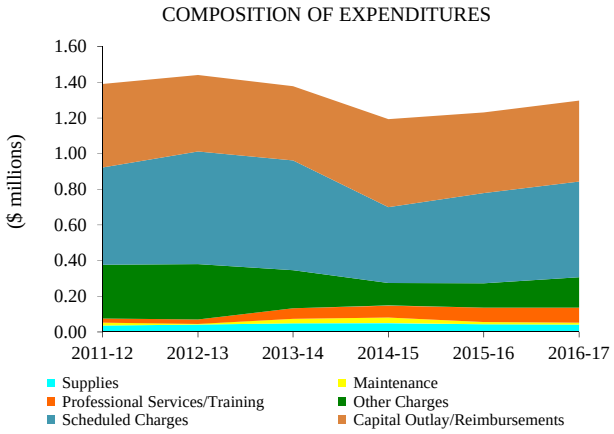
Libraries Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,523,977	1,564,782	1,536,319	1,638,690	1,621,857	(1.0)
Benefits	743,466	739,448	714,977	769,494	781,350	1.5
Supplies	40,715	47,569	49,261	42,811	40,320	(5.8)
Maintenance	5,071	26,209	31,227	11,683	11,587	(0.8)
Professional Services/Training	23,881	58,816	67,634	82,459	83,453	1.2
Other Charges	310,586	212,551	125,437	135,158	170,317	26.0
Scheduled Charges	631,315	615,464	426,020	506,125	536,277	6.0
Capital Outlay/Reimbursements	429,148	415,998	492,959	452,000	455,000	0.7
TOTAL EXPENDITURES BY CATEGORY	$ 3,708,159	3,680,837	3,443,835	3,638,421	3,700,160	1.7

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Mahon Library	21	21	21	21	21	-
Godeke Library	5	5	5	5	5	-
Patterson Library	5	5	5	5	5	-
Groves Library	5	5	5	5	5	-
TOTAL STAFFING	36	36	36	36	36	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Materials circulated	939,803	946,270	1,083,135	1,156,931	1,168,500	1.0
Library attendance	513,555	513,555	501,346	489,298	494,190	1.0
Total library services	2,415,677	2,503,027	2,974,603	2,905,261	2,934,283	1.0
Total expenditures per capita	$ 13.99	13.89	13.39	13.55	15.18	12.0
Materials expenditure per capita	$ 1.61	1.57	1.70	1.68	1.87	11.3
Information user assistance	418,181	343,625	356,560	556,784	562,351	1.0
Electronic reference usage	258,680	437,147	756,726	450,904	455,413	1.0

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Libraries Expenditures

Mahon Library

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 925,559	962,815	933,798	970,122	961,493	(0.9)
Benefits	447,330	446,586	432,635	451,743	461,245	2.1
Supplies	32,170	34,701	39,273	31,841	31,088	(2.4)
Maintenance	4,396	3,661	10,948	7,683	7,587	(1.3)
Professional Services/Training	23,644	43,711	74,655	81,784	82,403	0.8
Other Charges	916	447	3,059	3,661	1,500	(59.0)
Scheduled Charges	387,622	364,101	294,632	359,294	338,353	(5.8)
Capital Outlay/Reimbursements	228,756	214,430	262,016	227,000	230,000	1.3
TOTAL MAHON LIBRARY	$ 2,050,392	2,070,453	2,051,015	2,133,128	2,113,669	(0.9)

Godeke Library

EXPENDITURES BY CATEGORY						
Compensation	$ 230,033	224,201	237,312	251,321	244,152	(2.9)
Benefits	103,850	100,650	104,966	110,321	109,783	(0.5)
Supplies	2,884	4,903	3,437	3,657	3,077	(15.9)
Maintenance	-	17,430	16,370	1,000	1,000	-
Professional Services/Training	112	15,004	(7,265)	500	750	50.0
Other Charges	309,205	211,571	120,992	130,487	168,167	28.9
Scheduled Charges	77,249	79,250	42,929	44,006	67,220	52.7
Capital Outlay/Reimbursements	75,387	75,580	78,293	75,000	75,000	-
TOTAL GODEKE LIBRARY	$ 798,720	728,589	597,034	616,293	669,149	8.6

Patterson Library

EXPENDITURES BY CATEGORY						
Compensation	$ 177,881	182,980	146,876	192,643	191,408	(0.6)
Benefits	93,673	93,268	73,600	99,101	101,186	2.1
Supplies	2,499	3,528	2,746	3,657	3,077	(15.8)
Maintenance	675	2,410	3,723	1,500	1,500	-
Professional Services/Training	63	40	137	100	200	100.0
Other Charges	81	162	399	250	250	-
Scheduled Charges	80,293	84,064	41,918	50,510	59,480	17.8
Capital Outlay/Reimbursements	70,005	69,957	77,780	75,000	75,000	-
TOTAL PATTERSON LIBRARY	$ 425,170	436,410	347,179	422,760	432,101	2.2

Groves Library

EXPENDITURES BY CATEGORY						
Compensation	$ 190,503	194,786	218,333	224,605	224,805	0.1
Benefits	98,613	98,944	103,775	108,329	109,136	0.7
Supplies	3,162	4,436	3,805	3,657	3,077	33.3
Maintenance	-	2,707	187	1,500	1,500	33.3
Professional Services/Training	63	62	108	75	100	33.3
Other Charges	384	371	987	760	400	(47.4)
Scheduled Charges	86,151	88,049	46,541	52,314	71,224	36.1
Capital Outlay/Reimbursements	55,001	56,031	74,871	75,000	75,000	-
TOTAL GROVES LIBRARY	$ 433,877	445,385	448,607	466,240	485,241	4.1

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Museums Overview

Mission & Purpose

The Buddy Holly Center, a historical site, has dual missions—preserving, collecting and promoting the legacy of Buddy Holly and the music of Lubbock and West Texas, as well as providing exhibits on Contemporary Visual Arts and Music, for the purpose of educating and entertaining the public. Programs are designed to encourage public interest and cultivate an atmosphere where art and music are celebrated.

The Silent Wings Museum preserves and promotes the history of the World War II military glider program by creating an ideal environment for collecting, documenting, and interpreting artifacts and information for public education and enjoyment. Programs are designed to encourage public interest and cultivate an atmosphere where community, history and veterans are celebrated.

The Museums Department provides the following services:

- Collect artifacts, photographs, and archival documents from the World War II Glider Pilot Program, Buddy Holly, West Texas Music and the Fine Arts.
- Provide dynamic and educational exhibitions on the World War II Glider Pilot Program, Aviation history, Buddy Holly, West Texas Music and the Fine Arts.
- Provide both free and affordable educational and outreach programs to the community.
- Offer books, DVDs, clothing, and reproduction souvenirs in the Museum gift shops.
- Provide research and reference services to the public and other museums.
- Provide research and collection loan requests to various institutions.

Goals and Objectives

- Host world-class exhibitions, educational programs, and special events for the West Texas region.
- Become a national, historical, and cultural attraction.
- Promote local museums and cultural attractions to visitors and citizens.
- Maintain, diversify, and enhance the quality of local cultural, recreational, and educational opportunities.
- Continue to have a patriotic social connection to the City's history.
- Utilize population growth to obtain new visitors and volunteers.

Accomplishments for FY 2015-16

- The Silent Wings Museum continued work on the Conservation Assessment Program recommendations, a program of Heritage Preservation and the Institute of Museum and Library Services. Staff finished the process of having film and video tapes digitized.
- The Silent Wings Museum continued to work towards meeting the recommendations of the American Association of Museums Collections Assessment and the Conservation Assessment.
- Through a generous grant, the Silent Wings Museum hosted a traveling exhibition from the National World War II Museum, *We Remember*.
- Through the support of the Silent Wings Museum Foundation, Inc. and donors, the Museum was able to have the C-47 painting and repair completed.
- The Silent Wings Museum staff completed work on a brochure to accompany the audio tour that is available to the public to enhance their tour experience.
- The Buddy Holly Center hosted its successful Summer Showcase Concert Series to more than 5,000 visitors.
- The Buddy Holly Center acquired a complete set of dishes and serving pieces donated by J.I. Allison for use in the Allison House. The dishes were originally used in the household during the years the family inhabited the house and many pieces were stored in the original hutch that is on exhibit in the House.
- The Buddy Holly Center acquired from the Buddy Holly Educational Foundation a 1953 Les Paul Gibson gold top guitar, case, and amp owned by Buddy Holly and traded back to the B. E. Adair Music Company of Lubbock in partial payment for Buddy's first Fender guitar in the spring of 1955.
- The Buddy Holly Center hosted a traveling exhibition *Girls on Film* from the Rock and Roll Hall of Fame from July 1- September 11, 2016. A speaker from the Rock Hall visited the Center for a gallery talk followed by a reception and courtyard performance. Funding for this project was made possible by The CH Foundation and Supporters of the Fine Arts.
- Buddy Holly Center staff worked with Southwest Museums Services to replace the timeline panels in the permanent Buddy Holly Gallery. This project was made possible by the generous support of the Helen Jones Foundation, various donors and Supporters of the Fine Arts.
- Municipal museums increased the number of public programs with the assistance of grant funds from support organizations.
- Municipal museums hosted more than 35 special events; including workshops, gallery talks, lectures, demonstrations and exhibits for the public, free of charge.
- Assisted over 20 area non-profit organizations with citywide community events.

Objectives for FY 2016-17

- Provide quality exhibits and facilities.
- Educate the community concerning museum programs and services.
- Expand educational and volunteer opportunities.
- Continue to offer user-friendly social media sites and web-based tours.

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Museums Overview

- Increase fundraising efforts.
- Work toward increasing interactive technology in the exhibitions.
- Advertise rental and availability of museum facilities, including the Buddy and Maria Elena Holly Plaza. The goal is to increase rentals and community use of the space.
- Work with I.T. to set-up a new point of sale software system in the Museums' gift shops.
- Coordinate citywide special events.

Expenditure Overview

- Budgeted expenditures increased $21,502, or 2.1 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits increased $6,888 or 1.0 percent, due to an increase in insurance costs, and the market adjustment for one position.
- Supplies decreased $1,159, or 4.8 percent, due to a decrease in the mail handling fee.
- Scheduled Charges increased $16,573, or 9.2 percent, due to an increase in data processing, liability insurance, and electric costs.

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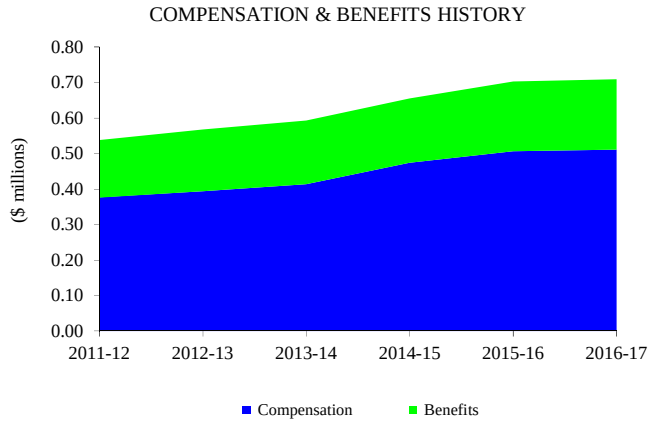
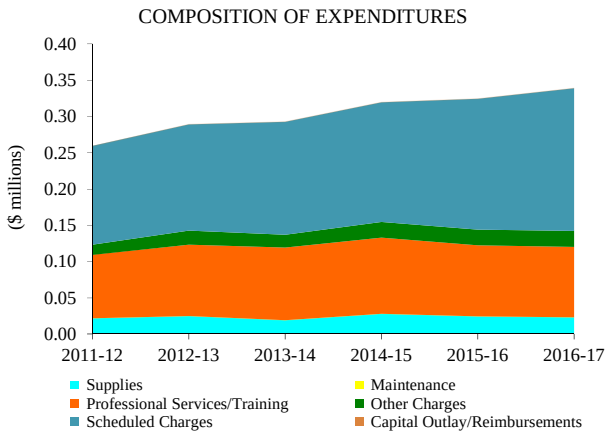
Museums Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 393,221	412,933	473,760	505,970	510,623	0.9
Benefits	173,907	179,439	181,038	196,288	198,523	1.1
Supplies	24,604	18,893	27,834	24,199	23,040	(4.8)
Maintenance	-	-	-	-	-	-
Professional Services/Training	98,628	100,203	104,935	97,785	96,985	(0.8)
Other Charges	19,235	17,697	21,554	21,900	21,900	-
Scheduled Charges	146,506	155,791	165,203	180,355	196,928	9.2
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 856,101	884,957	974,323	1,026,498	1,047,999	2.1

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Buddy Holly Center	6	5	5	5	5	-
Silent Wings Museum	2	3	3	3	3	-
TOTAL STAFFING	8	8	8	8	8	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Museum Attendance	48,551	49,830	49,342	50,000	50,000	-
Number of Exhibitions	22	23	29	20	20	-
Revenue from Tours	$ 3,940	3,502	3,431	3,500	3,500	-
Gift Shop Merchandise Sales	$ 136,639	152,110	158,184	158,000	158,000	-
Revenue from Admissions	$ 65,306	70,229	81,975	90,000	90,000	-

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Museums Expenditures

Buddy Holly Center

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 239,251	259,336	309,147	319,856	322,330	0.8
Benefits	96,955	102,016	105,286	109,372	110,201	0.8
Supplies	13,083	11,880	16,507	13,650	13,070	(4.2)
Maintenance	-	-	-	-	-	-
Professional Services/Training	69,108	68,111	72,507	65,475	65,475	-
Other Charges	8,394	6,536	9,188	8,500	8,500	-
Scheduled Charges	72,555	76,305	88,902	94,088	101,627	8.0
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL BUDDY HOLLY CENTER	$ 499,347	524,184	601,536	610,941	621,203	1.7

Silent Wings Museum

EXPENDITURES BY CATEGORY						
Compensation	$ 153,969	153,597	164,613	186,114	188,293	1.2
Benefits	76,952	77,423	75,752	86,916	88,322	1.6
Supplies	11,520	7,013	11,326	10,550	9,970	(5.5)
Maintenance	-	-	-	-	-	-
Professional Services/Training	29,520	32,092	32,428	32,310	31,510	(2.5)
Other Charges	10,842	11,161	12,366	13,400	13,400	-
Scheduled Charges	73,950	79,486	76,302	86,267	95,301	10.5
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL SILENT WINGS MUSEUM	$ 356,754	360,773	372,787	415,557	426,796	2.7

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Parks and Recreation Overview

Mission and Purpose

Provide a quality system of parks, open spaces, facilities, and cultural and leisure programs to meet citizen's expectations. The Parks and Recreation Department consists of five sections including Park Maintenance, Park Development, Indoor Recreation, Outdoor Recreation, and the Garden and Arts Center (GAC).

- Park Maintenance provides maintenance of parks, City facilities, right-of-ways, and medians.
- Park Development provides design services, park planning, construction, and contract administration.
- Indoor Recreation offers year-round leisure and educational classes, activities, youth camps, after school programs and special events for all ages through its Community and Senior Centers.
- Outdoor Recreation provides athletic facilities and programming for youth and adult sports leagues, as well as educational, safety, and aquatic programs.
- The Garden and Arts Center offers art education classes, monthly exhibits, garden classes and shows, summer camps, and art and photography competitions for youth, adults, seniors, and families.

The Parks and Recreation Department provides the following services:

- Maintains and improves the parks system and right-of-ways, including trash and litter pick-up, mowing, edging, and trimming, installation of plant material, repair of equipment and structures, and irrigation installation and repair.
- Develops, supervises, and monitors accessible, recreational, cultural, and educational programs for people of all ages.
- Promotes and supervises junior, adult, and senior tennis programs at the Burgess Rushing Tennis Center.
- Promotes and supervises open recreation, private groups, and programming at four municipal swimming pools.

Goals and Objectives

- Provide planning and design for functional and accessible park facilities.
- Gather citizen input concerning leisure service facilities and activities.
- Develop full-service recreational facilities, establish a comprehensive recreation program and provide a wide array of recreational, cultural, and educational activities.
- Maintain and improve city parklands, sports facilities, and other assigned lands.

Accomplishments for FY 2015-16

- Replaced sod and fencing on field 1 at Berl Huffman Athletic Complex.
- Completed in-house lighting installation project at Hoel Park.
- Installed lighting at McAlister Skate Park.
- Updated irrigation system at Carter Park.
- Performed major repairs of bridge at the Fiesta Plaza area of Lake 1.
- Installed warning signs and bollards to prevent anything but pedestrian traffic on bridges in parks.
- Attendance at 59th annual Santa Land was 64,420 persons.
- The Parks and Recreation Facebook page has close to 4,000 participants. The monthly newsletter reaches over 1,800 users.
- Parks and Recreation received the Texas Amateur Athletic Federation (TAAF) Silver Member City Award for outstanding registration support in individual and team programs.
- Meals served to senior citizens increased by 4 percent.
- Surveyed senior citizens in an effort to plan programming that meets their needs and wants.
- New programming was implemented at 5 community and 4 activity centers.
- Installed new playground equipment with maintenance-friendly surfacing at Clapp and Simmons Parks.
- Installed new pavilions with grills and picnic tables at Ratliff, Smith, and Hinojosa Parks.
- Designed and supervised construction of irrigation updates to Whisperwood medians.
- Redesigned Lakewood Development's storm water retention basin to incorporate a walking trail and other amenities.
- Developed an interactive map of the entire parks system for the Parks and Recreation website, providing easily obtainable and up-to-date information on every park and its amenities in the system. Two months after launch, the map had over 2,500 views.
- Designed to a schematic level the proposed Anju Gill Memorial Dog Park that is to be funded through a donation from the Gill family.
- Digitized all Park Development files or archiving on the server.
- Developed a GIS database to house park data in the following areas: amenities, mowing areas, tree inventory, and natural wildlife habitat areas.
- Began updating the 2011 Parks and Open Space Master Plan.

Objectives for FY 2016-17

- Complete renovations to field 4 at Berl Huffman Softball Complex.
- Identify and implement natural areas in existing parks.
- Paint and repair tennis and sport courts at all parks on a priority basis.
- Repair bridges in applicable parks on a priority basis.
- Update and improve the central irrigation system as needed.
- Update ball-field lighting systems to LED lighting with remote management capability.

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Parks and Recreation Overview

- Continue to provide and develop full-service recreation programs for all age groups.
- Continue to provide and promote a wide array of recreational and leisure activities for all ages.
- Partner with the Lubbock Fire Department to enhance Safety City Programming.
- Implement drought-tolerant plant material in park settings.
- Redesign the 2011 Master Plan document using Adobe InDesign and launch the document on the website.
- Use GIS to assist Park Maintenance in tree inventory, mowing, trash collection, and new plantings.

Expenditures Overview

- Total budgeted expenditures increased $534,186 or 5.3 percent, when compared with the adopted FY 2015-16 Operating Budget.
- Compensation and Benefits decreased $13,680, or 0.3 percent due to turnover and a decrease in part-time funding.
- Maintenance decreased $9,011, or 1.1 percent, due to a reduction in fuel and equipment maintenance costs.
- Professional Services/Training increased $399,419, or 22.6 percent, due to increases in contracted services for landscape maintenance at City parks.
- Other Charges increased $16,545, or 10.5 percent, due to increases in building rental for the Parks Main Office located at 1611 10th Street.
- Scheduled Charges increased $144,984, or 13.0 percent, due to an increase in data processing charges, liability insurance, and electric costs.

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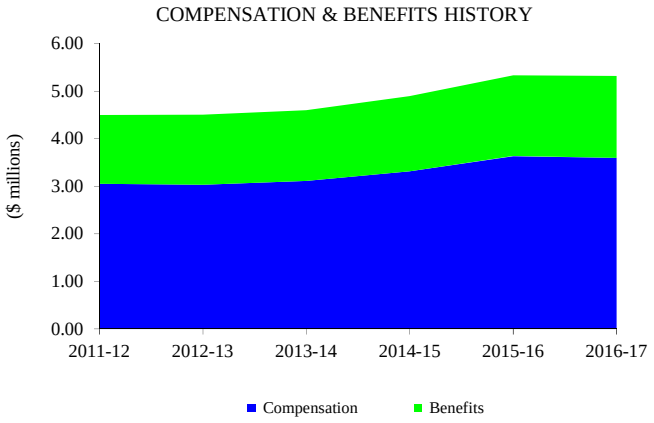
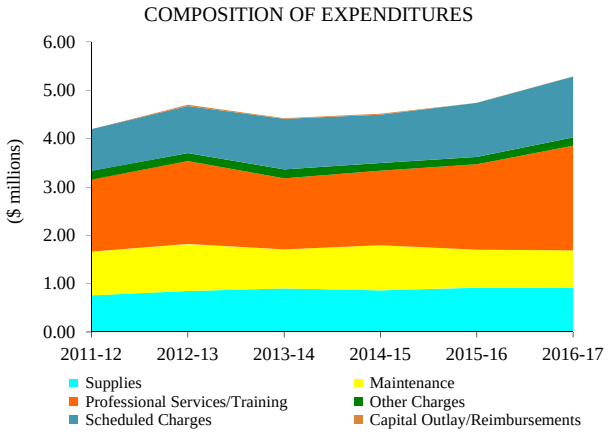
Parks and Recreation Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 3,027,553	3,111,056	3,311,965	3,632,084	3,595,409	(1.0)
Benefits	1,475,529	1,484,048	1,576,478	1,697,292	1,720,287	1.4
Supplies	849,049	896,671	860,072	916,838	912,767	(0.4)
Maintenance	967,004	811,525	935,599	784,459	775,448	(1.1)
Professional Services/Training	1,716,836	1,464,351	1,540,921	1,763,558	2,162,977	22.6
Other Charges	166,520	191,433	158,304	156,978	173,523	10.5
Scheduled Charges	972,478	1,042,548	998,038	1,115,155	1,260,139	13.0
Capital Outlay/Reimbursements	22,704	10,770	21,224	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 9,197,674	9,012,401	9,402,601	10,066,364	10,600,550	5.3

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Park Maintenance	63	63	63	63	63	-
Park Development	3	3	4	4	4	-
Garden & Arts Center	1	1	1	1	1	-
Indoor Recreation	9	9	9	9	9	-
Outdoor Recreation	4	4	4	4	4	-
TOTAL STAFFING	80	80	81	81	81	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Tree City USA Award	11	12	13	14	15	7.1
Construction contracts managed	11	10	9	7	7	-
Community Center attendance	133,312	153,526	253,253	253,000	253,000	-
Senior Center attendance	111,794	108,843	141,176	142,000	145,000	2.1
Tournament participation	1,260	920	1,400	1,700	1,700	-
Tennis center tournament participation	980	769	882	875	875	-

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Parks and Recreation Expenditures

Park Maintenance

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 2,038,409	2,006,451	2,158,223	2,329,125	2,308,277	(0.9)
Benefits	1,116,330	1,093,501	1,180,990	1,278,836	1,291,418	1.0
Supplies	677,390	717,787	659,152	706,696	705,600	(0.2)
Maintenance	938,277	791,577	894,560	757,488	749,142	(1.1)
Professional Services/Training	1,366,708	1,127,320	1,180,223	1,403,765	1,806,851	28.7
Other Charges	112,725	111,512	115,978	102,594	119,138	16.1
Scheduled Charges	420,883	455,373	437,691	474,969	524,985	10.5
Capital Outlay/Reimbursements	22,076	9,676	21,224	-	-	-
TOTAL PARK MAINTENANCE	$ 6,692,799	6,313,197	6,648,043	7,053,472	7,505,410	6.4

Park Development

EXPENDITURES BY CATEGORY						
Compensation	$ 52,346	108,062	101,928	159,072	155,108	(2.5)
Benefits	26,422	44,644	40,422	74,400	73,270	(1.5)
Supplies	3,526	3,976	5,023	7,398	6,746	(8.8)
Maintenance	7,498	5,223	26,310	5,879	5,691	(3.2)
Professional Services/Training	2,316	2,935	1,188	3,186	3,200	0.4
Other Charges	8,134	15,588	8,591	11,174	11,175	0.0
Scheduled Charges	26,260	25,368	27,165	27,027	45,129	67.0
Capital Outlay/Reimbursements	-	1,094	-	-	-	-
TOTAL PARK DEVELOPMENT	$ 126,502	206,891	210,628	288,135	300,319	4.2

Garden & Arts Center

EXPENDITURES BY CATEGORY						
Compensation	$ 71,085	72,074	79,399	91,135	83,003	(8.9)
Benefits	23,920	24,759	26,377	29,334	27,220	(7.2)
Supplies	7,597	8,560	7,407	10,900	10,320	(5.3)
Maintenance	1,385	1,504	-	-	-	-
Professional Services/Training	26,966	41,230	39,954	28,500	28,500	-
Other Charges	1,868	1,550	947	4,000	4,000	-
Scheduled Charges	22,641	25,532	28,120	33,448	35,311	5.6
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL GARDEN & ARTS CENTER	$ 155,461	175,210	182,204	197,316	188,354	(4.5)

Indoor Recreation

EXPENDITURES BY CATEGORY						
Compensation	$ 543,023	572,862	600,510	633,061	629,335	(0.6)
Benefits	203,481	206,420	214,666	216,644	228,965	5.7
Supplies	65,795	68,101	72,125	71,865	70,765	(4.9)
Maintenance	14,137	9,237	7,251	9,383	9,099	(4.9)
Professional Services/Training	116,232	126,213	128,429	135,188	128,507	(4.9)
Other Charges	14,682	22,746	4,446	5,380	5,380	-
Scheduled Charges	257,690	277,837	185,518	211,314	256,354	21.3
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL INDOOR RECREATION	$ 1,215,040	1,283,415	1,212,945	1,282,835	1,328,404	3.6

Outdoor Recreation

EXPENDITURES BY CATEGORY						
Compensation	$ 322,689	351,607	371,905	419,691	419,686	(0.0)
Benefits	105,377	114,724	114,021	98,079	99,414	1.4
Supplies	94,740	98,246	116,365	119,980	119,337	(0.5)
Maintenance	5,707	3,984	7,478	11,708	11,515	(1.6)
Professional Services/Training	204,614	166,653	191,127	192,920	195,920	1.6
Other Charges	29,112	40,037	28,342	33,830	33,830	-
Scheduled Charges	245,004	258,438	319,544	368,397	398,360	8.1
Capital Outlay/Reimbursements	628	-	-	-	-	-
TOTAL OUTDOOR RECREATION	$ 1,007,872	1,033,689	1,148,781	1,244,604	1,278,063	2.7

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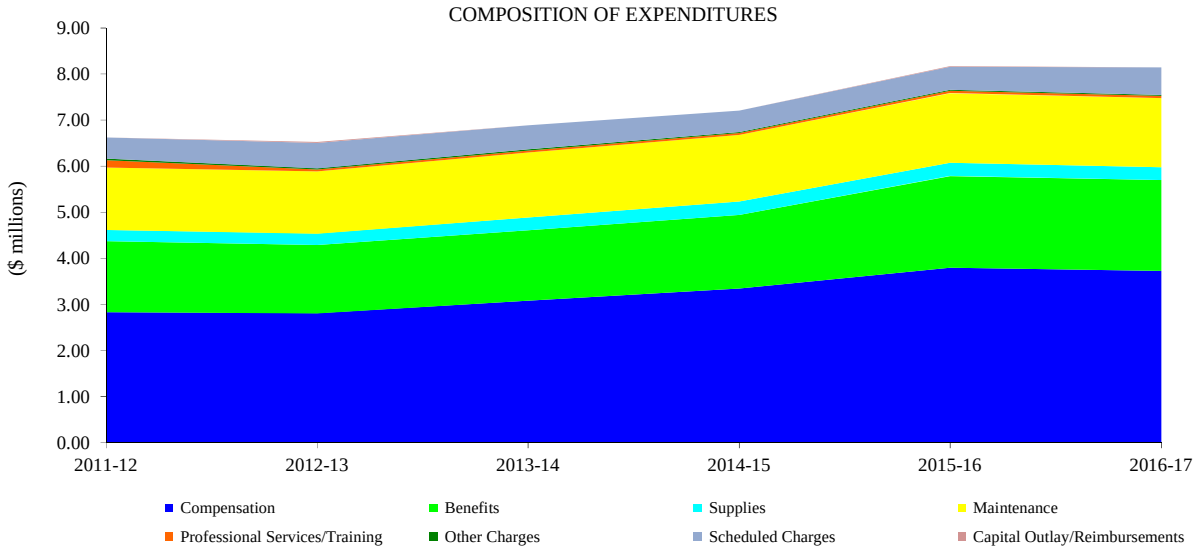
General Fund - Public Works



EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 2,804,847	3,076,889	3,340,499	3,796,079	3,723,590	(1.9)
Benefits	1,483,512	1,533,538	1,598,832	1,988,244	1,973,766	(0.7)
Supplies	248,161	276,488	289,701	287,387	274,746	(4.4)
Maintenance	1,348,470	1,411,016	1,446,334	1,512,422	1,502,181	(0.7)
Professional Services/Training	42,213	37,373	41,147	52,352	50,179	(4.2)
Other Charges	25,550	26,228	25,142	22,902	21,525	(6.0)
Scheduled Charges	551,711	520,319	465,138	498,525	596,151	19.6
Capital Outlay/Reimbursements	17,158	3,618	-	12,000	-	(100.0)
TOTAL EXPENDITURES BY CATEGORY	$ 6,521,622	6,885,470	7,206,794	8,169,910	8,142,136	(0.3)

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Engineering	$ 1,035,562	1,182,724	1,267,090	1,404,629	1,364,931	(2.8)
Streets	2,318,275	2,385,994	2,596,623	3,055,858	3,094,923	1.3
Traffic	3,167,785	3,316,752	3,343,081	3,709,423	3,682,283	(0.7)
TOTAL EXPENDITURES BY DEPARTMENT	$ 6,521,622	6,885,470	7,206,794	8,169,910	8,142,136	(0.3)

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Engineering	17	17	17	17	16	(1.0)
Streets	36	36	36	36	36	-
Traffic	36	36	37	37	37	-
TOTAL STAFFING	89	89	90	90	89	(1.0)

City of Lubbock TEXAS

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Engineering Overview

Mission and Purpose

Provide safe, efficient, and economical transportation, street, and storm drainage systems through planning, engineering, construction, and land transactions. The Engineering Department provides the following services:

- Review new subdivision plats and outside engineered construction plans.
- Manage and monitor outside engineering and construction contracts.
- Prepare and maintain the citywide map base and right-of-way (ROW) map collection.
- Acquire ROW and easements for all City departments.
- Issue Street and Alley Use Licenses.
- Handle ROW closures and sale of City-owned properties.
- Manage use of ROW through permitting and oversight of utility installations.

Goals and Objectives

- Review plans, perform construction inspection, and provide materials testing for new subdivision paving and drainage improvements.
- Use appropriate allocation of financial resources, effective engineering and planning, and timely land acquisition to provide citizens with effective and efficient transportation services.
- Build and maintain infrastructure along with, and ahead of, developing areas.

Accomplishments for FY 2015-16

- Completed the design of the Erskine Street T-1 Thoroughfare section from Quaker Avenue to Indiana Avenue.
- Completed the ROW acquisition and construction plans for Erskine Street from Martin Luther King Jr. Boulevard to Loop 289.
- Completed the design and construction of various ADA ramp improvements around the City.
- Completed the in-house design and ROW acquisition for widening Glenna Goodacre at Avenue Q.
- Completed the ROW acquisition and construction plans for widening of 98th Street from University Avenue to Interstate 27.
- Completed the design of the North University Enhancement Project from Marsha Sharp Freeway to US 84.

Objectives for FY 2016-17

- Continuation of ongoing commitment of the City to upgrade handicap ramps and pedestrian facilities.
- Complete the construction of 98th Street from University Avenue to Interstate 27 to a T-2 Thoroughfare.
- Complete the reconstruction of 34th Street from Quaker Avenue to Indiana Avenue.
- Complete the design of the reconstruction of Phases 3 and 4 of the 34th Street Corridor from Slide Road to Quaker Avenue and from Avenue Q to Interstate 27.
- Complete the Pedestrian Way Enhancement Project along North University Avenue from the Marsha Sharp Freeway to Amherst Avenue.

Expenditure Overview

- Total expenditures decreased $39,698, or 2.8 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits decreased $50,945, or 4.1 percent, due to the transfer of a position to the Traffic cost center.
- Supplies decreased $4,276, or 13.1 percent, as a result of decreases in office supplies and fuel.
- Maintenance increased $5,286, or 20.1 percent, due to a projected increase in costs of equipment calibration and the addition of a Federal Radiation Control Permit.
- Professional Services/Training decreased $500, or 2.7 percent, as a result of the reduction in renewal fees for Professional Engineering licenses.
- Other Charges increased $1,800, or 10.3 percent, due to a service agreement on a new piece of equipment.
- Scheduled Charges increased $14,938, or 20.2 percent, due to increased data processing and liability insurance allocations.

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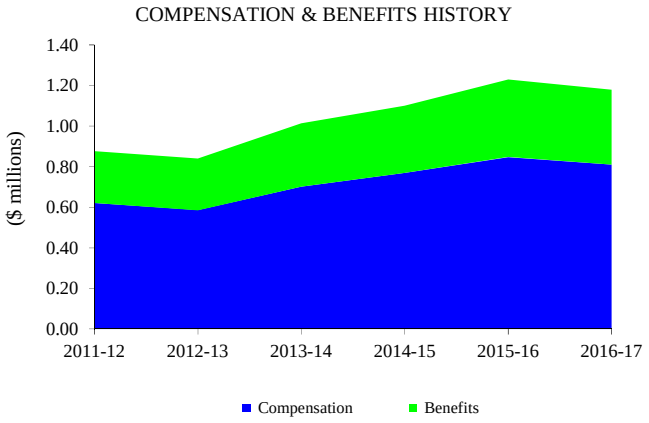
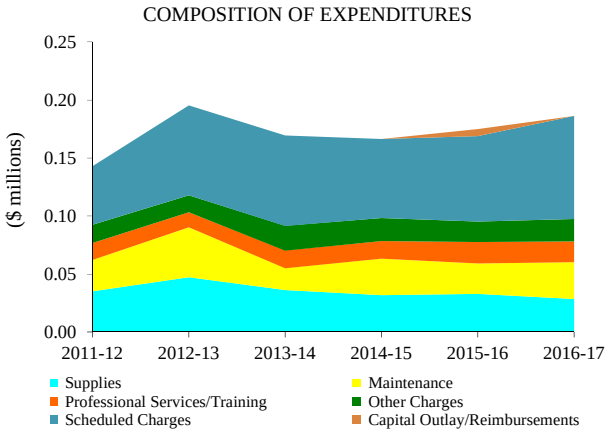
Engineering Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 585,138	701,499	769,498	847,356	810,130	(4.4)
Benefits	255,159	312,044	331,240	382,492	368,773	(3.6)
Supplies	47,252	36,179	31,722	32,724	28,447	(13.1)
Maintenance	42,855	18,859	31,425	26,301	31,586	20.1
Professional Services/Training	12,978	15,194	15,251	18,480	17,980	(2.7)
Other Charges	14,647	21,172	19,621	17,500	19,300	10.3
Scheduled Charges	77,533	77,778	68,333	73,776	88,714	20.2
Capital Outlay/Reimbursements	-	-	-	6,000	-	(100.0)
TOTAL EXPENDITURES BY CATEGORY	$ 1,035,562	1,182,724	1,267,090	1,404,629	1,364,931	(2.8)

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Street/Drainage Engineering	17	17	17	17	16	(1)
TOTAL STAFFING	17	17	17	17	16	(1)

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Number of projects completed	4	5	3	3	4	33.3
Material tests performed	4,813	4,296	5,303	5,500	6,000	9.1
Tests finding faulty materials	161	148	147	150	170	13.3
Construction inspections	7,843	6,954	11,591	12,000	12,500	4.2
Inspections finding faulty construction	1,286	812	462	500	550	10.0
Utility cut permits issued	3,556	3,680	3,952	4,000	4,100	2.5
Utility cut inspections	312	212	465	500	500	-

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Streets Overview

Mission and Purpose

To provide well maintained public right-of-ways for the safe movement of the public. The Streets Department provides the following services:

- Assess and grade unpaved streets and alleys on a regular basis.
- Treat roads with salt during ice and snow events.
- Maintain and repair concrete alleys and valley gutters.
- Provide street maintenance on approximately 9 percent of paved streets.
- Provide assistance in base failure, utility cut repair, and construction projects for City departments.
- Prevent accelerated deterioration of paved streets by ensuring they are acceptably clean.
- Ensure that storm sewer inlets and lines are effectively cleaned and maintained ensuring proper functioning of the system.

Goals and Objectives

- Investigate and respond to citizen requests.
- Repair reported potholes.
- Perform street maintenance on paved streets.
- Perform street repair and patching for utility cuts.
- Maintain and repair paved/unpaved streets, alleys, concrete streets, and valley gutters.
- Construct ADA ramps as requested.
- Clean streets of debris.
- Respond to emergencies: snow, ice, and flooding.
- Provide barricades for special events and by request of other departments.
- Clean, repair, and perform maintenance of storm sewer inlets and drain pipes.

Accomplishments for FY 2015-16

- Completed proactive maintenance of 15 percent of City streets through concrete and asphalt repairs, micro-surfacing, in-house removal and relay, and asphalt rejuvenation.
- Utilized rock crusher to recycle approximately 120 cubic yards of asphalt and concrete for the stabilization of alleys.
- Removed and re-laid 1 lane mile of residential streets.
- Implemented a comprehensive and sustainable equipment replacement schedule.
- Patched more than 151,021 square feet of potholes and 6,981 square yards of base failures and utility cuts.
- Bladed 377 miles of unpaved streets and 786 miles of alleys.
- Swept 13,826 lane miles of streets and picked up 15,680 cubic yards of debris.
- Stabilized or rebuilt 2 miles of unpaved alleys.
- Cleaned 4,061 drain inlets and removed 10 tons of debris.

Objectives for FY 2016-17

- Increase proactive street maintenance on City streets.
- Stabilize or rebuild 60,000 square yards of unpaved alleys.
- Complete citywide street inventory using the Infrastructure Maintenance Management Program software.
- Implement repair of the brick streets in the downtown area.

Expenditure Overview

- Total expenditures increased $39,065, or 1.3 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits increased by $10,510, or 0.5 percent, due to the increased cost of health insurance.
- Supplies decreased by $8,599, or 5.9 percent, as a result of projected cost decreases in mail handling, fuel, and chemical supplies.
- Maintenance increased $6,248, or 0.8 percent, due to projected increases in cost for vehicle maintenance and trailer accessories damaged in traffic accidents.
- Scheduled Charges increased $30,543, or 27.7 percent, as a result of increases in data processing, liability insurance, and electric costs.

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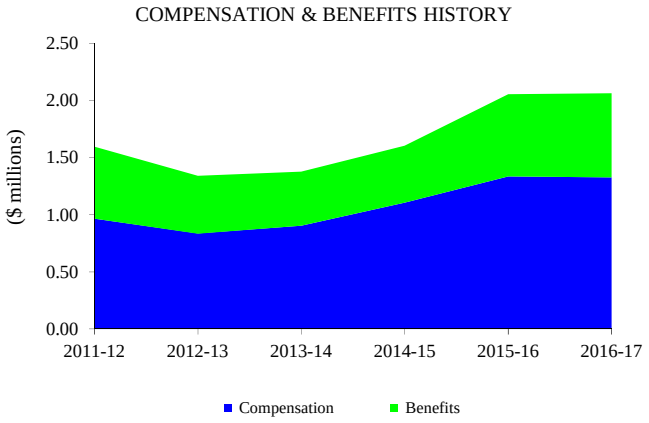
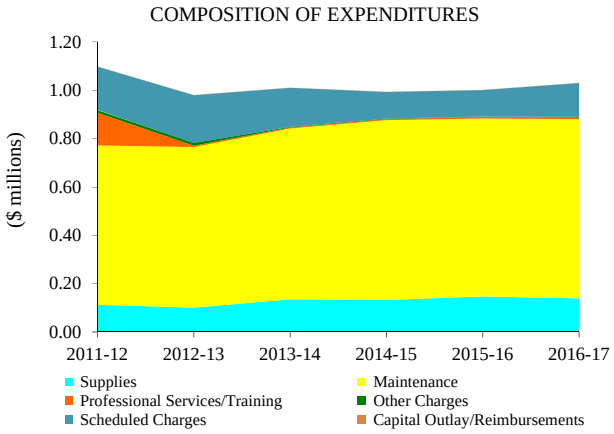
Streets Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 834,667	903,461	1,105,885	1,334,570	1,326,489	(0.6)
Benefits	504,490	472,816	497,764	720,242	738,834	2.6
Supplies	100,605	135,035	131,995	146,806	138,207	(5.9)
Maintenance	664,905	706,930	743,576	735,880	742,128	0.8
Professional Services/Training	5,204	3,878	4,851	6,090	6,390	4.9
Other Charges	10,874	2,625	2,233	2,161	2,225	3.0
Scheduled Charges	197,530	161,248	110,320	110,108	140,651	27.7
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 2,318,275	2,385,994	2,596,623	3,055,858	3,094,923	1.3

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Public Works - Streets	36	36	36	36	36	-
TOTAL STAFFING	36	36	36	36	36	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Base failures/utility cut repairs (Sq. Yds.)	11,764	12,805	11,924	6,981	10,736	53.8
Lane miles of paved streets	3,023	3,106	3,106	3,106	3,106	-
In-house remove and relay program	111,739	43,959	-	211,517	167,381	(20.9)
Asphalt Rejuvenator (Residential)	476,186	461,005	429,442	956,285	650,000	(32.0)
Micro-Surfacing	1,649,567	1,437,999	982,236	-	2,348,961	-

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Traffic Overview

Department Mission and Purpose

Provide safe and efficient traffic movement through design, operation, and construction of traffic control systems, traffic control signals, signs, and pavement markings in accordance with state and federal standards. The Traffic Department provides the following services:

- Design, build, operate, and maintain traffic signals, school zone beacons, traffic control signs, street name signs, pavement markings, and the computerized traffic control system.
- Participate in the Freeway Management System (FMS) in cooperation with Texas Department of Transportation (TxDOT) to manage and operate the Intelligent Transportation System FMS for traffic incident management.
- Interact with the Citizens Traffic Commission (CTC) and the Metropolitan Planning Organization (MPO) Technical Advisory Committee.

Goals and Objectives

- Continue maintaining and evaluating traffic signals, signs, markings, and various other traffic control devices in order to ensure a safe environment for City drivers, pedestrians, and cyclists.
- Evaluate solutions for improved traffic safety with the CTC.
- Reduce traffic congestion through continued maintenance of the Congestion Management System Plan and FMS.
- Respond to all citizen and business requests regarding parking and traffic concerns.
- Administer the Resident Permit Only Parking Program and Neighborhood Traffic Management Program.

Accomplishments for FY 2015 -16

- Continued the 7:00 a.m. – 6:30 p.m. weekday operation of the joint Traffic Management Center (TMC) with FMS.
- Installed Flashing Yellow Arrow signal indications throughout the City to comply with new the Texas Manual on Uniform Traffic Control Devices (TMUTCD) standard.
- Continued maintenance and expansion of the Traffic Engineering Department's GIS data, which includes the department's fiber optic network, administrative orders, and collision reports.
- Responded to citizen and 3-1-1 Call Center requests.
- Maintained, repaired and installed new video and radar detection systems at various locations throughout the City and upgraded them to bring the video feed back to the TMC.
- Completed construction of new pedestrian actuated traffic signals (HAWK) at the following locations:
  - MLK Boulevard and Cornell Street
  - 44th Street and Avenue Q
  - 27th Street and Avenue Q
- Retrofitted existing traffic signals to a pedestrian actuated signal (HAWK) at the following locations:
  - 14th Street and University Avenue
  - Main Street and University Avenue
- Completed construction of new traffic signals at:
  - 73rd Street and Milwaukee Avenue
  - 82nd Street and Avenue U
- Completed construction of new school zones at the following locations:
  - 101st Street and Fulton
  - 133rd Street and Norfolk
- Continued adjusting timing for numerous signals during construction of the following projects:
  - TxDOT's Marsha Sharp Freeway Phase 5
  - The City's reconstruction of 34th Street from Memphis Avenue to Indiana Avenue
  - Slide Road south of 98th Street
  - 98th Street from University to US 87
- Continued work on the Traffic Signal Communication System Upgrade Project approved in the 2009 Bond Election by installing fiber optic cable at the following locations:
  - 4th Street (Slide - Quaker)
  - Slide Road (4th - 13th and 34th - 42nd)
  - 98th Street (Memphis and Indiana)
  - Quaker Avenue (4th - 19th)
- Generated and completed various administrative orders for new signs, and signal and striping reconfigurations throughout the City.
- Participated in the review of construction plans for the reconstruction of Marsha Sharp Freeway and Upland Avenue.
- Designed the City's first Complete Streets Project that includes improvements for bikes, motorists and pedestrians.
- Participated in meetings with Connected Signals to discuss using the City's Advanced Traffic Management System (ATMS) in conjunction with their mobile application which lets users know the signal status before they arrive.

Objectives for FY 2016-17

- Install traffic calming devices and implement policies developed as part of the Neighborhood Traffic Management Program.
- Continue generating and completing various administrative orders for signs, signals and striping throughout the City.
- Implement the Complete Streets Project for Broadway Avenue to connect Downtown with Texas Tech University.
- Continue communications system upgrades by installing fiber optic cable at various locations throughout the City.

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Traffic Overview

- Continue maintenance and repair of the Traffic Engineering Department's fiber optic network as needed.
- Work with Information Technology to upgrade communications at City assets and properties around Lubbock by connecting them to the Traffic Engineering Communications infrastructure.
- Create coordination plans and signal timing for Phase 5 of the Marsha Sharp Freeway after construction.
- Continue upgrading traffic signal heads with flashing yellow arrows at hundreds of approaches throughout the City, which is mandated by TMUTCD.
- Design and construct new traffic signal at 122nd Street and Indiana Avenue and 4th Street and Elkhart Avenue.
- Analyze the intersections of 93rd Street and Quaker Avenue and 73rd Street and Frankford Avenue for installing new traffic signals at these locations.
- Install Closed-Circuit Television (CCTV) cameras at select arterial to arterial interchanges and water towers throughout the City.
- Continue with preventative maintenance and repair of all traffic signal system components and infrastructure, including signal heads, signage, controllers, conflict monitors, detection, wiring, emergency preemption (Opticom), and underground conduit.

Expenditure Overview

- Total expenditures decreased $27,140, or 0.7 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits decreased by $46,533, or 1.9 percent, due to employee turnover and the reclassification of one position.
- Maintenance decreased $21,774, or 2.9 percent, due to decreases in communication equipment and street system maintenance.
- Professional Services/Training decreased by $1,973, or 7.1 percent, due to the decrease in advertising and training and travel.
- Other Charges decreased by $3,241, due to there no longer being a need for a car allowance budget.
- Scheduled Charges increased $52,146, or 16.6 percent, due to increases in data processing, liability insurance, and electric costs.
- Capital Outlay decreased $6,000 due to a one-time purchase of equipment in FY 2015-16.

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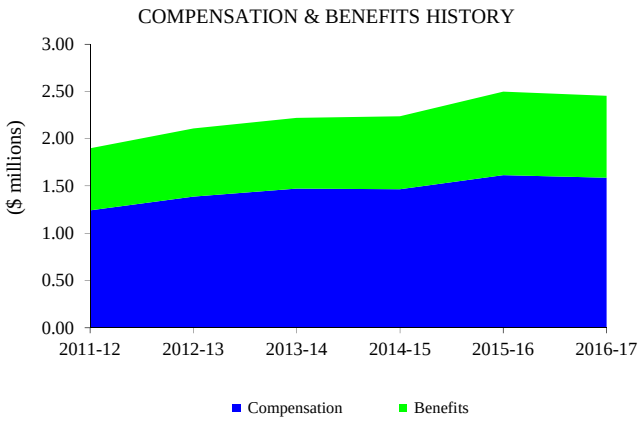
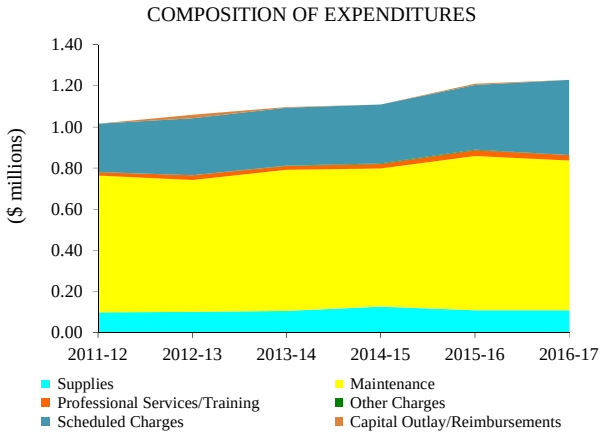
Traffic Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,385,042	1,471,930	1,465,116	1,614,153	1,586,971	(1.7)
Benefits	723,863	748,677	769,829	885,509	866,159	(2.2)
Supplies	100,304	105,274	125,984	107,857	108,091	0.2
Maintenance	640,710	685,227	671,334	750,241	728,466	(2.9)
Professional Services/Training	24,030	18,302	21,045	27,782	25,809	(7.1)
Other Charges	29	2,432	3,289	3,241	-	(100.0)
Scheduled Charges	276,648	281,293	286,485	314,640	366,786	16.6
Capital Outlay/Reimbursements	17,158	3,618	-	6,000	-	(100.0)
TOTAL EXPENDITURES BY CATEGORY	$ 3,167,785	3,316,752	3,343,081	3,709,423	3,682,283	(0.7)

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Traffic Engineering & Design	10	10	11	10	10	-
Traffic Operations	26	26	26	27	27	-
TOTAL STAFFING	36	36	37	37	37	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Traffic counts	536	503	547	500	500	-
Signal timing adjustments	280	20	55	200	200	-
Citizen requests processed	640	480	398	450	450	-
Miles of lane lines painted on arterial	840	317	450	450	450	-
Crosswalks/stop bars installed	367	184	175	175	175	-
Emergency calls	1,602	1,314	1,385	1,300	1,300	-
Signs produced and installed	5,731	5,617	8,465	5,800	5,800	-
Fiber installed (feet)	40,750	12,500	65,909	65,000	65,000	-
Fiber Splices	234	1,656	1,262	1,000	1,000	-

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Traffic Expenditures

Traffic Engineering & Design

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 538,556	552,471	562,935	591,076	578,547	(2.1)
Benefits	242,480	231,992	244,180	270,447	255,734	(5.4)
Supplies	15,059	12,691	10,815	11,891	10,880	(8.5)
Maintenance	19,494	6,432	7,654	24,722	24,752	0.1
Professional Services/Training	10,554	7,289	8,071	13,444	11,471	(14.7)
Other Charges	29	-	1,474	1,440	-	(100.0)
Scheduled Charges	79,676	86,733	69,518	96,962	106,624	10.0
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL TRAFFIC ENGINEERING & DESIGN	$ 905,849	897,608	904,648	1,009,982	988,008	(2.2)

Traffic Operations

EXPENDITURES BY CATEGORY						
Compensation	$ 846,486	919,459	902,181	1,023,077	1,008,424	(1.4)
Benefits	481,383	516,686	525,648	615,062	610,425	(0.8)
Supplies	85,246	92,583	115,170	95,966	97,212	1.3
Maintenance	621,217	678,794	663,680	725,519	703,714	(3.0)
Professional Services/Training	13,476	11,012	12,974	14,338	14,338	-
Other Charges	-	2,432	1,815	1,801	-	(100.0)
Scheduled Charges	196,971	194,560	216,967	217,679	260,162	19.5
Capital Outlay/Reimbursements	17,158	3,618	-	6,000	-	(100.0)
TOTAL TRAFFIC OPERATIONS	$ 2,261,936	2,419,144	2,438,434	2,699,441	2,694,275	(0.2)

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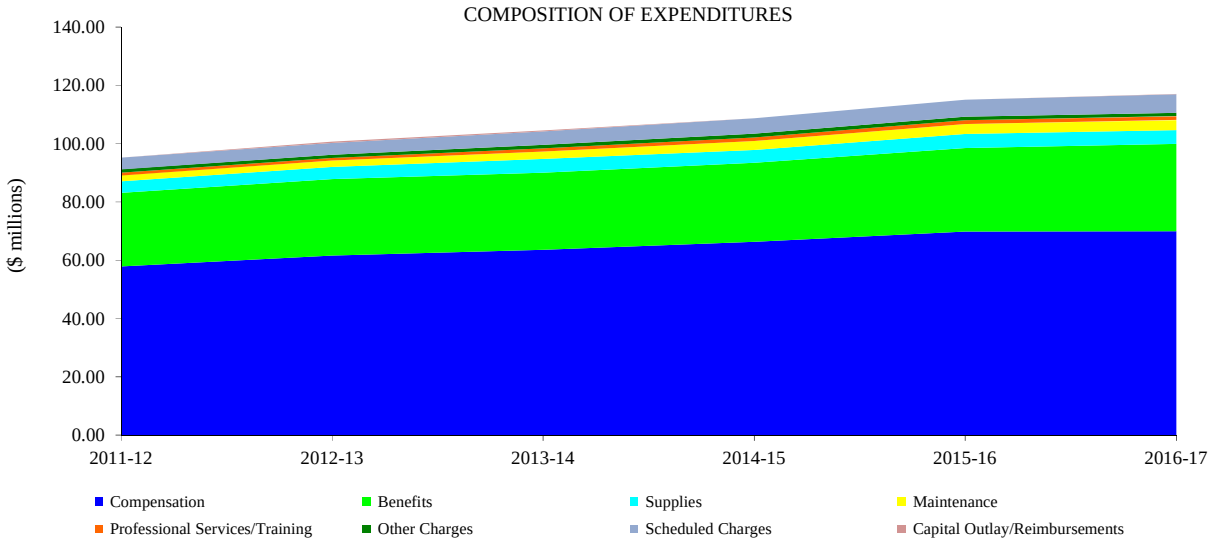
General Fund - Public Safety & Health



EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 61,540,146	63,581,953	66,281,732	69,775,072	69,979,454	0.3
Benefits	26,255,955	26,450,902	27,121,036	28,743,516	29,934,444	4.1
Supplies	4,242,002	4,687,982	4,426,721	4,772,230	4,736,891	(0.7)
Maintenance	2,140,595	2,508,664	3,083,190	3,392,519	3,457,935	1.9
Professional Services/Training	930,698	1,110,434	1,221,253	1,292,808	1,294,504	0.1
Other Charges	1,055,802	1,240,426	1,229,593	1,239,261	1,135,548	(8.4)
Scheduled Charges	4,045,077	4,644,804	5,340,280	5,830,587	6,391,906	9.6
Capital Outlay/Reimbursements	407,881	262,299	26,650	37,880	95,174	151.3
TOTAL EXPENDITURES BY CATEGORY	$ 100,618,157	104,487,464	108,730,455	115,083,873	117,025,856	1.7

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Animal Services	$ 1,747,961	1,809,237	1,883,869	2,068,154	2,039,799	(1.4)
Codes and Environmental Health	1,878,027	2,212,177	2,411,984	2,659,076	2,735,948	2.9
Fire	40,049,928	42,047,094	43,858,166	45,991,891	47,313,783	2.9
Municipal Court	1,581,953	1,584,745	1,535,816	1,857,206	1,866,524	0.5
Police	54,487,795	55,902,081	57,817,807	61,151,423	61,586,009	0.7
Public Health	530,912	617,193	791,265	923,147	968,791	4.9
Vector Control	341,580	314,938	431,548	432,977	515,004	18.9
TOTAL EXPENDITURES BY DEPARTMENT	$ 100,618,157	104,487,464	108,730,455	115,083,873	117,025,856	1.7

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Animal Services	24	24	24	24	24	-
Codes and Environmental Health	32	32	32	32	33	1
Fire	398	414	429	429	429	-
Municipal Court	20	20	20	20	20	-
Police	540	545	545	545	544	(1)
Public Health	13	13	14	17	17	-
Vector Control	6	6	6	6	6	-
TOTAL STAFFING	1,033	1,054	1,070	1,073	1,073	-

City of Lubbock TEXAS

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Animal Services Overview

Mission and Purpose

Protect the health, safety, and welfare of Lubbock citizens while enforcing humane animal treatment in accordance with city, state, and federal animal regulations through the monitoring, control, and protection of animals in the City.

The Animal Services Department provides the following services:

- Adoption program for homeless and unwanted animals.
- Promote disease prevention by providing vaccinations to adoptable pets.
- Secure stray animals and reunite lost pets with their owners.
- Promote responsible pet ownership by enforcing animal related ordinances.
- Investigate and resolve reports of animal cruelty or mistreatment.
- Quarantine animals that have bitten humans.

Goals and Objectives

- Reduce the number of unwanted pets through spay/neuter assistance programs.
- Provide animal microchips through public promotions.
- Enforce laws and rules that protect the health and safety of the public and their pets.

Accomplishments for FY 2015-16

- Increased the number of microchip promotions.
- Lowered the number of euthanized animals.
- Completed new expansion.

Objectives for FY 2016-17

- Increased the number of microchip promotions.
- Lower the number of euthanized animals.
- Fill all vacant positions.

Expenditure Overview

- Total expenditures decreased $28,355, or 1.4 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits decreased $31,929, or 2.3 percent, due to employee turnover.
- Supplies decreased $2,402, or 1.2 percent, as a result of projected decreases in fuel, uniform, and office supply costs.
- Maintenance decreased $6,819, or 11.0 percent, due to projected decreases in vehicle maintenance.
- Professional Services/Training increased $3,646, or 2.0 percent, due to an increase in the cleaning contract.
- Scheduled Charges increased $9,150, or 3.9 percent, as a result of increases in data processing, liability insurance, electric, and telephone costs, offset by gas utility costs.

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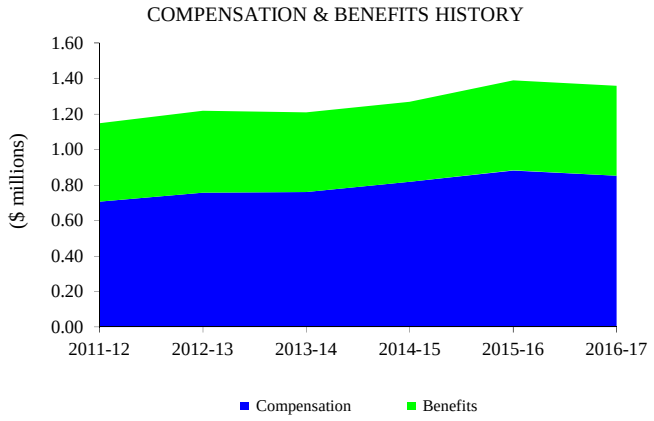
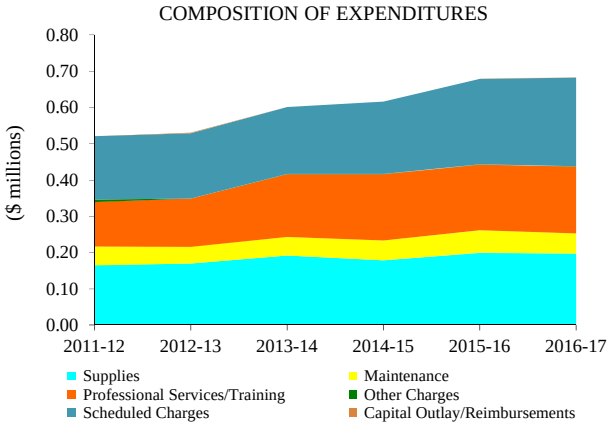
Animal Services Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 755,800	760,263	817,559	881,996	851,597	(3.4)
Benefits	461,690	448,305	450,882	507,708	506,177	(0.3)
Supplies	169,534	191,155	178,322	198,974	196,572	(1.2)
Maintenance	46,144	51,109	54,715	62,191	55,372	(11.0)
Professional Services/Training	132,715	172,815	182,842	181,285	184,931	2.0
Other Charges	142	1,192	618	252	252	-
Scheduled Charges	179,672	184,397	198,931	235,748	244,898	3.9
Capital Outlay/Reimbursements	2,264	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 1,747,961	1,809,237	1,883,869	2,068,154	2,039,799	(1.4)

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Animal Services	24	24	24	24	24	-
TOTAL STAFFING	24	24	24	24	24	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Total animals processed	16,373	17,065	17,500	18,000	17,500	(2.8)
Pets reclaimed/adopted/transferred	2,272	2,668	3,200	3,700	3,500	(5.4)
Animal bites	522	498	500	500	500	-
Aggressive Dog Calls	1,311	1,227	1,300	1,450	1,350	(6.9)

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Code Administration/Environmental Health Overview

Mission and Purpose

To promote the health and safety of the community through education, inspection and enforcement of ordinances relating to zoning, junked vehicles, nuisance weeds and rubbish, substandard structures, safe food, recreational water facilities, and public health. Educate the public and businesses through on-site training and classes related to food safety and public health issues.

Goals and Objectives

- Ensure compliance with Rules and City Ordinances in food establishments, public, semipublic pools, and other permitted facilities through inspection and education.
- Retain and expand current staff to meet the request for service from the public.
- Provide training for food establishments on food safety issues and compliance with Texas Food Establishment Rules.
- Educate and inform staff to ensure quality of service provided to the public and businesses.
- Support the growth of community service organizations and neighborhood associations that can be relied on as a resource for Code Administration.
- Enforce municipal ordinances that address physical signs of urban blight, social disorder, and environmental health.

Accomplishments for FY 2016-17

- Conducted Food Safety training at request of facilities. Training was on-site and tailored to the facility's needs.
- Conducted ServSafe classes for facilities to aid their compliance with Certified Food Managers requirements.
- Completed go live with EnvisionConnect software for Environmental Health inspectors.
- Adopted 2015 Texas Food Establishment Rules.
- Sent three inspectors to FDA training courses to further their knowledge and abilities as Registered Sanitarians.
- Participated in public health fairs and seminars with hand washing demonstrations, and public health issues.
- Worked with other City departments on environmental issues at businesses and residential properties.
- Continued growth of pool school with industry experts leading discussions on waterborne illness prevention and water safety.
- Participated in 10 neighborhood clean-ups initiated by department staff or performed in conjunction with volunteer organizations.
- Conducted CEU training for Code Enforcement Officer licensing for 46 class participants.

Objectives for FY 2016-17

- Expand food safety training in facilities.
- Continued growth of pool school.
- Complete upgrade and go live with EnvisionConnect Remote software for Environmental Health inspectors.
    - Automate infield inspection process with tablet computers.
    - Initiate use of scientific instruments capable of interfacing with tablet computers in the field.
- Continued participation in public health fairs and Work force seminars to educate the public and businesses on health and food safety topics.
- Promote partnerships with neighborhood associations and volunteer organizations to accomplish specific improvement projects in neighborhoods.
- Partner with private groups for 12 neighborhood clean-ups/education events.

Expenditure Overview

- Total expenditures increased $76,872, or 2.9 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits increased $32,030, or 1.8 percent, due to the addition of one full-time position in Environmental Health as well as increased insurance costs.
- Supplies increased $2,114, or 2.2 percent, due to increased costs for tools and equipment associated with the additional position.
- Maintenance increased $7,191, or 8.0 percent, due to an increase in cost for communications equipment and vehicle maintenance.
- Professional Services/Training decreased $7,346, or 5.9 percent, due to a reduction in advertising and promotional expenses.
- Other Charges increased $27,862, or 10 percent due to an increase in funding for weed mitigation.
- Scheduled Charges increased $15,021, or 4.6 percent due to increased data processing charges and liability insurance.

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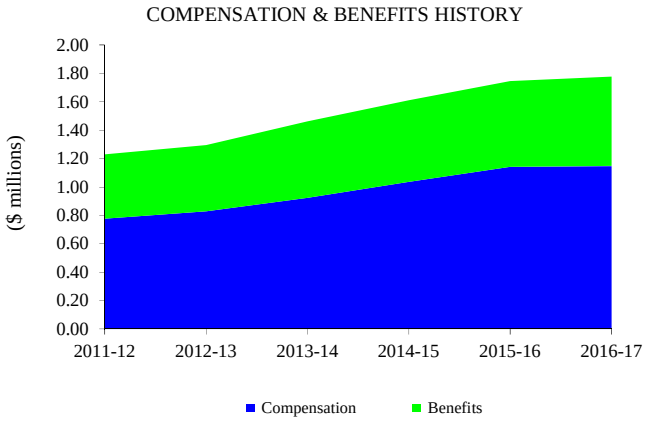
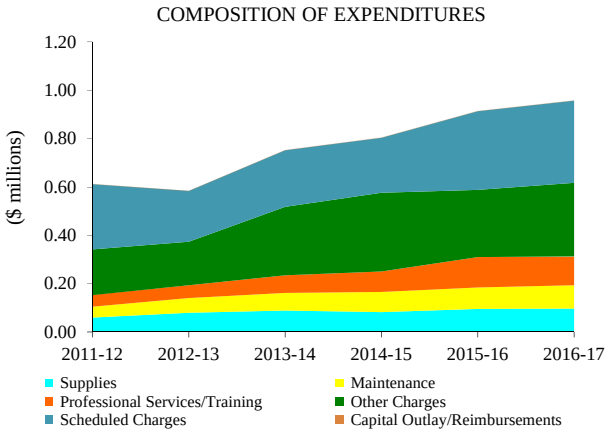
Codes and Environmental Health Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13*	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 828,879	922,766	1,034,879	1,140,460	1,147,551	0.6
Benefits	466,005	537,287	574,335	605,599	630,539	4.1
Supplies	79,193	87,937	82,365	94,518	96,632	2.2
Maintenance	60,842	73,414	83,275	89,904	97,095	8.0
Professional Services/Training	52,469	72,967	84,910	125,377	118,031	(5.9)
Other Charges	180,577	282,385	325,351	277,521	305,382	10.0
Scheduled Charges	210,062	235,421	226,870	325,696	340,717	4.6
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 1,878,027	2,212,177	2,411,984	2,659,076	2,735,948	2.9

STAFFING	Actual FY 2012-13*	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Code Enforcement	32	23	23	23	23	-
Environmental Health	-	9	9	9	10	1
TOTAL STAFFING	32	32	32	32	33	1

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Educational Programs (LINC)	44	125	125	125	125	-
Weed cases initiated	14,569	13,500	13,500	15,000	15,000	-
Zoning cases initiated	6,712	7,500	7,500	8,000	8,000	-
JV cases initiated	1,543	3,500	2,500	1,750	1,750	-
Graffiti cases initiated	1,107	1,400	1,400	1,500	1,500	-
Housing cases initiated	1,017	1,500	1,500	1,500	1,500	-
Food establishment inspections	4,004	3,905	4,050	4,100	4,100	-

*Environmental Health was combined with Code Enforcement in FY 2012-13.

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Codes and Environmental Health Expenditures

Code Enforcement

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 828,879	602,586	698,947	788,806	767,245	(2.7)
Benefits	466,005	357,093	394,440	415,832	424,376	2.1
Supplies	79,193	60,085	58,765	64,369	62,413	(3.0)
Maintenance	60,842	59,908	66,701	61,814	69,284	12.1
Professional Services/Training	52,469	65,045	76,827	113,887	104,892	(7.9)
Other Charges	180,577	282,385	322,808	275,000	299,414	8.9
Scheduled Charges	210,062	187,852	185,539	279,396	268,431	(3.9)
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL CODE ENFORCEMENT	$ 1,878,027	1,614,955	1,804,027	1,999,105	1,996,054	(0.2)

Environmental Health

EXPENDITURES BY CATEGORY						
Compensation	$ -	320,180	335,932	351,654	380,306	8.1
Benefits	-	180,195	179,895	189,767	206,163	8.6
Supplies	-	27,851	23,599	30,149	34,219	13.5
Maintenance	-	13,505	16,574	28,090	27,811	(1.0)
Professional Services/Training	-	7,922	8,083	11,490	13,139	14.4
Other Charges	-	-	2,543	2,521	5,968	136.8
Scheduled Charges	-	47,569	41,331	46,300	72,286	56.1
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL ENVIRONMENTAL HEALTH	$ -	597,222	607,958	659,970	739,893	12.1

*Environmental Health was combined with Code Enforcement in FY 2012-13.

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Fire Department Overview

Mission and Purpose

Minimize loss of life and property by providing unparalleled emergency response and life safety services. The Fire Department provides the following services:

- Conduct fire and life safety inspections, inspect fire extinguishers and fire alarm systems, perform fire and arson investigations, and maintain records and data.
- Research and specify fire apparatus and equipment that enable the department to function as safely, and effectively as possible.
- Provide maintenance and repair of fire apparatus and equipment. Including, preventative maintenance, major repairs of integral components, and metal fabrication for special projects.
- Provide fire prevention training for Fire Department personnel, City departments, organizations, and businesses.
- Perform emergency fire, rescue, and medical response, safety tours and programs, business fire safety surveys, and fire hydrant maintenance.
- Dispatch fire stations to fire, rescue, and first responder medical calls.

Overview

The Lubbock Fire Department is dedicated to providing unparalleled emergency response and life safety services for the City. The Fire Department currently serves the citizens of Lubbock with 404 sworn personnel positions, and 27 non-civil service personnel positions. As the city has continued to grow geographically and in population, the Fire Department has striven to accommodate that growth in order to maintain, and enhance the quality of services historically provided. Three new fire stations were approved in the 2009 bond election: Fire Stations 16, 18, and 19. Fire Station 16 went in service December 2011. Fire Station 18 officially opened on February 9, 2013. Fire Station 19 went in service in March 2015. Construction of Fire Station 1 located at 19th and Texas Avenue is scheduled for completion in the summer of 2016. Design and Development of a new City Emergency Operations Center, to be located on the grounds of the Fire Administration/Training Complex is currently ongoing, with construction planned for late FY 2015-16. Improvements continue to be made to citywide fire stations through approved budgeted maintenance monies. A total of three new Pumpers, and one Light Duty Vehicle were ordered using master lease funds from the FY 2015-16 budget.

Goals and Objectives

- Protect the lives and property of citizens through hazard abatement, education, and fire investigations.
- Maintain fire department vehicles, tools, and equipment to ensure safe and effective firefighting operations.
- Develop, present, and oversee innovative training programs.
- Provide firefighters and citizens with public safety communications by answering incoming emergency and non-emergency calls for service and timely dispatching of appropriate personnel.
- Provide fiduciary budget oversight in Fire Department operations and in the capital program that include new fire station construction.

Accomplishments for FY 2015-16

- Began construction of Fire Station 1.
- Began design and development of a new Emergency Operations Center to be located on the grounds of Fire Administration.
- Lubbock Fire Rescue (LFR) responded to 35,682 calls in the calendar year of 2015.
- Purchased three new Pumpers.
- Purchased one new Light Duty Vehicle for the Fire Marshal's Office (FMO).
- Completed renovations at Stations: 4, 5, 9, and 15.
- Completed major renovation/mold abatement at Fire Station 11.

Objectives for FY 2016-17

- Complete construction on new Station 1.
- Complete construction on new Emergency Operations Center.
- Continue repair/renovation of existing Fire facilities.

Expenditure Overview

- Total expenditures increased $1,321,891, or 2.9 percent, when compared with the FY 2015-16 Operating Budget.
- Compensation and benefits increased $1,066,188, or 2.5 percent, due to step increases and an increase in workers compensation insurance.
- Supplies decreased $28,937, or 2.2 percent, due to decreases in clothing, botanical, and pesticide supplies and the mail handling scheduled charge.
- Maintenance increased $74,034, or 12.2 percent, due to the increased radio shop scheduled charge and new costs associated with maintaining the Fire Marshal's building purchased in FY 2015-16.
- Professional services/training increased $37,854, or 16.6 percent, due to increased training and travel.
- Other charges decreased $127,874, or 46.1 percent, due to purchasing the Fire Marshal's building in lieu of leasing.
- Scheduled charges increased $243,332, or 19.3 percent, due to a significant increase in liability insurance.
- Capital outlay/reimbursements increased $57,294 due to software upgrades.

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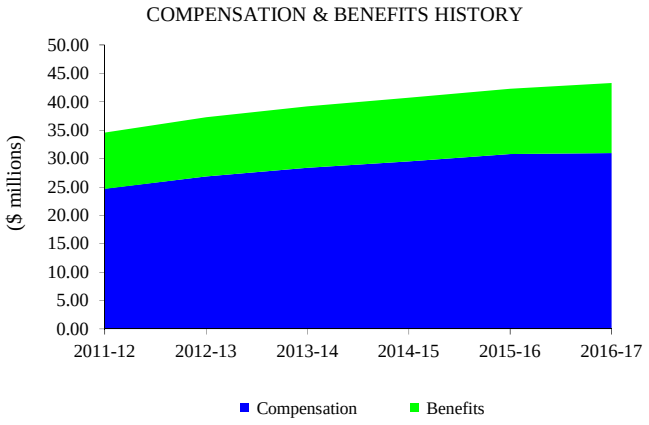
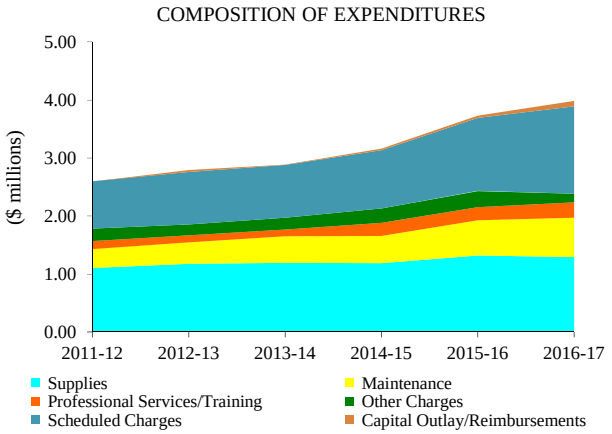
Fire Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 26,812,938	28,359,174	29,493,903	30,755,771	30,969,496	0.7
Benefits	10,446,271	10,805,127	11,203,778	11,509,615	12,362,078	7.4
Supplies	1,173,346	1,191,968	1,183,127	1,316,950	1,288,014	(2.2)
Maintenance	370,977	454,243	471,210	607,048	681,082	12.2
Professional Services/Training	119,502	116,982	225,027	227,494	265,348	16.6
Other Charges	190,787	206,965	248,722	277,675	149,801	(46.1)
Scheduled Charges	903,514	905,219	1,005,599	1,259,458	1,502,790	19.3
Capital Outlay/Reimbursements	32,593	7,415	26,800	37,880	95,174	151.3
TOTAL EXPENDITURES BY CATEGORY	$ 40,049,928	42,047,094	43,858,166	45,991,891	47,313,783	2.9

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Administration	7	8	8	6	6	-
Prevention	14	14	14	14	14	-
Equipment Maintenance	5	5	5	5	5	-
Training	5	5	5	5	5	-
Suppression	356	371	386	388	388	-
Communications Center	11	11	11	11	11	-
TOTAL STAFFING	398	414	429	429	429	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Value exposed (million $)	$ 1,000	1,200	336	500	500	-
Other fire loss (thousand $)	$ 842	700	1,700	1,500	1,000	(33.3)
Total estimated fire loss (million $)	$ 13	10	9	10	10	-
Structure fire loss (million $)	$ 12	9	7	9	9	-
Value risk saved in structure (%)	98	98	98	98	98	-
Public fire safety education presentations	130	130	200	250	350	40.0
Fire/arson investigations conducted	186	175	136	140	150	7.1

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Fire Expenditures

Administration

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 484,093	460,616	589,480	518,814	416,917	(19.6)
Benefits	181,949	191,245	195,937	170,012	179,953	5.8
Supplies	13,762	19,785	17,357	22,755	21,770	(4.3)
Maintenance	9,404	12,196	11,024	12,300	19,900	61.8
Professional Services/Training	4,087	7,216	2,855	10,667	11,712	9.8
Other Charges	3,904	14,732	1,879	6,400	8,400	31.3
Scheduled Charges	386,882	381,453	399,695	516,384	494,614	(4.2)
Capital Outlay/Reimbursements	-	-	-	7,130	22,974	222.2
TOTAL ADMINISTRATION	$ 1,084,081	1,087,241	1,218,226	1,264,461	1,176,241	(7.0)

Prevention

EXPENDITURES BY CATEGORY						
Compensation	$ 1,134,198	1,165,115	1,180,112	1,191,635	1,198,402	0.6
Benefits	441,778	440,694	428,503	422,645	434,154	2.7
Supplies	21,786	22,038	22,463	26,297	27,077	3.0
Maintenance	35	420	513	1,000	2,000	100.0
Professional Services/Training	3,554	5,499	13,763	11,821	11,823	0.0
Other Charges	126,203	124,977	124,918	124,620	7,440	(94.0)
Scheduled Charges	73,701	77,331	85,291	119,993	174,209	45.2
Capital Outlay/Reimbursements	-	-	-	-	3,200	-
TOTAL PREVENTION	$ 1,801,254	1,836,073	1,855,563	1,898,010	1,858,306	(2.1)

Equipment Maintenance

EXPENDITURES BY CATEGORY						
Compensation	$ 203,963	203,816	228,629	219,303	231,503	5.6
Benefits	85,007	88,050	99,745	109,623	113,565	3.6
Supplies	355,648	352,300	299,802	351,430	377,813	7.5
Maintenance	313,096	391,182	402,941	513,288	575,157	12.1
Professional Services/Training	3,694	3,006	3,081	6,015	6,090	1.2
Other Charges	-	-	498	-	5,000	-
Scheduled Charges	45,361	37,744	30,970	39,897	12,813	(67.9)
Capital Outlay/Reimbursements	32,593	-	-	-	-	-
TOTAL EQUIPMENT MAINTENANCE	$ 1,039,363	1,076,097	1,065,666	1,239,557	1,321,941	6.6

Training

EXPENDITURES BY CATEGORY						
Compensation	$ 429,899	442,012	432,539	440,283	427,513	(2.9)
Benefits	155,851	158,048	154,306	150,522	155,056	3.0
Supplies	13,966	15,063	33,643	37,660	36,170	39.0
Maintenance	9,266	1,522	5,657	20,960	20,975	39.0
Professional Services/Training	17,342	4,384	20,390	18,931	26,318	39.0
Other Charges	51,312	59,627	59,637	68,582	69,536	1.4
Scheduled Charges	39,073	40,466	39,133	56,186	67,728	20.5
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL TRAINING	$ 716,708	721,123	745,305	793,123	803,296	1.3

Suppression

EXPENDITURES BY CATEGORY						
Compensation	$ 24,079,642	25,599,238	26,567,205	27,855,671	28,115,128	0.9
Benefits	9,359,652	9,695,439	10,092,845	10,404,834	11,212,899	7.8
Supplies	765,595	779,600	806,264	874,200	819,866	(6.2)
Maintenance	39,177	48,923	50,881	59,500	63,050	6.0
Professional Services/Training	89,406	95,278	183,764	168,910	197,855	17.1
Other Charges	9,300	7,629	60,175	78,073	59,425	(23.9)
Scheduled Charges	339,200	344,948	408,547	482,268	685,034	42.0
Capital Outlay/Reimbursements	-	7,415	26,800	30,750	69,000	124.4
TOTAL SUPPRESSION	$ 34,681,973	36,578,469	38,196,481	39,954,206	41,222,257	3.2

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Fire Expenditures

Communications Center

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 481,143	488,378	495,939	530,065	580,033	9.4
Benefits	222,034	231,653	232,441	251,979	266,451	5.7
Supplies	2,590	3,182	3,599	4,610	5,317	15.4
Maintenance	-	-	193	-	-	-
Professional Services/Training	1,419	1,600	1,174	11,150	11,550	3.6
Other Charges	67	-	1,615	-	-	-
Scheduled Charges	19,297	23,278	41,962	44,731	68,391	52.9
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL COMMUNICATIONS CENTER	$ 726,549	748,091	776,924	842,535	931,743	10.6

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Fire - Job Grade Summary

SWORN JOB GRADE SUMMARY	GRADE	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Fire Chief	143	1	1	1	1	-
Fire Marshal	124	1	1	1	1	-
Deputy Chief	FCS7	2	2	2	2	-
Division Chief	FCS6	3	3	3	3	-
Battalion Chief	FCS5	10	10	10	11	1
Communication Supervisor (Battalion Chief)	FCS5	1	1	1	-	(1)
Fire Captain	FCS4	27	30	30	29	(1)
Communication Supervisor (Fire Captain)	FCS4	-	-	-	1	1
Assistant Fire Marshal* (Fire Captain)	FCS4	1	1	1	1	-
Deputy Fire Marshal* (Fire Captain)	FCS4	-	-	1	1	-
Assistant Fire Marshal* (Fire Lieutenant)	FCS3	1	1	1	1	-
Deputy Fire Marshal* (Fire Lieutenant)	FCS3	4	4	5	4	(1)
NFIRS/Data Manager* (Fire Lieutenant)	FCS3	-	-	1	1	-
Fire Inspector/Investigator* (Fire Lieutenant)	FCS3	3	3	-	1	1
Fire Lieutenant	FCS3	54	57	57	57	-
Deputy Fire Marshal* (Fire Equip. Operator)	FCS2	-	-	-	-	-
Fire Equipment Operator	FCS2	69	75	75	75	-
Fire Inspector* (Fire Equipment Operator)	FCS2	-	1	-	-	-
Fire Inspector/Investigator* (Fire Equip. Oper.)	FCS2	1	1	2	2	-
NFIRS/Data Manager* (Fire Equip. Operator)	FCS2	1	1	-	-	-
Firefighter**	FCS1	195	198	213	213	-
Probationary Fire Fighter (NCS)**	FNCS1	15	15	-		-
TOTAL SWORN JOB GRADE SUMMARY		389	405	404	404	-

* Civil Service rank within the Fire Prevention Division serves to designate a pay grade and is considered separate and apart from the personnel schedule as listed above. In the event that a vacancy occurs in the Fire Prevention Division, with the exception of the Administrative Assistant, Fire Protection Engineer, and the Fire Marshal, an entry level position of Fire Inspector is opened up to anyone within the department that holds a Civil Service rank of Equipment Operator. A minimum commitment for a Fire Prevention Division position is four years. The Fire Marshal and Fire Chief approve all transfers into and out of the Fire Prevention Division. If prior to the end of the fiscal year, an individual in the Fire Prevention Division in the rank of Lieutenant or Equipment Operator advances to the top of a promotional list, then in such event and only in such event, there is created an additional position in the next higher Civil Service rank. In the event such position is created and filled, then and only then, there shall be one position abolished at the Civil Service rank immediately below the newly created position. A person holding the #1 position on the promotional eligibility list for the classification immediately below the position abolished shall be promoted to the position abolished for a time period not to exceed one pay period after which the person shall be demoted to the position immediately below the position abolished and shall be placed on a reinstatement list, all in accordance with Chapter 143 of the Local Government Code. If a person makes a Civil Service promotion under this track and elects to transfer out of the Fire Prevention Division, that individual would be required to serve a minimum of two years in the newly created Civil Service rank, before the transfer would be considered. The total number of Civil Service positions in the Fire Prevention Division shall be maintained at eleven.

**Since the Probationary Fire fighter position (FNCS1) exists for one year from the date of hire, the total combined number of Probationary Fire Fighters (FNCS1) and Fire Fighters (FCS1) shall not exceed 213.

NON-SWORN JOB GRADE SUMMARY	GRADE	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Fire Protection Engineer	124	1	1	1	1	-
Management Assistant	122	1	1	1	1	-
Equipment Technician	117	4	4	4	4	-
Public Safety Dispatcher II	116	6	6	10	9	(1)
Inventory Control Specialist	114	1	1	1	1	-
Administrative Assistant	112	4	4	4	4	-
Public Safety Dispatcher I	114	4	4	-	1	1
Storekeeper	111	1	1	1	1	-
Laborer	108	1	1	1	1	-
Equipment Maintenance Foreman	123	1	1	1	1	-
Fire Facilities Project Manager	118	1	1	1	1	-
TOTAL NON-SWORN JOB GRADE SUMMARY		25	25	25	25	-
TOTAL JOB GRADE SUMMARY		414	430	429	429	-

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Municipal Court Overview

Mission and Purpose

Bring a satisfactory conclusion to the legal process beginning with the enactment of state laws and municipal ordinances, continuing with the filing of charges by the City's law and codes enforcement personnel, and concluding when the charges are disposed of by payment of a fine or the dismissal of charges. The Municipal Court provides the following services:

- Process and resolve citations with citizens who choose to plead and pay, or by providing trials for citizens who choose to contest citations.
- Provide "show cause" hearings for adult and juvenile offenders.
- Provide alcohol docket for minors charged with alcohol offenses.
- Provide Department of Public Safety license revocation hearings and property disposition hearings.
- Provide Substandard Structures Trials.

Goals and Objectives

- Provide efficient services to citizens.
- Ensure citizens who contest citations receive fair hearings.
- Provide effective response to juvenile offenders.
- Improve court facilities and technology.
- Continue to add enhancements to the court management software system.

Accomplishments for FY 2015-16

- Added video system to Courtroom 1 to enhance trial presentations.
- Initiate enhancement of Court online services.
- Addressed staff vacancies/trained new personnel.

Objectives for FY 2016-17

- Continue preparation for initiation of a Juvenile Case Manager Program.
- Initiate planning for adoption of a paperless system.

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Expenditure Overview

- Total budgeted expenditures increased $9,318 or 0.5 percent, when compared with the adopted FY 2015-16 Operating Budget.
- Compensation and benefits decreased $15,578, or 1.3 percent, due to change in staff allocations
- Supplies decreased $915, or 2.1 percent, due to decreased mail handling and motor vehicle fuel costs.
- Professional Services decreased $5,165, or 2.2 percent, due to decreases in services used by the Court.
- Other Charges increased $3,840, or 2.3 percent, due to an increase in the Star Program.
- Scheduled Charges increased $27,115, or 17.1 percent, due to increased data processing, liability insurance, and telephone charges.

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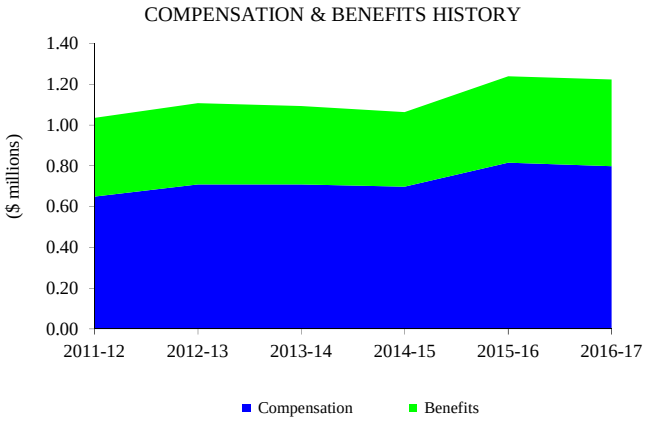
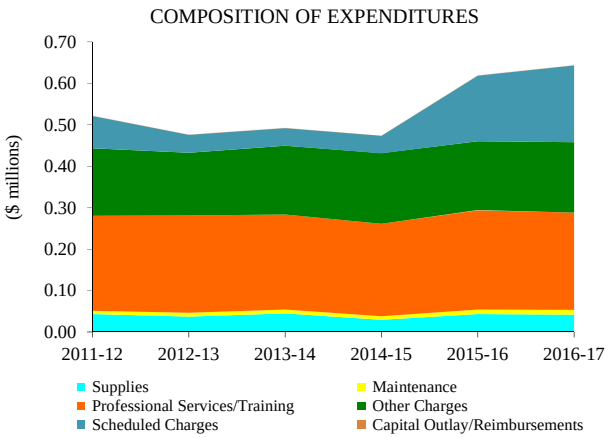
Municipal Court Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 707,877	708,044	697,362	815,230	797,329	(2.2)
Benefits	398,828	384,814	365,579	423,367	425,690	0.5
Supplies	37,074	44,756	29,267	42,901	41,986	(2.1)
Maintenance	9,368	8,988	8,820	10,984	11,004	0.2
Professional Services/Training	234,713	229,872	222,719	239,965	234,800	(2.2)
Other Charges	151,371	165,968	170,423	166,160	170,000	2.3
Scheduled Charges	42,722	42,305	41,646	158,600	185,715	17.1
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 1,581,953	1,584,745	1,535,816	1,857,206	1,866,524	0.5

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Municipal Court	20	20	20	20	20	-
TOTAL STAFFING	20	20	20	20	20	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Cases filed	88,788	92,388	76,101	75,000	64,974	(13.4)
Total cases cleared (%)	92%	91%	91%	90%	90%	-
Warrants issued	21,705	21,662	20,802	19,000	16,812	(11.5)
Warrants cleared (%)	95%	104%	95%	95%	96%	1.1
Trials requested	3,006	4,881	4,329	4,700	5,234	11.4
Trials held	112	57	30	55	304	452.7

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Police Overview

Department Mission and Purpose

Promote safety and security for the communities of Lubbock by protecting life and property, utilizing best practices to reduce crime and the fear of crime throughout our neighborhoods and business districts, and enhancing public safety and service through effective private and public sector partnerships.

Actively engage in community policing tactics by:

- Perpetual partnering with the community and other public entities.
- Promoting proactive, preventive policing.
- Emphasizing community involvement and relationships to affect crime.

Utilize best practices and modern policing strategies by:

- Pursuing and using web-based and electronic technologies.
- Providing and utilizing timely and accurate information and intelligence.
- Integrating geographic policing models and response systems.

Promote legitimacy and social justice by:

- Proactively and ethically engaging with the public and our workforce.
- Promoting transparency, openness, and honesty.
- Emphasizing accountability and responsibility at all ranks and assignments.

Provide a positive work environment by:

- Recruiting, hiring, and retaining the best workforce possible.
- Developing leaders and leadership at all levels.
- Building a diverse police force representative of the communities it serves.

Goals and Objectives

- Continue towards the goal of two police officers per 1,000 residents.
- Increase staffing to an authorized level of 477 police officers.
- Continue the department's contribution to affect the crime rate.
- Develop new supervisory/command staff to prepare the next generation of leadership.

Accomplishments for FY 2015-16

- Responded to 221,341 calls for service with an average response time of 4 minutes 6 seconds.
- Hired over 30 new recruits, providing over 47,000 contact hours of training.
- Implemented a 12 hour shift rotation for patrol officers to increase manpower on the streets.
- Moved to a Geographic Model of policing with continued emphasis on Community Policing.
- Joined the U.S. Marshal's taskforce.
- Began the upgrade of video/audio recording systems in patrol units with integrated officer body cameras.
- Began replacement of out-of-warranty laptops with tablets for patrol officers.
- Partnered with StarCare to implement a public medication drop box at the police department.
- Updated the firing range facility with a new baffle system.

Objectives for FY 2016-17

- Increase staffing levels by continuing to recruit for three Police Academy classes a year.
- Complete the purchase of a mobile command trailer for deployment to major incidents, tactical operations and large public events.
- Continue the upgrade of audio/video recording systems in patrol vehicles.
- Continue to upgrade laptops with tablet computers for officers.

Expenditure Overview

- Budgeted expenditures increased $434,586, or 0.7 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and Benefits increased by $318,850 or 0.6 percent, due to an increase to the health insurance benefit paid by the City department. According to the Police Pay Plan in Exhibit G, 144 civil service officers are eligible for a step increase in FY 2016-17. The step increases are included in the budget.
- Supplies decreased $54,199, or 1.8 percent, due to lower fuel costs.
- Maintenance decreased $11,396, or 0.4 percent, due to decreased vehicle maintenance costs.
- Professional Services decreased $25,565 or 5.9 percent due to reducing police recruitment as the police department becomes fully staffed.
- Other Charges decreased $12,552, or 2.7 percent due to decrease to communication equipment.
- Scheduled Charges increased $219,449, or 5.9 percent, due to increased data processing and liability insurance costs as well as increase to electric utility charges.

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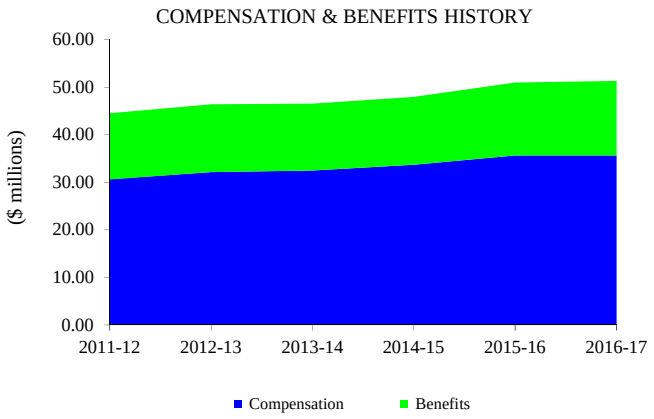
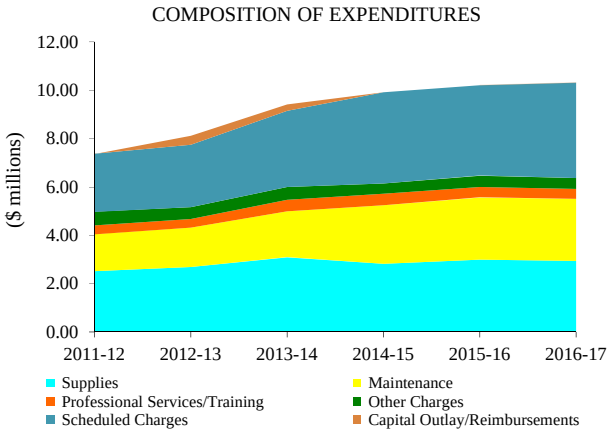
Police Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 32,081,543	32,434,573	33,659,296	35,566,481	35,586,552	0.1
Benefits	14,288,273	14,065,193	14,251,728	15,388,391	15,687,170	1.9
Supplies	2,688,221	3,090,583	2,823,284	2,989,993	2,935,793	(1.8)
Maintenance	1,619,932	1,896,027	2,418,225	2,574,723	2,563,327	(0.4)
Professional Services/Training	363,519	473,974	470,517	435,228	409,663	(5.9)
Other Charges	484,325	533,935	430,915	465,680	453,128	(2.7)
Scheduled Charges	2,590,311	3,152,912	3,763,993	3,730,927	3,950,376	5.9
Capital Outlay/Reimbursements	371,671	254,884	(150)	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 54,487,795	55,902,081	57,817,807	61,151,423	61,586,009	0.7

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Administration	27	27	22	23	28	5
Training	11	11	11	11	11	-
Records	25	24	23	23	22	(1)
Communications Center	43	44	50	49	48	(1)
Special Operations	-	28	28	23	26	3
Person/ Property Crimes	110	87	88	93	94	1
Patrol	324	324	323	323	315	(8)
TOTAL STAFFING	540	545	545	545	544	(1)

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Police Calls	230,468	212,640	222,036	221,341	225,000	1.7
Dispatch-to-arrival (minutes)	4:20	4:06	4:11	4:10	4:00	(4.0)
Reports processed	77,107	76,982	82,274	80,525	81,200	0.8
Recruits passing state license (%)	100	100	100	100	100	-
Items received in property room	41,409	29,066	32,238	33,500	33,500	-

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Police Expenditures

Administration

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,620,819	1,395,129	1,459,169	1,441,584	1,835,833	27.3
Benefits	711,378	599,929	604,549	635,880	793,736	24.8
Supplies	101,227	131,219	86,558	88,327	97,445	10.3
Maintenance	37,753	44,705	68,205	58,181	55,725	(4.2)
Professional Services/Training	145,159	236,805	144,070	103,293	103,667	0.4
Other Charges	2,568	6,564	1,895	7,300	1,800	(75.3)
Scheduled Charges	548,275	569,662	643,486	680,627	270,793	(60.2)
Capital Outlay/Reimbursements	5,607	(245)	(150)	-	-	-
TOTAL ADMINISTRATION	$ 3,172,786	2,983,767	3,007,782	3,015,192	3,158,999	4.8

Training

EXPENDITURES BY CATEGORY						
Compensation	$ 804,499	834,971	914,773	870,015	875,471	0.6
Benefits	371,585	393,110	428,433	384,568	365,389	(5.0)
Supplies	143,540	200,751	180,772	520,399	509,828	(2.0)
Maintenance	34,627	29,025	43,826	43,390	38,938	(10.3)
Professional Services/Training	96,653	130,104	198,255	203,025	177,826	(12.4)
Other Charges	81	148	364	-	-	-
Scheduled Charges	175,603	183,636	197,475	210,997	249,228	18.1
Capital Outlay/Reimbursements	3,576	-	-	-	-	-
TOTAL TRAINING	$ 1,630,163	1,771,745	1,963,898	2,232,393	2,216,679	(0.7)

Records

EXPENDITURES BY CATEGORY						
Compensation	$ 794,934	807,365	802,014	831,150	794,617	(4.4)
Benefits	458,191	442,872	435,589	458,195	449,054	(2.0)
Supplies	11,055	8,568	9,940	10,900	7,320	(32.8)
Maintenance	-	79	1,534	2,000	-	(100.0)
Professional Services/Training	1,942	2,614	1,304	3,500	3,200	(8.6)
Other Charges	-	1,266	-	-	-	-
Scheduled Charges	65,385	67,235	73,036	76,463	100,782	31.8
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL RECORDS	$ 1,331,507	1,329,999	1,323,416	1,382,207	1,354,973	(2.0)

Communications Center

EXPENDITURES BY CATEGORY						
Compensation	$ 1,522,187	1,547,450	1,749,508	2,043,682	2,145,635	5.0
Benefits	809,299	792,800	876,878	1,073,072	1,082,497	0.9
Supplies	10,284	11,042	13,247	10,750	10,170	(0.4)
Maintenance	3,275	6,035	9,304	8,973	10,202	(0.4)
Professional Services/Training	7,319	7,735	13,180	10,500	10,460	(0.4)
Other Charges	1,886	79	1,579	1,801	1,801	-
Scheduled Charges	114,191	115,692	128,796	142,947	199,089	39.3
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL COMMUNICATIONS CENTER	$ 2,468,441	2,480,833	2,792,492	3,291,724	3,459,855	5.1

Special Operations

EXPENDITURES BY CATEGORY						
Compensation	$ -	2,000,325	1,906,907	1,735,757	1,965,044	13.2
Benefits	-	800,562	755,926	716,448	803,997	12.2
Supplies	-	149,813	179,009	206,993	180,512	(12.8)
Maintenance	107	67,830	113,609	119,503	118,995	(0.4)
Professional Services/Training	-	6,129	2,792	12,060	7,100	(41.1)
Other Charges	-	282,888	258,837	251,828	250,722	(0.4)
Scheduled Charges	-	170,099	141,678	153,543	212,286	38.3
Capital Outlay/Reimbursements	-	19,848	-	-	-	-
TOTAL SPECIAL OPERATIONS	$ 107	3,497,494	3,358,758	3,196,132	3,538,656	10.7

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Police Expenditures

Person/ Property Crimes

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 7,608,305	5,857,965	6,436,427	6,441,940	6,455,571	0.2
Benefits	3,215,962	2,447,148	2,588,819	2,657,648	2,751,286	3.5
Supplies	600,754	432,602	408,527	479,060	433,889	(9.4)
Maintenance	242,156	190,567	273,523	449,110	455,179	1.4
Professional Services/Training	58,884	37,510	46,020	45,500	45,750	0.5
Other Charges	369,003	118,588	52,827	88,119	80,904	(8.2)
Scheduled Charges	594,583	526,290	547,205	530,284	845,149	59.4
Capital Outlay/Reimbursements	12,979	1,267	-	-	-	-
TOTAL PERSON/ PROPERTY CRIMES	$ 12,702,626	9,611,937	10,353,347	10,691,661	11,067,728	3.5

Patrol

EXPENDITURES BY CATEGORY						
Compensation	$ 19,730,800	19,991,370	20,390,499	22,202,353	21,514,382	(3.1)
Benefits	8,721,858	8,588,771	8,561,535	9,462,582	9,441,212	(0.2)
Supplies	1,821,363	2,156,587	1,945,231	1,673,565	1,696,629	1.4
Maintenance	1,302,012	1,557,785	1,908,224	1,893,566	1,884,287	(0.5)
Professional Services/Training	53,562	53,078	64,896	57,350	61,660	7.5
Other Charges	110,787	124,403	115,413	116,632	117,901	1.1
Scheduled Charges	1,092,274	1,520,299	2,032,317	1,936,065	2,073,048	7.1
Capital Outlay/Reimbursements	349,508	234,015	-	-	-	-
TOTAL PATROL	$ 33,182,165	34,226,307	35,018,114	37,342,114	36,789,119	(1.5)

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Police - Job Grade Summary

SWORN JOB GRADE SUMMARY	GRADE	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Police Chief	143	1	1	1	1	-
Assistant Police Chief	PCS6	3	3	3	3	-
Police Captain	PCS5	8	8	8	8	-
Police Lieutenant	PCS4	13	13	13	17	4
Police Sergeant	PCS3	57	57	57	57	-
Police Corporal	PCS2	71	71	71	72	1
Police Officer	PCS1	280	280	280	275	(5)
TOTAL SWORN JOB GRADE SUMMARY		433	433	433	433	-

NON-SWORN JOB GRADE SUMMARY	GRADE	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Administrative Assistant	112	8	8	7	7	-
Assistant Communications Center Manager	126	1	1	1	1	-
Communications Center Manager	129	1	1	1	-	(1)
Communications Shift Supervisor	123	4	4	4	4	-
Crime Analyst	119	2	2	2	2	-
Forensic Specialist	121	1	1	1	1	-
Juvenile Services Coordinator	121	1	1	1	1	-
Laborer	108	1	1	1	1	-
Lead Administrative Assistant	115	1	1	1	1	-
Management Assistant	122	2	2	2	2	-
Parking Control Officer	108	6	6	6	6	-
Property Room Attendant	113	4	4	4	4	-
Public Information Officer	123	-	-	-	1	1
Public Safety Call Taker	112	14	14	17	12	(5)
Public Safety Dispatcher I	114	14	14	14	14	-
Public Safety Dispatcher II	116	15	15	12	17	5
Public Service Officer	110	13	13	14	14	-
Records System Manager	126	1	1	1	1	-
Records System Operator	115	18	18	18	17	(1)
Records System Shift Supervisor	118	4	4	4	4	-
Sex Offender Registration Coordinator	117	1	1	1	1	-
TOTAL NON-SWORN JOB GRADE SUMMARY		112	112	112	111	(1)
TOTAL JOB GRADE SUMMARY		545	545	545	544	(1)

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Public Health Overview

Mission and Purpose

Protect the health, safety, and welfare of Lubbock citizens. Public Health is partially subsidized through funding by the Texas Department of State Health Services. The Health Department provides administration and oversight of immunizations, conducts communicable disease surveillance, and tests and treats sexually transmitted diseases, in conjunction with Texas Department of State Health Services grant deliverables. The Health Department provides the following services:

- Surveillance and reporting of communicable diseases.
- Provision and regulation of immunizations.
- Provision of testing and treatment for sexually transmitted diseases.
- Planning and response to public health emergencies.
- Coordination with Texas Department of State Health Services (DSHS) on provision of services.

Goals and Objectives

- To prevent epidemics and the spread of communicable diseases.

Accomplishments for FY 2015-16

- Responded to a Shigella Outbreak that affected over 400 individuals mainly in elementary schools. Provided extensive education to parents and schools about the disease and how it spread. Issued a health alert and participated in multiple media interviews.
- Provided extensive education to health care providers and the public regarding Zika. Facilitated the testing of patients with Zika and conducted investigations. Conducted an educational campaign to inform the public.
- Obtained new funding from the Texas Department of State Health Services to enhance epidemiological and surveillance capacities.
- Educated the public on the importance of vaccinations through media interviews, outreach events and advertisements.
- Increased the number of Texas Vaccine Providers to 41. Supported all providers in the city with education, monitoring and ensuring they have state-supplied vaccines available for their patients.
- Expanded access to vaccine for uninsured adults by offering immunization clinics at social service agencies such as homeless shelters and soup kitchens.
- Investigated 100 percent of notifiable conditions within the timeframe designated by DSHS.
- Coordinated and participated in All-Hazards preparedness activities (trainings, exercises, etc).
- Passed the DSHS Fiscal Review, Public Health Preparedness Programmatic Review and Immunizations Program Review with no findings.

Objectives for FY 2016-17

- Increase collaboration of educational efforts between health clinics, non-profits and state and local health departments.
- Maintain the number of Texas Vaccine for Children and Adult Safety Net Providers within the city.
- Continue to educate the public on the importance of immunizations.
- Provide accurate, timely test results for the City of Lubbock STD clinic as well as other providers.
- Inform and educate the public regarding emerging and high consequence infectious diseases.
- Educate providers on the importance of accurate and timely reporting of notifiable conditions.

Expenditure Overview

- Budgeted expenditures increased $45,644, or 4.9 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits increased $25,307, or 4.2 percent, due to an increase in insurance benefits and decreases in grant funding.
- Supplies decreased $1,738, or 2.4 percent, due to decreases in mail handling and medical supplies.
- Maintenance increased $1,415, or 16.1 percent, due to an increase in motor vehicle maintenance.
- Professional Services/Training decreased $5,675, or 6.9 percent, due to a decrease in training and travel.
- Other Charges increased $5,011, or 9.6 percent, due to an increase in facility rental.
- Scheduled Charges increased $21,324, or 20.6 percent, due to increased data processing, telephone, and liability insurance charges.

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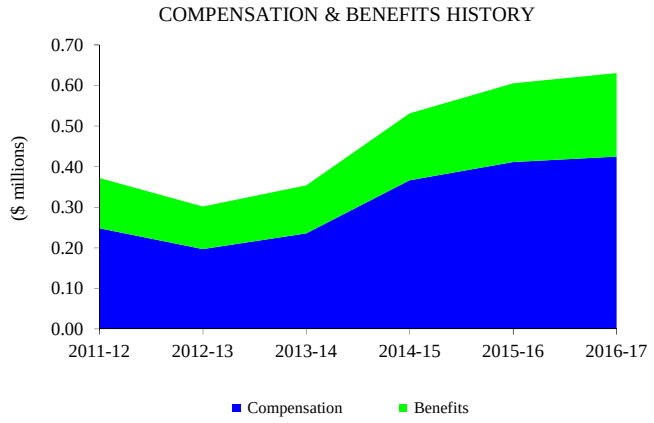
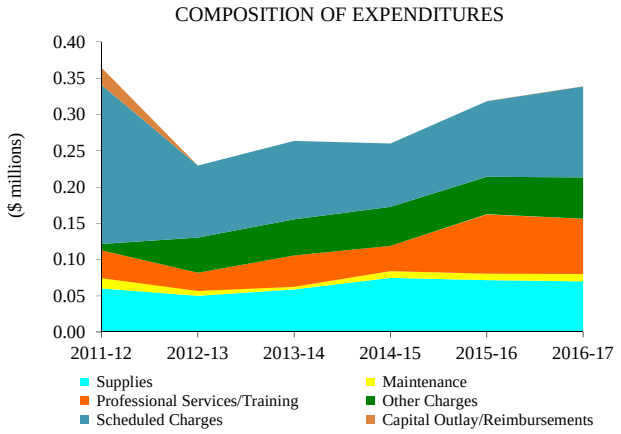
Public Health Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 196,761	235,699	366,520	411,571	424,988	3.3
Benefits	104,701	118,076	164,800	193,695	205,584	6.1
Supplies	49,848	58,447	74,529	71,520	69,782	(2.4)
Maintenance	6,866	3,539	9,460	8,764	10,179	16.1
Professional Services/Training	24,932	43,189	34,715	81,866	76,191	(6.9)
Other Charges	48,599	49,981	53,564	51,973	56,984	9.6
Scheduled Charges	99,205	108,261	87,677	103,758	125,082	20.6
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 530,912	617,193	791,265	923,147	968,791	4.9

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Health Prevention/Surveillance	13	13	14	17	17	-
Health Department	-	-	-	-	-	-
TOTAL STAFFING	13	13	14	17	17	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Annual immunizations given	7,895	5,874	5,769	6,000	6,000	-
Annual immunization visits - children	1,189	1,255	1,310	1,200	1,200	-
Annual immunization visits - adults	3,800	2,851	2,065	2,800	2,500	(10.7)
Teens attending STD clinic annually	104	96	98	100	100	-
Annual STD visits (all ages)	2,408	2,432	2,626	2,500	2,700	8.0
Notifiable conditions investigated annually	2,611	2,500	2,990	2,500	2,500	-
Lab specimens received annually	3,327	3,331	3,518	3,500	3,500	-

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Public Health Expenditures

Health Prevention/Surveillance

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 192,220	235,699	366,520	411,571	424,988	3.3
Benefits	102,810	118,076	164,800	193,695	205,584	6.1
Supplies	49,188	58,447	74,529	71,520	69,782	(2.4)
Maintenance	6,894	3,539	9,460	8,764	10,179	16.1
Professional Services/Training	21,948	43,189	34,715	81,866	76,191	(6.9)
Other Charges	48,638	49,981	53,564	51,973	56,984	9.6
Scheduled Charges	94,700	108,261	87,677	103,758	125,082	20.6
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL HEALTH PREVENTION/SURVEILLANCE	$ 516,399	617,193	791,265	923,147	968,791	4.9

Health Department

EXPENDITURES BY CATEGORY						
Compensation	$ 4,541	-	-	-	-	-
Benefits	1,890	-	-	-	-	-
Supplies	661	-	-	-	-	-
Maintenance	(29)	-	-	-	-	-
Professional Services/Training	2,984	-	-	-	-	-
Other Charges	(39)	-	-	-	-	-
Scheduled Charges	4,505	-	-	-	-	-
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL HEALTH DEPARTMENT	$ 14,513	-	-	-	-	-

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Vector Control Overview

Mission and Purpose

Eliminate mosquito populations that may carry infectious diseases and are a nuisance to the general population. Mosquitoes and flies are the primary vector targets. The Vector Control Department provides the following services:

- Monitor the presence of mosquitoes through standard surveillance procedures including the use of traps to collect vector samples, sampling standing water areas, and monitoring citizen reports.
- Educate the public regarding personal control measures that can eliminate potential vector breeding habitats.
- Work with Texas Tech University to identify pools of mosquitoes positive for West Nile Virus and other vector-borne diseases.
- Provide Vector Control Services for the County of Lubbock as contracted.

Goals and Objectives

- Monitor, screen, and work with Texas Tech University to test mosquitoes for potential disease carrying vectors in order to control mosquito populations that could adversely affect public health.
- Treat immature vector populations by applying appropriate chemicals in potential habitats.
- Treat adult vector populations by applying appropriate chemicals at recommended amounts as necessary to control mosquito and fly populations.

Accomplishments for FY 2015-16

- Increased number and type of traps to catch additional species.
- Plotted number of complaints on GIS generated maps to aid in spraying strategies.
- Vector fleet fully operational with five turbines and five Ultra Low Volume (ULV) fogging machines.
- Conducted refresher training on mosquito biology and species found in this region.
- Conducted resistance testing to verify if local mosquitos are building resistance to pesticides being used.
- Conducted early preparations with various departments in anticipation of Zika virus transmission.
- Treated all major public events to prevent spread of vector-borne diseases.
- Verified through mosquito counts that data based spraying impacted reduction in mosquito populations.

Objectives for FY 2016-17

- Working with GIS to plot areas in the City and County that have been treated with pesticides.
- Increased surveillance of various species carrying vector-borne diseases.
- Continue to conduct resistance testing to control adult mosquito populations.
- Continue to educate the public in a collaborative effort to reduce mosquito populations.
- Continue utilizing Integrated Pest Management practices and working with various departments at the local, state and federal level to protect public health.
- Become more proactive in applying larvicidal pesticides as a method of source reduction.

Expenditure Overview

- Total budgeted expenditures increased $82,027, or 18.9 percent, when compared with the adopted FY 2015-16 Operating Budget.
- Compensation and benefits increased $444, or 0.1 percent, due to an increase in insurance costs.
- Supplies increased $50,738, or 88.4 percent, due to price increases and additional pesticide chemicals and mosquito traps.
- Maintenance increased $970, or 2.5 percent, due to an increase in the Radio Shop charge.
- Professional Services increased $3,947 due to an increase in training and travel for the American Mosquito Control Association National Conference.
- Scheduled Charges increased $25,928 due to increased liability insurance and data processing charges.

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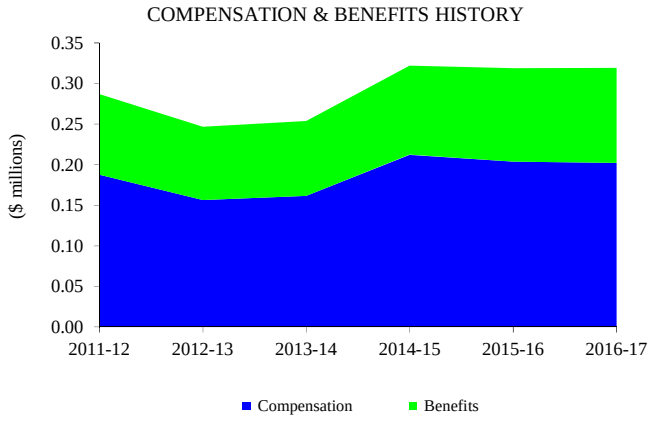
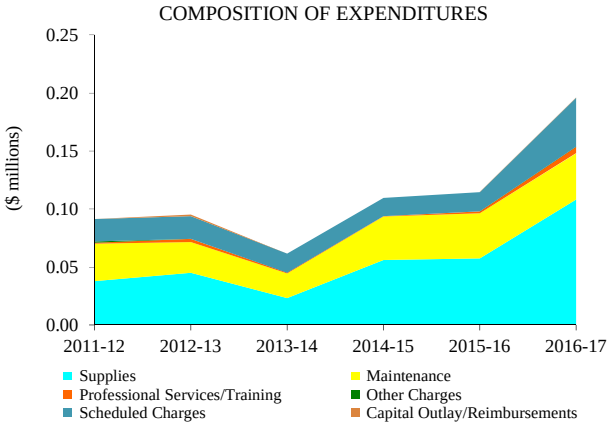
Vector Control Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 156,349	161,433	212,212	203,562	201,941	(0.8)
Benefits	90,188	92,100	109,934	115,141	117,206	1.8
Supplies	44,786	23,136	55,827	57,375	108,113	88.4
Maintenance	26,467	21,345	37,486	38,906	39,876	2.5
Professional Services/Training	2,847	634	524	1,593	5,540	247.8
Other Charges	-	-	-	-	-	-
Scheduled Charges	19,589	16,288	15,565	16,400	42,329	158.1
Capital Outlay/Reimbursements	1,355	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 341,580	314,938	431,548	432,977	515,004	18.9

STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Vector Control	6	6	6	6	6	-
TOTAL STAFFING	6	6	6	6	6	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Acres treated with adulticide	233,000	260,000	210,524	250,000	250,000	-
Acres treated with larvacide	1,000	1,500	3,476	1,200	1,200	-

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Debt Service Fund Overview

Summary of Debt

The City issues debt as authorized by State Statute and City Charter in one of the following ways: General Obligation Bonds or Certificates of Obligation.

- General Obligation Bonds are payable from the proceeds of the interest and sinking fund portion of the property tax rate, levied within the limitations of the law. The full faith and credit of the City guarantee the payment of General Obligation Bonds. General Obligation Bonds are authorized by a vote of the citizens.
- Certificates of Obligation are guaranteed from the proceeds of the interest and sinking fund portion of the property tax rate, levied within the limitations of the law, and most are payable from, and secured by, the surplus revenues of the City's Enterprise Funds, Internal Service Funds, or Special Revenue Funds.

Debt Limitations

There is no direct debt limitation in the City Charter or under state law. The City operates under a home rule charter that limits the maximum tax rate for all City purposes to $2.50 per $100 assessed valuation. Administratively, the State of Texas Attorney General will permit allocation of $1.50 of the $2.50 maximum rate for general obligation debt service. As of October 1, 2016, the tax rate for debt service is $0.13967 per $100 assessed valuation.

Debt Structure

City of Lubbock debt is generally structured on a level payment basis. The level payment structure allows the total debt service to be allocated in equal annual installments throughout the life of the debt. This method allows the City to keep tax rates and user fees level and stable.

Bond Ratings

Standard & Poor's (S&P) and Fitch Ratings' bond ratings range from AAA to D. Bonds rated in the top four categories (AAA, AA, A, and BBB) generally are regarded as eligible for bank investment. S&P and Fitch apply modifiers in each generic rating classification. The "plus sign" (+) modifier indicates that the issuer ranks in the higher end of its generic rating category, no modifier indicates a mid-range ranking, and the "minus sign" (-) modifier indicates that the issuer ranks in the lower end of its generic category.

- The bond ratings of AA+ for the City and AA- for LP&L signify a very strong capacity to meet their financial commitments.

Moody's has nine basic rating categories for long-term obligations. They range from Aaa (highest quality) to C (lowest quality). Moody's applies numerical modifiers 1, 2, and 3 in each generic rating classification from Aa to Caa. The modifier 1 indicates that the issuer ranks in the higher end of its generic rating category, the modifier 2 indicates a mid-range ranking, and the modifier 3 indicates that the issuer ranks in the lower end of its generic category.

The City has maintained excellent ratings for many years. The City's bond ratings as of May 31, 2016 are as follows:

	City	LP&L
Moody's	Aa2	A1
Standard & Poor's	AA+	AA-
Fitch Ratings	AA+	A+

During FY 2015-16, all three rating agencies, Standard & Poor's, Moody's Investor's Service, and Fitch Ratings affirmed the City's financial bond ratings. Rating reports state that the City's ratings reflect the following:

- Maintenance of very strong financial position, supported by good financial management practices.
- Role as a regional economic center, anchored by health care, higher education, and a rapidly growing commercial sector.
- Sizeable tax base with economic stability.
- Willingness to adjust utility rates, as necessary, especially given that a majority of tax-secured debt outstanding is issued on behalf of various different municipally owned enterprises.
- Moderate overall net debt burden.

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Debt Service Fund Overview

City of Lubbock Ratings

Date	Moody's Rating Outlook	S&P Rating Outlook	Fitch Rating Outlook
09/16/04	A1 Stable		
09/21/04		AA- Stable	
09/23/04			AA- Negative
11/16/04	A1 Stable		
02/16/05		AA- Stable	
02/18/05			AA- Stable
02/23/05	A1 Stable		
06/22/05		AA- Stable	
06/23/05	A1 Stable		AA- Stable
07/22/05		AA- Stable	
08/23/05	A1 Stable	AA- Stable	AA- Stable
11/22/05	A1 Positive		
04/11/06		AA- Positive	
04/17/06	A1 Positive		
04/21/06			AA- Positive
12/27/06	A1 Positive	AA- Positive	
12/29/06			AA- Positive
05/31/07	Aa3 Stable		
06/05/07			AA Stable
06/08/07		AA Stable	
08/15/07	Aa3 Stable		
08/16/07		AA Stable	
04/22/08	Aa3 Stable		AA Stable
04/23/08		AA+ Stable	
03/09/09	Aa3 Stable		AA Stable
03/10/09		AA+ Stable	
01/07/10		AA+ Stable	
01/08/10			AA Stable
01/11/10	Aa3 Stable		
04/23/10	Aa2 Stable		
05/03/10			AA+ Stable
03/04/11			AA+ Stable
03/07/11	Aa2 Stable		
03/15/11		AA+ Stable	
03/09/12	Aa2 NOO (no outlook)		
03/12/12		AA+ Stable	
03/15/12			AA+ Stable
04/16/13	Aa2 Stable		
04/22/13		AA+ Stable	
04/10/14		AA+ Stable	
04/13/14	Aa2 Stable		
04/15/14			AA+ Stable
03/19/15			AA+ Stable
03/20/15		AA+ Stable	
03/23/15	Aa2 Stable		
03/21/16			AA+ Stable
03/23/16	Aa2 Stable		
03/31/16		AA+ Stable	

Lubbock Power & Light Ratings

Date	Moody's Rating Outlook	S&P Rating Outlook	Fitch Rating Outlook
09/16/04	A3 Stable		
09/29/04		BBB- Stable	
10/21/04			BBB+ Negative
12/21/05		BBB Stable	
10/03/06			BBB+ Stable
01/02/07		BBB Positive	
03/31/09			A- Stable
04/08/09		A- Stable	
04/23/10	A1 Stable		
10/05/10		A- Positive	
10/06/10	A1 Stable		
10/11/10			A- Positive
10/25/10		AA- Stable	
02/15/11			A+ Stable
04/16/13			A+ Stable
04/17/13		AA- Stable	
04/30/13	A1 Negative		
04/11/14	A1 Stable		
04/14/14		AA- Stable	A+ Stable
03/20/15		AA- Stable	A+ Stable
03/23/15	A1 Stable		
03/23/16	A1 Stable	AA- Stable	A+ Stable

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Debt Service Fund Overview

Debt Service/Capital Projects

The Debt Service Fund is used to account for general long-term debt principal and interest for debt issues and other long-term debts for which a tax has been dedicated. The City budgets for debt service in fourteen funds: General Debt Service, Water, Wastewater, Solid Waste, Storm Water, North Overton Tax Increment Financing Reinvestment Zone (North Overton TIF), LP&L, Airport, Cemetery, Civic Centers, Hotel Occupancy Tax, Gateway, Internal Service Funds, and the Central Business District TIF (CBD TIF). Debt requirements in these funds for FY 2016-17 are as follows:

- General obligation debt service, which includes general obligation bonds and certificates of obligation, is budgeted in the General Debt Service Fund. The bond election held in May 2004, approved $30 million in new debt issuance. There is currently $3.7 million in authorized, but unissued bonds from that election. The most recent bond election, held in November 2009, approved $50.585 million in new debt issuance to finance improvements in streets and public safety, all of which have been issued.
- Following are the amounts of debt service that are budgeted in various City funds:

Water	$40,436,756
Wastewater	23,957,265
Lubbock Power & Light	20,187,244
General Debt Service	19,960,263
Storm Water	14,274,486
Gateway	8,438,727
North Overton TIF	3,216,121
Solid Waste	2,441,073
Airport	1,740,085
Internal Services	1,477,675
Civic Centers	527,557
Central Business District TIF	652,682
Hotel Occupancy Tax	93,688
Cemetery	54,341

The above amounts do not include the Build America Bond subsidy or utilization of interest earnings on bond funds.

Debt Capacity

In FY 2015-16, the City did not issue any General Obligation Bonds.

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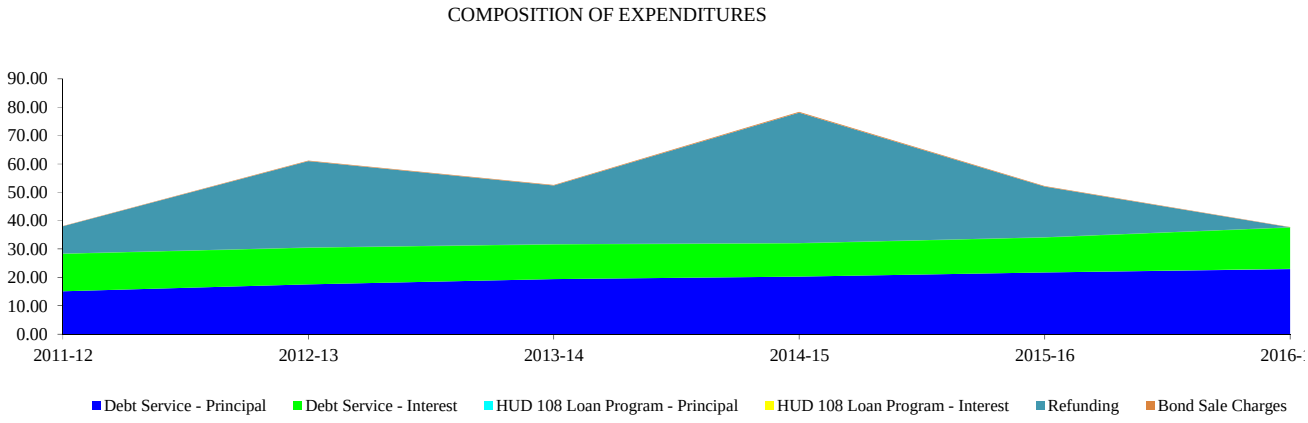
Debt Service Fund



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-forcasted FY 2015-16	Proposed FY 2016-17	% Change from Amended
Ad valorem tax collections	$ 13,093,380	14,707,715	15,038,597	16,898,915	19,975,607	18.2
Delinquent Taxes	279,266	263,157	236,438	264,999	260,000	(1.9)
Unallocated GO Bond Interest - Tax Backed	-	35,509	65,818	-	-	-
Interest Earnings on Debt Service Cash	4,619	18,039	41,344	44,360	-	(100.0)
Transfer from Overton TIF	3,249,097	3,211,032	3,186,321	3,026,821	3,190,249	5.4
Transfer from CBD TIF	129,698	129,994	304,196	499,154	649,401	30.1
Transfer from Hotel Tax Fund	97,323	95,757	97,328	94,108	93,688	(0.4)
Transfer from Gateway	8,296,726	8,202,787	8,308,992	8,355,847	8,314,581	(0.5)
Transfer from Stormwater (Street Maintenance)	5,321,023	2,668,239	5,309,575	5,303,870	5,308,446	0.1
Transfer from General Fund (Street Maintenance)	-	2,635,789				-
Refunding	30,704,485	20,949,255	46,352,804	18,030,542		(100.0)
Build America Bond Subsidy	439,395	425,858	425,399	427,694	427,694	-
Miscellaneous Recoveries	258,671	-				-
Total Revenue Sources	61,873,682	53,343,131	79,366,812	52,946,310	38,219,666	(33.3)
Utilization of Net Assets	-	-	-	-	93,361	-
TOTAL FUNDING SOURCES	$ 61,873,682	53,343,131	79,366,812	52,946,310	38,313,027	(33.3)

EXPENDITURES						
Debt Service - Principal	$ 17,572,428	19,438,434	20,314,908	21,734,620	22,987,138	5.8
Debt Service - Interest	12,914,291	12,235,984	11,776,646	12,426,278	14,682,788	18.2
Fiscal Agent Fees	3,467	4,179	28,625	15,821	15,543	(1.8)
Refunding	30,465,061	20,768,374	45,972,814	17,872,864	-	(100.0)
Bond Sale Charges	228,130	181,631	379,990	157,678	100,000	(36.6)
Transfer to Civic Centers	530,859	529,258	528,538	529,248	527,557	(0.3)
TOTAL EXPENDITURES	$ 61,714,236	53,157,860	79,001,521	52,736,509	38,313,027	(27.4)

FUND BALANCE						
Beginning Fund Balance	$ 1,368,594	1,528,041	1,713,311	2,078,602	2,288,402	10.1
Change in Fund Balance	159,446	185,271	365,290	209,801	(93,361)	(144.5)
ENDING FUND BALANCE	$ 1,528,041	1,713,311	2,078,602	2,288,402	2,195,041	(4.1)

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Debt Service Fund

RATIO OF NET GENERAL BONDED DEBT TO ASSESSED VALUE AND NET BONDED DEBT PER CAPITA

Fiscal Year Ending	Population[1]	Net Assessed Value (Thousands)	Gross Bonded Debt[2]	Debt Payable from Other Fund Revenue	Net Bonded Debt	Ratio of Net Bonded Debt to Assessed Value	Net Bonded Debt Per Capita
2008	214,847	10,897,211	655,680,000	554,494,047	101,185,953	0.93%	471
2009	218,327	11,673,074	682,875,000	578,821,200	104,053,800	0.89%	477
2010	219,643	12,002,616	837,410,000	734,867,922	102,542,078	0.85%	467
2011	229,573	12,288,361	961,165,000	848,492,670	112,672,330	0.92%	491
2012	233,651	12,629,609	988,950,000	863,351,951	125,598,049	0.99%	538
2013	235,398	13,100,207	983,550,000	854,569,922	128,980,078	0.98%	548
2014	238,706	13,535,673	981,645,000	846,262,999	135,382,001	1.00%	567
2015	241,233	14,183,511	1,068,485,044	920,601,215	147,883,829	1.04%	613
2016[3]	243,736	14,886,866	1,046,015,000	852,029,667	193,985,333	1.30%	796

DEBT SERVICE FOR WHICH TAX IS LEVIED

Series	Purpose of Issue	FY 2016-17 Debt Service
2006	Certificates of Obligation	$ 3,941,125
2007	Refunding Bonds	4,991,638
2007	Certificates of Obligation	1,315,463
2007A	Certificates of Obligation	3,218,500
2007	General Obligation	56,581
2008	Certificates of Obligation	564,438
2008	Certificates of Obligation	2,367,141
2008	General Obligation	95,600
2008	Certificates of Obligation	4,180,750
2008A	Certificates of Obligation	1,423,930
2009	Certificates of Obligation	4,142,888
2009	Refunding Bonds	1,128,081
2009	General Obligation	147,231
2010	Certificates of Obligation	1,212,855
2010A	General Obligation	1,188,758
2010B[4]	General Obligation	841,185
2010A	Certificates of Obligation	7,575,061
2010B[4]	Certificates of Obligation	5,293,070
2010C	Certificates of Obligation	2,431,165
2011	Certificates of Obligation	9,975,700
2011	General Obligation	1,056,144
2011	Refunding Bonds	1,197,488
2012	Certificates of Obligation	5,621,375
2012	General Obligation	874,025
2012	Refunding Bonds	988,538
2013	Certificates of Obligation	4,226,350
2013	General Obligation	4,413,238
2013	Refunding Bonds	3,043,513
2014	Certificates of Obligation	5,669,150
2014	Refunding Bonds	2,199,050
2015	Certificates of Obligation	8,329,250
2015	Refunding Bonds	13,035,500
2015	Refunding Bonds	1,018,615
2016	Certificates of Obligation	8,559,460
2016	Refunding Bonds	1,211,650
TOTAL TAX SUPPORTED BONDS[4]		$ 117,534,500

[1] Source: Planning Department

[2] Includes all long-term general obligation debt principal.

[3] Projected.

[4] Does not include Subsidy Rebate

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Debt Service Fund

OUTSTANDING DEBT SERVICE PAYMENT - PRINCIPAL & INTEREST						
Fiscal Year Ending	General Obligation Bonds		Revenue and Contract Revenue Bonds		Total Principal	Total Interest
	Principal	Interest	Principal	Interest		
2017	$ 71,595,000	45,939,500	14,126,498	5,796,964	85,721,498	51,736,465
2018	75,170,000	41,750,570	14,709,034	5,184,388	89,879,034	46,934,958
2019	74,770,000	38,879,861	15,394,402	4,486,373	90,164,402	43,366,234
2020	73,055,000	35,912,389	16,003,060	3,852,420	89,058,060	39,764,809
2021	72,370,000	32,801,139	7,643,391	3,097,952	80,013,391	35,899,091
2022	69,025,000	29,644,016	7,574,026	2,754,186	76,599,026	32,398,202
2023	69,155,000	26,472,086	7,917,662	2,421,428	77,072,662	28,893,514
2024	68,600,000	23,243,820	7,620,025	2,044,392	76,220,025	25,288,212
2025	68,910,000	19,976,317	5,573,013	1,680,967	74,483,013	21,657,284
2026	66,570,000	16,750,502	5,244,518	1,426,820	71,814,518	18,177,322
2027	61,005,000	13,716,966	4,490,060	1,177,518	65,495,060	14,894,484
2028	58,100,000	10,895,878	4,425,768	971,187	62,525,768	11,867,065
2029	50,885,000	8,345,300	4,076,104	780,628	54,961,104	9,125,927
2030	49,085,000	6,023,557	3,918,068	594,471	53,003,068	6,618,028
2031	35,475,000	4,108,722	2,440,182	419,806	37,915,182	4,528,528
2032	24,750,000	2,793,047	1,725,000	316,694	26,475,000	3,109,741
2033	20,565,000	1,830,463	1,795,000	244,869	22,360,000	2,075,331
2034	18,250,000	1,015,075	1,860,000	169,213	20,110,000	1,184,288
2035	12,700,000	404,375	1,220,000	90,588	13,920,000	494,963
2036	5,980,000	89,700	355,000	36,056	6,335,000	125,756
2037			60,000	24,963	60,000	24,963
2038			65,000	22,938	65,000	22,938
2039			65,000	20,744	65,000	20,744
2040			70,000	18,550	70,000	18,550
2041			70,000	16,188	70,000	16,188
2042			75,000	13,825	75,000	13,825
2043			75,000	11,200	75,000	11,200
2044			80,000	8,575	80,000	8,575
2045			80,000	5,775	80,000	5,775
2046			85,000	2,975	85,000	2,975
	$ 1,046,015,000	360,593,281	128,835,809	37,692,650	1,174,850,809	398,285,931

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Vehicle Debt Financing Summary

	Existing Vehicle Information			New Vehicle Information			
	Model	Mileage	To-Date Cost	No.	Replacement		Cost
GENERAL FUND							
Building Inspection	NEW	n/a	n/a	1	1/2 Ton Ext. Cab	$	27,000
Environmental Health	NEW	n/a	n/a	1	1/2 Ton Ext. Cab 4x4		28,000
Fire Equip Maintenance	2001 E-1 Quint	126,418	$13,906	1	Quint		850,000
Fire Equip Maintenance	2003 E-1 Pumper	112,522	13,335	1	Pumper		725,000
Fire Equip Maintenance	2003 E-1 Pumper	107,164	16,819	1	Pumper		725,000
Fire Suppression	NEW	n/a	n/a	1	Trailer Mounted Breathable Air Compressor		100,000
Park Maintenance	2011 Skid Steer	1160 hrs	12,460	1	Skid Steer		40,000
Park Maintenance	2001 Kubota Trim Mower	180 hrs	6,321	1	Mower		25,000
Park Maintenance	1989 Ag Tractor	1664 hrs	11,689	1	4x4 Tractor w/ front loader		49,000
Park Maintenance	2006 3/4 Ton 4x4 PU	145,239	18,817	1	3/4 Ton Crew Pickup		34,000
Police Investigations	2009 Ford Crown Vic	----------Various---------		4	Mid Size SUV		144,000
Police Patrol	2006 Chevrolet Tahoe	106,804	17,140	1	2 Door Sedan		45,000
Police Patrol	2006 Chevrolet Tahoe	69,543	15,422	1	Full Size SUV		54,061
Police Patrol	2009 Ford Crown Vic	68,894	17,700	1	2 Door Sedan		45,000
Police Patrol	2009 Ford Crown Vic	105,000	20,172	1	2 Door Sedan		45,000
Police Patrol	2009 Ford Crown Vic	----------Various---------		4	Full Size SUV		216,244
Police Patrol	2010 Ford Crown Vic	62,683	wrecked	1	Full Size SUV		54,061
Police Patrol	2008 Ford Crown Vic	90,382	wrecked	1	Full Size SUV		54,061
Police Patrol	2009 Ford Crown Vic	79,934	23,086	1	Crew Cab 4x4 PU		42,000
Police Patrol	2009 Ford Crown Vic	n/a	wrecked	1	Crew Cab 4x4 PU		42,000
Streets	NEW	n/a	n/a	1	Asphalt Paver		225,000
Streets	2004 Chevy Pothole Patcher	139,258	75,229	1	Pothole Patcher		145,000
Streets	2003 Chevy 1 Ton PU	102,366	17,794	1	1 Ton 4x4 Flatbed		33,000
Street Drainage	2005 1/2 Ton Pickup	91,421	6,396	1	1/2 Ton Ext. Cab Pickup		27,000
						$	**3,774,427**
ENTERPRISE FUNDS							
Cemetery	2001 1 1/2 Ton Dump Truck	17,326	$12,450	1	1 1/2 Ton Dump Truck	$	60,000
Cemetery	2007 2WD Four Wheeler	12,852	1,788	1	ATV - 2WD Four Wheeler		12,000
Citibus	2001 Passenger Bus	----------Various---------		4	40 Ft. Low Floor Bus		2,000,000
Landfill	2009 D8 Dozer	3,045	244,159	1	D8 Dozer		875,000
Landfill	1999 Cat 163H AWD Grader	14,121	n/a	1	AWD Motor Grader		350,000
Residential Collection	2008 Cart Truck	55,434	33,613	1	Cart Truck		187,425
Residential Collection	2007 Container Truck	86,248	23,158	1	Container Truck		92,945
Residential Collection	2009 Forklift	1,111	4,203	1	Forklift		55,000
Residential Collection	2011 Freightliner Sideloader	117,712	93,129	1	Sideloader		187,425
Residential Collection	2012 Freightliner Sideloader	92,754	47,321	1	Sideloader		187,425
Residential Collection	2012 Freightliner Sideloader	89,846	28,891	1	Sideloader		187,425
						$	**4,194,645**
					TOTAL ALL FUNDS	**$**	**7,969,072**

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Vehicle Debt Financing Five Year Plan

Qty.	Exisiting Vehicle	Replacement	2016-17	2017-18	2018-19	2019-20	2020-21
Facilities Management							
1	2006 3/4 ton ext cab pickup	3/4 Ton PU	$ -	36,000	-	-	-
1	2006 1 ton w utility bed	1 Ton PU W/ Utility Body	-	38,000	-	-	-
1	2004 1/2 ton pickup	1/2 Ton PU	-	27,000	-	-	-
1	2004 3/4 ton Van	3/4 Ton Van	-	-	28,000	-	-
1	2003 1/2 ton pickup w toolbox	1/2 Ton PU	-	-	28,000	-	-
1	2002 Bucket Truck	1 1/2 Ton Aerial	-	-		150,000	-
Total Facilities Management			-	101,000	56,000	150,000	-
Municipal Court							
1	2006 4 door sedan	Mid Size SUV	-	30,000	-	-	-
1	2008 4 door sedan	Mid Size SUV	-	-	30,000	-	-
1	2008 4 door sedan	Mid Size SUV	-	-	-	30,000	-
1	2009 4 door sedan	Mid Size SUV	-	-	-		30,000
Total Municipal Court			-	30,000	30,000	30,000	30,000
Codes/Environmental Health							
1	NEW	1/2 Ton Ext. Cab 4x4	28,000	-	-	-	-
1	2003 1/2 Single Cab Pickup	1/2 Ton PU	-	27,000	-	-	-
2	2010 Mid-size Crew Cab 4x2	Mid Size PU	-	56,228	-	-	-
3	2010 Mid-size Crew Cab 4x2	Mid Size PU	-	-	88,560	-	-
4	2010 Mid-size Crew Cab 4x2	Mid Size PU	-	-	-	123,984	-
4	2010 Mid-size Crew Cab 4x2	Mid Size PU	-	-	-	-	130,000
1	2006 1/2 ton pickup	1/2 Ton PU	-	28,000	-	-	-
2	2011 Mid-size Ext Cab 4x2	Mid Size PU	-	-	60,000	-	-
2	2011 Mid-size Crew Cab 4x2	Mid Size PU	-	-	-	62,000	-
2	2011 Mid-size Ext Cab 4x2	Mid Size PU	-	-	-	-	64,000
Total Codes/Evironmental Health			28,000	111,228	148,560	185,984	194,000
Building Inspection							
1	NEW	1/2 Ton Ext. Cab	27,000	-	-	-	-
1	2006 4-door sedan	Mid Size SUV	-	28,000	-	-	-
1	2010 Mid-size Ext Cab 4x2	Mid Size PU	-	28,000	-	-	-
1	2003 Light Duty SUV	Mid Size SUV	-	28,000	-	-	-
1	2006 1/2 ton Loaner	1/2 Ton PU	-	28,000	-	-	-
4	2010 Mid-size Ext Cab 4x2	Mid Size PU	-	-	116,000	-	-
3	2010 Mid-size Ext Cab 4x2	Mid Size PU	-	-	-	90,000	-
2	2010 Mid-size Ext Cab 4x2	Mid Size PU	-	-	-	-	62,000
1			-	-	-	-	-
Total Building Inspection			27,000	112,000	116,000	90,000	62,000
Traffic Engineering/Operations							
1	2003 3/4 Ton Pickup	3/4 Ton PU	-	29,000	-	-	-
2	2011 Bucket Truck	40" Aerial	-	300,000	-	-	-
1	2011 Freightliner w/ Crane	7500 Series Utility Bed	-	120,000	-	-	-
1	2008 T5500 Paint Striper Truck	Paint Striper	-	450,000	-	-	-
1	2011 1 Ton Pickup	1 Ton PU	-	-	50,000	-	-
1	2012 Bucket Truck	40' Aerial	-	-	180,000	-	-
1	2012 Freightliner w/ Crane	7500 Series Utility Bed	-	-	120,000	-	-
1	2012 1 Ton Pickup	1 Ton PU	-	-	-	50,000	-
2	Bucket Truck	40" Aerial	-	-	-	340,000	-
Total Traffic Engineering/Operations			-	899,000	350,000	390,000	-
Streets							
1	NEW	Asphalt Paver	225,000	-	-	-	-
1	2004 Chevy Pothole Patcher	Pothole Patcher	145,000	-	-	-	-
1	2003 Chevy 1 Ton Pickup	1 Ton 4x4 Flatbed	33,000	-	-	-	-
1	1985 Heavy duty trailer	Equipment Trailer	-	16,000	-	-	-
1	2003 Gmc 2 ton Flatbed	Dump Truck	-	268,000	-	-	-
1	1988 VANGARD Generator	Generator	-	8,500	-	-	-
1	2003 Gmc 2 ton Flatbed	Dump Truck	-	279,510	-	-	-
1	2001 Truck Tractor	Roll Off	-	157,757	-	-	-
1	1999 HONDA GENERATOR	Generator	-	8,500	-	-	-
1	2000 1000 gl Trailer/Water Tank	Trailer	-	-	6,000	-	-
1	2010 Arrowboard	Arrowboard	-	-	5,729	-	-
1	2001 Roller	Roller	-	-	159,500	-	-
1	2008 Backhoe	Backhoe	-	-	115,000	-	-
2	2010 Roller	Roller	-	-	145,860	-	-
1	2010 Crack Sealer	Crack Sealer	-	-	48,729	-	-
1	2010 POT PATCHER	Pothole Patcher	-	-	-	260,000	-
1	1999 DYNAPAC ROLLER	Roller	-	-	-	167,900	-
1	2001 Trailer	Equipment Trailer	-	-	-	-	18,000
2	2010 Arrowboard	Arrowboard	-	-	-	-	19,000
Total Streets			403,000	738,267	480,818	427,900	37,000
Street Drainage							
1	2005 1/2 Ton Pickup	1/2 Ton Ext. Cab Pickup	27,000	-	-	-	-
2	2004 1/2 Ton Pickup	1/2 Ton Pickup	-	51,477	-	-	-
1	2011 1/2 Ton Pickup	1/2 Ton Pickup	-	-	28,000	-	-
Total Street Drainage			27,000	51,477	28,000	-	-
Mahon Library							
1	2003 1/2 ton cargo van	1/2 ton cargo van	-	28,000	-	-	-
Total Mahon Library			-	-	-	-	-
			-	28,000	-	-	-

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Vehicle Debt Financing Five Year Plan

Qty.	Exisiting Vehicle	Replacement	2016-17	2017-18	2018-19	2019-20	2020-21
Park Maintenance							
1	2011 Skid Steer	Skid Steer	$ 40,000	-	-	-	-
1	2001 Kubota Trim Mower	Mower	25,000	-	-	-	-
1	1989 Ag Tractor	4x4 Tractor w/ front loader	49,000	-	-	-	-
1	2006 3/4 Ton 4x4 PU	3/4 Ton Crew Pickup	34,000	-	-	-	-
1	2006 Pickup	3/4 Ton PU	-	29,000	-	-	-
1	2006 3/4 Ton 4X4 Pick-up	3/4 Ton PU	-	38,000	-	-	-
1	2008 3/4 Ton Pickup	Tractor	-	52,000	-	-	-
2	1991 Tractor	ATV	-	14,000	-	-	-
1	2001 ATV	Mulcher	-	35,000	-	-	-
2	2001 Mulcher	Mule	-	30,000	-	-	-
2	2004 ATV	Batwing mower	-	19,000	-	-	-
1	1995 Mower	3/4 Ton PU	-	39,270	-	-	-
1	2008 3/4 Ton Pickup, 4X4	Tractor	-	50,000	-	-	-
1	1996 Tractor	Skid Steer	-	85,000	-	-	-
1	2004 Loader	Gator	-	13,650	-	-	-
1	2001 JD GATOR	Tractor	-	45,000	-	-	-
1	1996 Agriculture Tractor	Tractor	-	50,000	-	-	-
1	1997 Tractor	Plow	-	77,000	-	-	-
1	2000 Plow Tractor	Backhoe	-	90,000	-	-	-
1	2008 Case 580SM Backhoe	ATV	-	13,650	-	-	-
2	2008 ATV	Backhoe	-	-	95,000	-	-
1	2006 CASE BACKHOE 580SM	Enclosed trailer	-		8,000	-	-
2	1957 Trailer	Trailer	-	-	8,000	-	-
1	1969 Trailer	Trailer with welder	-	-	8,000	-	-
1	1976 Trailer/Welder	1/2 Ton PU	-	-	28,190	-	-
1	2003 1/2 ton Ext Cab Pickup	3/4 Ton PU	-	-	29,705	-	-
1	2003 3/4 Ton Pickup	3/4 Ton PU	-	-	29,705	-	-
1	2011 Crew Cab Pickup	1 Ton PU	-	-	35,650	-	-
1	2001 Reg Cab, 4x4, Pickup	7500 series w/ Utility	-	-	190,000	-	-
1	1997 Dump Truck	1 1/2 Ton Aerial	-	-	45,000	-	-
1	2001 F550 Bucket Truck	Tractor	-	-	50,000	-	-
1	1991 Tractor	Mule	-	-	14,333	-	-
1	ATV	ATV	-	-	14,333	-	-
1	2009 Mower	Mower	-	-	15,000	-	-
1	2010 Backhoe	Backhoe	-	-	95,000	-	-
1	Trailer	Trailer	-	-	-	8,000	-
1	Trailer	Trailer	-	-	-	8,000	-
1	1980 Trailer	Trailer	-	-	-	8,000	-
1	1980 Trailer	Mower	-	-	-	20,000	-
1	1994 Mower	3/4 Ton PU	-	-	-	31,190	-
1	2006 4x4 Crewcab	1 Ton PU	-	-	-	31,190	-
1	2004 Cab & Chassis, Dump Bed	2 Ton W/ Utility Body	-	-	-	85,000	-
1	1997 Tractor	Tractor	-	-	-	50,000	-
1	1999 Grader	Grader	-	-	-	116,000	-
1	1993 Sweeper	Grader	-	-	-	60,000	-
1	1994 Tractor	Tractor	-	-	-	50,000	-
1	2011 4 Wheeler	ATV	-	-	-	8,500	-
Total Park Maintenance			148,000	680,570	665,915	475,881	-
Park Development							
1	2000 1/2 Ton Extended Cab Pickup	1/2 Ton Ext. PU	-	28,000	-	-	-
1	2006 1/2 Ton Pickup	1/2 Ton PU	-	27,915	-	-	-
Total Park Development			-	55,915	-	-	-
Indoor Recreation							
1	2000 12 Passenger Van	1 Ton Van	-	28,000	-	-	-
1	2000 1/2 Ton Pickup	1/2 Ton PU	-	24,000	-	-	-
1	2013 12 Passenger Van	3/4 Ton Van	-	-	26,194	-	-
Total Indoor Recreation			-	52,000	26,194	-	-
Outdoor Recreation							
1	2002 1/2 ton pickup	1/2 ton pickup	-	22,121	-	-	-
Total Outdoor Recreation			-	22,121	-	-	-
Animal Services							
1	2010 3/4 Ton Van	3/4 Ton Van	-	57,300	-	-	-
1	2011 3/4 Ton Van	3/4 Ton PU w/ Body	-	57,300	-	-	-
1	2011 3/4 Ton Van	3/4 Ton PU w/ Body	-	57,330	-	-	-
1	2012 3/4 Ton Pick-up w/utility bed	3/4 Ton PU w/ Body	-	57,129	-	-	-
1	2012 3/4 Ton Pick-up w/utility bed	3/4 Ton PU w/ Body	-	-	59,800	-	-
1	2011 3/4 Ton Van	3/4 Ton Van	-	-	59,800	-	-
2	2012 3/4 Ton Pick-up w/utility bed	3/4 Ton PU w/ Body	-	-	-	125,580	-
Total Animal Services			-	229,059	119,600	125,580	-
Vector Control							
1	2003 3/4 Ton Truck	3/4 Ton PU	-	35,000	-	-	-
1	2002 1/2 Ton Pick-up	1/2 Ton PU	-	31,000	-	-	-
1	2004 3/4 ton Cab & Chassis w/Util Body	3/4 Ton PU & Chassis	-	35,750	-	-	-
1	2003 1/2 ton Pickup	1/2 Ton PU	-	-	31,000	-	-
1	2006 2006 Chevy K3500	1 Ton PU	-	-	38,500	-	-
1	2003 3/4 Ton Truck	3/4 Ton PU	-	-	35,000	-	-
1	2004 1/2 Ton Pickup	1/2 Ton PU	-	-	-	33,000	-
1	1970 ULV Turbine	ULV Sprayer	-	-	-	12,000	-
1	1999 Turbine	Turbine Sprayer	-	-	-	12,000	-
1	2007 ULV Fogger	ULV Sprayer	-	-	-	-	12,000
2	2013 Turbine	Turbine Sprayer	-	-	-	-	24,000
2	2013 ULV Fogger	ULV Sprayer	-	-	-	-	24,000
3	2013 Turbine	Turbine Sprayer	-	-	-	-	36,000
Total Vector Control			-	101,750	104,500	57,000	96,000

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Vehicle Debt Financing Five Year Plan

Qty.	Exisiting Vehicle	Replacement	2016-17	2017-18	2018-19	2019-20	2020-21
Fire Equip Maintenance							
1	2001 E-1 Quint	Quint	$ 850,000	-	-	-	-
2	2003 E-1 Pumper	Pumper	1,450,000	-	-	-	-
1	2007 Suburban (2007 Loftin)	Full size SUV	-	38,850	-	-	-
1	2007 Chev. Tahoe - FMO	Full size SUV	-	38,850	-	-	-
1	2007 Chev. Tahoe	Full size SUV	-	38,850	-	-	-
1	2005 Pumper	E-1 Pumper	-	700,000	-	-	-
1	2007 Chev. Tahoe - FMO	Full size SUV	-	39,000	-	-	-
1	2008 Dodge Durango - FMO	Full size SUV	-	39,000	-	-	-
1	2008 SUV - Trailblazer - FMO	Full size SUV	-	39,000	-	-	-
1	2009 4 Door Sedan - Div Chief	Full size SUV	-	39,000	-	-	-
1	2009 Chevy Suburban	Full size SUV	-	39,000	-	-	-
1	1997 GMC 3500 RIV	3/4 Ton Pickup	-	80,000	-	-	-
1	1985 Brush Truck	Brush Truck	-	575,000	-	-	-
1	1996 E-One ARFF	ARFF Truck	-	800,000	-	-	-
1	1999 Pumper	Pumper	-	710,000	-	-	-
1	2000 1250 Pumper	Pumper	-	715,000	-	-	-
1	1989 Foam #2	Bruch Truck	-	603,750	-	-	-
1	2009 Chevy Van	Cargo Van	-	38,500	-	-	-
1	2009 4 Door Sedan - Div Chief	Full size SUV	-	40,793	-	-	-
1	2005 AARF Truck	ARFF Truck	-	603,750	-	-	-
1	2005 Pumper	Pumper	-	603,750	-	-	-
1	2005 Pumper	Pumper	-	742,500	-	-	-
1	2008 Platform	Aerial Ladder Truck	-	1,312,500	-	-	-
1	1998 Golf Cart	Mule	-	15,000	-	-	-
1	2003 Mule	Mule	-	-	15,750	-	-
1	2008 Mid-size pickup	Full size SUV	-	-	42,543	-	-
1	2009 1/2 Ton Pickup	1/2 Ton PU	-	-	28,000	-	-
1	2009 4 Door Sedan - Div Chief	Full size SUV	-	-	42,543	-	-
1	2010 Expedition	Full size SUV	-	-	42,543	-	-
1	2011 Chevy Tahoe - FMO	Full size SUV	-	-	42,543	-	-
1	2009 3/4 Ton Pickup	1/2 Ton PU	-	-	35,000	-	-
1	2000 Tanker Truck	Tanker	-	-	450,000	-	-
2	2006 Pumper	Pumper	-	-	1,559,250	-	-
1	2009 Platform	Pumper	-	-	1,378,125	-	-
1	2003 Mule	Mule	-	-	-	16,538	-
1	2007 Mule	Mule	-	-	-	16,538	-
1	2011 Suburban	Full size SUV	-	-	-	45,000	-
1	2012 Tahoe - Fire Chief	Full size SUV	-	-	-	45,000	-
2	2008 Pumper	Pumper	-	-	-	1,637,213	-
1	2009 Platform	Pumper	-	-	-	1,447,031	-
2	2009 Pumper	Pumper	-	-	-	1,670,000	-
1	2009 SUV -	Full size SUV	-	-	-	-	50,000
2	2009 Pumper	Pumper	-	-	-	-	1,700,000
1	2009 AARF Truck	ARFF Truck	-	-	-	-	1,100,000
1	2004 Platform	Aerial Ladder Truck	-	-	-	-	1,500,000
Total Fire Equip Maintenance			2,300,000	7,852,093	3,636,297	4,877,319	4,350,000
Fire Suppression							
1	NEW	Trailer Mounted Breathable Air Compressor	100,000	-	-	-	-
Total Fire Suppression			100,000	-	-	-	-
Police Administration							
1	1999 Winnebago	Motor Hom	-	460,000	-	-	-
1	2010 4-Door Sedan	4 Door Sedan	-	36,000	-	-	-
1	2009 4-Door Sedan	4 Door Sedan	-	36,000	-	-	-
1	2011 3/4 Ton Pickup	1/2 Ton Crew PU	-	40,000	-	-	-
1	2011 3/4 Ton Pickup	3/4 Ton EXT. PU	-	37,000	-	-	-
1	2012 4-Door Sedan	4 Door Sedan	-	-	42,000	-	-
1	2013 1/2 ton pickup	1/2 Ton Ext. PU	-	-	39,359	-	-
Total Police Administration			-	609,000	81,359	-	-
Police Training							
1	2008 4-Door Sedan	4 Door Sedan	-	15,750	-	-	-
1	2000 4x2 Utility Vehicle	Mule	-	32,550	-	-	-
1	2012 Chevy Tahoe	SUV	-	34,100	-	-	-
1	2011 4-Door Sedan	4 Door Sedan	-	36,850	-	-	-
Total Police Training			-	119,250	-	-	-
Police Special Ops							
1	2008 4-door sedan	4-door sedan	-	37,400	-	-	-
2	2009 4-door sedan	4-door sedan	-	-	78,540	-	-
Total Special Ops			-	37,400	78,540	-	-
Police Investigations							
4	2009 Ford Crown Vic	Mid Size SUV	144,000	-	-	-	-
2	2009 4-Door sedan	Mid Size SUV	-	74,000	-	-	-
1	2010 4-Door sedan	Mid Size SUV	-	37,000	-	-	-
1	2009 4-Door sedan	Mid Size SUV	-	37,000	-	-	-
1	2008 4-Door sedan	Mid Size SUV	-	37,000	-	-	-
1	2011 1/2 ton pickup	Mid Size SUV	-	37,000	-	-	-
1	2009 4-Door sedan	Mid Size SUV	-	37,000	-	-	-
1	2009 4-Door sedan	Mid Size SUV	-	37,485	-	-	-
1	2008 4-Door sedan	Mid Size SUV	-	37,485	-	-	-
1	2011 1/2 ton pickup	Mid Size SUV	-	38,000	-	-	-
1	2009 4-Door sedan	Mid Size SUV	-	37,485	-	-	-
1	2008 SUV	Mid Size SUV	-	37,485	-	-	-
1	2010 4-Door sedan	Mid Size SUV	-	37,485	-	-	-
1	2009 4-Door sedan	Mid Size SUV	-	37,485	-	-	-
1	2011 1/2 ton pickup	Mid Size SUV	-	37,485	-	-	-
2	2009 4-Door sedan	Mid Size SUV	-	-	118,078	-	-
1	2006 1/2 ton pickup	Mid Size SUV	-	-	39,359	-	-
1	2010 4-Door sedan	Mid Size SUV	-	-	39,359	-	-
1	2011 1/2 ton pickup	Mid Size SUV	-	-	39,359	-	-
1	2009 4-Door sedan	Mid Size SUV	-	-	39,359	-	-
1	2008 4-Door sedan	Mid Size SUV	-	-	39,359	-	-
1	2009 4-Door sedan	Mid Size SUV	-	-	39,359	-	-

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Vehicle Debt Financing Five Year Plan

Qty.	Exisiting Vehicle	Replacement	2016-17	2017-18	2018-19	2019-20	2020-21
1	2011 1/2 ton pickup	Mid Size SUV	$ -	-	39,359	-	-
1	2010 4-Door sedan	Mid Size SUV	-	-	-	41,327	-
1	2009 4-Door sedan	Mid Size SUV	-	-	-	41,327	-
1	2007 1/2 ton pickup	Mid Size SUV	-	-	-	41,327	-
1	2007 Vehicle	Mid Size SUV	-	-	-	41,327	-
1	2009 4-Door sedan	Mid Size SUV	-	-	-	41,327	-
1	2008 4-Door sedan	Mid Size SUV	-	-	-	41,327	-
1	2010 4-Door sedan	Mid Size SUV	-	-	-	41,327	-
3	2009 4-Door sedan	Mid Size SUV	-	-	-	123,981	-
2	2011 1/2 ton pickup	Mid Size SUV	-	-	-	82,654	-
1	2003 3/4 ton pickup	Mid Size SUV	-	-	-	41,327	-
1	2011 1/2 ton pickup	Mid Size SUV	-	-	-	41,327	-
Total Police Investigations			144,000	559,395	393,593	578,577	-
Police Patrol							
1	2006 Chevrolet Tahoe	2 Door Sedan	45,000	-	-	-	-
1	2006 Chevrolet Tahoe	Full Size SUV	54,061	-	-	-	-
2	2009 Ford Crown Vic	2 Door Sedan	90,000	-	-	-	-
4	2009 Ford Crown Vic	Full Size SUV	216,244	-	-	-	-
1	2010 Ford Crown Vic	Full Size SUV	54,061	-	-	-	-
1	2008 Ford Crown Vic	Full Size SUV	54,061	-	-	-	-
2	2009 Ford Crown Vic	Crew Cab 4x4 PU	84,000	-	-	-	-
1	2005 HD Pickup	I Ton Dually	-	51,000	-	-	-
1	2009 Patrol SUV	Full Size SUV	-	55,000	-	-	-
11	2008 4-Door Sedan	Full Size SUV	-	605,000	-	-	-
1	2011 Patrol SUV	Full Size SUV	-	55,000	-	-	-
50	2009 4-Door Sedan	Full Size SUV	-	2,750,000	-	-	-
1	2011 Patrol SUV	Full Size SUV	-	55,000	-	-	-
1	2006 Patrol SUV	Full Size SUV	-	55,000	-	-	-
5	2010 4-Door Sedan	Full Size SUV	-	275,000	-	-	-
2	2011 Patrol SUV	Full Size SUV	-	110,000	-	-	-
16	2011 Patrol SUV	Full Size SUV	-	-	896,000	-	-
6	2009 4-Door Sedan	Full Size SUV	-	-	336,000	-	-
3	2008 4-Door Sedan	Full Size SUV	-	-	168,000	-	-
1	2009 Patrol Pickup	Full Size SUV	-	-	56,000	-	-
1	2011 Patrol Pickup	Full Size SUV	-	-	56,000	-	-
22	2011 Patrol SUV	Full Size SUV	-	-	-	1,293,600	-
1	2010 4-Door Sedan	Full Size SUV	-	-	-	58,800	-
5	2012 Patrol SUV	Full Size SUV	-	-	-	294,000	-
3	2008 4-Door Sedan	Full Size SUV	-	-	-	176,400	-
4	2009 4-Door Sedan	Full Size SUV	-	-	-	235,200	-
1	2011 Patrol Pickup	1/2Ton PU	-	-	-	58,800	-
1	2013 Patrol Pickup	Full Size SUV	-	-	-	58,800	-
1	2011 Patrol Pickup	Full Size SUV	-	-	-	58,800	-
4	2010 4-Door Sedan	Full Size SUV	-	-	-	-	240,000
16	2012 Patrol SUV	Full Size SUV	-	-	-	-	960,000
10	2011 Patrol SUV	Full Size SUV	-	-	-	-	600,000
3	2013 Patrol SUV	Full Size SUV	-	-	-	-	180,000
Total Police Patrol			597,427	4,011,000	1,512,000	2,234,400	1,980,000
TOTAL GENERAL FUND			$ 3,774,427	16,400,525	7,827,375	9,622,641	6,749,000
ENTERPRISE FUNDS							
Aviation Field Maintenance							
1	2014 Paint Striper	Paint Striper	$ -	83,365	-	-	-
1	2011 Pickup	1/2 Ton PU	-	27,000	-	-	-
1	2013 Pickup	1/2 Ton PU	-	27,000	-	-	-
1	1989 Snowblower	Snowblower	-	-	800,000	-	-
1	1991 1991 Caterpiller Forklift	Forklift	-	-	-	75,000	-
Total Aviation Field Maintenance			-	137,365	800,000	75,000	-
Aviation Maintenance							
1	2001 Forklift	Forklift	-	36,000	-	-	-
1	2008 1/2 Ton Pickup	1/2 Ton PU	-	26,500	-	-	-
1	1994 1 Ton Flatbed Truck	1 Ton PU	-	38,500	-	-	-
1	2009 1/2 Ton Pickup	1/2 Ton PU	-	26,964	-	-	-
1	1996 HiLift 20 ft.	Lift	-	100,000	-	-	-
Total Aviation Maintenance			-	227,964	-	-	-
Aviation Security Access							
1	1/2 ton 4x4 pickup	1/2 ton 4x4 pickup	-	31,653	-	-	-
1	SUV 4x4	SUV	-	35,200	-	-	-
Total Aviation Security Access			-	66,853	-	-	-
Residential Collection							
1	2008 Cart Truck	Cart Truck	187,425	-	-	-	-
1	2007 Container Truck	Container Truck	92,945	-	-	-	-
1	2009 Forklift	Forklift	55,000	-	-	-	-
2	2012 Freightliner Sideloader	Sideloader	374,850	-	-	-	-
1	2011 Freightliner Sideloader	Sideloader	187,425	-	-	-	-
8	2014 Sideloader	Sideloader	-	1,528,000	-	-	-
1	2012 Right Handed Sideloader	Sideloader	-	191,000	-	-	-
1	2014 Sideloader	Sideloader	-	191,000	-	-	-
1	2008 4WD SUV	Mid Size SUV	-	32,000	-	-	-
1	2008 1/2 Ton 4X4 Ext/Cab	1/2 Ton PU	-	28,000	-	-	-
1	2010 Van - Fence Crew	Cutaway Van	-	41,000	-	-	-
1	2005 56,000 C&C w/25cy. RearLoader	Sideloader	-	157,500	-	-	-
8	2014 Sideloader	Sideloader	-	1,605,240	-	-	-
2	2009 Sideloader	Sideloader	-	401,310	-	-	-
1	2010 Rolloff Truck	Rolloff truck	-	170,400	-	-	-
1	2011 4x4 Reg Cab Pickup	3/4 Ton PU	-	28,246	-	-	-
1	2005 56,000 C&C w/25cy. RearLoader	Sideloader	-	158,142	-	-	-
10	2014 Sideloader	Sideloader	-	-	2,106,878	-	-
1	2009 Sideloader	Sideloader	-	-	210,688	-	-
1	2011 Rolloff Truck	Rearloader	-	-	175,580	-	-
1	2008 L.H. 56000lbs C&C W/29cy. HC packerbody - RearLoad	Rearloader	-	-	162,886	-	-
2	2014 Sideloader	Sideloader	-	-	-	442,445	-
7	2011 Sideloader	Sideloader	-	-	-	1,548,557	-
Total Residential Collection			897,645	4,531,838	2,656,032	1,991,002	-

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Vehicle Debt Financing Five Year Plan

Qty.	Exisiting Vehicle	Replacement	2016-17	2017-18	2018-19	2019-20	2020-21
Alley Maintenance							
1	2007 TRAILER BELLY DUMP	Belly Dump	$ -	55,000	-	-	-
1	2006 GRADER 685B	Grader 685B	-	300,000	-	-	-
1	2008 LEEBOY 685 MG	Leeboy 685 Mg	-	300,000	-	-	-
1	2008 CASE LOADER 821E	Loader 821E	-	205,860	-	-	-
1	2008 LEEBOY 685 MG	Leeboy 685 Mg	-	313,740	-	-	-
1	2007 TRAILER BELLY DUMP	Belly Dump	-	60,638	-	-	-
1	2009 CHEV CC20953	Chev Cc20953	-	-	29,697	-	-
1	2002 Volvo G710 Grader	G710 Grader	-	-	329,427	-	-
2	2008 IHC 7400 DUMP TRUCK	Dump Truck	-	-	-	600,000	-
Total Alley Maintenance			-	1,235,238	359,124	600,000	-
Inmate Clean-Up							
1	2010 Mower	ExMark ZRT 72" mower	-	25,000	-	-	-
1	2011 crew cab pickup	1 ton crew cab pickup	-	35,228	-	-	-
Total Inmate Clean-Up			-	60,228	-	-	-
Landfill							
1	2009 D8 Dozer	D8 Dozer	875,000	-	-	-	-
1	1999 Cat 163H AWD Grader	AWD Motor Grader	350,000	-	-	-	-
1	2001 Shopmade Trailer	Shopmade Trailer	-	15,000	-	-	-
1	2006 2006 Grinder	2006 Grinder	-	800,000	-	-	-
1	2006 1/2 Ton 4X4 Pick-Up	Chev K1500	-	37,500	-	-	-
1	2007 Intl 7700 SBA	Intl 7700 Sba	-	210,000	-	-	-
1	2008 Wheeled Tractor	Ih Puma 165	-	185,000	-	-	-
1	2012 Landfill Compactor	Aljon 500 Compactor	-	625,000	-	-	-
1	2012 Tractor/Scraper	Caterpillar 627H	-	900,000	-	-	-
1	2011 FORD F3500	Ford F3500	-	41,000	-	-	-
1	2013 1 ton 4x4 Crew, Cab & Chassis	Chev Silverado	-	36,414	-	-	-
1	2013 Scraper	Cat Scraper	-	900,000	-	-	-
2	2013 Mule	Kubota Mule	-	50,000	-	-	-
1	2006 Sprayer	2006 Sprayer	-	65,000	-	-	-
1	2012 Tire Shearer & De-Rimer	De-Rim	-	65,000	-	-	-
1	2012 Landfill Compactor	Aljon 500 Compactor	-	-	635,000	-	-
1	2013 D8 Dozer	D8 Dozer	-	-	825,000	-	-
1	2006 2006 Water Wagon	Water Wagon	-	-	900,000	-	-
1	2004 2004 Volvo A25D Dump Truck	Dump Truck	-	-	390,000	-	-
1	2013 Loader	Volvo Loader	-	-	275,000	-	-
1	2013 Mule	Kubota Mule	-	-	25,000	-	-
4	2013 Pickup	Chevrolet Silverado	-	-	120,000	-	-
1	2009 Mack	Mack Gu713	-	-	259,598	-	-
1	2009 2009 Fifth-Wheel Truck	Wheel Truck	-	-	259,598	-	-
1	2011 Volvo 946G Motor Grader	Motor Grader	-	-	300,000	-	-
1	2001 BIG TEX 50LA-16	Tex 50La-16	-	-	12,000	-	-
1	2015 Crew Cab 4x4	Pickup 4X4	-	-	-	30,000	-
1	2014 CAT	Cat Telehandler	-	-	-	30,000	-
1	2011 Sideloader	Freightlnr M2106	-	-	-	221,222	-
1	1997 Bucket Truck	Bucket Truck	-	-	-	100,000	-
1	2014 Turf Mower	Turf Mower	-	-	-	30,000	-
1	2014 Compactor	Aljon Compactor	-	-	-	625,000	-
1	2014 Loader	Volvo Loader	-	-	-	300,000	-
1	1995 Farley's Trailer	Farley's Trailer	-	-	-	10,000	-
1	1998 Shopmade Trailer	Shopmade Trailer	-	-	-	12,000	-
1	1999 Cat Generator	Cat Generator	-	-	-	40,000	-
1	2005 ACRO T8147	Tanker Trailer	-	-	-	100,000	-
1	2005 Trailer 2AXLE	Trailer 2Axle	-	-	-	10,000	-
1	2006 Gorman 14C2-F3L	F3L	-	-	-	15,000	-
1	2006 2006 MAGMA Generator	2006 Generator	-	-	-	28,000	-
1	2015 Pickup	Ford F250	-	-	-	30,000	-
1	2014 Hydro Spread	Hydro Spread	-	-	-	70,000	-
Total Landfill			1,225,000	3,929,914	4,001,196	1,651,222	-
Recycling Collection							
1	2006 1 ton Dual Wheel, 4x4, C&C Truck	Ford 350 4X4	-	40,000	-	-	-
1	2011 Steer Loader	Sr175 Loader	-	56,175	-	-	-
1	2000 Portable Vacuum	Vac Unit	-	-	28,380	-	-
1	2006 4-Wheeler Mule	Wheeler Mule	-	-	16,000	-	-
2	Freightliner Sideloader	Freightlnr M2106	-	192,000	-	-	-
Total Recycling Collection			-	288,175	44,380	-	-
Water Administration							
1	2003 4 door sedan	Mid-size SUV	-	34,000	-	-	-
Total Water Administration			-	34,000	-	-	-
Water Conservation & Education							
1	2006 Trailblazer 4x4	Mid Size SUV	-	34,000	-	-	-
1	2006 Trailblazer 2WD	Mid Size SUV	-	34,000	-	-	-
1	2006 Trailblazer 2WD	Mid Size SUV	-	-	33,000	-	-
1	2010 2010 Explorer 4x4	Mid Size SUV	-	-	34,031	-	
1	2009 Chevy 1500 Silverado	1/2 Ton Ext. Cab 4x4 PU	-	-	-	38,000	
1	2015 SUV	Mid Size SUV	-	-	-	-	34,000
1	2014 SUV	Mid Size SUV	-	-	-	-	34,000
Total Water Conservation & Education			-	68,000	67,031	38,000	68,000
Water Engineering							
1	2008 Chevy 1500 Silverado	1/2 Ton PU	-	28,000	-	-	-
1	2008 Chevy 1500 Silverado	1/2 Ton PU	-	-	29,000	-	-
1	2010 SUV 4x4	Mid Size SUV 4x4	-	-	-	35,000	-
Total Water Engineering			-	28,000	29,000	35,000	-

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Vehicle Debt Financing Five Year Plan

Qty.	Exisiting Vehicle	Replacement	2016-17	2017-18	2018-19	2019-20	2020-21
Water Meter & Customer Service							
1	2009 3/4 Ton Pickup, 4X4	3/4 Ton PU	$ -	36,000	-	-	-
1	2008 3/4 Ton Pickup, 4X4	3/4 Ton PU	-	36,000	-	-	-
1	2008 INGERSOLL AIR COMP	Air Comp	-	20,000	-	-	-
1	2013 3/4 Pickup, 4x4, Reg Cab	Chev Silverado	-	-	37,485	-	-
2	2013 3/4 Pickup, 4x4, Reg Cab	Chev Silverado	-	-	-	78,719	-
Total Water Meter & Customer Service			-	92,000	37,485	78,719	-
Equipment Maintenance							
1	1998 HYDRAULIC PUMP	Hydraulic Pump	-	54,000	-	-	-
1	2001 CLARK CMP45L Forklift	L Forklift	-	53,000	-	-	-
1	2009 Freight Liner Extended Cab	Freightlnr M2106	-	-	110,600	-	-
1	2009 Chev 3/4 Ton Pickup	Pickup	-	-	-	42,500	-
Total Equipment Maintenance			-	107,000	110,600	42,500	-
Water Distribution & Maintenance							
1	2008 FORD F 250 P/U	3/4 Ton PU	-	28,000	-	-	-
1	1999 PJ Trailer	J Trailer	-	8,000	-	-	-
1	2009 Backhoe	Loader/Backhoe	-	107,000	-	-	-
1	2008 Loader/Backhoe	Load/Backhoe	-	107,000	-	-	-
1	2009 STERLING ACTERRA	Sterling Acterra	-	100,000	-	-	-
2	2010 IHC 4400 Crew Truck	Crew Truck	-	228,000	-	-	-
1	2008 Sterling LT7500 12 yrd Dump	Sterling Lt7500	-	160,000	-	-	-
1	2003 Loader	Loader 721D	-	182,000	-	-	-
1	2011 2WD Backhoe	Wd Backhoe	-	107,000	-	-	-
1	1997 LINKBELT EXCAVATOR	Linkbelt Excavator	-	300,000	-	-	-
1	2008 Cab & Chassis Flatbed	Intl 4400 Truck	-	117,000	-	-	-
3	2012 Backhoe	Sn Backhoe	-	330,000	-	-	-
1	1993 Equipment Trailer	Belshe Trailer	-	6,500	-	-	-
1	2002 Utility Trailer	Belshe Trailer	-	6,500	-	-	-
1	2003 Backhoe Trailer	Backhoe Trailer	-	6,500	-	-	-
5	2011 Backhoe Trailer	Backhoe Trailer	-	37,500	-	-	-
1	2012 Backhoe	Case 580Sn	-	-	115,000	-	-
1	2013 Loader	Bobcat Loader	-	-	100,000	-	-
1	2003 STERLING 5TH WHEEL LT9500	Wheel Lt9500	-	-	150,000	-	-
1	2012 INTL 7500 Crew Truck	Crew Truck	-	-	122,000	-	-
1	2013 Cab & Chassis, Dumpbody	Freightliner M2106	-	-	122,000	-	-
1	2009 Ext Cab, 4x4. Pickup	Utility Bed	-	-	39,100	-	-
1	2009 Chev P/U	P/U	-	-	29,705	-	-
3	2014 Backhoe	Case 580Sn	-	-	-	360,000	-
2	2014 Crew Truck	Intl 7500	-	-	-	254,000	-
Total Water Distribution & Maintenance			-	1,795,000	677,805	614,000	-
Pumping & Control							
1	2008 1 ton Truck w/ Service Body 4x4	Ford F350	-	42,000	-	-	-
1	Generator	Cat Generator	-	71,000	-	-	-
1	2008 3/4 Ton Truck w/ Service Body 4x4	C2500 4X4	-	39,500	-	-	-
1	1998 Cargo Trailer	Wellscargo Trailer	-	11,000	-	-	-
1	1999 Generator	Kw Gen	-	68,000	-	-	-
1	2011 3/4 Ton 4X4 Truck w/ Service Body & Hoist	Chev C2500	-	41,000	-	-	-
1	2008 1/2 Ton Pickup	Chevrolet 1500	-	24,150	-	-	-
1	2011 3/4 Ton 4X4 Truck w/ Service Body & Hoist	Ford F250	-	40,436	-	-	-
1	1998 Cargo Trailer	Wellscargo Trailer	-	10,500	-	-	-
1	2013 Pickup	Silverado 4X4	-	-	38,510	-	-
1	2013 1 ton 4x4 Ext Cab Pickup	Chev Silverado	-	-	40,436	-	-
1	2013 SUV	Explorer 4X2	-	-	-	36,750	-
1	2013 Pickup	Silverado 4X4	-	-	-	40,436	-
Total Pumping & Control			-	347,586	78,946	77,186	-
Water Treatment							
2	2009 4W Mule	Mule	-	28,000	-	-	-
1	1997 Pipe Trailer	Trailer	-	10,500	-	-	-
1	1997 Pipe Trailer	Trailer	-	-	10,500	-	-
Total Water Treatment			-	38,500	10,500	-	-
Water Production							
1	2006 Utility Trailer	Gooseneck Trailker	-	14,000	-	-	-
1	1996 Trailer	Camera Trailer	-	8,000	-	-	-
1	2009 Pipe Trailer	Trailer	-	7,500	-	-	-
1	2011 1/2 ton 4x4 Pickup	Mid Size PU	-	27,500	-	-	-
2	2011 3/4 ton Ext Cab 4x4 Pickup	3/4 Ton PU	-	59,438	-	-	-
Total Water Production			-	116,438	-	-	-
Water Reservior							
1	1994 Box Blade	Box Blade	-	38,000	-	-	-
1	1997 Riding Mower	Mower	-	16,000	-	-	-
1	2011 SUV	Mid Size SUV	-	33,000	-	-	-
1	2011 4x4 Supercab Pickup	3/4 Ton PU	-	39,100	-	-	-
Total Water Reservior			-	126,100	-	-	-
Wastewater Treatment							
2	2006 3/4 Ton Pickup	3/4 Ton PU	-	72,000	-	-	-
1	2006 3/4 Ton Pickup	3/4 Ton PU	-	36,750	-	-	-
1	2008 1/2 Ton Pickup	1/2 Ton PU	-	36,750	-	-	-
1	2009 1/2 Ton Pickup	1/2 Ton PU	-	24,753	-	-	-
2	2007 Trailer	Belly Dump Trailer	-	85,008	-	-	-
1	2008 3/4 Ton Pickup	1 Ton PU	-	-	38,588	-	-
1	2007 Intl Truck	2 Ton W/ Utility	-	-	140,000	-	-
2	2008 Belly dump Trailer	Belly Dump Trailer	-	-	86,000	-	-
2	2008 Belly dump Trailer	Belly Dump Trailer	-	-	-	87,000	-
1	2008 Truck	Tractor Semi	-	-	-	150,000	-
Total Wastewater Treatment			-	255,261	264,588	237,000	-

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Qty.	Exisiting Vehicle	Replacement	2016-17	2017-18	2018-19	2019-20	2020-21
Wastewater Collection							
1	2014 Vac-Con truck	Vac-Con truck	$ -	-	-	396,000	-
1	2013 Hydrocleaner	Hydrocleaner	-	-	207,000	-	-
Total Wastewater Collection			-	-	207,000	396,000	-
Land Application							
2	2012 4x4 Reg Flatbed Truck	1 Ton Flatbed	-	74,000	-	-	-
1	2008 2008 Chevy K3500	1 Ton Flatbed	-	37,000	-	-	-
1	2008 4-Door SUV	Full Size SUV	-	37,000	-	-	-
1	2002 3/4 ton 4x4 Crewcab Pickup	1 Ton Flatbed	-	42,000	-	-	-
1	1993 Tractor	Tractor	-	195,000	-	-	-
1	2005 Telehandler	Telehandler	-	120,000	-	-	-
1	2010 Windrower	Windrow	-	135,000	-	-	-
1	2006 2006 Ford F350	1 Ton Flatbed	-	38,325	-	-	-
3	2013 1 Ton Pickup Flatbed 4x4	1 Ton Flatbed	-	114,975	-	-	-
1	1991 Tractor	Tractor	-	195,000	-	-	-
1	1998 Agriculture Tractor	Tractor	-	350,000	-	-	-
1	2010 Windrower	Windrow	-	140,000	-	-	-
1	1992 Ag Tractor	Tractor	-	-	200,000	-	-
1	1998 Agriculture Tractor	Tractor	-	-	350,000	-	-
1	1996 Agriculture Tractor	Tractor	-		-	195,000	-
Total Land Application			-	1,478,300	550,000	195,000	-
Industrial Momitoring							
1	2006 3/4 Ton Pickup 4x4	3/4 Ton 4x4 PU	-	29,000	-	-	-
1	2006 3/4 Ton Pickup 4x4 Extended Cab	3/4 Ton 4x4 PU	-	29,000	-	-	-
2	2006 3/4 Ton Pickup 4x4	3/4 Ton 4x4 PU	-	57,750	-	-	-
Total Industrial Momitoring			-	115,750	-	-	-
Sampling & Monitoring							
1	2012 4x4 Supercab SRW	1 Ton 4x4 Pickup	-	37,950	-	-	-
1	2009 3/4 ton standard cab pickup w/ bed 4x4	3/4 Ton 4x4 PU	-	-	39,600	-	-
1	2015 Ford 350	1 Ton 4x4 PU	-	-	-	41,250	-
Total Sampling & Monitoring			-	37,950	39,600	41,250	-
Storm Water							
1	2010 1/2 ton pickup	1/2 ton pickup 4x4	-	30,977	-	-	-
1	2011 1/2 ton pickup	1/2 ton pickup 4x4	-	-	31,000	-	-
Total Storm Water			-	30,977	31,000	-	-
Street Cleaning							
2	2008 Sweeper	Sweeper	-	550,000	-	-	-
1	2009 Roll Off Truck	Roll off	-	110,000	-	-	-
1	2010 Sidewalk Sweeper	Sidewalk Sweeper	-	82,000	-	-	-
1	Sweeper	Sweeper	-	287,595	-	-	-
1	2010 ELGIN EAGLE	Sweeper	-	-	317,100	-	-
1	2009 Roll Off Truck	Roll off	-	-	119,403	-	-
1	1991 Air Compressor	Air Compressor	-	-	4,000	-	-
1	2009 Loader	721 Loader	-	-	216,153	-	-
1	2011 ELGIN SWEEPER	Sweeper	-	-	-	332,955	-
1	2011 Z-Bar Loader	Front End Loader	-	-	-	226,960	-
Total Street Cleaning			-	1,029,595	656,656	559,915	-
Storm Sewer Maintenance							
1	2009 Backhoe	Backhoe	-	105,000	-	-	-
1	1994 TRAILER	Trailer	-	6,000	-	-	-
1	2008 Vacuum Tuck	Vacuum truck	-	207,000	-	-	-
1	2009 Power Sweeper	Sweeper	-	84,000	-	-	-
1	1999 Generator	Generator	-	-	5,250	-	-
1	2010 6 cy crew truck	Dump Truck	-	-	-	133,350	-
Total Storm Sewer Maintenance			-	402,000	5,250	133,350	-
Lake Alan Henry							
1	2005 Tractor	Tractor	-	48,000	-	-	-
1	2009 3/4 ton pickup, 4x4	3/4 ton pickup crew cab 4x4	-	-	34,000	-	-
Total Lake Alan Henry			-	48,000	34,000	-	-
Cemetery							
1	2001 1 1/2 Ton Dump Truck	1 1/2 Ton Dump Truck	60,000	-	-	-	-
1	2007 2WD Four Wheeler	ATV - 2WD Four Wheeler	12,000	-	-	-	-
1	2003 Small Pickup	Mid Size Pickup	-	28,000	-	-	-
1	2007 2x4 Four Wheeler	Polaris Ranger	-	13,000	-	-	-
1	2003 SUV	Full Size SUV	-	-	37,000	-	-
1	2002 Bucket Truck	Bucket Truck	-	-	38,000	-	-
1	2010 Small Pickup	Mid Size Pickup	-	-	-	33,000	-
Total Cemetery			72,000	41,000	75,000	33,000	-
Citibus							
4	2001 Passenger Bus	40 Ft. Low Floor Bus	2,000,000	-	-	-	-
Total Citibus			2,000,000	-	-	-	-
Civic Center							
1	1/2 ton pickup	1/2 ton pickup	-	24,500	-	-	-
Total Civic Services			-	24,500	-	-	-
TOTAL ENTERPRISE FUNDS			$ 4,194,645	16,693,532	10,735,192	6,798,144	68,000

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Vehicle Debt Financing Five Year Plan

Qty.	Exisiting Vehicle	Replacement	2016-17	2017-18	2018-19	2019-20	2020-21
INTERNAL SERVICE FUNDS							
Fleet Services							
1	1998 Passenger Van	Passenger Van	$ -	29,000	-	-	-
1	1998 Passenger Van	Cargo Van	-	-	30,000	-	-
1	2009 4-Door Sedan	4 Door Sedan	-	-	-	31,000	-
1	2009 4-Door Sedan	Mid Size SUV	-	-	-	-	32,000
Total Fleet Services			-	29,000	30,000	31,000	32,000
Information Technology							
1	2011 3/4 ton cargo van	3/4 ton cargo van	-	32,000	-	-	-
Total Information Technology			-	32,000	-	-	-
Central Warehouse							
1	Forklift	Forklift	-	-	42,000	-	-
Total Central Warehouse			-	-	42,000	-	-
Radio Shop							
1	2008 SUV	3/4 Ton Crew 4X4 Pickup	-	31,000	-	-	-
Total Radio Shop			-	31,000	-	-	-
TOTAL INTERNAL SERVICE FUNDS			$ -	92,000	72,000	31,000	32,000
SPECIAL REVENUE FUNDS							
Emergency Management							
1	SUV	SUV - Tahoe 4x4	$ -	36,000	-	-	-
Total Emergency Management			-	36,000	-	-	-
Community Development							
1	Passenger van - 7 People	Passenger van	-	-	28,000	-	-
1	1/2 ton pickup	1/2 ton pickup	-	-	29,600	-	-
Total Community Developmen			-	-	57,600	-	-
TOTAL SPECIAL REVENUE FUNDS			-	36,000	57,600	-	-
TOTAL ALL FUNDS			$ 7,969,072	33,258,057	18,692,167	16,451,785	6,849,000

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Equipment Debt Financing Schedule

GENERAL FUND	No. of Items	Life	Replacement Request	Replacement Cost
Patterson Library	2	5	Security Cameras	20,000
Groves Library	1	5	Security Cameras	10,000
Fire Suppression	187	10	Self Contained Breathing Apparatus *	1,427,768
TOTAL GENERAL FUND				1,457,768

INTERNAL SERVICE FUNDS	Items	Life	Request	Cost
Information Technology	1	5	Storage Area Network	220,000
Information Technology	1	5	Servers	140,000
Information Technology	1	5	Core Networks	50,000
Information Technology	1	5	Edge Networks	50,000
Information Technology	165	5	Rugged Tablet Replacements	627,000
TOTAL INTERNAL SERVICE FUNDS				1,087,000

TOTAL EQUIPMENT REPLACEMENT	$ 2,544,768

* Includes all equipment/software related to the replacement of the self contained breathing apparatus.

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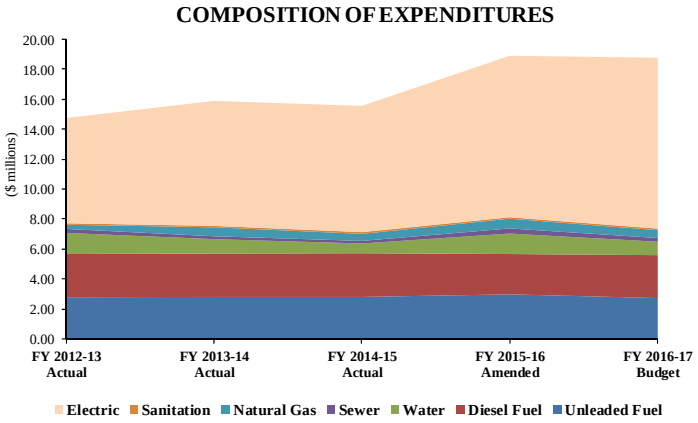
Scheduled Charges Overview

Overview

Scheduled charges are budgets for utility costs for municipal facilities and fuel for vehicles. They include electricity, natural gas, water, wastewater, sanitation, and fuel. These costs are budgeted for cost centers based upon their historical usage. Actual usage is charged to the cost centers as invoices are received.

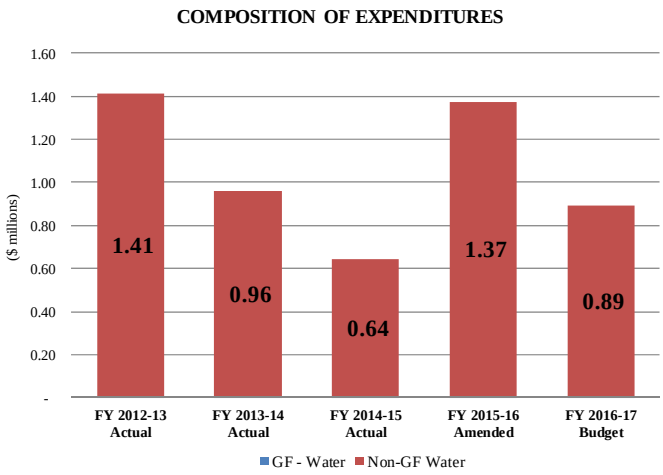
The total scheduled charges across all funds are $18.78 million, with $4.61 million in the General Fund. The breakdown of the charges are as follows:

Charge	Total	% General Fund	% All Other Funds
Unleaded Fuel	2,726,514	72.3	27.7
Diesel Fuel	2,865,117	13.9	86.1
Water	893,573	0.5	99.5
Sewer	243,829	-	100.0
Natural Gas	526,849	30.8	69.2
Sanitation	107,625	-	100.0
Electric	11,413,573	18.2	81.8
Total	$ 18,777,080		



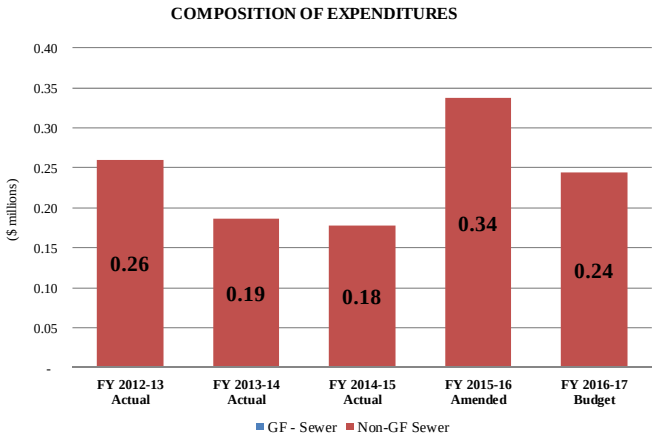
Water

To calculate the FY 2016-17 budget for water, the cost for FY 2015-16 was projected using the five month average percentage from the last six years. The FY 2016-17 budget was calculated using the FY 2015-16 projection with a 4.0 percent increase to account for any increases in water base or volume rate. In some cases, this calculation did not fit the trend and the cost was projected using information given by the departments. For FY 2016-17, the total budget is $893,573, a decrease of $480,710 compared to the prior fiscal year.



Wastewater

The actual cost through five months was used to re-forecast the charges for FY 2015-16. The average increase/decrease over the past four years for each cost center was then applied to this re-forecasted amount to calculate the FY 2016-17 budget. Due to the projected increase in wastewater cost, a $2.00 increase to the base rate and an 8.75% volume rate increase was applied. For FY 2016-17 the total budget is $243,829, a decrease of $93,514.



Natural Gas

Actual natural gas costs are determined by analyzing all charges made by cost centers during FY 2014-15 and through the first six months of FY 2015-16. The costs were then sorted by the source of gas. The different sources include:

Atmos – gas provided for Facilities, Information Technology, Animal Services, Fire Marshal, Police Administration, Police Training, Water Treatment, and Land Application.

Scheduled Charges Overview

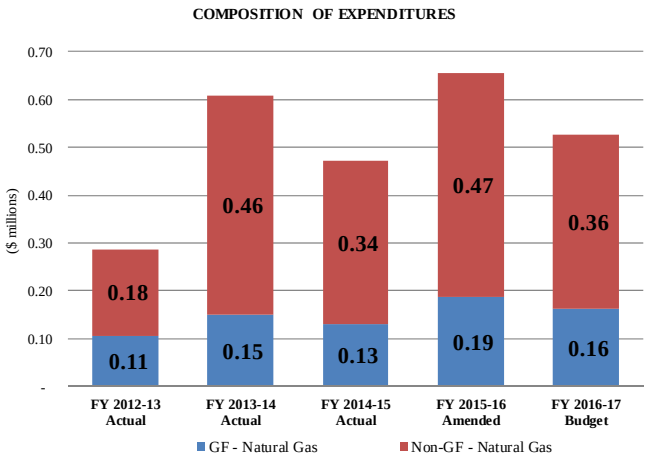
Slaton Gas and Equipment – propane gas for Wilson Farm at the Land Application site.

Texas Tech University – chilled water charges for the Coliseum and Auditorium.

West Texas Gas – all other City facilities.

Wylie LP Gas – propane gas for the Landfill.

Prior year monthly bills for West Texas Gas were researched to determine the actual monthly charges. The percentage of total gas used by each cost center is then determined by dividing the total cost of gas by the amount each cost center paid. The additional MMBTUs used was calculated along with other sources of gas based on FY 2014-15 actual usage. As a conservative measure, a price of $3.30 per MMBTU is used. The total projected cost is calculated by multiplying the projected usage by $3.30 per MMBTU for a total of $526,849, a decrease of $127,402 from the prior year. The total is distributed based on the percentage used by each cost center.



Sanitation

The actual usage for each cost center for the prior three years was analyzed. The projected cost for FY 2015-16 was based on the average percent at 6 months over those three years. The FY 2016-17 cost was projected using the FY 2015-16 re-forecasted amount with a 0.7 percent increase. For FY 2016-17 the total charge is $107,625. General Fund departments are not charged for sanitation.

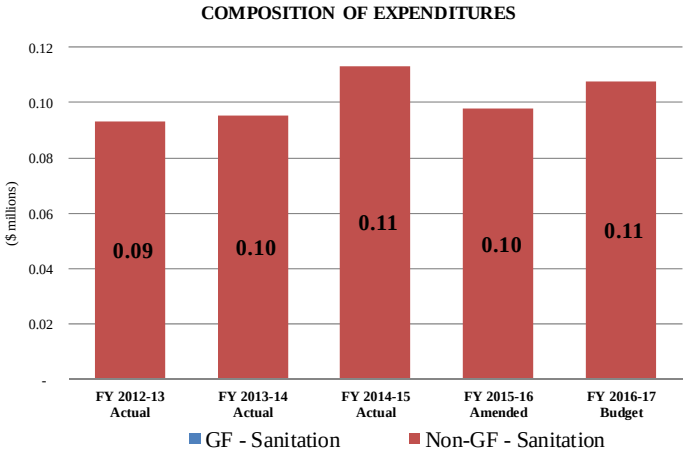
Sanitation providers include:

Caprock Waste for Lake Alan Henry.

City of Wilson for the Land Application site.

Duncan Disposal for the Land Application site.

City of Lubbock Solid Waste for City facilities located within its corporate boundaries.



Electric

Actual electric costs are determined by analyzing all actual charges made by cost centers during the past three years. FY 2015-16 was projected using the average of all but the highest and lowest percentage from the first five months in those three years applied to the actual five months from FY 2015-16. The FY 2016-17 proposed amount was based on the re-forecasted FY 2015-16 budget with a 5.75 percent rate increase and a 1 percent growth factor. There are multiple sources for electricity for the city departments.

Bailey County Electric – Water Production.

Big Country Electric – Lake Alan Henry and Lake Alan Henry Pipeline.

Lamb County Electric – Water Production.

Lubbock Power & Light (LP&L).

Lyntegar Electric Cooperative – Land Application site.

South Plains Electric Cooperative – Parks and Recreation, Police Training, Solid Waste Disposal, Fire Administration, Radio Shop, and Public Works Traffic Engineering, Land Application, Water Pumping and Control, Water Reservoir, Wastewater Treatment, Wastewater Sampling and Monitoring, and Wastewater Collection.

Texas Tech University – Coliseum and Auditorium.

XCEL – Water Production, Water Reservoir, and Land Application.

The FY 2016-17 total charge is $11,413,573, an increase of $636,888.

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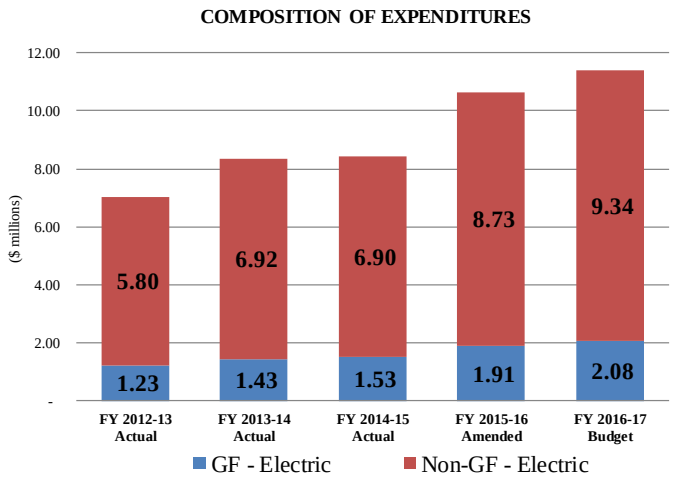
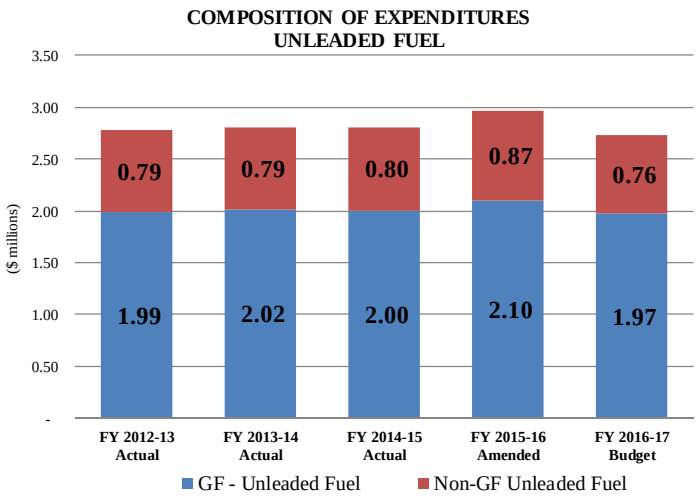
Scheduled Charges Overview



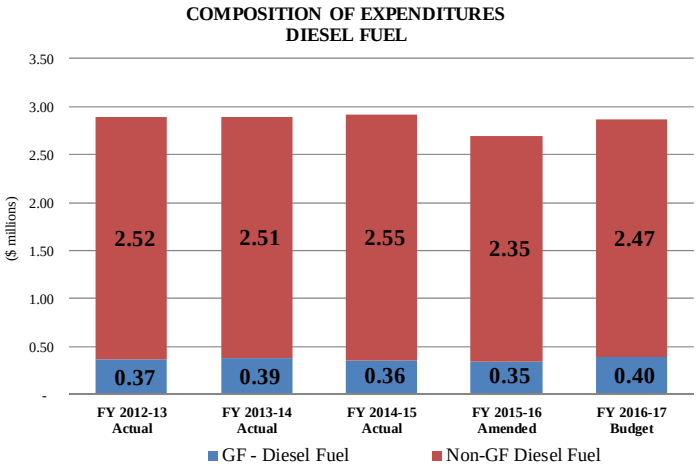


Fuel Charges

The budget and actual costs for fuel were analyzed for the prior three full years and the first six months of FY 2015-16. To determine the cost for FY 2016-17, the data used was the actual number of gallons used by each cost center during the 12-month period from April 1, 2014 thru March 31, 2015 for unleaded and diesel fuel.

The City's current contracted rate is $1.9649 per gallon for unleaded and $2.3269 per gallon for diesel (taxes and fees included). The contract rate is set until December 31, 2016.

The budget includes a projected average rate of $2.0449 per gallon for unleaded and $2.2469 per gallon for diesel. The price per gallon for diesel was calculated using an average of three months at the current contracted price and nine months at the projected price. The price per gallon for unleaded was calculated using an average of three months at the current contracted price and nine months at the new projected price. Unleaded fuel purchased with a comdata card was calculated using an average of the current pump price.



Scheduled Charges Matrix

Fund	Dept #	Department Name	Unleaded Fuel	Diesel Fuel	Water	Wastewater	Natural Gas	Sanitation	Electric	Total
100	- 1611	Facilities Management	$ 14,654	169	-	-	56,846	-	571,823	643,492
100	- 2411	Public Information	255	-	-	-	-	-	-	255
100	- 3211	Municipal Court	5,366	-	-	-	-	-	-	5,366
100	- 4211	Code Enforcement	38,181	-	-	-	-	-	-	38,181
100	- 4311	Building Inspection	22,942	-	-	-	-	-	-	22,942
100	- 4523	Traffic Engineering and Design	5,130	-	-	-	-	-	-	5,130
100	- 4525	Traffic Operations	20,403	54,885	-	-	-	-	154,454	229,742
100	- 4531	Public Works - Streets	11,932	89,169	-	-	-	-	18,736	119,837
100	- 4541	Street Drainage Engineering	14,302	-	-	-	352	-	1,105	15,759
100	- 5214	Mahon Library	1,734	-	-	-	13,523	-	132,973	148,229
100	- 5215	Godeke Library	-	-	-	-	1,517	-	6,315	7,833
100	- 5216	Patterson Library	-	-	-	-	913	-	16,192	17,105
100	- 5217	Groves Library	-	-	-	-	1,108	-	20,034	21,142
100	- 5219	Buddy Holly Center	-	-	-	-	1,077	-	20,558	21,635
100	- 5221	Park Maintenance	138,839	35,591	-	-	4,720	-	324,508	503,658
100	- 5223	Park Development	1,401	-	-	-	-	-	-	1,401
100	- 5224	Garden & Arts	-	-	-	-	780	-	15,793	16,573
100	- 5225	Indoor Recreation	6,418	-	-	-	5,893	-	134,809	147,120
100	- 5227	Outdoor Recreation	1,153	-	-	-	3,993	-	254,483	259,628
100	- 5245	Silent Wings Museum	-	-	-	-	4,306	-	32,657	36,963
100	- 5311	Animal Services	57,348	1,210	-	-	15,424	-	71,790	145,772
100	- 5413	Environmental Health	7,329	-	-	-	-	-	-	7,329
100	- 5415	Public Health	859	-	-	-	-	-	99	958
100	- 5419	Vector Control	12,892	-	-	-	754	-	-	13,647
100	- 5611	Fire Administration	-	-	-	-	37,420	-	232,824	270,244
100	- 5613	Fire Prevention	-	-	-	-	3,496	-	-	3,496
100	- 5615	Fire Equipment Maintenance	109,563	215,885	-	-	-	-	-	325,448
100	- 5711	Police Administration	26,297	329	-	-	1,430	-	1,413	29,469
100	- 5713	Police Training	21,944	-	4,853	-	6,401	-	45,555	78,753
100	- 5717	Police Communications Center	-	-	-	-	-	-	54	54
100	- 5719	Police Special Operations	23,692	-	-	-	1,885	-	-	25,576
100	- 5731	Police Investigations	270,865	387	-	-	-	-	19,920	291,173
100	- 5735	Police Patrol	1,157,248	848	-	-	522	-	-	1,158,619
TOTAL GENERAL FUND			$ 1,970,747	398,472	4,853	-	162,360	-	2,076,094	$ 4,612,527

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Scheduled Charges Matrix

Fund	Dept #	Department Name	Unleaded Fuel	Diesel Fuel	Water	Wastewater	Natural Gas	Sanitation	Electric	Total
149	- 149	Lake Alan Henry	$ 51	-	8,844	-	-	7,800	10,951	27,646
211	- 7111	Administration	1,708	-	8,205	7,345	8,018	2,038	94,850	122,165
211	- 7112	Regulatory Compliance	4,430	-	-	-	-	-	-	4,430
211	- 7311	Production Operations & Engineering	-	726	-	-	-	-	4,760	5,486
211	- 7316	Cooke Station	3,898	1,265	68,000	21,000	-	3,157	12,301	109,621
211	- 7317	Brandon Station	3,734	86	126,642	38,613	-	397	5,367	174,840
211	- 7318	Massengale Station	4,963	3,322	468,577	142,867	-	1,469	14,341	635,540
211	- 7411	Distribution Supervision & Engineering	26,250	-	-	-	-	-	-	26,250
211	- 7412	Distribution Underground	11,961	76,883	633	398	-	1,019	52,014	142,908
211	- 7413	Distribution Overhead	8,175	94,049	-	-	-	-	-	102,224
211	- 7415	Distribution Customer Service	4,151	87,331	2,171	1,119	-	769	40,174	135,716
211	- 7417	Distribution Substation	28,208	572	2,430	-	-	-	-	31,209
211	- 7419	Distribution Meter Shop	3,740	-	-	-	-	-	-	3,740
211	- 7421	Distribution Street Lights	955	18,541	-	-	-	-	75,264	94,760
211	- 7511	Meter Reading	80,516	4,283	-	-	-	-	-	84,798
221	- 6111	Water Administration	2,661	-	-	-	743	523	7,825	11,752
221	- 6113	Water Conservation & Education	14,582	-	-	-	721	507	7,595	23,405
221	- 6211	Water Engineering	17,397	-	-	-	522	-	-	17,920
221	- 6311	Water Meter and Customer Service	74,449	5,637	-	1,813	721	507	47,157	130,285
221	- 6321	Water Equipment Maintenance	3,808	5,452	-	-	548	769	24,674	35,251
221	- 6331	Water Distribution and Maintenance	45,203	115,215	-	-	548	-	-	160,966
221	- 6343	Pumping and Control	50,723	18,223	-	-	-	-	2,139,645	2,208,591
221	- 6345	Water Treatment	7,948	652	-	-	25,020	7,328	237,036	277,985
221	- 6347	Water Production	30,867	12,080	-	-	-	1,932	370,310	415,189
221	- 6349	Water Reservoir	33,336	6,592	-	-	21,459	-	1,564,543	1,625,931
231	- 6411	Wastewater Treatment	17,367	24,391	97,024	-	135,750	51,149	2,362,176	2,687,856
231	- 6413	Wastewater Collection	21,660	42,888	3,288	-	1,643	-	84,806	154,285
231	- 6415	Land Application	83,454	89,115	3,515	-	15,328	11,623	632,998	836,033
231	- 6417	Industrial Monitoring	8,487	-	-	-	-	-	491	8,978
231	- 6421	Sampling & Monitoring	5,375	-	-	-	-	-	-	5,375
241	- 5511	Residential Collection	16,810	1,121,491	2,850	779	5,552	-	36,890	1,184,373
241	- 5512	Alley Maintenance	11,145	67,275	-	-	-	-	-	78,421
241	- 5514	Inmate Clean-up	18,824	-	-	-	-	-	-	18,824
241	- 5515	Solid Waste Disposal	16,892	479,209	483	-	15,203	-	15,784	527,571
241	- 5519	Recycling Collection	2,992	37,151	-	-	1,494	-	-	41,636
251	- 4613	Aviation Field Maintenance	8,006	25,085	2,648	803	-	-	61,136	97,677
251	- 4615	Aviation Maintenance	8,023	461	90,034	26,320	97,021	13,320	737,255	972,434
251	- 4616	Aviation Security Access	5,685	-	-	-	-	-	-	5,685
251	- 4617	Aviation Security	6,087	-	-	-	-	-	-	6,087
251	- 4619	Aviation Parking	-	-	550	-	-	-	-	550

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Scheduled Charges Matrix

Fund	Dept #	Department Name	Unleaded Fuel	Diesel Fuel	Water	Wastewater	Natural Gas	Sanitation	Electric	Total
275	- 4811	Auditorium	$ -	-	-	-	-	-	29,265	29,265
275	- 4813	Civic Center	3,909	-	-	-	21,050	-	403,824	428,783
275	- 4815	Coliseum	978	-	-	-	478	-	175,272	176,727
281	- 6611	Cemetery	6,583	3,668	-	-	99	-	6,749	17,100
291	- 6511	Storm Water Utility	10,278	-	-	-	-	-	-	10,278
291	- 6512	Street Cleaning	5,643	81,115	-	-	-	-	-	86,758
291	- 6513	Storm Sewer Maintenance	15,943	40,745	-	-	-	-	-	56,688
308	- 3113	Self-Insurance Risk Administration	1,430	-	-	-	-	-	-	1,430
310	- 3526	Fleet Services	5,565	1,338	1,785	1,198	8,898	1,384	20,919	41,087
315	- 3522	Central Warehouse	1,072	-	-	-	1,433	1,932	6,331	10,769
325	- 2242	Radio Shop	4,645	1,801	-	-	1,406	-	27,956	35,808
330	- 3409	Telephone Services	305	-	-	-	-	-	-	305
330	- 3410	Information Technology	2,056	-	1,041	1,573	834	-	26,818	32,321
80059	- 44022		2,078	-	-	-	-	-	-	2,078
87085	- 87085		766	-	-	-	-	-	-	766
TOTAL NON-GENERAL FUND			755,768	2,466,644	888,720	243,829	364,488	107,625	9,337,479	14,164,553
TOTAL ALL FUNDS			$ 2,726,514	2,865,117	893,573	243,829	526,849	107,625	11,413,573	$ 18,777,080

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Internal Services Funds Overview

- Internal Services Funds are not self-funding and must allocate the costs associated with operating the fund to other City departments. Internal services charges are the costs allocated to the other funds and cost centers for the services provided by the Internal Services Funds.
- The FY 2016-17 Operating Budget and Capital Program includes the following departments in the Internal Services Fund Section:
  - Fleet Services
  - Health Benefits
  - Information Technology
  - Investment Pool
  - Print Shop and Warehouse
  - Risk Management
- The total internal service charges across all funds are $20.9 million, with $12.0 in General Fund. The breakdown of the charges are as follows:

Charge	Total	% General Fund	% All Other Funds
Workers Compensation	$ 1,725,738	65.2	34.8
Mail Handling	34,900	44.0	56.0
Fleet Maintenance	1,536,792	53.0	47.0
Radio Shop	2,214,146	69.2	30.8
Data Processing	9,023,791	59.7	40.3
Property Insurance	1,691,396	16.6	83.4
Liability Insurance	2,539,336	64.0	36.0
Telephone	1,936,326	56.4	43.6
Postage	179,446	78.2	21.8
Total	$ 20,881,869		

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Funding Source Summary by Fund

INTERNAL SERVICE FUNDS	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Fleet	1,385,134	1,457,842	1,544,347	1,634,532	1,536,792	(6.0)
Health Benefits	32,363,111	30,411,667	31,207,612	34,697,960	33,542,066	(3.3)
Information Technology	9,400,009	10,178,540	11,290,189	12,902,835	13,433,639	4.1
Investment Pool	138,838	98,502	174,648	178,242	148,736	(16.6)
Print Shop/Warehouse	929,613	729,015	671,542	690,428	508,231	(26.4)
Risk Management	8,080,064	5,511,893	6,398,835	7,740,895	5,957,318	(23.0)
TOTAL INTERNAL SERVICE FUNDS	52,296,769	48,387,459	51,287,174	57,844,893	55,126,781	(4.7)

Appropriation Summary by Fund

INTERNAL SERVICE FUNDS	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Fleet	1,385,134	1,457,842	1,354,637	1,634,532	1,487,792	(9.0)
Health Benefits	27,366,824	30,411,667	31,207,612	34,697,960	33,542,066	(3.3)
Information Technology	9,106,516	10,125,718	11,290,189	12,902,835	13,433,639	4.1
Investment Pool	138,838	93,004	105,777	178,242	148,736	(16.6)
Print Shop/Warehouse	601,040	613,388	604,302	661,974	508,231	(23.2)
Risk Management	8,080,064	3,740,749	4,411,515	5,770,229	5,956,856	3.2
TOTAL INTERNAL SERVICE FUNDS	46,678,416	46,442,368	48,974,032	55,845,772	55,077,319	(1.4)

Position History by Fund

INTERNAL SERVICE FUNDS	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Fleet	18	18	18	17	17	-
Health Benefits	3	3	3	3	4	1
Information Technology	53	53	44	44	47	3
Investment Pool	1	1	1	1	1	-
Print Shop/Warehouse	5	5	6	6	6	-
Risk Management	4	4	6	6	7	1
TOTAL INTERNAL SERVICE FUNDS	84	84	78	77	82	5

Internal Services Charges Matrix

Fund	Dept #	Department Name	Workers' Compensation	Mail Handling	Fleet Maintenance	Radio Shop	Data Processing	Property Insurance	Liability Insurance	Telephone	Postage	Total Internal Service
100	1111	City Council	$ 1,114	320	-	-	40,942	-	537	10,014	1,981	$ 54,909
100	1113	City Manager	2,806	320	-	-	31,651	-	11,436	3,338	314	49,866
100	1115	Non Departmental	500	-	-	-	-	-	3,022	-	-	3,522
100	1211	City Secretary	2,388	320	-	-	74,480	-	9,733	16,691	2,500	106,112
100	1311	City Attorney	31,958	320	-	-	147,591	-	34,815	17,803	6,398	238,885
100	1611	Facilities Management	5,928	320	14,069	9,551	25,457	102,585	13,218	30,043	100	201,272
100	2111	Fiscal Policy	1,464	320	-	-	21,820	-	5,967	6,676	200	36,447
100	2311	Human Resources	2,818	320	-	-	55,967	-	6,136	10,014	1,200	76,455
100	2411	Public Information	512	320	1,008	-	24,917	-	2,350	8,902	50	38,059
100	2415	311 Call Center	500	320	-	-	14,547	1,942	1,710	22,859	-	41,877
100	3111	Internal Audit	960	320	-	-	10,910	-	3,911	3,338	150	19,589
100	3211	Municipal Court	2,913	320	4,031	3,473	89,815	-	12,918	41,687	40,795	195,952
100	3311	Accounting	2,784	320	-	-	72,733	-	11,346	24,480	8,000	119,663
100	3511	Purchasing	1,028	320	-	-	29,093	-	4,190	8,902	1,366	44,899
100	4111	Planning	1,309	320	-	-	42,850	-	5,334	16,691	4,270	70,773
100	4211	Code Enforcement	2,991	320	21,162	26,836	155,934	-	21,383	62,365	9,200	300,191
100	4311	Building Inspection	23,333	320	14,108	13,024	104,133	-	19,255	47,847	1,000	223,020
100	4523	Traffic Engineering and Design	2,526	320	2,015	1,737	72,733	-	9,323	23,367	701	112,722
100	4525	Traffic Operations	56,555	320	31,240	4,341	50,913	10,854	26,200	16,691	50	197,164
100	4531	Public Works - Streets	13,850	320	46,860	30,390	40,793	6,197	42,397	32,269	134	213,210
100	4541	Street Drainage Engineering	3,179	320	9,573	8,683	43,640	-	15,413	26,705	1,000	108,513
100	5214	Mahon Library	3,664	320	1,008	-	116,796	13,825	15,194	30,043	16,000	196,850
100	5215	Godeke Library	906	320	-	-	47,043	1,627	3,692	6,676	350	60,613
100	5216	Patterson Library	1,461	320	-	-	31,330	2,592	2,290	5,564	600	44,157
100	5217	Groves Library	837	320	-	-	38,138	2,569	3,410	5,564	400	51,238
100	5219	Buddy Holly Center	1,149	320	-	-	49,108	7,079	4,682	15,578	1,045	78,961
100	5221	Park Maintenance	69,498	320	125,463	56,439	74,271	10,410	78,262	32,269	500	447,431
100	5223	Park Development	500	320	3,023	868	34,582	3,696	2,356	4,451	45	49,840
100	5224	Garden & Arts	500	320	-	-	11,951	1,350	2,349	2,225	862	19,558
100	5225	Indoor Recreation	13,638	320	4,031	868	77,417	10,752	11,194	14,465	1,823	134,509
100	5227	Outdoor Recreation	1,503	320	2,015	-	83,967	24,159	6,650	24,480	630	143,723
100	5245	Silent Wings Museum	500	320	-	-	23,652	9,775	1,961	16,691	3,760	56,659
100	5311	Animal Services	29,065	320	18,643	15,629	68,578	6,513	18,534	62,916	1,043	221,241
100	5413	Environmental Health	1,305	320	7,054	10,501	50,005	-	7,155	11,127	4,000	91,467
100	5415	Public Health	2,477	320	2,519	-	80,006	892	15,600	25,986	2,000	129,801
100	5419	Vector Control	1,329	320	11,085	17,366	3,637	1,281	32,206	4,451	-	71,674
100	5611	Fire Administration	14,392	320	-	-	103,970	10,353	9,775	99,272	1,000	239,083
100	5613	Fire Prevention	7,560	320	-	-	119,575	-	50,943	-	98	178,496
100	5615	Fire Equipment Maintenance	876	320	-	319,965	7,553	-	3,570	-	75	332,359
100	5617	Fire Training	1,614	320	-	-	61,291	-	6,412	-	25	69,662
100	5619	Fire Suppression	180,726	320	-	-	307,202	35,070	342,762	-	-	866,080
100	5621	Fire Communications Center	1,902	320	-	-	45,317	-	23,074	-	-	70,614
100	5711	Police Administration	16,782	320	17,131	23,444	166,579	11,855	29,220	40,110	20,000	325,442
100	5713	Police Training	19,975	320	14,108	6,078	151,460	5,623	12,443	22,254	539	232,800
100	5715	Police Records	3,067	320	-	-	79,153	-	12,498	7,789	1,250	104,077
100	5717	Police Communications Center	10,766	320	-	10,202	148,598	-	32,302	18,045	91	220,324
100	5719	Police Special Operations	14,253	320	13,604	30,390	129,853	-	53,605	26,705	100	268,832
100	5731	Police Person/ Property Crimes	62,187	320	97,750	122,429	389,773	25	308,941	122,398	2,000	1,105,823
100	5735	Police Patrol	500,586	320	352,706	820,973	1,733,475	123	273,132	62,312	2,600	3,746,226
TOTAL GENERAL FUND			$ 1,124,433	15,369	814,209	1,533,188	5,385,197	281,146	1,624,804	1,092,049	140,245	$ 12,010,640

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Internal Services Charges Matrix

Fund	Dept #	Department Name	Workers' Compensation	Mail Handling	Fleet Maintenance	Radio Shop	Data Processing	Property Insurance	Liability Insurance	Telephone	Postage	Total Internal Service
137	- 137	Municipal Court Special Fund	$ -	-	-	-	102,727	-	-	-	-	$ 102,727
149	- 149	Lake Alan Henry	500	320	5,039	-	3,637	2,148	2,055	1,113	142.00	14,954
150	- 150	Economic Development	500	-	-	-	-	-	1,154	-	150.00	1,804
156	- 156	North Overton TIF	-	320	-	-	-	-	-	-	25.00	345
157	- 157	Central Business District TIF	-	320	-	-	-	-	-	-	25.00	345
158	- 158	North Overton PID	-	-	-	-	-	-	-	-	200.00	200
159	- 159	North Point PID	-	-	-	-	-	-	-	-	627.00	627
161	- 161	Lubbock Business Park TIF	-	-	-	-	-	-	-	-	25.00	25
162	- 162	Quincy Park PID	-	-	-	-	-	-	-	-	342.00	342
166	- 166	Valencia PID	-	-	-	-	-	-	-	-	10.00	10
211	- 7111	Electric Administration	9,345	320	-	-	42,500	45,327	17,202	11,054	-	125,747
211	- 7112	Electric Environmental Health Safety	4,313	320	-	1,954	16,898	-	5,624	3,100	-	32,209
211	- 7113	Electric Legal	2,157	-	-	-	6,576	-	2,300	1,247	-	12,279
211	- 7211	Electric Conservation & Education	-	320	-	-	-	-	-	-	-	320
211	- 7311	Electric Production Operations	5,751	-	-	-	-	-	-	4,953	-	10,704
211	- 7316	Production Cooke Station	-	-	-	4,342	11,258	190,780	27,579	-	10.00	233,970
211	- 7317	Production Brandon Station	5,032	-	-	868	51,382	104,114	14,666	4,329	-	180,392
211	- 7318	Production Massengale Station	20,846	-	2,015	3,474	84,937	238,065	42,290	17,978	-	409,606
211	- 7411	Electric Distribution Engineering	17,971	320	-	24,313	72,460	136,412	35,819	12,401	-	299,697
211	- 7412	Electric Underground Lines	19,409	320	18,139	22,576	79,161	11,055	46,381	16,731	10.00	213,781
211	- 7413	Electric Overhead Lines	19,409	320	32,247	39,941	78,776	56,496	30,132	16,731	10.00	274,062
211	- 7414	Electric Operations	7,907	320	-	-	37,624	-	24,508	6,824	40.00	77,224
211	- 7415	Electric Distribution Customer Service	9,345	320	22,170	30,390	251,417	-	26,654	8,054	-	348,351
211	- 7417	Electric Distribution Substations	8,626	320	4,031	21,707	38,133	1,326	16,335	59,144	-	149,622
211	- 7419	Electric Distribution Meter Shop	4,313	320	-	5,210	20,726	-	18,971	3,724	-	53,264
	7421		2,157	-	-	8,005	8,164	-	-	1,853	-	20,179
	7423		11,501	320	-	6,756	43,731	-	-	9,925	-	72,233
211	- 7511	Electric Field Services	15,096	320	1,008	19,102	74,129	-	23,215	13,007	-	145,877
211	- 7512	Customer Information Systems	5,032	320	-	-	782,766	-	4,066	4,329	-	796,513
211	- 7513	Electric Customer Service	4,313	320	-	-	35,294	-	9,460	3,724	-	53,112
211	- 7514	Utility Customer Care	35,223	320	-	-	157,062	-	24,439	63,090	-	280,135
	7515		7,188	-	-	-	27,322	-	-	25,739	-	60,249
	7611		2,875	-	-	-	-	-	-	2,477	-	5,352
221	- 6111	Water Administration	2,286	320	2,015	1,737	22,679	1,568	11,413	6,676	750.00	49,445
221	- 6113	Water Conservation & Education	1,533	320	8,062	-	59,951	2,005	6,275	6,676	18,338.00	103,160
221	- 6211	Water Engineering	3,263	320	7,054	6,946	86,946	-	19,881	12,240	300.00	136,951
221	- 6311	Meter and Customer Service	20,457	320	13,604	30,390	93,026	2,028	14,568	31,760	600.00	206,755
221	- 6321	Water Equipment Maintenance	3,815	320	7,558	1,737	3,780	-	23,130	7,789	-	48,129
221	- 6331	Water Distribution and Maintenance	21,517	320	60,464	50,361	56,158	3,323	19,738	6,676	33.00	218,590
221	- 6341	Process Control Lab Services	1,134	320	-	-	11,339	-	16,097	6,676	1,072.00	36,639
221	- 6343	Pumping and Control	5,988	320	17,635	7,815	22,679	54,541	15,729	22,254	50.00	147,011
221	- 6345	Water Treatment	13,213	320	5,543	17,366	71,322	62,855	15,528	11,127	249.00	197,522
221	- 6347	Water Production	2,008	320	18,643	9,551	3,781	4,333	14,280	8,902	148.00	61,965
221	- 6349	Water Reservoir	9,543	320	19,651	868	15,121	178,572	6,744	3,338	44.00	234,201
221	- 6351	Water Lab	500	320	-	-	-	-	11,431	5,564	600.00	18,415
222	- 6115	Water Pro Rata	-	-	-	-	-	-	1,835	-	-	1,835
231	- 6411	Wastewater Treatment	27,804	320	22,674	24,312	69,096	69,331	32,482	16,691	874.00	263,585
231	- 6413	Wastewater Collection	11,082	320	35,774	21,707	79,644	7,434	31,904	21,141	242.00	209,249
231	- 6415	Land Application	17,562	320	69,534	16,498	22,288	9,527	12,221	15,578	123.00	163,650
231	- 6417	Industrial Monitoring	3,200	320	5,039	-	45,658	-	6,065	8,902	2,059.00	71,243
231	- 6419	Wastewater Laboratory	932	320	-	-	18,183	802	5,635	10,014	105.00	35,992
231	- 6421	Sampling & Monitoring	1,410	320	5,543	5,210	21,604	175	1,974	4,451	91.00	40,778
232	- 6423	Wastewater Pro Rata	-	-	-	-	-	-	1,573	-	-	1,573

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Internal Services Charges Matrix

Fund	Dept #	Department Name	Workers' Compensation	Mail Handling	Fleet Maintenance	Radio Shop	Data Processing	Property Insurance	Liability Insurance	Telephone	Postage	Total Internal Service
241	- 5511	Residential Collection	$ 138,798	320	94,223	86,829	83,798	5,510	47,230	24,480	45.00	$ 481,233
241	- 5512	Alley Maintenance	10,774	320	37,286	32,127	3,637	-	8,834	4,451	-	97,428
241	- 5514	Inmate Clean-up	-	320	11,085	4,341	-	-	15,990	3,338	-	35,074
241	- 5515	Solid Waste Disposal	20,476	320	43,332	50,361	45,014	7,018	18,924	14,465	679.00	200,590
241	- 5519	Recycling Collection	641	320	18,643	6,946	7,273	513	4,273	4,451	9.00	43,069
251	- 4611	Aviation Administration	1,512	320	-	1,737	42,956	69,828	2,726	15,578	778.00	135,434
251	- 4613	Aviation Field Maintenance	3,340	-	31,744	4,341	10,910	7,055	14,969	10,256	-	82,614
251	- 4615	Aviation Maintenance	1,245	-	10,077	14,761	3,637	2,125	5,098	17,803	-	54,746
251	- 4616	Aviation Security Access	1,795	320	2,015	4,341	14,547	-	3,761	8,902	187.00	35,869
251	- 4617	Aviation Security	1,918	-	4,031	11,288	14,547	-	3,173	5,564	-	40,520
251	- 4619	Aviation Parking	-	-	-	-	-	4,090	786	-	-	4,876
	4520		-	-	-	-	197,776	10,391	-	-	-	208,166
275	- 4811	Auditorium	-	320	-	-	-	64,246	262	18,916	121.00	83,865
275	- 4813	Civic Center	2,093	320	4,031	22,576	65,460	7,088	10,888	70,101	908.00	183,464
275	- 4815	Coliseum	1,549	320	2,015	4,341	11,378	27,962	6,574	-	144.00	54,284
275	- 4817	Amphitheatre	-	320	-	-	-	-	-	-	76.00	396
281	- 6611	Cemetery	6,429	320	12,093	-	16,259	1,605	4,366	10,014	514.00	51,601
291	- 6511	Storm Water Utility	1,782	320	6,046	6,078	60,528	-	13,290	12,240	700.00	100,985
291	- 6512	Street Cleaning	9,681	320	20,659	21,707	3,637	-	17,061	2,225	-	75,290
291	- 6513	Storm Sewer Maintenance	4,430	320	23,682	9,551	3,637	-	7,620	2,225	-	51,465
308	- 3113	Self-Insurance Risk Administration	1,146	320	1,008	868	18,183	-	3,890	4,451	670.00	30,537
309	- 3119	Self-Insurance Health Administration	564	320	-	-	10,910	-	2,300	4,451	2,908.00	21,454
310	- 3526	Fleet Services	2,505	320	-	868	55,017	5,964	16,237	17,803	403.00	99,117
315	- 3522	Central Warehouse	3,117	-	3,023	1,737	14,547	2,293	2,904	6,676	-	34,296
320	- 3524	Print Shop	500	-	-	-	10,445	-	807	2,225	-	13,977
325	- 2242	Radio Shop	2,118	320	5,060	-	-	12,347	6,416	-	-	26,261
330	- 3409	Telephone Services	575	320	-	-	-	-	2,344	-	54.00	3,293
330	- 3410	Information Technology	5,974	320	4,048	-	-	-	25,396	-	354.00	36,093
330	- 3419	GIS & Data Services	3,239	320	-	-	-	-	6,600	-	514.00	10,673
360	- 360	Investment Pool	500	320	-	-	3,637	-	951	1,113	75.00	6,596
80059	44021	CDBG Administration/Field	4,776	320	5,039	-	98,190	-	9,731	25,592	2,685.00	146,333
81106	- 81106	Public Health	1,667	-	-	-	-	-	7,244	-	-	8,911
85016	- 4801	Metropolitan Planning Organization	500	-	-	-	10,910	-	355	-	-	11,765
87080	- 87080	Emergency Management	-	320	-	-	-	-	-	-	-	320
	87085		1,603	-	-	13,024	101,826	-	2,178	58,974	83.00	177,688
TOTAL NON-GENERAL FUND			$ 601,305	19,531	722,583	680,958	3,638,593	1,410,249	914,531	844,277	39,201.00	$ 8,871,229
TOTAL ALL FUNDS			$ 1,725,738	34,900	1,536,792	2,214,146	9,023,790	1,691,396	2,539,335	1,936,326	179,446	$ 20,881,869

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City of Lubbock TEXAS

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Fleet Services Overview

Mission and Purpose

Provide vehicles, equipment, transportation, repairs and maintenance in a timely and cost effective manner while maintaining a safe work environment.

Overview

The Fleet Department oversees the management of City vehicles. This includes the purchase and maintenance of vehicles, and management and maintenance of the City's fuel sites. The following services are provided:

- Maintain records of vehicles, warranties, equipment, outsourced repairs, parts, repair costs and fuel usage.
- Make recommendations for the repair or replacement of vehicles, equipment and trailers.
- Perform internal transfers of vehicles between departments to utilize the most dependable and cost effective vehicles circulated within the City's Fleet. Dispose of surplus vehicles and equipment at public auction.
- Assist departments in developing specifications and budget to purchase vehicles and equipment.
- Manage contract compliance for light-duty and heavy-duty maintenance, parts, repairs, car wash services, tires and windshield replacements.
- Perform preventive maintenance and repairs on heavy-duty vehicles, perform yearly state inspections on all City-owned vehicles.
- Oversee and maintain all City-owned fuel sites, order fuel for all City-owned vehicles and equipment.

Goals and Objectives

- Continue having Fleet Services as a preventive maintenance and repair facility for specific equipment and services.
- Continue the fleet replacement program that decreases the overall size of the fleet, moves vehicles to auction promptly, reassigns high mileage vehicles to low mileage uses, and purchases the correct vehicles for optimum fuel efficiency.

Accomplishments for FY 2015-16

- All technicians were successfully state inspector certified by the Texas Department of Public Safety. This will allow us to inspect all City-owned vehicles and trailers, saving expense and downtime. Two technicians attended hands-on hydraulic training to benefit shop operations and reduce troubleshooting on hydraulic repairs for Solid Waste.

Objectives for FY 2016-17

- Continue to provide cost effective maintenance and repairs to heavy duty vehicles. Perform state inspections on all City of Lubbock vehicles & trailers to ensure compliance with new Texas laws.

Revenue Overview

- Fleet is an internal service fund. Internal Service Funds are not self-funding and must allocate the costs associated with their operations to other departments and funds. Internal service charges are the costs allocated to the other funds and cost centers for the services provided.

  The Fleet internal service charge is determined based on the number of vehicles in each cost center. The number of vehicles in each cost center is divided by the total number of City vehicles to determine the percentage of the charge to be allocated to each cost center; then the total operational costs for FY 2015-16 are allocated to the cost centers based on the calculated percentage.

- Budgeted revenues decreased $48,741, or 3.1 percent, for FY 2016-17.

Expense Overview

- Budgeted expenses decreased $146,741 or 9.0 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits decreased $19,547, or 1.9 percent, due to staff turnover.
- Supplies decreased $6,597, or 18.8 percent, due to a decrease in diesel fuel costs.
- Maintenance decreased $5,766, or 16.0 percent, due to decreases in motor vehicle maintenance and radio shop charges.
- Professional Services/Training decreased $1,500, or 17.9 percent, due to an expected decrease in professional services required.
- Scheduled Charges decreased $18,776, or 12.6 percent, due to decreased data processing, electric, and gas charges.

Vehicle Debt Financing Program Overview

Fleet Services reviewed vehicle replacement requests from City departments, and analyzed existing vehicles using life-to-date repair costs, costs for needed repairs, condition, mileage, and other guidelines to determine the vehicles that should be replaced. The recommended replacement vehicles for FY 2016-17 is included in the Vehicle Debt Financing Program section. The vehicles are purchased through the City's Vehicle Debt Financing program with the term of the lease equal to the life of the vehicle. The purchases of recommended replacements will impact the FY 2017-18 Operating Budget, in each respective fund, when the first installment payment is made.

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Fleet Services Overview

- Total vehicle purchases in the General Fund are approximately $3.8 million, distributed as follows:
  - Police $741,427
  - Fire 2,400,000
  - Parks 148,000
  - Streets 403,000
  - Street Drainage 27,000
  - Building Inspection 27,000
  - Environmental Health 28,000
- The total amount of recommended replacement vehicles in the City's Enterprise, Special Revenue, and Internal Service Funds total $4.2 million, distributed as follows:
  - Residential Collection $897,645
  - Landfill 1,225,000
  - Cemetery 72,000
  - Citibus 2,000,000

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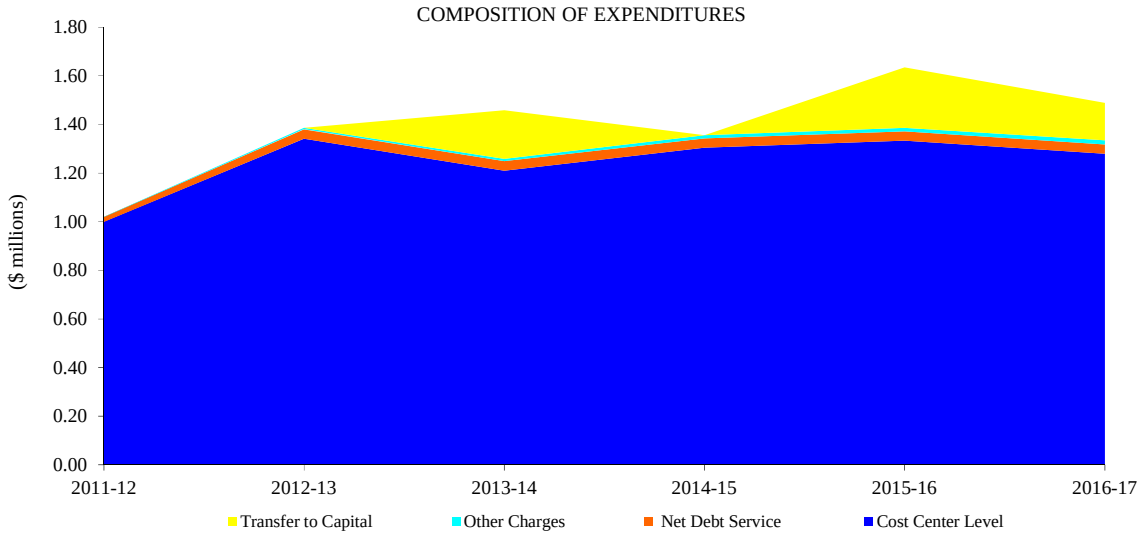
Internal Service Fund - Fleet Services



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Fleet Maintenance	18	18	18	17	17	-
TOTAL STAFFING	18	18	18	17	17	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Fleet inventory replaced	200	174	152	122	150	23.0
Percent of inventory replaced	9%	9%	8%	6%	8%	33.3
Vehicle auctions held	7	7	5	1	5	400.0
Number of contracts maintained	42	42	41	42	42	-
Revenue from City auctions	$ 1,463,174	931,869	626,644	264,597	850,000	221.2
Number of preventive maintenance performed	690	635	608	345	650	88.4

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Fleet Services - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ 1,003	3,048	5,385	-	-	-
Other	21,153	1,835	218	-	-	-
Junk Sales	9,970	18,713	8,295	-	-	-
Equipment Rental	-	-	-	-	-	-
Administrative Charges	1,095,380	1,364,375	1,510,285	1,585,533	1,536,792	(3.1)
Sales Auto Parts	697,540	526,544	699,395	506,027	511,255	1.0
Outside Labor / Parts	3,258,778	3,108,881	3,340,608	2,775,737	3,018,511	8.7
Sales - Diesel Bobtail Loads	823,844	831,694	826,774	810,123	852,811	5.3
Sales - Diesel Transport Loads	2,001,116	2,000,743	2,030,924	1,885,513	2,012,306	6.7
Sales - Unleaded Bobtail Loads	249,817	236,487	181,730	366,079	312,069	(14.8)
Sales - Unleaded Transport Loads	2,509,383	2,530,762	2,609,375	2,600,775	2,414,445	(7.2)
Cost of Goods Sold - Auto Parts	(634,128)	(526,544)	(699,395)	(506,027)	(511,255)	1.0
Cost of Goods Sold - Outside Labor / Parts	(3,258,716)	(3,113,932)	(3,320,443)	(2,775,737)	(3,018,511)	8.7
Cost of Goods Sold - Diesel Bobtail Loads	(823,844)	(831,694)	(826,774)	(810,123)	(852,811)	5.3
Cost of Goods Sold - Diesel Transport Loads	(2,001,116)	(2,000,743)	(2,030,924)	(1,885,513)	(2,012,306)	6.7
Cost of Goods Sold - Unleaded Bobtail Loads	(250,274)	(236,487)	(181,730)	(366,079)	(312,069)	(14.8)
Cost of Goods Sold - Unleaded Transport Loads	(2,509,383)	(2,530,762)	(2,609,375)	(2,600,775)	(2,414,445)	(7.2)
Total Revenue Sources	1,190,526	1,382,921	1,544,347	1,585,533	1,536,792	(3.1)
Utilization of Net Assets	194,608	74,921	-	49,000	-	(100.0)
TOTAL FUNDING SOURCES	$ 1,385,134	1,457,842	1,544,347	1,634,532	1,536,792	(6.0)

DEPARTMENT LEVEL EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 724,308	609,490	686,491	689,700	669,652	(2.9)
Benefits	349,639	340,796	349,575	358,089	358,590	0.1
Supplies	33,195	24,974	33,849	35,020	28,423	(18.8)
Maintenance	48,370	29,305	43,851	36,034	30,268	(16.0)
Professional Services/Training	7,781	3,398	4,596	8,400	6,900	(17.9)
Other Charges	19,059	54,969	44,762	54,375	54,375	-
Scheduled Charges	129,816	136,797	140,359	148,884	130,108	(12.6)
Capital Outlay/Reimbursements	28,512	9,438	-	2,000	-	(100.0)
TOTAL DEPARTMENT LEVEL EXPENSES	$ 1,340,680	1,209,166	1,303,483	1,332,502	1,278,316	(4.1)
FUND LEVEL EXPENSES						
Net Debt Service	$ 38,944	38,922	38,915	38,908	38,925	0.0
Interest Expense	470	780	668	-	-	-
Vehicle/Equipment Debt	5,040	8,975	11,571	14,123	17,550	24.3
Transfer to Fleet Capital Project Fund	-	200,000	-	249,000	153,000	(38.6)
TOTAL FUND LEVEL EXPENSES	$ 44,453	248,676	51,154	302,031	209,476	(30.6)
TOTAL EXPENSES	$ 1,385,134	1,457,842	1,354,637	1,634,532	1,487,792	(9.0)

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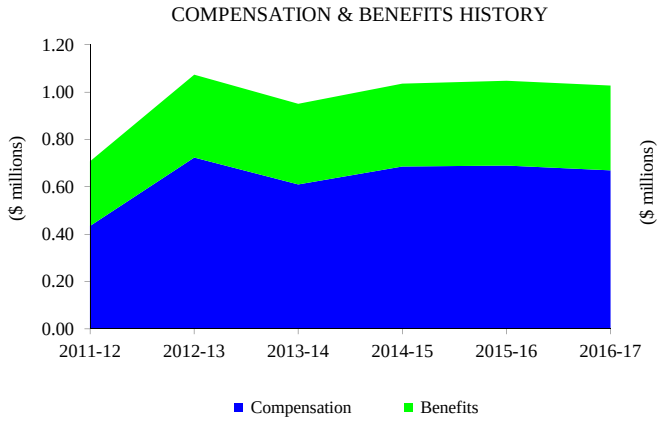
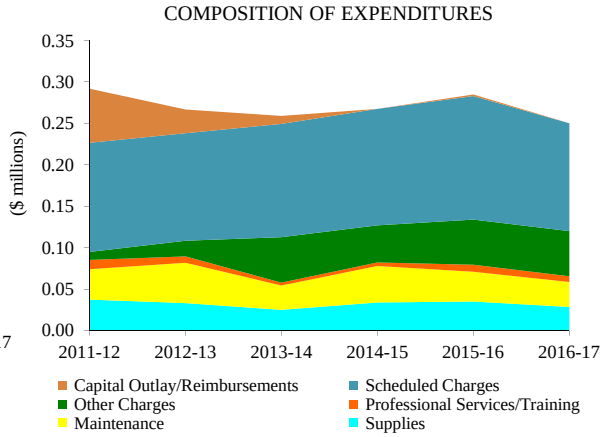
Fleet Services - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 724,308	609,490	686,491	689,700	669,652	(2.9)
Benefits	349,639	340,796	349,575	358,089	358,590	0.1
Supplies	33,195	24,974	33,849	35,020	28,423	(18.8)
Maintenance	48,370	29,305	43,851	36,034	30,268	(16.0)
Professional Services/Training	7,781	3,398	4,596	8,400	6,900	(17.9)
Other Charges	19,059	54,969	44,762	54,375	54,375	-
Scheduled Charges	129,816	136,797	140,359	148,884	130,108	(12.6)
Capital Outlay/Reimbursements	28,512	9,438	-	2,000	-	(100.0)
TOTAL EXPENDITURES BY CATEGORY	$ 1,340,680	1,209,166	1,303,483	1,332,502	1,278,316	(4.1)

City of Lubbock TEXAS

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Health Benefits Overview

Mission and Purpose

Provide a competitive health benefits program to City employees, retirees, and their families to remain competitive in recruiting and retaining employees.

Overview

The following services are provided for employee benefits:

- Assist full-time employees and retirees with enrollment and provide education concerning the City's health and benefits program.
- Educate terminating employees concerning the Consolidated Omnibus Budget Reconciliation Act (COBRA) and pension plan options.
- Provide materials for enrollment in the Deferred Compensation Plan.
- Advocate for members when faced with adverse decisions from benefit providers.

Health Benefits offers 11 different benefits for employees and dependents which includes health, dental, basic and supplemental life and dependent life, accidental death and dismemberment, long term disability, vision, legal, cancer, whole life, flexible spending and dependent care accounts. The City also offers a 457(b) deferred compensation plan to its employees. In addition, retiree benefits include health, dental, and life.

President Obama signed the Patient Protection and Affordable Care Act and the Health Care and Education Reconciliation Act, collectively called Health Care Reform on March 23, 2010. At that time the City stated its intent to maintain its grandfathered status under Health Care Form, now called the Affordable Care Act (ACA). The City's Master Welfare Benefit Plan Wrap Plan Document as well as the Health Benefit Book have been amended to include language to reflect the City's intent. As a grandfathered health plan, the City is exempt from complying with some of the consumer protection that ACA includes. Also, a grandfathered health plan is a plan that existed on March 23, 2010, but cannot significantly increase co-payments or deductibles, increase out of pocket limits, raise co-insurance, make annual limits more restrictive or add new annual limits, significantly decrease the share of premiums that employers contribute for their workers, or eliminate covered health benefits.

Becoming a non-grandfathered plan would increase the costs significantly. Preventive care would be covered at 100 percent and at no cost to the member. The plan could not require co-payments, co-insurance or deductible. Health and Human Services (HHS) has stated there are 15 covered preventive services for adults, 22 covered preventive services for women, including pregnant women, and 26 covered preventive services for children. HHS has stated these services, depending on the age of the member, include blood pressure, diabetes, cholesterol tests, many cancer screenings, counseling on topics such as depression, smoking, weight loss, alcohol use, well-baby and well-child visits to age 21, routine vaccinations, counseling, screening and vaccines to ensure healthy pregnancies. Other costs for non-grandfathered plans include: 1) The right to participate in an approved clinical trial; a plan may not deny, limit or impose additional conditions on the coverage of routine costs for items and services furnished in connection with participation in an approved clinical trial and may not discriminate against any qualified individual who participates in an approved clinical trial; and 2) Out-of-pocket maximum may not exceed annual high deductible plan maximum. The limit set by the IRS for 2016 is $6,850 individual/$13,700 family; the limit for 2017 is $7,150 individual/$14,300 family. The City would need to track co-payments, co-insurance, deductible (physician co-payments, prescription co-payments, annual out-of-pocket maximum) paid by members. The current out-of-pocket maximum of $2,500/$5,000 does not include co-payments.

The City began collecting additional Medicare tax of 0.9 percent for any employee making over $200,000 annually. The City does not match this tax. Additionally, all health plans, including grandfathered health plans, were required to remove all pre-existing conditions limitations and to cover adult children to age 26 even if the child has coverage available through his/her employer. These mandates were effective January 1, 2014.

Large employers must comply with several aspects of the Affordable Care Act even while maintaining grandfathered status. The City, as a large employer, will continue to comply with the ACA. The opportunity for employers to "play or pay" is still part of ACA and there are two types of penalties. The IRS can assess $2,160 per employee if an employer does not offer minimum essential coverage to 95 percent of full time employees (30 hours per week) and their eligible dependents to age 26. If an employee were to qualify for premium tax credit in the Marketplace (state/federal exchanges) because the employer offered unaffordable coverage or the coverage did not have minimum value, the penalty will be $3,240. This penalty would only be for the employee(s) receiving premium tax credit. Currently, the City's plan is deemed affordable because the employee is not charged for coverage.

The definition of a full time employee changed to include those who work, on average, at least 30 hours per week or 1,560 hours annually. This will require an annual look-back prior to Annual Enrollment and the City will be required to enroll any eligible employee within 90 days (effective January 1) of each year. Those eligible employees will be enrolled in the health plan for the calendar year even if their work hours fall below an average 30 hours per week during said calendar year.

The Patient Centered Outcomes Research Institute Fee (PCORI) is an annual fee that began in 2012. All plans must pay this annual fee for six years. This fee for calendar year

Health Benefits Overview

2012 was $1 per enrollee. The fee was $2 per enrollee for 2013, $2.08 for 2014, $2.17 for 2015. PCORI is required in the Health Care Reform law and will be paid out of the General Fund because it cannot be paid out of plan assets. PCORI will continue to index up for calendar years 2016-2017. The PCORI fee for 2016 has yet to be released, however, the projected fee is $2.26 or $13,040.20.

There has not been an increase to budgeted funding since 2012. Health Benefits is recommending an increase to $873.26 per employee per month. Even with this increase to departments, we feel the City is still saving money by remaining a grandfathered health plan.

Transitional Reinsurance Fee is a three year decreasing fee that is also provided for in the law. This fee may be paid from plan assets. For 2016, the fee is $27 per enrollee or $140,454. The Transitional Reinsurance Fee for 2016 is based on 5,202 enrollees. We are allowed to exclude any enrollee who is Medicare primary. The calendar year 2016 fee is due January 15, 2017. In 2020, an excise tax (Cadillac tax) becomes effective and will be paid on any plan values over $10,200 for an individual or $27,500 for family and will include any FSA contributions. The probability of the Cadillac tax being applied to a section of the City's health plan is high.

Employees must be given an "effective opportunity" to accept coverage at least one time during the plan year or the employee is not considered to have been offered coverage. Employees have an opportunity to enroll when first eligible, after working one complete pay period and during Annual Enrollment. For 2016 Annual Enrollment, employees had access to an online enrollment system which complied with annual recordkeeping requirements that an effective opportunity of acceptance or declination of coverage be given to employees. If an employee wanted to keep his/her benefits the same, it was noted within the online system. Employees without computer access were given paper enrollment forms. Employees who did not complete online enrollment or paper forms were sent follow up letters. This type of recordkeeping will keep the City from incurring a penalty for not offering coverage and will protect the City in the event of an audit as mentioned above.

Effective with calendar 2015, the City is required to provide proof of affordable health coverage to the Internal Revenue Service as well as each employee and covered dependent. This reporting, under Sections 6055 and 6056 of the Internal Revenue Code, is required as the City is a self-insured plan sponsor. There are many aspects to the reporting, such as proof the City provides minimum essential coverage; reporting determines whether an individual had minimum essential coverage, and if not, must pay a penalty; a statement transmittal is sent to each "responsible individual" much like a W-2. COBRA beneficiaries and pre-65 retirees must be included. Some of the reporting requirements overlap; however, the City has responsibilities under both Sections. Accurate recordkeeping is a must with this requirement and Health Benefits must maintain information on a monthly basis in order to have the most accurate information on file to submit to members and the IRS.

Requirements under Section 6055 include but are not limited to: name and last known address for the responsible party; name and tax identification (social security number) of each individual enrolled in plan (this includes enrolled dependents), months of coverage for each covered individual including enrolled dependents; any additional information specified by the IRS.

Requirements under Section 6056 included but are not limited to: Applicable Large Employer (the City) EIN, contact name and phone number; whether coverage was covered by month; number of full time employees by month; for each full time employee – months coverage was available, cost for employee only coverage, name, address and social security number, the months of coverage; any additional information requested by the IRS. Through training with experts, it was determined that Civic Lubbock, Inc. and Market Lubbock, Inc. are controlled groups of the City; and, therefore, also subject to this reporting.

Detailed recordkeeping is essential in order to avoid audits from several federal agencies which include the Internal Revenue Service and Health and Human Services. The City would not be subject to a Department of Labor audit as the City's health plan is not governed by ERISA (Employee Retirement Income Security Act).

Health Benefits is continuing to work with Information Technology to create an Employee Self-Service (ESS) Online Enrollment system for benefits. This system will work through the current financial system (Enterprise One – E1). In addition to the benefits module, Human Resources and Payroll will have functions that include requesting leave, viewing leave balances, time entry, requesting paid time off, employee performance management and payroll.

Goals and Objectives

- Explore cost containment alternatives for health benefits plans by annually reviewing plan design.
- Promote the employee medical clinic to encourage members to seek wellness and acute care.
- Conduct audits of vendor-managed plans.
- Encourage wellness in the work force. Encourage utilization of Health Risk Assessments through the City's free clinic (Physician Network Services) and Blue Cross Blue Shield (BCBS). Physician Network Services is available for on-site assessments and BCBS provides online assessments.
- Continue to work with IT to finalize development of online enrollment system and the employee self-portal.
- Provide outstanding customer service to employees and retirees.
- Maintain grandfathered status for health benefits plan.

Health Benefits Overview

Accomplishments for FY 2015-16

- The online enrollment system was again used for 2016 Annual Enrollment. Improvements were implemented with regard to updating health dependent information, emergency/HIPAA contacts, Sick Leave Share Donation and beneficiary information.
- Worked with Denovo (Enterprise One Consultants) and IT to produce required IRS 1095-C forms using an internal spreadsheet.
- Maintained grandfathered status for health benefits plan.
- Successfully implemented plan changes for the health plan.
- Telephone calls, one-on-one meetings, and new employee orientation meetings with employees/ retirees average 320 monthly. This count does not include retirements, exits interviews or Annual Enrollment one-on-ones.

Objectives for FY 2016-17

- Continue to explore cost containment alternatives for health benefits plans by annually reviewing plan design.
- Promote the employee medical clinic to encourage members to seek wellness and acute care.
- Explore creating a separate health plan for pre and post-65 retirees; begin looking for cost effective medical coverage for pre and post 65 retirees that is beneficial to the City as well as the retirees.
- Conduct audits of vendor-managed plans.
- Continue to work with IT to finalize development of online enrollment system and the employee self-portal.
- Provide outstanding customer service to employees and retirees.
- Improve on the percentage of calls resolved within three days.
- Maintain grandfathered status for health benefits plan.
- Continue to stay current on the Affordable Care Act (ACA) and its evolving regulations.
- Continue to maintain data for IRS Sections 6055 and 6056 required reporting (1095-C).

Revenue Overview

The Health Benefits Fund is an Internal Service Fund that operates and administers the City's health benefits program. Internal Service Funds are not self-funding and must allocate the costs associated with benefit plans costs to other departments and funds, employees, and retirees.

The Health Benefits Fund charges every City cost center a medical premium allocation for each occupied, full-time position. For FY 2016-17, there is a 6.64% increase to City cost centers, going from $818.90 a month to $873.26 a month per employee. Employees with dependents and retirees covered by the plan also make a premium contribution into the fund. In FY 2015-16, the Health Benefits Fund charged every City cost center a dental premium allocation for each occupied, full-time position. For FY 2016-17, the dental premium will continue to be funded by each cost center. The Health Fund funded this expense for the past three years prior to FY 2015-16.

All Voluntary Benefits are 100 percent funded by employee contributions. These benefits include supplemental life, dependent life, accidental death and dismemberment, long term disability, vision, legal, cancer, whole life, critical care and accident coverages, flexible spending and dependent care accounts, and deferred compensation.

- Budgeted revenues increased $1,541,491, or 4.8 percent, for FY 2016-17.
- Revenues to cover medical claims increased $1,673,094, or 5.8 percent for FY 2016-17.
  - The City's contribution for medical premiums increased $1,261,694, or 6.0 percent, based on increase to monthly premium.
  - Medical Premiums for employees increased $219,985, or 5.1 percent due to the number of people enrolled in various coverages selected by employees.
  - Medical Premiums for retirees increased $167,852, or 5.4 percent due to the number of people enrolled in various coverages selected by retirees.
- Dental Benefits revenue decreased $5,352 for FY 2016-17. This is due to slight changes in number of people enrolled in coverages.
- Voluntary Benefits revenues decreased $126,251, or 8.4 percent for FY 2016-17. The cost of these benefits rise and fall each year depending on contract negotiations for each benefit offered. This year two benefits were not offered to city employees and eliminated, accident insurance and critical illness insurance. All voluntary benefits are funded 100 percent by employee contributions. Revenue projections are based on current enrollment of each benefit.
- Utilization of Net Assets decreased $2,697,385, or 98.6 percent due to lower projected expenses in FY 2016-17.

Expense Overview

- Budgeted expenses decreased $1,155,894, or 3.3 percent, when compared to the FY 2015-16 Operating Budget.
- Expenses for Health Administration decreased $9,049, or 2.3 percent, for FY 2016-17, primarily due to the addition of an Insurance Specialist added to this cost center from the Staffing Needs Analysis offset by savings in professional services and capital outlay. A Health Plan audit was not budgeted for this fiscal year that occurred last year to assure compliance with grandfathered status associated with the new Health

Health Benefits Overview

Care Reform laws which caused savings in professional services. Savings in capital outlay adds to the decrease as fifteen laptops used for new employee and annual on-line enrollments were budgeted for in the previous fiscal year and no new capital needs were budgeted for in this cost center for FY 2016-17.

- Medical claim expenses increased $691,141, or 2.4 percent, due to trending increase in current year claims and projected future year claims as well as anticipated increases in Third Party Administrator expenses. These increases were slightly offset with savings in the Reinsurance Tax. This tax was only to be paid in calendar year 2014, 2015 and 2016.
- Dental Benefits decreased $14,756, or 1.0 percent, based on estimated claims for FY 2016-17.
- Voluntary Benefits decreased $126,251, or 8.4 percent, due to selection made by employees and retirees on various benefits. The cost of the benefits rise and fall each year depending on contract negotiations for each benefit offered. This year two benefits were not offered to city employees and eliminated, accident insurance and critical illness insurance. All voluntary benefits are funded 100 percent by employee contributions.
- Fund level expenses decreased $1,696,980, or 84.8 percent. This was due to a transfer to Risk Management taking place in FY 2015-16, but not needed this fiscal year. This savings was offset with a $303,020 transfer to Information Technology Capital Fund for an employee self-serve project that will provide a portal for employee information maintenance, performance management, career management, time entry, leave administration, payroll and payment review.
- Schedule A shows the history of employee and retiree premiums, with and without dependents.

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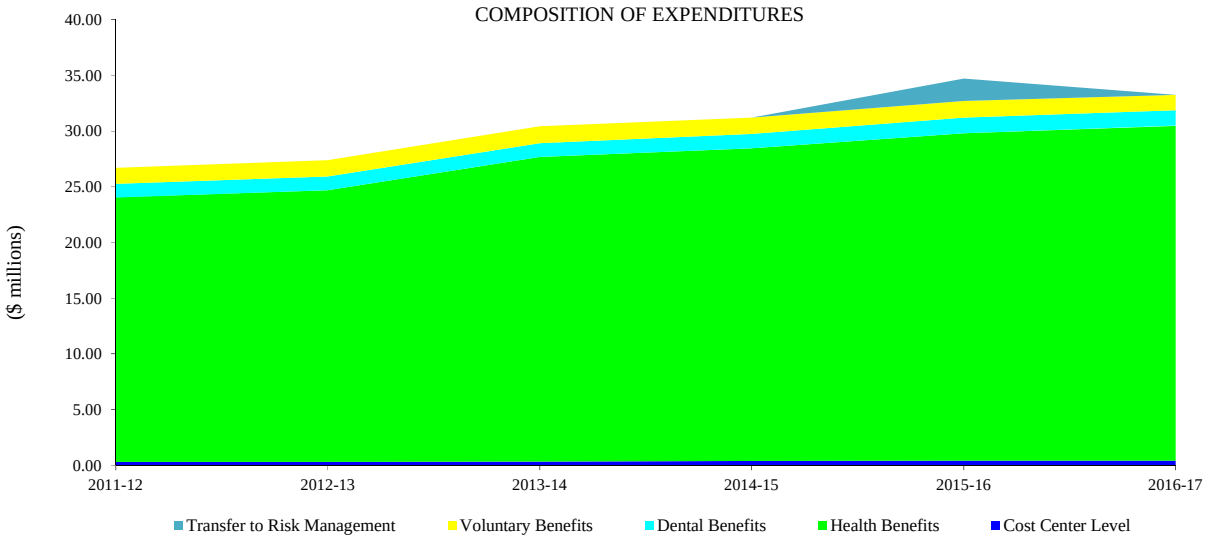
Internal Service Fund - Health Benefits



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Self-Insurance Health Admin	3	3	3	3	4	1.0
TOTAL STAFFING	3	3	3	3	4	1.0

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Health and welfare awareness programs	13	13	13	13	11	(15.4)
Retirements and Exit Interviews	172	182	162	181	200	10.5
Annual enrollment meetings held	21	16	16	10	15	50.0
Employee one-on-one consultations - Annual Emrollment only	1,096	858	451	718	800	11.4
Phone calls, new employee orientation, one-on-one meetings with employees/retirees other than above			268	320	375	17.2
% Problems/questions resolved within 3 days	-	98%	95%	92%	92%	-
Health Risk Assessments	-	129	4	4	-	(100.0)

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Health Benefits - Fund Overview

Self Insurance - Health Admin.

DEPARTMENT LEVEL EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 138,509	141,709	154,989	162,255	189,026	16.5
Benefits	66,137	66,265	66,587	71,248	85,474	20.0
Supplies	7,253	7,392	7,415	7,560	6,980	(7.7)
Maintenance	-	-	-	-	-	-
Professional Services/Training	67,479	79,393	125,835	128,418	87,554	(31.8)
Other Charges	162	3,124	313	200	200	-
Premiums / Scheduled Charges	15,603	17,444	18,425	18,674	21,875	17.1
Capital Outlay/Reimbursements	-	-	-	11,805	-	(100.0)
TOTAL EXPENSES	$ 295,143	315,326	373,564	400,159	391,110	(2.3)

Health Benefits

FUNDING SOURCES						
Interest Earnings	$ 7,071	36,051	78,186	-	-	-
Medicare Part D	335,582	239,302	408,256	250,000	280,557	12.2
Medical Premiums - Employees	3,900,863	4,079,914	4,242,528	4,340,841	4,560,826	5.1
Medical Premiums - City Contribution	19,951,929	20,127,349	20,290,246	21,058,832	22,320,526	6.0
Medical Premiums - Retirees	2,963,386	2,931,604	3,089,777	3,113,448	3,281,300	5.4
Basic Life - City Contribution	13,517	13,584	12,829	12,858	12,834	(0.2)
Retiree/COBRA Payments	33,288	22,574	27,957	33,270	26,300	(20.9)
Transfer from Risk Management	3,000,000	-	-	-	-	-
Recoveries of Expenditures	-	-	-	-	-	-
Miscellaneous	11,664	2,561	22,942	-	-	-
TOTAL FUNDING SOURCES	$ 30,217,300	27,452,939	28,172,721	28,809,249	30,482,343	5.8

EXPENSES						
Employee Assistance Program	$ 34,685	55,138	46,905	52,584	51,354	(2.3)
Supplies	538	-	-	-	-	-
Administration - TPA	1,607,933	1,249,519	1,152,596	1,209,838	1,268,649	4.9
Reinsurance	846,879	916,201	994,131	1,121,148	1,211,854	8.1
Basic Life	13,517	13,580	12,829	12,858	12,834	(0.2)
Medical Claims	22,411,978	24,482,805	25,115,978	26,065,607	26,776,772	2.7
Health Clinic	566,010	549,069	732,632	699,250	754,395	7.9
Reinsurance Tax	-	314,517	224,664	223,432	-	(100.0)
Reimbursement-Claims Liability	(905,622)	(419,290)	(252,999)	-	-	-
Miscellaneous Expense	(184,725)	198,767	46,527	-	-	-
TOTAL EXPENSES	$ 24,391,194	27,360,307	28,073,264	29,384,717	30,075,858	2.4

Dental Benefits

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Dental Premium - Employee	$ 473,966	284,360	289,813	297,888	299,241	0.5
Dental Premium - City	-	644	540	1,156,449	1,119,213	(3.2)
Retiree Dental - (includes COBRA)	216,114	195,780	211,355	194,929	225,460	15.7
TOTAL FUNDING SOURCES	$ 690,080	480,783	501,707	1,649,266	1,643,914	(0.3)

EXPENSES						
Administration - TPA	$ 111,777	93,802	101,328	110,052	109,764	(0.3)
Claims	1,108,291	1,128,233	1,194,291	1,298,299	1,283,832	(1.1)
TOTAL EXPENSES	$ 1,220,068	1,222,035	1,295,619	1,408,351	1,393,596	(1.0)

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Health Benefits - Fund Overview

Voluntary Benefits	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
FUNDING SOURCES						
Accident Insurance	$ 56,243	50,928	46,660	48,364	-	(100.0)
Cancer Insurance	56,802	50,827	44,954	46,898	41,646	(11.2)
Critical Illness Insurance	65,731	57,633	51,029	52,716	-	(100.0)
Dependent Life/Child Life Insurance	85,131	85,279	85,764	84,675	88,572	4.6
Long Term Disability 180 Day	-	-	-	34,001	35,243	3.7
Long Term Disability 90 Day	190,489	198,906	215,836	177,464	192,507	8.5
Optional Life Insurance	563,845	592,209	524,966	567,537	518,856	(8.6)
Personal Accident	58,029	60,427	63,492	62,624	60,175	(3.9)
Philadelphia Life	11,496	10,308	7,587	7,980	6,628	(16.9)
Pre-paid Legal Insurance	26,246	34,835	33,528	34,275	36,315	6.0
Retiree Life	219,921	226,036	232,813	239,258	238,834	(0.2)
Universal Life Insurance	-	-	-	-	-	-
Vision Insurance	121,796	140,980	152,694	148,941	159,704	7.2
TOTAL FUNDING SOURCES	$ 1,455,731	1,508,368	1,459,322	1,504,733	1,378,482	(8.4)
EXPENSES						
Accident Insurance	$ 56,243	50,928	46,660	48,364	-	(100.0)
Cancer Insurance	56,802	50,827	44,954	46,898	41,646	(11.2)
Critical Illness Insurance	65,731	57,633	51,029	52,716	-	(100.0)
Dependent Life/Child Life Insurance	85,131	85,279	85,764	84,675	88,572	4.6
Long Term Disability 180 Day	32,636	32,891	34,180	34,001	35,243	3.7
Long Term Disability 90 Day	157,852	166,022	181,655	177,464	192,507	8.5
Optional Life Insurance	569,691	598,056	530,812	567,537	518,856	(8.6)
Personal Accident	58,029	60,427	63,492	62,624	60,175	(3.9)
Philadelphia Life	11,496	10,308	7,587	7,980	6,628	(16.9)
Pre-paid Legal Insurance	25,104	34,835	33,528	34,275	36,315	6.0
Retiree Life	219,921	226,036	232,813	239,258	238,834	(0.2)
Universal Life Insurance	-	-	-	-	-	-
Vision Insurance	121,781	140,758	152,691	148,941	159,705	7.2
TOTAL EXPENSES	$ 1,460,419	1,514,000	1,465,165	1,504,733	1,378,483	(8.4)
FUND LEVEL EXPENSES						
Transfer to Information Technology Fund	$ -	-	-	-	303,020	-
Transfer to Risk Management	$ -	-	-	2,000,000	-	(100.0)
TOTAL EXPENSES	$ -	-	-	2,000,000	303,020	(84.8)
Total Revenue Sources	$ 32,363,111	29,442,090	30,133,750	31,963,248	33,504,739	4.8
Utilization of Net Assets	-	969,577	1,073,862	2,734,712	37,327	(98.6)
TOTAL FUNDINGS SOURCES	$ 32,363,111	30,411,667	31,207,612	34,697,960	33,542,066	(3.3)
GRAND TOTAL EXPENSES	$ 27,366,824	30,411,667	31,207,612	34,697,960	33,542,066	(3.3)

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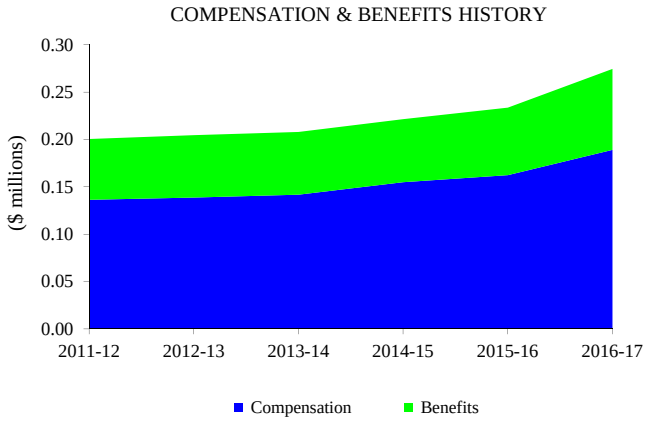
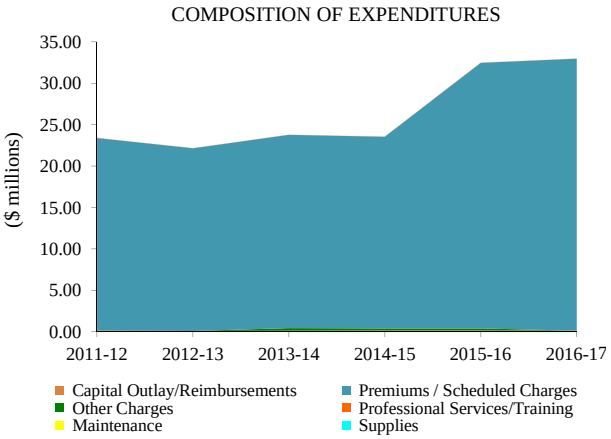
Health Benefits - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 138,509	141,709	154,989	162,255	189,026	16.5
Benefits	66,137	66,265	66,587	71,248	85,474	20.0
Supplies	7,791	7,392	7,415	7,560	6,980	(7.7)
Maintenance	-	-	-	-	-	-
Professional Services/Training	103,239	134,530	172,740	181,002	138,908	(23.3)
Other Charges	162	317,640	224,977	223,632	200	(99.9)
Premiums / Scheduled Charges	22,022,732	23,307,795	23,139,309	32,040,459	32,818,457	2.4
Capital Outlay/Reimbursements	-	-	-	11,805	-	(100.0)
TOTAL EXPENDITURES BY CATEGORY	$ 22,338,571	23,975,332	23,766,017	32,697,960	33,239,046	1.7

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Self-Insurance Health Admin	$ 295,143	315,326	373,564	400,159	391,110	(2.3)
Self Insurance-Voluntary Products	1,460,419	1,514,000	1,465,165	1,504,733	1,378,483	(8.4)
Self Insurance-Health Benefits	19,362,941	20,923,971	20,631,669	29,384,717	30,075,858	2.4
Self Insurance-Dental Benefits	1,220,068	1,222,035	1,295,619	1,408,351	1,393,596	(1.0)
TOTAL EXPENDITURES BY DEPARTMENT	$ 22,338,571	23,975,332	23,766,017	32,697,960	33,239,046	1.7

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Health Benefits - Department Expenditures

Self-Insurance Health Admin

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 138,509	141,709	154,989	162,255	189,026	16.5
Benefits	66,137	66,265	66,587	71,248	85,474	20.0
Supplies	7,253	7,392	7,415	7,560	6,980	(7.7)
Maintenance	-	-	-	-	-	-
Professional Services/Training	67,479	79,393	125,835	128,418	87,554	(31.8)
Other Charges	162	3,124	313	200	200	-
Premiums / Scheduled Charges	15,603	17,444	18,425	18,674	21,875	17.1
Capital Outlay/Reimbursements	-	-	-	11,805	-	(100.0)
TOTAL SELF-INSURANCE HEALTH ADMIN	$ 295,143	315,326	373,564	400,159	391,110	(2.3)

Self Insurance-Voluntary Products

EXPENDITURES BY CATEGORY						
Compensation	$ -	-	-	-	-	-
Benefits	-	-	-	-	-	-
Supplies	-	-	-	-	-	-
Maintenance	-	-	-	-	-	-
Professional Services/Training	-	-	-	-	-	-
Other Charges	-	-	-	-	-	-
Premiums / Scheduled Charges	1,460,419	1,514,000	1,465,165	1,504,733	1,378,483	(8.4)
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL SELF INSURANCE-VOLUNTARY PRODU	$ 1,460,419	1,514,000	1,465,165	1,504,733	1,378,483	(8.4)

Self Insurance-Health Benefits

EXPENDITURES BY CATEGORY						
Compensation	$ -	-	-	-	-	-
Benefits	-	-	-	-	-	-
Supplies	538	-	-	-	-	-
Maintenance	-	-	-	-	-	-
Professional Services/Training	35,760	55,138	46,905	52,584	51,354	(2.3)
Other Charges	-	314,517	224,664	223,432	-	(100.0)
Premiums / Scheduled Charges	19,326,643	20,554,317	20,360,100	29,108,701	30,024,504	3.1
Capital Outlay/Reimbursements/Transfers	-	-	-	-	-	-
TOTAL SELF INSURANCE-HEALTH BENEFITS	$ 19,362,941	20,923,971	20,631,669	29,384,717	30,075,858	2.4

Self Insurance-Dental Benefits

EXPENDITURES BY CATEGORY						
Compensation	$ -	-	-	-	-	-
Benefits	-	-	-	-	-	-
Supplies	-	-	-	-	-	-
Maintenance	-	-	-	-	-	-
Professional Services/Training	-	-	-	-	-	-
Other Charges	-	-	-	-	-	-
Premiums / Scheduled Charges	1,220,068	1,222,035	1,295,619	1,408,351	1,393,596	(1.0)
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL SELF INSURANCE-DENTAL BENEFITS	$ 1,220,068	1,222,035	1,295,619	1,408,351	1,393,596	(1.0)

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City of Lubbock, TX
Finance Department
Schedule A
Employee Benefits Contribution Rates

	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17
Active Employees - Health					
Employee Only	-	-	-	-	-
Employee and Spouse	296.23	296.23	296.23	296.23	296.23
Employee and Children	228.05	228.05	228.05	228.05	228.05
Employee and Family	412.54	412.54	412.54	412.54	412.54
Retirees - Health					
Pre-65 Only	362.10	362.10	362.10	362.10	362.10
Pre-65 and Spouse	688.42	688.42	688.42	688.42	688.42
Pre-65 and Children	607.54	607.54	607.54	607.54	607.54
Pre-65 and Family	826.55	826.55	826.55	826.55	826.55
Post-65 Only	196.25	196.25	196.25	196.25	196.25
Post-65 and Spouse	403.19	403.19	403.19	403.19	403.19
Post-65 and Children	419.85	419.85	419.85	419.85	419.85
Post-65 and Family	586.59	586.59	586.59	586.59	586.59
Active Employees - Dental					
Employee Only	-	-	-	-	-
Employee and Spouse	15.07	15.07	15.07	15.07	15.07
Employee and Children	12.03	12.03	12.03	12.03	12.03
Employee and Family	26.51	26.51	26.51	26.51	26.51
Retirees - Dental					
Pre-65 Only	22.20	22.20	22.20	22.20	22.20
Pre-65 and Spouse	37.27	37.27	37.27	37.27	37.27
Pre-65 and Children	34.23	34.23	34.23	34.23	34.23
Pre-65 and Family	48.71	48.71	48.71	48.71	48.71
Post-65 Only	22.20	22.20	22.20	22.20	22.20
Post-65 and Spouse	37.27	37.27	37.27	37.27	37.27
Post-65 and Children	34.23	34.23	34.23	34.23	34.23
Post-65 and Family	48.71	48.71	48.71	48.71	48.71

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Information Technology Overview

Mission and Purpose

Provide secure, reliable and responsive enterprise-level technology, communications and business solutions for citizens and City departments.

Overview

Information Technology:

- Delivers software and hardware solutions across a diverse high technology platform including: Help Desk immediate response; ongoing end user training and support, and development of customized Web applications.
- Provides system and support engineering for the City's network, communication equipment, and servers.
- Provides access to City services for Lubbock citizens, vendors and City employees through technology.

Geographic Information System/Data Services (GIS/DS):

- Creates and maintains accurate, up-to-date base map, engineering, right-of-way, storm water billing, geo-coded centerline, demographic, and parcel data for use by City departments, Metropolitan Planning Organization (MPO), U.S. Census Bureau, other agencies, and citizens.
- Provides specialized GIS data creation and mapping services for City departments and the public.
- Creates and maintains mapping applications on the internet for citizen access.
- Maintains the official City map.
- Provides demographic information and assistance for City Departments and citizens.

Radio Shop:

- Maintains radio systems and communication sites for the City, Lubbock County, and other agencies.

Telecommunications:

- Provides an effective telecommunications network that supports efficient delivery of quality products and services.

Goals and Objectives

- Install new computers as part of the four year computer replacement program.
- Manage the City website by establishing ease of online access, researching the latest user tools, and installing cost-efficient and trending web-based technology solutions.
- Respond to telephone service requests for the repair of broken phones, circuit outages, and major switch problems.
- Upgrade telecommunications, radio, and information technology systems.
- Install radios, mobile data computers, radar equipment, video systems, light bars, and radio communications infrastructure for public safety vehicles.
- Find new and inventive ways for communications for the City.
- Provide quality GIS services to City departments and citizens by maintaining GIS data sets and expanding the ways in which they are provided.
- Coordinate GIS functions for the City, and support departmental activities that require GIS data.

Accomplishments for FY 2015-16

Information Technology:

- Closed 6,669 work orders in help desk system.
- Moved all computers, printers and networks for Water and Streets from 66th Street and Utica Avenue back to Municipal Hill.
- Finished moving departmental user data and computers into the Windows Active Directory Domain environment and implemented Dynamic File Services (DFS).
- Finished upgrade of all Windows XP to Windows 7.
- Upgraded all Office 2010 and older to Office 2013.
- Upgraded ESRI GIS software to version 10.3.
- Upgraded multiple switches to 1 Gigabit, 10 Gigabit networks and core switches to accommodate growing infrastructure: Police Department (PD) and Airport.
- Began implementation of Spanning Tree.
- Upgraded AirWatch for mobile data management.
- Supported 30 iPads for mobile inspections in EnerGov (Building Inspection, IWMP, and Storm Water).
- Upgraded/Replaced Access Points at Airport.
- Deployed desktop and server imaging and inventory with Microsoft SCCM desktop management tool.
- Upgraded tools for J.D. Edwards Enterprise One software.
- Installed PD wireless video upload.
- Installed new Dell Compellent Storage Area Network (SAN) server for PD.
- Migrated three SANs in PD to Dell Compellent SAN server.
- Began roll-out of new rugged Dell tablets for PD, LP&L and Water Department to replace old Dell rugged laptops.
- Migrated four, non-PD SANs to non-PD Dell Compellent SAN server.
- Converted current CLIC application to single sign on, integrating Sitefinity Content Management System into Active Directory.
- Implemented major software migration from Novell to Windows, including migration for Zenworks to System Center Configuration Manager, Vibe to SharePoint, GroupWise to Exchange/Outlook, and GroupWise Messenger to Skype for Business.

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Information Technology Overview

- Added network scanning to detect network vulnerability scans.
- Upgraded Retain e-mail retention and SPAM systems.
- Upgraded Mailscanner SPAM system.
- Upgraded VMWare 4.1, 5.0 and 5.5 to VMWare 6 and implemented VMWare VCenter 6.0.
- Assisted with upgrade to Parks and Recreation Class software.
- Assisted with Animal Services software and server upgrade.
- Upgraded the current Environmental Health Envision database to EnvisionConnect.
- Implemented EnerGov Codes Module for Codes Enforcement.
- Implemented revisions to several LP&L websites.
- Implemented new Internet firewall with integration into Active Directory.
- Replaced old 4Mb ISP with new Internet service provider for additional 100Mb of Internet.
- Purchased, installed and implemented DR6000 and Dell Netvault backup system to replace HP Data Protector.
- Supported the major reporting effort required for ACA.
- Completed external network vulnerability study.

GIS & Data Services:

- Managed and provided GIS support for Phase Two EnerGov Permitting and Licensing implementation.
- Provided GIS services for storm water billing.
- Supported water system modeling efforts.
- Created ArcGIS Online map gallery and updated and revised the Maps website.
- Issued RFP and negotiated three-year contract for digital orthophotography.
- Provided demographic and GIS support to Lubbock Metropolitan Planning Organization.
- Supported revision of the voting precincts ordinance and coordinated GIS efforts with the County Elections Office, City Attorney, and the Office of City Secretary.
- Provided geo-coded centerline data for Police and Fire dispatch.
- Implemented Esri Data Reviewer to improve GIS data validation.
- Initiated new GIS effort with Parks Department.
- Maintained enterprise data sets for departments, including Storm Water/LP&L Billing, Water Utilities Engineering, Public Works Engineering, Storm Water Management, Planning, Police, Fire, Community Development, City Secretary, Cemetery, and others.

Radio Shop:

- Installed 280 new Video systems in Police Vehicles.
- Changed out 117 laptop stands to tablet docks.
- Installed four additional radio frequency channels to max out channels.
- Installed VDOC site at Idalou and Ransom Canyon for coverage.
- Installed 480 radios to complete citywide change out.
- Equipped 45 Police Tahoes with radios, lighting and other equipment.
- Resolved 900 problem tickets.
- Start developing plan for new EOC Radios.
- Replaced Wireless connection to Police Academy.

Telecommunications:

- Installed new phone system for Citibus.
- Maintained Telecommunication systems including IVR system.
- Upgraded Municipal Square Telephone System.
- Started planning communication for Citizens Tower.
- Planned communications for the new EOC.

Objectives for FY 2016-17

Information Technology

- Continue to upgrade network infrastructure and network switches, including upgrade of an existing 1 Gigabit ring to 10 Gigabit ring.
- Add redundancy to Internet firewall.
- Begin a general implementation of a disaster redundancy.
- Upgrade Office 2013 to Office 2016.
- Assist with LPL GE SmallWorld upgrade to ESRI system.
- Assist with the implementation of Information Technology in the new EOC.
- Replace CitiBus cameras in buses and setup video server.
- Continue vulnerability network scan.
- Develop an internal application for the Purchasing Department to allow the reallocation of surplus equipment and supplies between departments.
- Establish Sitefinity as the "home base" for all custom-developed web applications.
- Complete implementation of the Employee Benefits Enrollment process (Phase 2).
- Provide technical support during the procurement and replacement of the current Ticket Sage system with a new Select-A-Seat Ticket Management System.
- Redesign the Police, Lubbock Metropolitan Planning Organization, and Water Utilities websites.
- Implement the initial mobile app with “311 on-line.”
- Rewrite all IT policies and procedures with goal of implementing Information Technology Infrastructure Library (ITIL).
- Continue working on better inventory of IT resources.
- Full upgrade to JDE system.
- Implement JDE Financial System Employee Self-Service.
- Implement Asset Management system.

Information Technology Overview

- Explore Virtual Desktop Infrastructure and Cloud solutions for desktops and servers.
- Exploit social media devices to delivery IT support, but retain accountability for services rendered.
- Expose Skype and SharePoint services to external entities to catalyze synergistic working relationships between City departments, City vendors and our Citizens.

GIS & Data Services:

- Manage Phase Two EnerGov implementation for Codes Administration and implementation of Citizens Self-Service Suite (CSS) for Building Inspection and other departments.
- Provide continued support for EnerGov application.
- Manage and support 2016 digital orthophotography project.
- Update GIS data sharing agreement with Lubbock Central Appraisal District, Lubbock Emergency Communication District, Lubbock Metropolitan Planning Organization, and Lubbock County.
- Facilitate development of a field application for engineering and maintenance field crews to allow exchange of up-to-date data, field verification, and data collection.
- Continue to improve and refine GIS databases and feature data sets, including implementation of Esri Parcel Fabric.
- Integrate ArcGIS Online maps within the City website through Sitefinity.
- Implement GIS mapping for zoning in cooperation with the Planning Department.
- Provide GIS support for Water Modeling, Storm Water Master Plan, and Asset Management efforts.
- Develop organization and standards for digital archives in cooperation with Public Works Engineering and Planning.
- Create an online archive of historic Lubbock images and data, and a central repository of photographs that can be accessed by all City departments.

Radio Shop:

- Install wireless communications into City buildings and reduce monthly cost.
- Install tower and radios in new Emergency Operations Center.
- Maintain Radio system, Fire Paging.
- Update Radio system software.
- Improve on site connection for redundant communications.

Telecommunications:

- Maintain Telecommunication systems including IVR system.
- Upgrade phone systems.
- Find new and inventive ways for communications for the City.

Revenue Overview

The IT Fund is an Internal Service Fund that operates and oversees the following City operations: IT, Telecommunications, Radio Shop, and GIS & Data Services. Internal Service Funds are not self-funding and must allocate the costs associated with operations to other City funds.

The IT Fund charges every City cost center that operates a computer a data processing scheduled charge. Each departments' charge is determined by totaling the specific software and personnel charges related to the department, specific charges for wireless data cards, copier charges, an allocation of the vehicle/equipment debt payment, and a base charge which distributes the IT and GIS budgets along with the projected deficit in policy level net assets. The copier charge, vehicle/equipment debt payment, and base charge are allocated based on the number of computers each cost center has.

The IT Fund charges for radio maintenance by allocating the total Radio Shop budget including vehicle/equipment debt and debt service. The total budget is distributed to the cost centers and outside entities based on the number of radio units the cost center and outside entity has.

In the same manner, the IT Fund charges a telecommunications scheduled charge to City cost centers that operate a telephone, pager, or cell phone. The charge is determined by taking the total Telecommunications budget and allocating it based on the number of telephone units the cost center has. Some departments also have specific charges that are not utilized by all cost centers. In that instance, the cost of the specific charge is added to the normal scheduled charge for that department.

- Projected revenues increased $530,804, or 4.1 percent, due to higher scheduled charges to City departments related to higher IT costs, Communications costs, Radio Shop costs, as well as Vehicle/equipment debt and Debt Service expenses.
- The Radio Shop scheduled charge increased $78,854, or 3.3 percent, due to the increased debt service payment related to the upgrade to Project 25 network-compliant equipment.
- The General Data Processing scheduled charge increased $315,791, or 4.0 percent, due to significant increases in maintenance and software contracts, increased employee positions, and a higher percentage of equipment and capital costs funded with cash.
- The Communications (Telephone) scheduled charge increase $56,443, or 3.0 percent, due to significant increases in maintenance and service contracts.

Information Technology Overview

- The GIS & Database Services scheduled charge increased $79,716, or 11.8 percent, due to increased compensation and benefits costs related to an additional position.

Expense Overview

- Budgeted expenses increased $530,805, or 4.1 percent, for FY 2016-17.
- Compensation and benefits increased $122,296, or 3.1 percent, due to the addition of two positions in the IT Department.
- Maintenance increased $90,791, or 2.2 percent, due to increases in contracts for scheduled maintenance for computer equipment and software.
- Professional services/training increased $87,199 due to a significant upgrade in the City's financial database software and customization of EnerGov configurations.
- Other charges increased $135,427, or 7.5 percent, due to increases in telephone equipment costs.
- Communication/scheduled charges increased $23,881, or 17.1 percent, due to an increase in liability insurance costs by that amount.
- Capital outlay/reimbursements decreased $44,000, or 10.0 percent, due to budgetary constraints.
- Net Debt Service increased $361,242, or 33.5 percent, due to debt issued for Radio Shop.
- Vehicle/equipment debt payments decreased $330,551, or 26.8 percent, due to increased cash funding of computer replacements, and mobile and handheld radio replacements.

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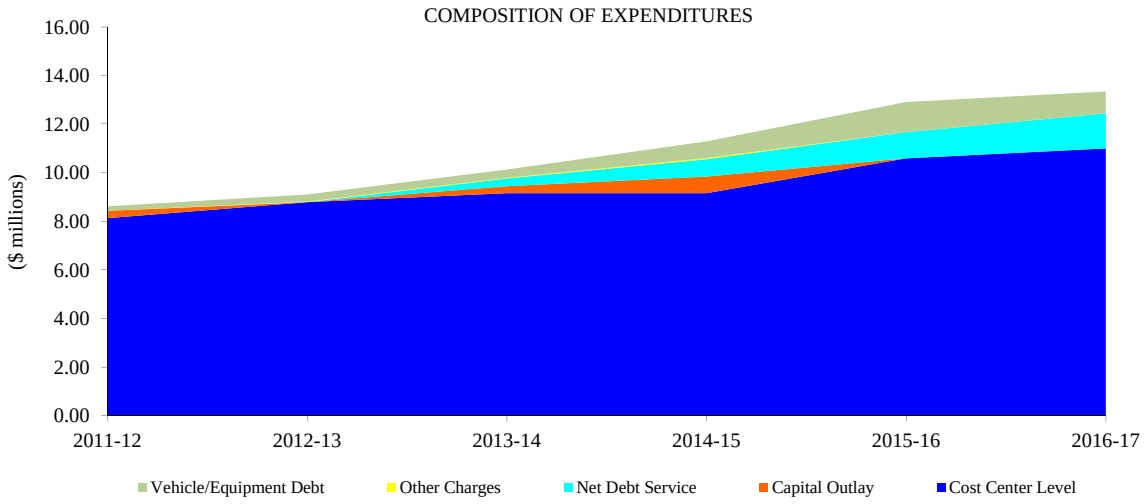
Internal Service Fund - Information Technology



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Data Processing Services	26	25	26	26	28	2
Public Information	3	3	-	-	-	-
Radio Shop	8	8	8	8	8	-
Switchboard Operations	-	-	-	-	-	-
Communications	6	6	2	2	2	-
GIS & Data Services	10	11	8	8	9	1
TOTAL STAFFING	53	53	44	44	47	3

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Annual Cost per Public Safety Cell Phone Issued	$ 532	532	532	532	550	3.4
Total City Cell Phones	263	263	263	263	270	2.7
Total Problems Resolved	9,291	8,450	6,669	5,322	8,000	50.3
Number of EOL end devices replaced			465	471	475	0.8
Number of lines of code written			30,582	51,560	86,928	68.6
Number of lines of code maintained			81,100	111,682	163,242	46.2
Number of servers supported			215	253	260	2.8
Insfrastructure network devices managed			325	363	380	4.7
Parcels Maintained in GIS	87,357	88,289	88,289	89,555	89,411	(0.2)
Addresses Maintained in GIS	87,357	88,289	98,739	100,163	101,663	1.5
Stormwater Billing Accts. Maintained in GIS		131,204	131,325	131,319	135,000	2.8
Stormwater Impervious Areas Maint. in GIS		77,483	77,639	78,323	80,000	2.1
Water Distribution Lines (Miles) Maint. in GIS	1,595	1,617	1,601	1,732	1,747	0.9
Sanitary Sewer Lines (Miles) Maint. in GIS	1,103	1,115	1,108	1,145	1,155	0.9
Street Centerlines (Miles) Maintained in GIS			1,095	1,161	1,300	12.0

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Information Technology - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ 1,796	10,139	29,814	-	-	-
Sale of Equipment	2,375	3,848	3,848	-	-	-
Department Sales Labor & Parts	219,098	185,107	268,243	-	-	-
Radio Maintenance Scheduled Charge	818,109	1,056,410	1,412,835	2,394,669	2,473,523	3.3
COGS - Radio Parts	(61,757)	(56,269)	(51,560)	-	-	-
Transfer from Capital Project Fund	-	-	-	-	-	-
Other Revenue	3,553	5,826	3,538	-	-	-
General Data Processing Scheduled Charge	5,846,364	6,159,209	7,082,824	7,951,928	8,267,719	4.0
Telephone Scheduled Charge	1,627,988	1,786,566	1,699,694	1,879,883	1,936,326	3.0
Public Information Scheduled Charge	223,825	250,487	-	-	-	-
GIS & Database Service Scheduled Charge	718,657	777,217	648,664	676,355	756,071	11.8
Total Revenue Sources	9,400,009	10,178,540	11,097,899	12,902,835	13,433,639	4.1
Utilization of Net Assets	-	-	192,290	-	-	-
TOTAL FUNDING SOURCES	$ 9,400,009	10,178,540	11,290,189	12,902,835	13,433,639	4.1

DEPARTMENT LEVEL EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 2,771,842	2,817,172	2,473,197	2,784,438	2,856,922	2.6
Benefits	1,228,493	1,197,247	1,018,130	1,192,904	1,242,716	4.2
Supplies	46,587	54,259	41,489	43,665	40,684	(6.8)
Maintenance	2,763,581	3,015,367	3,598,704	4,130,191	4,220,982	2.2
Professional Services/Training	88,187	60,493	74,547	63,115	150,314	138.2
Other Charges	1,485,393	1,696,438	1,731,479	1,797,779	1,933,206	7.5
Communication / Scheduled Charges	123,336	98,772	111,535	139,771	163,652	17.1
Capital Outlay/Reimbursements	281,556	223,102	102,881	440,800	396,800	(10.0)
TOTAL DEPARTMENT LEVEL EXPENSES	$ 8,788,975	9,162,850	9,151,961	10,592,663	11,005,276	3.9

FUND LEVEL EXPENSES						
Capital Outlay	$ 3,485	285,766	695,004	-	-	-
Net Debt Service	-	309,140	699,381	1,077,508	1,438,750	33.5
Other Charges	18,983	33,942	52,389	-	-	-
Information Technology CIP Pay-as-you-go	-	-	-	-	87,500	-
Vehicle/Equipment Debt	295,073	334,020	691,454	1,232,664	902,113	(26.8)
TOTAL FUND LEVEL EXPENSES	$ 317,541	962,868	2,138,228	2,310,172	2,428,363	5.1
TOTAL EXPENSES	$ 9,106,516	10,125,718	11,290,189	12,902,835	13,433,639	4.1

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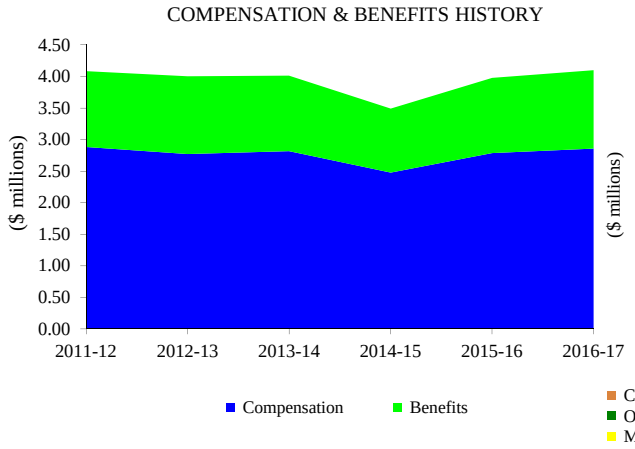
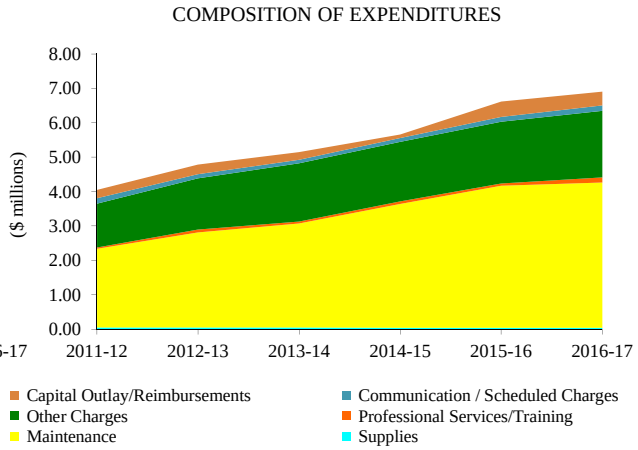
Information Technology - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 2,771,842	2,817,172	2,473,197	2,784,438	2,856,922	2.6
Benefits	1,228,493	1,197,247	1,018,130	1,192,904	1,242,716	4.2
Supplies	46,587	54,259	41,489	43,665	40,684	(6.8)
Maintenance	2,763,581	3,015,367	3,598,704	4,130,191	4,220,982	2.2
Professional Services/Training	88,187	60,493	74,547	63,115	150,314	138.2
Other Charges	1,485,393	1,696,438	1,731,479	1,797,779	1,933,206	7.5
Communication / Scheduled Charges	123,336	98,772	111,535	139,771	163,652	17.1
Capital Outlay/Reimbursements	281,556	223,102	102,881	440,800	396,800	(10.0)
TOTAL EXPENDITURES BY CATEGORY	$ 8,788,975	9,162,850	9,151,961	10,592,663	11,005,276	3.9

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Data Processing Services	$ 5,363,680	5,670,588	6,156,923	7,207,812	7,487,757	3.9
Public Information	246,138	253,417	-	-	-	-
Radio Shop	724,617	704,039	720,828	828,626	825,123	(0.4)
Switchboard Operations	-	-	-	-	-	-
Communications	1,785,274	1,806,128	1,652,106	1,879,876	1,936,326	3.0
Gis & Data Services	669,267	728,678	622,104	676,349	756,071	11.8
TOTAL EXPENDITURES BY DEPARTMENT	$ 8,788,975	9,162,850	9,151,961	10,592,663	11,005,276	3.9

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Information Technology - Department Expenditures

Data Processing Services

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,707,369	1,675,627	1,645,697	1,877,382	1,884,715	0.4
Benefits	674,895	646,265	618,038	756,254	783,758	3.6
Supplies	13,417	15,760	12,862	15,333	13,373	(12.8)
Maintenance	2,429,180	2,672,581	3,216,806	3,696,121	3,778,315	2.2
Professional Services/Training	42,745	29,304	38,236	30,915	122,915	297.6
Other Charges	310,522	457,866	477,364	523,879	581,864	11.1
Communication / Scheduled Charges	38,899	44,395	51,004	41,128	56,016	36.2
Capital Outlay/Reimbursements	146,652	128,790	96,916	266,800	266,800	-
TOTAL DATA PROCESSING SERVICES	$ 5,363,680	5,670,588	6,156,923	7,207,812	7,487,757	3.9

Public Information

EXPENDITURES BY CATEGORY						
Compensation	$ 156,356	154,373	-	-	-	-
Benefits	71,426	69,636	-	-	-	-
Supplies	5,815	8,741	-	-	-	-
Maintenance	2,807	4,920	-	-	-	-
Professional Services/Training	5,957	11,469	-	-	-	-
Other Charges	-	926	-	-	-	-
Communication / Scheduled Charges	3,776	3,352	-	-	-	-
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL PUBLIC INFORMATION	$ 246,138	253,417	-	-	-	-

Radio Shop

EXPENDITURES BY CATEGORY						
Compensation	$ 310,324	317,512	291,284	311,457	316,952	1.8
Benefits	154,055	162,140	157,624	170,252	171,505	0.7
Supplies	13,420	13,158	13,814	13,056	13,266	1.6
Maintenance	111,120	118,021	152,895	204,071	202,667	(0.7)
Professional Services/Training	8,218	1,772	3,832	7,200	6,257	(13.1)
Other Charges	55,359	58,362	56,822	56,350	56,350	-
Communication / Scheduled Charges	30,352	33,073	38,593	42,241	48,125	13.9
Capital Outlay/Reimbursements	41,770	-	5,965	24,000	10,000	(58.3)
TOTAL RADIO SHOP	$ 724,617	704,039	720,828	828,626	825,123	(0.4)

Communications

EXPENDITURES BY CATEGORY						
Compensation	$ 177,262	197,037	145,295	156,332	162,399	3.9
Benefits	119,996	97,740	60,405	65,959	64,221	(2.6)
Supplies	3,753	2,666	1,370	1,976	1,325	(33.0)
Maintenance	220,474	219,844	229,004	230,000	240,000	4.3
Professional Services/Training	4,927	620	400	5,000	992	(80.2)
Other Charges	1,119,358	1,179,149	1,197,190	1,217,300	1,294,992	6.4
Scheduled Charges	46,369	14,759	18,444	53,309	52,398	(1.7)
Capital Outlay/Reimbursements	93,134	94,313	-	150,000	120,000	(20.0)
TOTAL COMMUNICATIONS	$ 1,785,274	1,806,128	1,652,106	1,879,876	1,936,326	3.0

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Information Technology - Department Expenditures

GIS & Data Services	Actual	Actual	Actual	Amended	Budget	% Change
EXPENDITURES BY CATEGORY	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Compensation	$ 420,530	472,623	390,921	439,267	492,856	12.2
Benefits	208,120	221,465	182,063	200,439	223,231	11.4
Supplies	10,181	13,933	13,443	13,300	12,720	(4.4)
Maintenance	-	-	-	-	-	-
Professional Services/Training	26,340	17,329	32,078	20,000	20,150	0.8
Other Charges	155	134	103	250	-	(100.0)
Communication / Scheduled Charges	3,940	3,193	3,495	3,093	7,114	130.0
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL GIS & DATA SERVICES	$ 669,267	728,678	622,104	676,349	756,071	11.8

City of Lubbock TEXAS

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Investment Pool Overview

Mission and Purpose

Invest public funds in a manner to achieve maximum security and meet daily cash flow needs, while obtaining the highest investment return possible. The Investment Pool Fund provides the following services:

- Conform to all state and local statutes governing the investment of public funds.
- Maintain preservation of capital and protection of investment principal.
- Maintain diversification in market sector and maturity to minimize market risk.
- Provide liquidity of funds in a timely manner to meet City obligations.

Goals and Objectives

- Invest City funds according to the Public Funds Investment Act (PFIA).
- Provide return on investment by exceeding the average rate of return of U.S. Treasury Bills at a maturity level comparable to the City's weighted average maturity in days.
- Implement strategies and objectives of the Audit and Investment Committee.

Accomplishments for FY 2015-16

- Invested City Funds compliant with the Public Funds Investment Act (PFIA).
- Monitored market conditions for appropriate investment maturities.
- Increased investment bond ladder in the 2-5 year range.
- Increased the weighted average maturities in operating funds and bond funds.

Objectives for FY 2016-17

- Monitor market conditions for appropriate investment opportunities.
- Increase the weighted average maturities in operating funds and bond funds.

Revenue Overview

- Interest earnings for both operating and bond funds increased $665,000 due to increased portfolio value as well as an upward trend in interest rates.

Expense Overview

- Budgeted expenditures decreased $29,507, or 16.6 percent, when compared with the FY 2015-16 Operating Budget**.**
- Compensation and benefits decreased $373, or 0.4 percent, due to a lower contribution rate for the Texas Municipal Retirement System.
- Supplies decreased $479, or 36.9 percent, due to a decrease in the mail handling fee.
- Professional services/training decreased $29,300, or 37.2 percent, due to a decrease in budgeted bank service fees based upon historical actual amounts.
- Scheduled charges increased $646, or 12.3 percent, due to increases in liability insurance costs and data processing fees.

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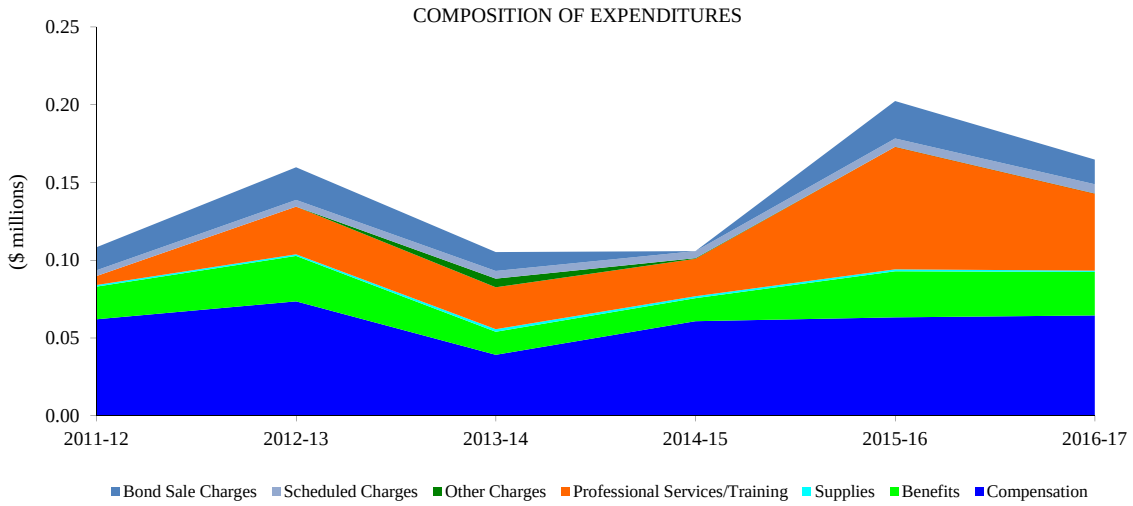
Internal Service Fund - Investment Pool



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Investment Pool	1	1	1	1	1	-
TOTAL STAFFING	1	1	1	1	1	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
100% Available funds invested	100%	100%	100%	100%	100%	-
Operating yield = or > than benchmark	17 bps	8 bps	20 bps	25 bps	25 bps	n/a
Bond yield = or > than benchmark	5 bps	1 bps	6 bps	5 bps	10 bps	n/a
Segregated yield = or > than benchmark	-5 bps	-3 bps	-2 bps	-7 bps	-25 bps	n/a

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Investment Pool - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings - Consolidated	$ 525,030	641,788	1,001,967	650,000	1,000,000	53.8
Interest Earnings - Bond Funds	211,774	83,529	132,730	85,000	400,000	370.6
Interest Earnings - Allocation	(599,088)	(626,815)	(960,049)	(556,758)	(1,251,264)	124.7
Total Revenue Sources	137,716	98,502	174,648	178,242	148,736	(16.6)
Utilization of Net Assets	1,122	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 138,838	98,502	174,648	178,242	148,736	(16.6)

FUND LEVEL EXPENSES						
Compensation	$ 73,590	39,129	60,810	63,336	64,416	1.7
Benefits	29,237	14,898	14,762	29,572	28,119	(4.9)
Supplies	997	1,599	1,370	1,300	820	(36.9)
Professional Services/Training	30,653	27,002	24,018	78,780	49,480	(37.2)
Other Charges	-	5,579	264	-	-	-
Scheduled Charges	4,361	4,798	4,554	5,255	5,900	12.3
TOTAL FUND LEVEL EXPENSES	$ 138,838	93,004	105,777	178,242	148,736	(16.6)
TOTAL EXPENSES	$ 138,838	93,004	105,777	178,242	148,736	(16.6)

City of Lubbock TEXAS

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Print Shop & Warehouse Overview

Mission and Purpose

Deliver quality, cost effective material and printing support to City departments and other governmental agencies.

Overview

The Print Shop provides the following services:

- Provides printing, copying, and design services for internal departments and other governmental entities, including Lubbock County and South Plains Association of Governments.
- Processes and sorts mail.

The Warehouse provides the following services:

- Provides storage, dispensing, and other related services.
- Maintains inventory of critical items used in performing repairs to minimize LP&L, water, and wastewater service interruptions.
- Provides Material Safety Data Sheets for purchased warehouse items.

Goals and Objectives

- Increase sales by making City departments aware of all services available in the Print Shop and encouraging them to utilize those services.
- Continue to provide professional quality completed work.
- Enforce graphics standards by modifying submitted documents when necessary, providing digital logos and color schemes, and educating users.
- Reduce Warehouse inventory by increasing inventory turnover and eliminating obsolete, stored items.
- Continue to stock storage bins and cages to eliminate waiting time for departments and help in monthly reconciliation.

Accomplishments for FY 2015-16

- Increased sales by making City departments aware of all services available in the Print Shop and encouraging them to utilize those services.
- Enforced graphics standard by modifying submitted documents when necessary, providing digital logos and color schemes, and educating users.
- Completed re-slotting the warehouse. Assigned labels to all slots with description, item number, and slot on label.
- Completed organization of yard area to maximize space for easier shipping and receiving of material.
- Moved all inventory out of office area and installed cubicles.

Objectives for FY 2016-17

- Continue to increase sales by making City departments aware of services in the Print Shop.
- Increase Cycle Counts in all areas for accurate E-1 on hand inventory.
- Clean and organized warehouse and yard area.
- Minimize adjustments by continuing to re-enforce accurate selection and correct item numbers.

Revenue Overview

The Print Shop and Warehouse are Internal Service Funds that operate in order to provide effective printing solutions and materials to City departments. Internal Service Funds are not self-funding and must allocate the costs associated with operations to other departments.

The Print Shop derives revenue through its two main services, printing solutions and handling City mail. Revenue is collected from individual Print Shop jobs, as well as from a scheduled charge (mail handling fee) allocated among City departments.

Charges to departments for print jobs are based on the type and size of the job and are adjusted to account for changes in the cost of goods used. Additionally, the mail handling fee, is allocated equally among the cost centers and is based upon the remaining projected expenses after other revenues are applied.

Beginning FY 2016-17, the postage scheduled charge is no longer allocated within the Print Shop Budget. The postage costs, appropriated by trending historical usage, is now budgeted within each City Department.

The Warehouse receives revenue equal to 8.2 percent of its cost of goods sold. Sales are expected to remain relatively flat in FY 2016-17 at $3,603,625.

Print Shop sales are expected to decrease $4,464 or 2.6 percent.

Expense Overview

- Budgeted expenses decreased $158,314, or 23.9 percent for FY 2016-17.
- Compensation and Benefits increased $3,229, or 1.1 percent due to an increase in insurance costs.
- Other Charges decreased by $163,324, or 55.9 percent, due to the change in the distribution of postage directly to the cost centers.
- Scheduled Charges increased $1,715, or 3.6 percent, due to increased data processing and liability insurance.
- The FY 2016-17 Budget includes a $4,570 Vehicle/Equipment debt payment for the Warehouse.

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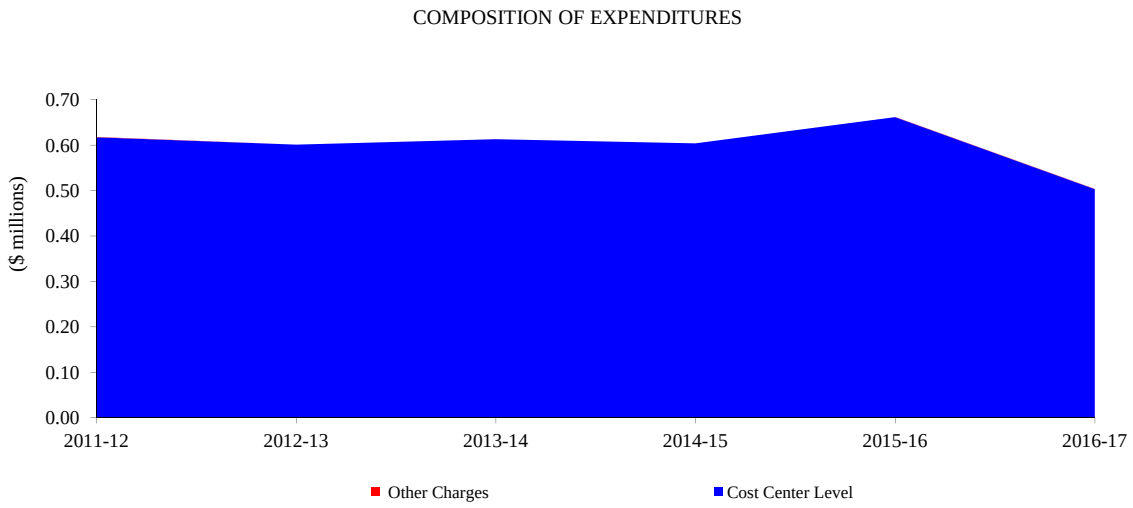
Internal Service Fund - Print Shop & Warehouse



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Central Warehouse	3	3	4	4	4	-
Print Shop	2	2	2	2	2	-
TOTAL STAFFING	5	5	6	6	6	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Inventory Turnover	2.09	2.10	2.10	2.10	2.09	(0.5)
Inventory Items	1,338	1,350	1,370	1,350	1,370	1.5
Print Jobs Completed	1,212	1,093	1,109	1,109	1,109	-
Print Jobs Outsourced	95	84	90	85	85	-
Total Mail Pieces Handled	223,070	224,000	223,535	220,819	220,819	-

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Print Shop & Warehouse - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ 221	1,371	6,463	-	-	-
Junk Sales	-	-	-	-	-	-
Department Sales General Stores	3,474,158	3,208,569	3,306,365	3,600,218	3,603,625	0.1
COGS General Stores	(3,216,842)	(2,971,095)	(3,061,306)	(3,305,000)	(3,326,199)	0.6
Department Sales Printing	59	-	-	-	-	-
Department Sales Xerox	173,764	175,821	171,580	170,000	165,536	(2.6)
Department Sales Mailroom Handling Fee	112,656	146,650	118,298	101,645	34,900	(65.7)
Department Sales Postage Fee	220,495	221,762	220,140	186,565	-	(100.0)
COGS Office Supplies	-	-	-	-	-	-
COGS Printing Supplies	(64,080)	(54,063)	(89,996)	(63,000)	(66,354)	5.3
Transfer from Risk Management	229,182	-	-	-	-	-
Total Revenue Sources	929,613	729,015	671,542	690,428	411,508	(40.4)
Utilization of Net Assets	-	-	-	-	96,723	-
TOTAL FUNDING SOURCES	$ 929,613	729,015	671,542	690,428	508,231	(26.4)

DEPARTMENT LEVEL EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 169,525	171,305	175,658	183,061	184,387	0.7
Benefits	91,266	105,290	116,636	114,289	116,192	1.7
Supplies	6,550	5,887	8,543	8,836	8,988	1.7
Maintenance	11,153	14,737	10,795	15,846	15,760	(0.5)
Professional Services/Training	174	187	379	-	-	-
Other Charges	282,371	271,811	247,906	292,064	128,740	(55.9)
Scheduled Charges	39,992	44,171	44,385	47,878	49,593	3.6
TOTAL DEPARTMENT LEVEL EXPENSES	$ 601,032	613,388	604,302	661,974	503,660	(23.9)

FUND LEVEL EXPENSES						
Other Charges	$ 8	-	-	-	-	-
Vehicle/Equipment Debt	-	-	-	-	4,570	-
TOTAL FUND LEVEL EXPENSES	$ 8	-	-	-	4,570	-
TOTAL EXPENSES	$ 601,040	613,388	604,302	661,974	508,231	(23.2)

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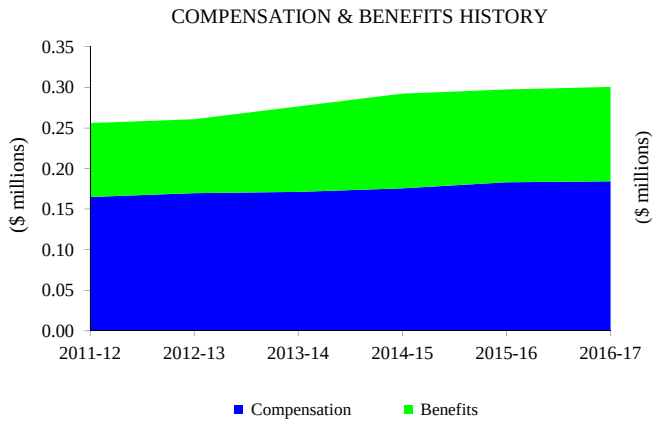
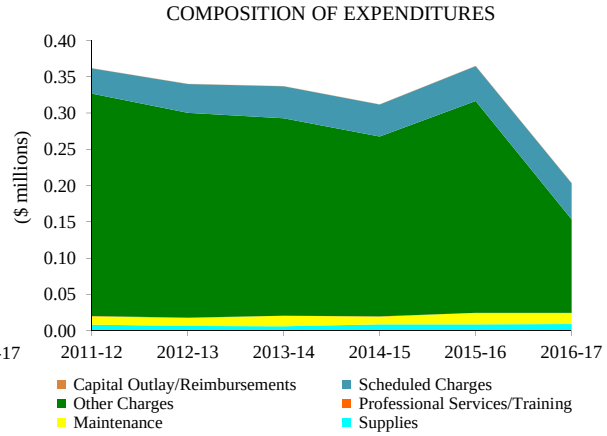
Print Shop & Warehouse - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 169,525	171,305	175,658	183,061	184,387	0.7
Benefits	91,266	105,290	116,636	114,289	116,192	1.7
Supplies	6,550	5,887	8,543	8,836	8,988	1.7
Maintenance	11,153	14,737	10,795	15,846	15,760	(0.5)
Professional Services/Training	174	187	379	-	-	-
Other Charges	282,371	271,811	247,906	292,064	128,740	(55.9)
Scheduled Charges	39,992	44,171	44,385	47,878	49,593	3.6
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 601,032	613,388	604,302	661,974	503,660	(23.9)

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Central Warehouse	$ 217,137	223,966	244,919	266,764	269,578	1.1
Print Shop	383,894	389,421	359,383	395,210	234,082	(40.8)
TOTAL EXPENDITURES BY DEPARTMENT	$ 601,032	613,388	604,302	661,974	503,660	(23.9)

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Print Shop & Warehouse - Department Expenditures

Central Warehouse

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 114,056	114,156	121,652	129,155	130,000	0.7
Benefits	55,545	57,729	73,559	78,167	79,714	2.0
Supplies	6,270	5,242	7,852	7,836	7,988	1.9
Maintenance	11,153	14,737	10,787	15,846	15,760	(0.5)
Professional Services/Training	155	169	290	-	-	-
Other Charges	-	-	-	499	-	(100.0)
Scheduled Charges	29,958	31,934	30,780	35,260	36,116	2.4
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL CENTRAL WAREHOUSE	$ 217,137	223,966	244,919	266,764	269,578	1.1

Print Shop

EXPENDITURES BY CATEGORY						
Compensation	$ 55,469	57,149	54,006	53,906	54,387	0.9
Benefits	35,721	47,561	43,078	36,121	36,478	1.0
Supplies	280	645	691	1,000	1,000	-
Maintenance	-	-	8	-	-	-
Professional Services/Training	19	18	89	-	-	-
Other Charges	282,371	271,811	247,906	291,565	128,740	(55.8)
Scheduled Charges	10,034	12,238	13,605	12,618	13,477	6.8
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL PRINT SHOP	$ 383,894	389,421	359,383	395,210	234,082	(40.8)

City of Lubbock TEXAS

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Risk Management Overview

Mission and Purpose

Protect and serve City employees, citizens, and visitors by creating, identifying, and coordinating programs and policies that promote a safe and healthy environment.

Overview

The Risk Management Department provides the following services:

- Ensures adequate coverage, through a self-insurance program, or commercial insurance, to compensate employees and citizens against accidental loss.
- Manages property, casualty, and workers' compensation coverages on an insured or self-insured basis.
- Provides safety inspections, training consultations, and recommendations on City operations and activities.
- Develops organizational and departmental risk assessments regarding facilities and contracts.
- Creates trend information on organizational and departmental work related injuries, motor vehicle collisions, and liability claims.
- Ensures fair and impartial treatment of citizens and employees who file claims with the City
- Provides organizational development to managers, supervisors and employees in order to manage risks associated with employment claims. Training encompasses laws relating to human resources including but not limited to Fair Labor Standards Act, Family Medical Leave Act, Americans with Disability Act as Amended, discrimination, workplace harassment and workplace violence.

Goals and Objectives

- Audit and evaluate work sites and public areas to reduce safety and health hazards.
- Monitor the financial stability of the self-insurance risk fund.
- Encourage reduction of work related injuries and lost days through education and assistance to departments.
- Investigate all claims presented by use of a third party administrator.
- Educate and conduct job specific safety seminars for City employees.
- Teach supervisors in the organization to be more productive in their positions and to be better leaders in the organization thus reducing employment risk.
- Develop Management and Leadership Training.
- Transfer risk by requiring vendors and contractors to have adequate insurance coverage.
- Evaluate the insurance market for cost effectiveness of traditional insurance and excess insurance versus self-insurance for standard property and casualty coverages.

Accomplishments for FY 2015-16

- Continued improvement of our return-to-work program, resulting in an additional 8 percent decrease in lost time for work comp injuries.
- Implemented a claims management system to aid in the reporting and processing of claims, which will also allow us to keep departments apprised of claim types and costs.
- Increased Defensive Driving class attendance by 4 percent.
- Developed and implemented Basics of Municipal Government training.
- Conducted Active Shooter Training.

Objectives for FY 2016-17

- Evaluate work conditions and analyze workplace injuries in order to provide specific training to address the cause of the injuries (i.e. trips and falls, back injuries, unsafe lifting practices).
- Provide resources designed to increase employee safety knowledge in order to detect and correct unsafe work habits.
- Continue to develop training to address the needs of the organization and employees and to ensure compliance with federal and state law.
- Implement a citywide loss prevention plan; thereby holding employees, supervisors and managers accountable for a safe work environment.

Revenue Overview

The Risk Management Fund is an Internal Service Fund that exists to reduce risk within the organization and to oversee the City's property, workers' compensation, and liability exposures. Internal Service Funds are not self-funding and must allocate the costs associated with operations to other City departments.

The claims and excess premiums for the respective exposures mentioned above are paid by the Risk Management Fund. The Risk Management Fund allocates the costs to other departments and funds based upon type of coverage, loss history, and property values.

The cost for property insurance is allocated to each department based on the percentage of property that it maintains. Some departments have property insurance costs that are specific to that department and that amount is charged only to the affected department. The total revenue for Property Insurance premiums is $1,691,396 for FY 2016-17.

The Liability Insurance Internal Service Charge is calculated by the City's actuary, Glicksman Consulting, LLC. The premium for liability insurance covers general and automobile liability. The actuary uses prior loss history by cost center to determine the appropriate charge as well as prior year payroll costs. The total revenue for Liability Insurance premiums is $2,539,335 for FY 2016-17.

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Risk Management Overview

The Workers' Compensation Internal Service Charge is also calculated by the City's actuary, Glicksman Consulting, LLC. The premium for workers' compensation covers no fault insurance for work-related injuries. The actuary uses prior loss history by cost center to determine the appropriate charge as well as prior year payroll costs. The total revenue for Workers' Compensation is $1,725,737 for FY 2016-17.

- Budgeted revenues decreased $1,783,577, or 23.0 percent, for FY 2016-17.
- Decreased revenues is due to a $2 million transfer from the Health Insurance Fund occurring in FY 2015-16 and not occurring this year.
- Workers compensation premiums cover 100 percent of expenses while property and liability premiums collected from departments are $172,260 and $770,089 more than expenses respectfully. These funds are used by the Risk Fund to cover the operating expenses of the department.

Expense Overview

- Budgeted expenses increased $186,627, or 3.2 percent, when compared to the FY 2015-16 Operating Budget mainly due to the addition of an additional position and increase in property and workers' compensation premiums.
- Operating expenses increased $35,606 or 3.9 percent, due to the addition of an Office Assistant added as part of the staffing needs analysis. Increase to health benefits also impacted the operating expenses.
- Property increased by $117,777, or 8.4 percent, due to adjusting property values by Risk and implementing broker recommended projection for FY 2016-17.
- Workers' Compensation increased $21,135, or 1.2 percent. Premiums increased $99,769, or 6.5 percent based on trend, and Workers' Compensation claims decreased $78,634 based on September 2015 actuarial projections.
- A vehicle/equipment debt payment of $3,688 is budgeted this year for the first time.

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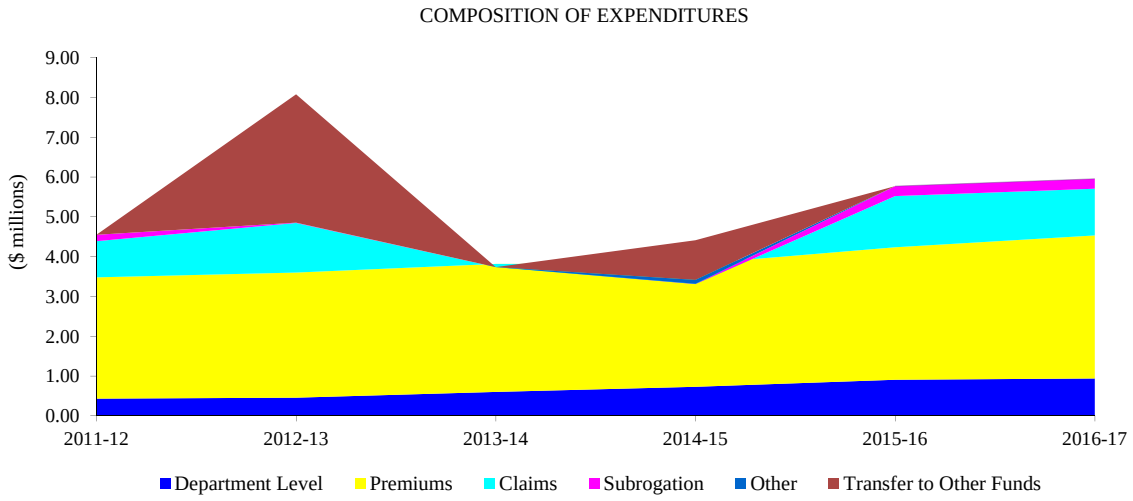
Internal Service Fund - Risk Management



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Self Insurance-Risk Admin	4	4	6	6	7	1
TOTAL STAFFING	4	4	6	6	7	1

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Major Work Site/Facility Inspections	27	23	29	24	29	20.8
Job Site Inspections	960	1,560	1,592	1,590	1,600	0.6
On-the-job injuries	320	315	331	325	300	(7.7)
Lost time injuries	61	53	31	30	30	-
Days lost to injuries	1,566	934	858	850	850	-
Preventable vehicle collisions	70	54	71	50	50	-
Safety training participants	1,323	1,225	1,284	1,300	1,300	-
Liability claims processed	332	267	307	330	300	(9.1)
Subrogation claims processed	216	240	280	250	280	12.0
Organizational Training	-	-	2,626	2,455	3,476	41.6

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Risk Management - Fund Overview

Self Insurance - Risk Admin.

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Defensive Driving Training	$ 830	745	1,647	850	850	-
Transfer from Health Insurance	-	-	-	2,000,000	-	(100.0)
TOTAL FUNDING SOURCES	$ 830	745	1,647	2,000,850	850	(100.0)
EXPENSES						
Compensation	$ 216,266	319,120	417,268	495,807	512,904	3.4
Benefits	102,524	138,819	171,152	211,943	224,334	5.8
Supplies	7,050	6,274	6,149	7,574	7,065	(6.7)
Maintenance	3,223	1,372	3,691	2,621	2,626	0.2
Professional Services/Training	100,680	111,182	104,123	157,985	161,253	2.1
Other Charges	921	1,036	3,352	2,887	2,887	-
Premiums / Scheduled Charges	21,617	22,394	24,338	24,627	27,979	13.6
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENSES	$ 452,280	600,196	730,074	903,444	939,049	3.9

Self Insurance - Property

FUNDING SOURCES						
Interest Earnings	$ 894	4,001	10,559	-	-	-
Property Premiums	1,339,337	1,337,896	1,523,245	1,570,282	1,691,396	7.7
TOTAL FUNDING SOURCES	$ 1,340,231	1,341,897	1,533,804	1,570,282	1,691,396	7.7
EXPENSES						
Premiums	$ 981,310	1,058,407	1,083,734	1,151,359	1,269,136	10.2
Claims	-	58,343	113,304	250,000	250,000	-
Building Repair	-	-	96,101	-	-	-
Transfer to Grant	-	-	-	-	-	-
TOTAL EXPENSES	$ 981,310	1,116,750	1,293,139	1,401,359	1,519,136	8.4

Self Insurance - Liability

FUNDING SOURCES						
Interest Earnings	$ 1,789	8,003	21,117	-	-	-
Liability Premiums	2,478,062	2,092,766	2,308,782	2,112,992	2,539,335	20.2
TOTAL FUNDING SOURCES	$ 2,479,851	2,100,768	2,329,899	2,112,992	2,539,335	20.2
EXPENSES						
Premiums	$ 583,190	602,228	523,021	635,648	679,911	7.0
Claims	1,106,681	34,740	(210,581)	875,176	839,335	(4.1)
Subrogation Collection	5,161	2,194	5,744	250,000	250,000	-
Special Projects	-	-	-	-	-	-
Miscellaneous	81	370	366	-	-	-
TOTAL EXPENSES	$ 1,695,113	639,533	318,549	1,760,824	1,769,246	0.5

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Risk Management - Fund Overview

Self Insurance - Workers' Comp.

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ 1,789	8,003	21,117	-	-	-
Miscellaneous Recoveries	73,635	(17,349)	315,950	-	-	-
Workers' Compensation	2,161,351	2,077,828	2,196,417	2,056,771	1,725,737	(16.1)
TOTAL FUNDING SOURCES	$ 2,236,775	2,068,482	2,533,485	2,056,771	1,725,737	(16.1)
EXPENSES						
Premiums	$ 1,582,909	1,558,588	1,482,686	1,545,813	1,645,582	6.5
Claims	140,429	(174,318)	(412,933)	158,789	80,155	(49.5)
Reimbursement	-	-	-	-	-	-
TOTAL EXPENSES	$ 1,723,338	1,384,271	1,069,754	1,704,602	1,725,737	1.2

Self Insurance - Other

FUND LEVEL EXPENSES						
Transfer to Health Benefits Fund	$ 3,000,000	-	-	-	-	-
Transfer to Print Shop/Warehouse Fund	229,182	-	-	-	-	-
Transfer to General Fund Capital Project Fund	-	-	1,000,000	-	-	-
Vehicle/Equipment Debt	-	-	-	-	3,688	-
Miscellaneous	(1,160)	-	-	-	-	-
TOTAL FUND LEVEL EXPENSES	$ 3,228,022	-	1,000,000	-	3,688	-
Total Revenue Sources	$ 6,057,687	5,511,893	6,398,835	7,740,895	5,957,318	(23.0)
Utilization of Net Assets	2,022,377	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 8,080,064	5,511,893	6,398,835	7,740,895	5,957,318	(23.0)
GRAND TOTAL EXPENSES	$ 8,080,064	3,740,749	4,411,515	5,770,229	5,956,856	3.2

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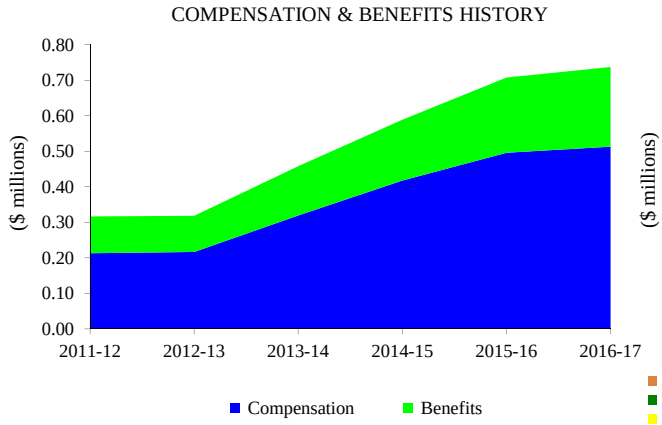
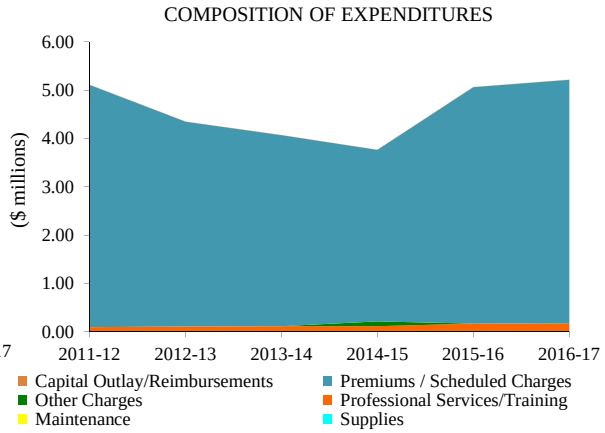
Risk Management - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 216,266	319,120	417,268	495,807	512,904	3.4
Benefits	102,524	138,819	171,152	211,943	224,334	5.8
Supplies	7,070	6,274	6,149	7,574	7,065	(6.7)
Maintenance	3,223	1,372	3,691	2,621	2,626	0.2
Professional Services/Training	100,680	111,553	104,489	157,985	161,253	2.1
Other Charges	921	1,036	99,453	2,887	2,887	-
Premiums / Scheduled Charges	4,232,416	3,948,405	3,552,705	4,891,412	5,042,098	3.1
TOTAL EXPENDITURES BY CATEGORY	$ 4,663,100	4,526,577	4,354,908	5,770,229	5,953,168	3.2

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Self Insurance-Risk Admin	$ 452,280	600,196	730,074	903,444	939,049	3.9
Self Insurance-Property	981,310	1,116,750	1,293,139	1,401,359	1,519,136	8.4
Self Insurance-Liability	1,563,065	1,182,502	780,604	1,760,824	1,769,246	0.5
Self Insurance-Workers' Comp	1,666,444	1,627,129	1,551,091	1,704,602	1,725,737	1.2
TOTAL EXPENDITURES BY DEPARTMENT	$ 4,663,100	4,526,577	4,354,908	5,770,229	5,953,168	3.2

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Risk Management - Department Expenditures

Self Insurance-Risk Admin

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 216,266	319,120	417,268	495,807	512,904	3.4
Benefits	102,524	138,819	171,152	211,943	224,334	5.8
Supplies	7,050	6,274	6,149	7,574	7,065	(6.7)
Maintenance	3,223	1,372	3,691	2,621	2,626	0.2
Professional Services/Training	100,680	111,182	104,123	157,985	161,253	2.1
Other Charges	921	1,036	3,352	2,887	2,887	-
Premiums / Scheduled Charges	21,617	22,394	24,338	24,627	27,979	13.6
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL SELF INSURANCE-RISK ADMIN	$ 452,280	600,196	730,074	903,444	939,049	3.9

Self Insurance-Property

EXPENDITURES BY CATEGORY						
Compensation	$ -	-	-	-	-	-
Benefits	-	-	-	-	-	-
Supplies	-	-	-	-	-	-
Maintenance	-	-	-	-	-	-
Professional Services/Training	-	-	-	-	-	-
Other Charges	-	-	96,101	-	-	-
Premiums / Scheduled Charges	981,310	1,116,750	1,197,038	1,401,359	1,519,136	8.4
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL SELF INSURANCE-PROPERTY	$ 981,310	1,116,750	1,293,139	1,401,359	1,519,136	8.4

Self Insurance-Liability

EXPENDITURES BY CATEGORY						
Compensation	$ -	-	-	-	-	-
Benefits	-	-	-	-	-	-
Supplies	20	-	-	-	-	-
Maintenance	-	-	-	-	-	-
Professional Services/Training	-	370	366	-	-	-
Other Charges	-	-	-	-	-	-
Premiums / Scheduled Charges	1,563,045	1,182,131	780,238	1,760,824	1,769,246	0.5
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL SELF INSURANCE-LIABILITY	$ 1,563,065	1,182,502	780,604	1,760,824	1,769,246	0.5

Self Insurance-Workers' Comp

EXPENDITURES BY CATEGORY						
Compensation	$ -	-	-	-	-	-
Benefits	-	-	-	-	-	-
Supplies	-	-	-	-	-	-
Maintenance	-	-	-	-	-	-
Professional Services/Training	-	-	-	-	-	-
Other Charges	-	-	-	-	-	-
Premiums / Scheduled Charges	1,666,444	1,627,129	1,551,091	1,704,602	1,725,737	1.2
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL SELF INSURANCE-WORKERS' COMP	$ 1,666,444	1,627,129	1,551,091	1,704,602	1,725,737	1.2

City of Lubbock TEXAS

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Enterprise Funds Overview

Enterprise Funds are primarily funded through user fees charged for goods or services. The Enterprise Funds provide a broad spectrum of services with user fees as the common factor. As operating expenses and customer usage change, user fees are adjusted accordingly.

For FY 2016-17, rate changes have been incorporated into the budget as follows:

- A 5.75 percent increase to the rate is included for LP&L.
- For the Wastewater Fund, a $2.00 increase to the monthly rate for ¾" meters with a corresponding percentage increase to all other size meters and a 9 percent increase to the volume charge is proposed. All Wastewater rates are listed on the Rate Model that is included in the Wastewater section of the budget document.
- In the Water Fund, a $2.00 increase to the monthly rate for ¾" meters with a corresponding percentage increase to all other size meters has been proposed. The volume rates will remain the same as the previous fiscal year. All Water rates are listed on the Rate Model that is included in the Water section of the budget document.

The FY 2016-17 Operating Budget and Capital Program includes the following Enterprise Funds:

- Airport Services
- Cemetery
- Civic Centers
- Lake Alan Henry
- Lubbock Power and Light
- Solid Waste
- Storm Water
- Transit
- Wastewater
- Water

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Funding Source Summary by Fund

ENTERPRISE FUNDS	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Airport	11,127,198	11,036,673	12,286,504	10,805,328	11,048,319	2.2
Cemetery	651,020	728,775	835,462	782,499	780,703	(0.2)
Civic Centers	3,600,834	3,390,784	3,495,357	3,561,762	3,674,350	3.2
Lake Alan Henry	643,930	549,401	509,586	575,800	576,660	0.1
LP&L	213,923,122	228,647,128	223,041,246	241,567,476	234,959,160	(2.7)
Solid Waste	20,699,693	20,530,616	21,705,959	22,477,299	23,484,251	4.5
Storm Water	22,189,612	21,787,442	25,061,060	23,352,417	23,687,217	1.4
Transit	12,549,998	11,709,352	11,847,975	12,359,632	12,526,463	1.3
Wastewater	34,300,547	35,230,275	38,166,798	41,618,430	45,272,345	8.8
Water	82,620,406	80,191,224	78,738,330	83,673,059	85,436,755	2.1
TOTAL ENTERPRISE FUNDS	402,306,361	413,801,669	415,688,276	440,773,703	441,446,224	0.2

Appropriation Summary by Fund

ENTERPRISE FUNDS	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Airport	10,708,591	9,439,046	12,286,504	10,655,722	11,048,319	3.7
Cemetery	651,020	639,826	705,157	782,499	780,703	(0.2)
Civic Centers	3,276,175	3,285,224	3,495,357	3,561,762	3,674,350	3.2
Lake Alan Henry	643,930	549,401	489,771	495,625	541,548	9.3
LP&L	209,601,182	225,679,149	221,807,327	240,999,923	234,959,160	(2.5)
Solid Waste	20,699,693	20,475,415	21,705,959	22,477,299	23,484,251	4.5
Storm Water	21,623,326	19,185,685	22,035,298	23,305,409	22,295,993	(4.3)
Transit	12,511,430	11,699,389	11,801,172	12,359,632	12,526,463	1.3
Wastewater	31,905,784	34,953,752	38,166,798	41,618,430	45,272,345	8.8
Water	74,402,513	76,677,126	78,235,141	83,673,059	85,436,755	2.1
TOTAL ENTERPRISE FUNDS	386,023,643	402,584,013	410,728,482	439,929,361	440,019,888	0.0

Position History by Fund

ENTERPRISE FUNDS	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Airport	48	48	48	48	48	-
Cemetery	7	7	7	7	7	-
Civic Centers	27	27	27	27	27	-
Lake Alan Henry	1	1	1	1	1	-
LP&L	289	303	303	303	303	-
Solid Waste	111	112	112	113	113	-
Storm Water	36	37	38	38	38	-
Transit	-	-	-	-	-	-
Wastewater	74	74	76	76	79	3
Water	167	168	170	170	171	1
TOTAL ENTERPRISE FUNDS	760	777	782	783	787	4

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Airport Overview

Mission and Purpose

Ensure safe and reliable airport operations by inspecting and maintaining airside and landside facilities in compliance with federal, state, and local guidelines and regulations. Lubbock Preston Smith International Airport provides the following services:

- Develop and promote a safe and efficient inland port system delivering aviation services to the region and projecting a positive and progressive community image.
- Provide protection to the public through the maintenance and operation of a 24-hour security access control system.
- Provide 24-hour protection for persons and property at the airport through law enforcement services and support for tenants, passengers, and airport visitors.

Overview

A key component of Lubbock's transportation system is the Lubbock Preston Smith International Airport, located seven miles north of the City's central business district on 3,000 acres of land adjacent to Interstate 27. The Airport is operated as a department of the City, with the guidance of an advisory board, and includes a 220,000 square foot passenger terminal building. The Airport has two commercial service runways, 11,500 and 8,000 feet in length. The Airport's third General Aviation runway is 2,869 feet in length. Air traffic control services include a 24-hour Federal Aviation Administration control tower and a full range of instrument approaches. The Airport is served by three major passenger airlines and two major cargo airlines. It facilitates approximately 50 commercial flights per day. A major project to rehabilitate the 11,500 foot runway is underway. The estimated $40 million project is funded by federal grants and passenger facility charges.

Goals and Objectives

- Provide a safe and efficient transportation facility for the movement of persons and cargo.
- Maintain a system of rates and charges to airport tenants making the airport self-sufficient.
- Ensure buildings and support facilities are safe, efficient, reliable, comfortable, and project an image appropriate for the building's function.
- Provide safe and convenient vehicle parking for airport patrons maximizing airport parking lot revenue.

Accomplishments for FY 2015-16

- Completion of a Fire Alarm System Upgrade in the Airport Terminal building.
- Established cell phone waiting area; reducing congestion in front of the airport terminal building and enhancing safety and security.
- Replaced exit doors (revolving doors) in the terminal building with new technology.
- Extended lease agreement with Federal Express.

Objectives for FY 2016-17

- Establish new rates and charges and approve new airline use agreement.
- Complete construction and reopen Runway 17R/35L.
- Begin design of a Consolidated Rental Car Facility (CONRAC) and begin negotiations for a new Rental Car Agreement.
- Implement new ground transportation rules and regulations specific to Lubbock (LBB); Install new technology to track and bill ground transportation operators.
- Create and approve a Leasing Policy and Revise Minimum Standards.
- Create an Unmanned Aerial System/Vehicle Response Procedure and Policy.

Financial Condition

Adjusting for accounts payable, other current liabilities, and restricted cash at September 30, 2015, $8,032,506 is available for appropriation. Bringing that amount forward, the amount available for appropriation at September 30, 2016 is expected to be $7,379,459. The Airport Fund is required, by policy, to have 15% of revenue set aside as a reserve. The fund is forecast to have a reserve surplus of $5,867,733 at September 30, 2016.

Current Assets at September 30, 2015	$ 12,774,008
Less: Accounts Payable and Accrued Liabilities	(4,860,553)
Net Current Assets	7,913,456
Cashflow Calculations	
Current Assets Less Current Liabilities	7,913,456
Add: Restricted Cash reserved for Debt Service	119,051
Total Available Cash at September 30, 2015	$ 8,032,506
FY 2015-16 Budget Forecast:	
Operating Revenues	$ 10,078,172
Non-Operating Revenues	884,502
Less: Expenses	(11,615,722)
Forecast Addition to Net Current Assets	(653,048)
Total Available Cash by September 30, 2016	7,379,459
Estimated September 30, 2016	
Target Net Assets Policy	15.00%
Target Net Assets by Policy	$ 1,511,726
Available for Appropriation at September 30, 2016	$ 5,867,733

Revenue Overview

- Revenues increased $156,156, or 1.4 percent, for FY 2016-17.
- Interest Revenue is budgeted for FY 2016-17 at $18,449.

Airport Overview

- Landing revenue increased $83,000, or 5.7 percent, due to the projected flight schedule.
- Terminal revenue decreased $23,901, or 0.5 percent, due to a decrease in airline office space occupancy.
- Parking revenue increased $49,674, or 1.6 percent, due to a full-year operation of the Airport Shelter Park facility, which opened February 2015.
- Agriculture and rent increased $5,000, or 11.1 percent due to current rental activity.
- The transfer from the Passenger Facility Charge (PFC) Fund is expected to increase $17,084, or 2.0 percent, due to an increase in the amount needed to cover the debt service on projects funded with PFC revenue.
- Miscellaneous increased $5,000, or 5.3 percent, due to increased gasoline commissions.
- A utilization of $86,835 in net assets is required this year.

Expense Overview

- Budgeted expenses increased $392,597, or 3.7 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and Benefits increased $78,036, or 2.7 percent, due to increased insurance and overtime costs. The increase is also due to the part-time position costs for a new management analyst added during FY 2015-16.
- Supplies increased $18,249, or 7.4 percent, due to increased fuel expenses and additional funds for chemical supplies.
- Maintenance decreased $88,517, or 10.7 percent, due to a decrease in the Radio Shop scheduled charge, decreased building maintenance and security alarm system maintenance, offset slightly by an increase for automatic door maintenance.
- Professional Services/Training increased $213,923, or 14.1 percent, due to funding provided for the replacement of taxiway markings and curbside assistance services.
- Capital Outlay decreased $36,572 due to one-time equipment purchases in FY 2015-16.
- Debt Service increased $12,553, or 0.7 percent, due to an increase in the scheduled payment.
- Vehicle/Equipment Debt is appropriated at the same level as FY 2015-16 to cover the vehicle/equipment debt annual payment.
- Indirect Cost Allocation transfer to the General Fund increased $24,101, or 10.8 percent for FY 2016-17.
- The transfer to the General Fund for Aircraft Rescue Fire Fighting increased $42,955, or 2.9 percent, for a total transfer of $1,503,872. The transfer covers the compensation and benefits of 12 full-time firefighters, plus a portion of the cost of 6 additional firefighters that staff the Airport fire station approximately one-third of the year.
- The transfer to Airport Capital totals $487,680 for pay-as-you-go funding for Airport Facility Improvement projects. This is a decrease of $2,320, or 0.5 percent from the previous year.

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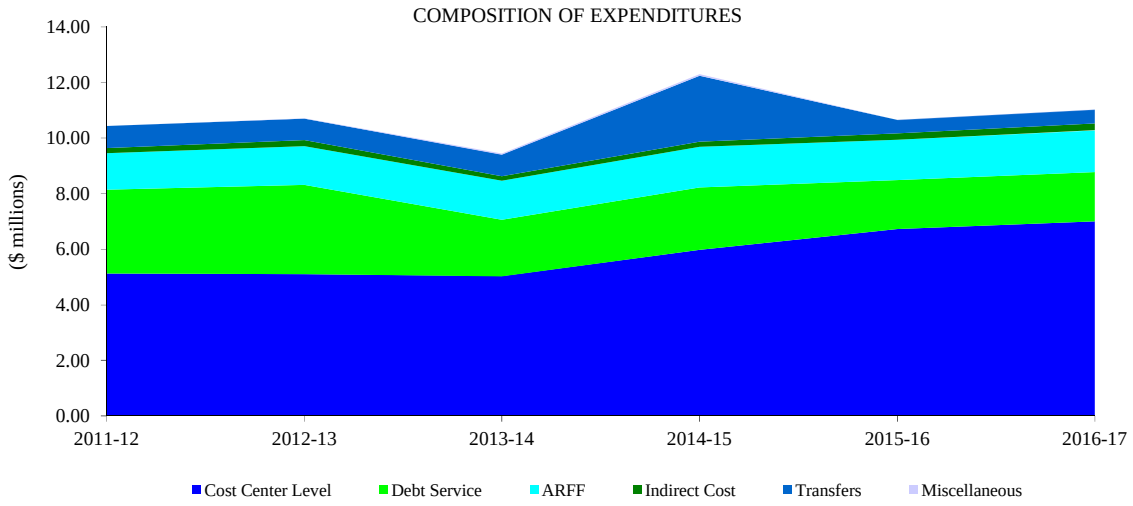
Enterprise Fund - Airport



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Aviation Administration	6	6	6	6	6	-
Field Maintenance	7	7	7	7	7	-
Aviation Maintenance	13	13	13	13	13	-
Security Access	13	13	13	13	13	-
Security	9	9	9	9	9	-
Parking	-	-	-	-	-	-
TOTAL STAFFING	48	48	48	48	48	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Passenger air carriers	3	3	3	3	3	-
Total passengers	933,090	927,013	911,846	920,000	920,000	-
Freight carriers	5	4	4	4	4	-
Landings and takeoffs	75,781	85,131	73,449	68,000	68,000	-

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Airport - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ 4,979	24,745	85,300	-	18,449	-
Department Operations						
Landing	1,301,188	1,475,823	1,520,988	1,467,000	1,550,000	5.7
Hangar	624,907	642,439	662,922	662,500	663,850	0.2
Terminal	4,262,092	4,501,034	4,535,900	4,563,838	4,539,937	(0.5)
Parking	2,172,016	2,478,723	3,029,900	3,045,069	3,094,743	1.6
Industrial	89,474	75,880	61,606	62,500	63,000	0.8
Agriculture & Rent	48,232	84,501	58,390	45,000	50,000	11.1
Transfer from PFC Fund	2,513,798	1,600,705	1,656,463	864,421	881,505	2.0
Miscellaneous	110,514	152,824	112,001	95,000	100,000	5.3
Total Revenue Sources	11,127,198	11,036,673	11,723,470	10,805,328	10,961,484	1.4
Utilization of Net Assets	-	-	563,034	-	86,835	-
TOTAL FUNDING SOURCES	$ 11,127,198	11,036,673	12,286,504	10,805,328	11,048,319	2.2

DEPARTMENT LEVEL EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,542,675	1,517,577	1,748,984	1,898,102	1,946,351	2.5
Benefits	861,221	837,741	905,338	1,004,387	1,034,174	3.0
Supplies	193,526	182,622	274,097	247,928	266,177	7.4
Maintenance	525,493	430,762	505,574	830,342	741,825	(10.7)
Professional Services/Training	823,371	827,536	1,273,635	1,512,127	1,726,050	14.1
Other Charges	10,076	5,270	94,372	28,240	-	(100.0)
Scheduled Charges	1,017,828	1,074,557	1,066,096	1,166,454	1,290,137	10.6
Capital Outlay/Reimbursements	131,720	151,568	107,291	36,572	-	(100.0)
TOTAL DEPARTMENT LEVEL EXPENSES	$ 5,105,911	5,027,633	5,975,387	6,724,152	7,004,715	4.2

FUND LEVEL EXPENSES						
Net Debt Service	$ 3,164,113	1,989,545	2,191,382	1,717,473	1,730,026	0.7
Vehicle/Equipment Debt	45,865	43,550	43,563	39,524	39,524	-
Indirect Cost Allocation	212,430	162,796	185,702	223,254	247,355	10.8
Aircraft Rescue Fire Fighting Transfer	1,390,650	1,403,805	1,462,784	1,460,917	1,503,872	2.9
Transfer to Airport Capital	775,000	775,000	2,370,000	490,000	487,680	(0.5)
Miscellaneous	14,622	36,717	57,686	402	256	(36.3)
TOTAL FUND LEVEL EXPENSES	$ 5,602,680	4,411,413	6,311,117	3,931,570	4,008,712	2.0
Compensation Adjustment					34,892	
TOTAL EXPENSES	$ 10,708,591	9,439,046	12,286,504	10,655,722	11,048,319	3.7

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Airport - Operating Model

	Budget	Forecast				
FUNDING SOURCES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Interest Earnings	$ 18,449	18,232	18,960	17,891	16,258	12,950
Department Operations						
Landing	1,550,000	1,565,500	1,581,155	1,596,967	1,612,936	1,629,066
Hangar	663,850	683,766	704,278	725,407	747,169	769,584
Terminal	4,539,937	4,578,942	4,618,435	4,658,424	4,698,916	4,739,921
Parking	3,094,743	3,125,243	3,156,048	3,187,161	3,218,585	3,250,324
Industrial	63,000	64,890	66,837	68,842	70,907	73,034
Agriculture & Rent	50,000	50,000	50,000	50,000	50,000	50,000
Transfer from the PFC & CFC Fund	881,505	2,240,873	3,958,109	3,953,113	3,958,382	3,373,796
Miscellaneous	100,000	100,800	101,608	102,424	103,248	104,081
Total Revenue Sources	10,961,484	12,428,245	14,255,430	14,360,228	14,476,402	14,002,754
Use of Net Assets	86,835	-	427,702	653,009	1,323,486	1,074,857
TOTAL FUNDING SOURCES	$ 11,048,319	12,428,245	14,683,132	15,013,237	15,799,888	15,077,612

	Budget	Forecast				
DEPARTMENT LEVEL EXPENSES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Compensation	$ 1,946,351	2,020,170	2,059,876	2,100,375	2,141,685	2,183,821
Benefits	1,034,174	1,095,098	1,161,264	1,233,179	1,311,402	1,396,545
Supplies	266,177	271,500	276,930	282,469	288,118	293,881
Maintenance	741,825	755,081	770,183	785,586	801,298	817,324
Professional Services/Training	1,726,050	1,760,571	1,795,782	1,831,698	1,868,332	1,905,699
Other Charges	-	-	-	-	-	-
Scheduled Charges	1,290,137	1,315,940	1,342,259	1,369,104	1,396,486	1,424,416
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL DEPARTMENT LEVEL EXPENSES	$ 7,004,715	7,218,360	7,406,293	7,602,411	7,807,321	8,021,685
FUND LEVEL EXPENSES						
Net Debt Service	$ 1,730,026	3,070,222	5,043,781	5,046,337	5,048,899	4,461,340
Vehicle/Equipment Debt	39,524	61,689	110,816	205,801	189,235	160,187
Indirect Cost Allocation	247,355	252,302	257,348	262,495	267,745	273,100
Aircraft Rescue Fire Fighting Transfer	1,503,872	1,533,949	1,564,628	1,595,921	1,627,839	1,660,396
Transfer to Airport Capital	487,680	-	300,000	300,000	300,000	300,000
Transfer to PFC Capital	-	-	-	-	558,573	200,622
Miscellaneous	256	261	266	271	277	282
TOTAL FUND LEVEL EXPENSES	4,008,712	4,918,423	7,276,839	7,410,825	7,992,568	7,055,927
Compensation Adjustment	34,892					
TOTAL EXPENSES	$ 11,048,319	12,136,783	14,683,132	15,013,236	15,799,889	15,077,612

	Budget	Budget	Forecast				
APPROPRIABLE NET ASSETS	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Net Assets	$ 7,379,459	$ 7,292,624	7,584,086	7,156,384	6,503,376	5,179,889	4,105,032
Net Asset Reserve Policy	(1,511,726)	(1,509,230)	(1,525,371)	(1,541,754)	(1,558,384)	(1,575,264)	(1,592,401)
TOTAL APPROPRIABLE NET ASSETS	$ 5,867,733	5,783,394	6,058,715	5,614,629	4,944,992	3,604,625	2,512,631

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Airport Passenger Facility Charge - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Passenger Facility Charge	$ 1,802,618	1,758,516	1,721,910	1,793,862	1,829,739	2.0
Interest Earnings	711	221	697	-	3,824	-
Total Revenue Sources	1,803,328	1,758,736	1,722,607	1,793,862	1,833,563	2.2
Utilization of Net Assets	710,470	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 2,513,798	1,758,736	1,722,607	1,793,862	1,833,563	2.2
FUND LEVEL EXPENSES						
Transfer to Airport-PFC Debt Service	$ 2,513,798	1,600,705	1,656,463	864,421	881,505	2.0
Transfer to Airport Capital	-	-	-	360,000	-	(100.0)
TOTAL FUND LEVEL EXPENSES	$ 2,513,798	1,600,705	1,656,463	1,224,421	881,505	(28.0)
TOTAL EXPENSES	$ 2,513,798	1,600,705	1,656,463	1,224,421	881,505	(28.0)

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Airport Passenger Facility Charge - Operating Model

	Budget	Forecast				
FUNDING SOURCES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Interest Earnings	$ 3,824	6,205	5,284	4,378	3,487	1,292
PFC Revenue	1,829,739	1,866,334	1,903,661	1,941,734	1,980,569	2,020,180
Total Revenue Sources	1,833,563	1,872,539	1,908,945	1,946,112	1,984,055	2,021,472
Use of Net Assets	-	368,334	362,164	356,626	877,899	-
TOTAL FUNDING SOURCES	$ 1,833,563	2,240,873	2,271,109	2,302,738	2,861,955	2,021,472

	Budget	Forecast				
FUND LEVEL EXPENSES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Transfer to Airport Capital - PFC Pay-As-You-Go	$ -	-	-	-	558,573	200,622
Transfer - PFC Debt Service Annually	881,505	2,240,873	2,271,109	2,302,738	2,303,382	1,720,296
TOTAL FUND LEVEL EXPENSES	$ 881,505	2,240,873	2,271,109	2,302,738	2,861,955	1,920,918

	Budget	Budget	Forecast				
APPROPRIABLE NET ASSETS	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Net Assets	$ 1,529,811	2,481,870	2,113,536	1,751,372	1,394,746	516,847	617,401
TOTAL APPROPRIABLE NET ASSETS	$ 1,529,811	2,481,870	2,113,536	1,751,372	1,394,746	516,847	617,401

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Airport Customer Facility Charge - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Customer Facility Charge	$ 585,905	593,199	585,543	605,122	611,173	1.0
Interest Earnings	388	1,845	2,899	-	3,396	-
Total Revenue Sources	586,293	595,044	588,441	605,122	614,569	1.6
Utilization of Net Assets	-	-	461,559	-	-	-
TOTAL FUNDING SOURCES	$ 586,293	595,044	1,050,000	605,122	614,569	1.6

FUND LEVEL EXPENSES						
CFC Transfer to Airport Capital	$ -	-	1,050,000	-	-	-
Transfer - CFC Debt Service Annually	-	-	-	-	-	-
TOTAL FUND LEVEL EXPENSES	$ -	-	1,050,000	-	-	-
TOTAL EXPENSES	$ -	-	1,050,000	-	-	-

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Airport Customer Facility Charge - Operating Model

	Budget	Forecast				
FUNDING SOURCES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Interest Earnings	$ 3,396	4,933	7,564	6,011	4,571	3,143
CFC Revenue	611,173	1,047,551	1,058,026	1,068,607	1,079,293	1,090,086
Total Revenue Sources	614,569	1,052,484	1,065,590	1,074,617	1,083,864	1,093,229
Use of Net Assets	-	-	621,410	575,758	571,136	560,271
TOTAL FUNDING SOURCES	$ 614,569	1,052,484	1,687,000	1,650,375	1,655,000	1,653,500

	Budget	Forecast				
FUND LEVEL EXPENSES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
CFC Transfer to Airport Capital	$ -	-	-	-	-	-
Transfer - CFC Debt Service Annually	-	-	1,687,000	1,650,375	1,655,000	1,653,500
TOTAL FUND LEVEL EXPENSES	$ -	-	1,687,000	1,650,375	1,655,000	1,653,500

	Budget	Budget	Forecast				
APPROPRIABLE NET ASSETS	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Net Assets	$ 1,358,570	1,973,139	3,025,623	2,404,213	1,828,456	1,257,319	697,048
TOTAL APPROPRIABLE NET ASSETS	$ 1,358,570	1,973,139	3,025,623	2,404,213	1,828,456	1,257,319	697,048

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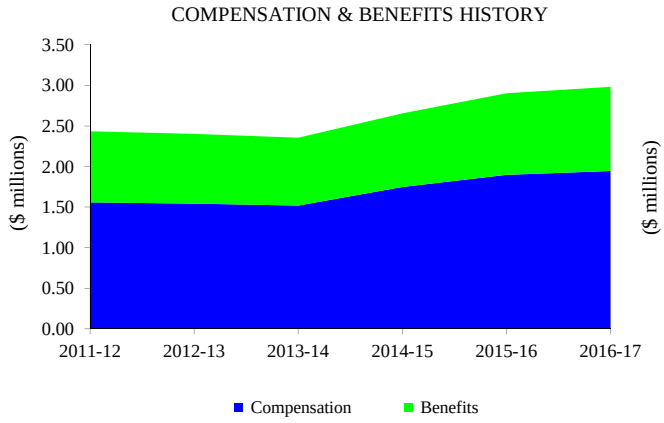
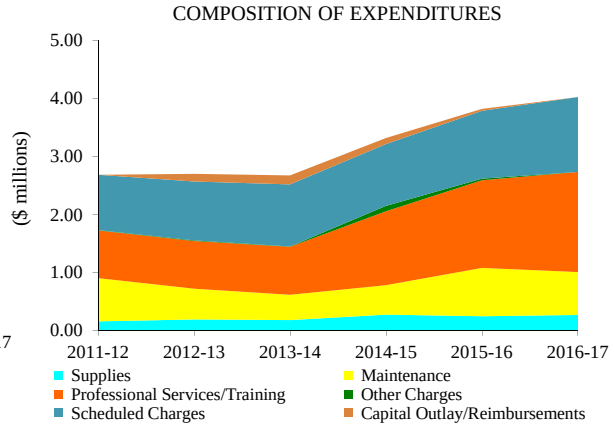
Airport - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,542,675	1,517,577	1,748,984	1,898,102	1,946,351	2.5
Benefits	861,221	837,741	905,338	1,004,387	1,034,174	3.0
Supplies	193,526	182,622	274,097	247,928	266,177	7.4
Maintenance	525,493	430,762	505,574	830,342	741,825	(10.7)
Professional Services/Training	823,371	827,536	1,273,635	1,512,127	1,726,050	14.1
Other Charges	10,076	5,270	94,372	28,240	-	(100.0)
Scheduled Charges	1,017,828	1,074,557	1,066,096	1,166,454	1,290,137	10.6
Capital Outlay/Reimbursements	131,720	151,568	107,291	36,572	-	(100.0)
TOTAL EXPENDITURES BY CATEGORY	$ 5,105,911	5,027,633	5,975,387	6,724,152	7,004,715	4.2

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Aviation Administration	$ 790,723	760,853	877,915	973,466	938,433	(3.6)
Field Maintenance	792,376	702,460	950,261	931,232	1,062,390	14.1
Aviation Maintenance	1,646,045	1,744,672	1,670,192	2,093,663	2,150,533	2.7
Security Access	810,454	758,362	840,901	1,018,658	1,033,486	1.5
Security	437,584	484,970	578,755	583,420	696,300	19.3
Parking	628,730	576,317	1,057,362	1,123,714	1,123,572	(0.0)
TOTAL EXPENDITURES BY DEPARTMENT	$ 5,105,911	5,027,633	5,975,387	6,724,152	7,004,715	4.2

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Airport - Department Expenditures

Aviation Administration

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 374,672	335,109	392,260	415,350	429,212	3.3
Benefits	163,177	133,664	158,484	174,238	174,414	0.1
Supplies	7,325	7,172	9,256	8,900	8,320	(6.5)
Maintenance	-	-	-	-	2,487	-
Professional Services/Training	55,955	111,694	85,108	217,985	190,735	(12.5)
Other Charges	-	(106)	93,099	27,240	-	(100.0)
Scheduled Charges	189,594	173,319	139,708	129,753	133,266	2.7
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL AVIATION ADMINISTRATION	$ 790,723	760,853	877,915	973,466	938,433	(3.6)

Field Maintenance

EXPENDITURES BY CATEGORY						
Compensation	$ 173,855	167,255	224,663	246,057	244,369	(0.7)
Benefits	101,905	97,023	124,160	140,035	143,077	2.2
Supplies	109,389	91,035	152,757	140,845	154,592	9.8
Maintenance	210,292	148,046	245,130	253,615	256,705	1.2
Professional Services/Training	8,727	8,739	6,568	18,333	155,870	750.2
Other Charges	-	473	636	-	-	-
Scheduled Charges	89,440	91,404	89,057	95,775	107,777	12.5
Capital Outlay/Reimbursements	98,769	98,486	107,291	36,572	-	(100.0)
TOTAL FIELD MAINTENANCE	$ 792,376	702,460	950,261	931,232	1,062,390	14.1

Aviation Maintenance

EXPENDITURES BY CATEGORY						
Compensation	$ 293,389	302,794	276,419	348,566	345,152	(1.0)
Benefits	210,160	211,826	195,987	227,775	232,934	2.3
Supplies	54,750	59,321	78,775	65,027	70,273	8.1
Maintenance	265,609	235,706	226,118	436,993	377,938	(13.5)
Professional Services/Training	118,920	114,824	107,215	126,888	131,623	3.7
Other Charges	8,010	-	-	-	-	-
Scheduled Charges	695,207	767,119	785,678	888,414	992,614	11.7
Capital Outlay/Reimbursements	-	53,082	-	-	-	-
TOTAL AVIATION MAINTENANCE	$ 1,646,045	1,744,672	1,670,192	2,093,663	2,150,533	2.7

Security Access

EXPENDITURES BY CATEGORY						
Compensation	$ 436,757	434,040	502,774	537,754	557,600	3.7
Benefits	242,623	240,608	246,611	276,428	287,876	4.1
Supplies	13,232	9,465	15,069	15,565	15,805	1.5
Maintenance	44,028	32,178	30,374	133,526	81,357	(39.1)
Professional Services/Training	9,698	14,097	19,535	27,817	63,076	126.8
Other Charges	2,066	-	636	1,000	-	(100.0)
Scheduled Charges	29,098	27,973	25,902	26,568	27,771	4.5
Capital Outlay/Reimbursements	32,951	-	-	-	-	-
TOTAL SECURITY ACCESS	$ 810,454	758,362	840,901	1,018,658	1,033,486	1.5

Security

EXPENDITURES BY CATEGORY						
Compensation	$ 264,002	278,379	352,869	350,375	370,018	5.6
Benefits	143,357	154,620	180,096	185,912	195,874	5.4
Supplies	8,831	15,629	18,240	17,591	17,187	(2.3)
Maintenance	5,564	14,832	3,952	6,208	23,339	275.9
Professional Services/Training	1,340	1,865	2,954	1,500	66,600	4,340.0
Other Charges	-	4,902	-	-	-	-
Scheduled Charges	14,490	14,742	20,645	21,834	23,283	6.6
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL SECURITY	$ 437,584	484,970	578,755	583,420	696,300	19.3

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Airport - Department Expenditures

Parking

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ -	-	-	-	-	-
Benefits	-	-	-	-	-	-
Supplies	-	-	-	-	-	-
Maintenance	-	-	-	-	-	-
Professional Services/Training	628,730	576,317	1,052,254	1,119,604	1,118,146	(0.1)
Other Charges	-	-	-	-	-	-
Scheduled Charges	-	-	5,107	4,110	5,426	32.0
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL PARKING	$ 628,730	576,317	1,057,362	1,123,714	1,123,572	(0.0)

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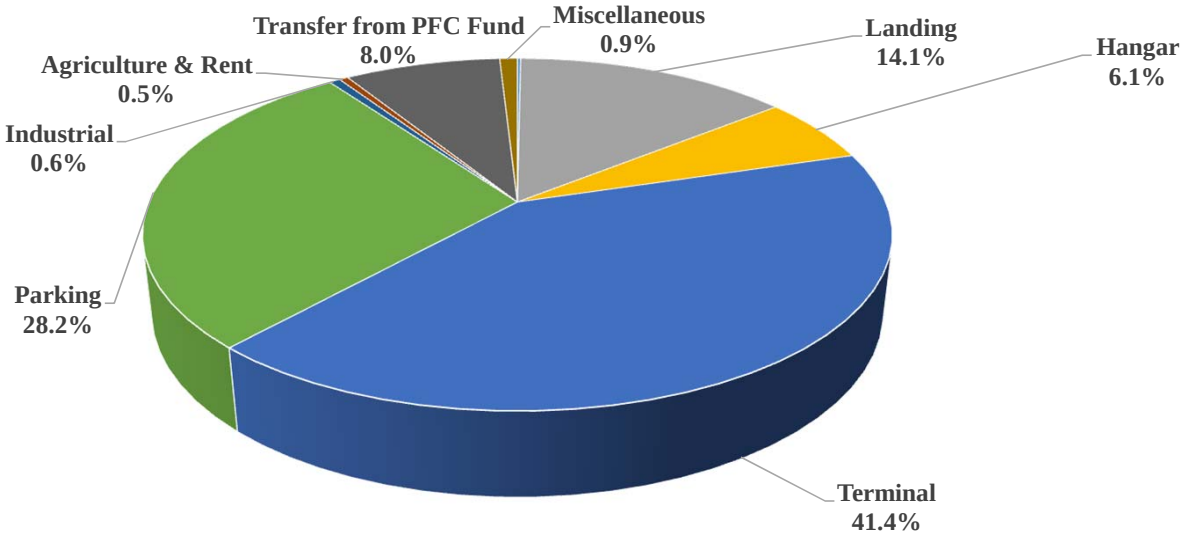
Airport Fund Revenue Analysis

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17
Interest Earnings	$ 4,979	24,745	85,300	20,081	18,449
Department Operations					
Landing	1,301,188	1,475,823	1,520,988	1,582,481	1,550,000
Hangar	624,907	642,439	662,922	676,527	663,850
Terminal	4,262,092	4,500,824	4,535,900	4,623,036	4,539,937
Parking	2,172,016	2,478,723	3,029,900	2,984,385	3,094,743
Industrial	89,474	75,880	61,606	61,728	63,000
Agriculture & Rent	48,232	84,501	58,390	45,000	50,000
Transfer from PFC Fund	2,513,798	1,600,705	1,656,463	864,421	881,505
Miscellaneous	110,514	153,034	112,001	105,015	100,000
Total Revenue Sources	$ 11,127,198	11,036,673	11,723,470	10,962,674	10,961,484
Utilization of Net Assets	-	-	563,034	-	86,835
TOTAL FUNDING SOURCES	$ 11,127,198	11,036,673	12,286,504	10,962,674	11,048,319



Forty-one percent of the revenues in the Airport Fund are generated by fees associated with terminal operations. This includes rental of office space to airlines as well as rental car vendors. Revenues are projected utilizing a long-term model that projects the growth in the various airport operations and the revenue requirement of the fund based on capital and operating expenses. The Airport Fund has a five-year capital plan that is incorporated into the model to project current and future revenue needs. The model is included in this section. The factors utilized to project airport operations include current airport activity, historical data, current tenant occupancy rate of terminal, current or projected use of hanger and landing areas, along with increases to fees associated with rental income and landing fees. Parking revenue make of twenty-eight percent of the revenue in the Airport Fund. This is revenue derived from fees collected for use of the public parking lot. The airport acquired the Airport Shelter Park off-site facility in February 2015. Fourteen percent of the revenues are generated from landing operations while six percent are generated from hangar operations. The utilization of heavier aircraft contributed to the projected revenue amount in landing operations. The hangar operations revenue projection came from a two percent consumer price index rate increase and current rental occupancy. The transfer from Passenger Facility Charge (PFC) Fund to the Airport Fund is eight percent of the revenue. This transfer is based on a debt service schedule funding FAA approved projects at Lubbock Preston Smith International Airport that enhance safety, security, or capacity, reduce noise, or increase air carrier competition.

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Airport Fund Line Item Funding Source Summary

FUNDING SOURCES	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17	% Change from Amended
Oil and Gas Lease	6238	$ -	22,430	-	-	-	-
Late Fees	6704	5,240	3,435	3,521	-	-	-
Interest	6802	4,979	24,745	85,300	20,081	18,449	(8.1)
Hangar Rent and Shop Rent	6909	202,184	205,127	207,036	207,036	190,100	(8.2)
East Terminal Rent	6910	54,001	54,795	55,289	55,392	54,450	(1.7)
Land Rent - Fixed Base Operator	6912	368,722	382,517	400,597	414,099	419,300	1.3
Office Space Rentals - Airlines	6913	1,901,498	1,946,888	1,993,675	2,041,000	1,906,100	(6.6)
Office Space Rentals - Other	6914	159,591	160,711	161,419	161,565	163,200	1.0
Land Rent - Terminal	6915	32,591	41,434	49,352	51,675	52,800	2.2
Land Rent Agriculture	6917	48,232	84,501	58,390	45,000	50,000	11.1
Building Rent	6919	89,474	75,880	61,606	61,728	63,000	2.1
Miscellaneous Recovery of Expenses	7011	18,055	17,640	19,243	21,473	20,000	(6.9)
Discounts	7012	572	652	791	-		-
Security Access Reimbursement	7017	765,400	862,760	837,237	860,638	930,137	8.1
Sale of Equipment Vehicle	7104	3,890	20,979	4,655	-		-
Sale of Junk	7112	405	275	238	-		-
Subrogation	7510	-	-	2,180	-		-
Landing Fees - Airlines	7534	792,864	857,569	872,631	900,000	875,000	(2.8)
Landing Fees - Other	7535	508,324	618,254	648,357	682,481	675,000	(1.1)
Gasoline Commissions	7536	82,352	87,413	81,373	83,542	80,000	(4.2)
Advertising	7539	28,269	29,747	29,027	30,000	30,000	-
Restaurant Concessions	7543	190,033	194,938	192,125	186,788	192,000	2.8
Shoe Shine Stand	7545	900	900	900	900	900	-
Rental Car Income	7546	1,144,833	1,247,188	1,233,793	1,250,971	1,230,000	(1.7)
Taxicabs	7547	4,800	5,250	6,600	4,800	4,800	-
Tenant Parking	7549	14,660	13,267	13,284	13,285	13,250	(0.3)
Public Parking	7550	2,129,559	2,436,735	2,986,971	2,940,531	3,050,000	3.7
Rental Car Parking	7551	27,797	28,721	29,645	30,569	31,493	3.0
Miscellaneous Revenue	7682	34,177	11,219	31,772	34,699	30,000	(13.5)
Transfer from PFC	7944	2,513,798	1,600,705	1,656,463	864,421	881,505	2.0
TOTAL REVENUE SOURCES		$ 11,127,198	11,036,673	11,723,470	10,962,674	10,961,484	(0.0)

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Summary of Airport Fee Changes

ACCOUNT DESCRIPTION	Account Number	Rate Details	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Proposed FY 2016-17	$ Change from Amended
Hanger and Shop Rent	6909	Chapparal 108, 302	$ 0.91270	0.92640	0.92640	0.92640	0.93380	0.0074
		G&G Avionics	1.11000	1.12000	1.12000	1.13000	1.13000	no change
		Lubbock Aero (T-Hangars)	1.49700	1.51900	1.51900	1.53100	1.54200	0.0110
		Lubbock Aero	0.33670	0.34170	0.34170	0.34440	0.34630	0.0019
		Lubbock Aero (Hangar 20)	1.36600	1.38600	1.38600	1.39700	1.40700	0.0100
		Med-Trans Corp (Aero Care)	1.43000	1.45000	1.45000	1.46000	1.47000	0.0100
East Terminal Rent	6910	Chaparral (per sq. ft.)	10.96	11.29	11.48	11.74	11.74	no change
		FAA (per sq. ft.)	9.125	9.125	9.125	9.125	0.000	(9.125)
Land Rent - Fixed Base Operator	6912	Berry Enterprises	0.1675	0.1700	0.1700	0.1714	0.1726	0.0026
		Chaparral (108,302)	0.1675	0.1700	0.1700	0.1714	0.1726	0.0026
		Chaparral (Horton)	0.1675	0.1700	0.1700	0.1714	0.1726	0.0026
		Chaparral (fuel)	0.1675	0.1700	0.1700	0.1714	0.1726	0.0026
		Chaparral (T-hangar)	0.1675	0.1700	0.1700	0.1714	0.1726	0.0026
		Charles Hall	0.1499	0.1521	0.1521	0.1533	0.1544	0.0023
		Executive Hangars	0.1675	0.1700	0.1700	0.1714	0.1726	0.0026
		Federal Express	0.3370	0.3370	0.4000	0.4000	0.4000	no change
		G&G Avionics	0.1675	0.1700	0.1700	0.1714	0.1726	0.0026
		Lubbock T-hangars (East)	0.1675	0.1700	0.1700	0.1714	0.1726	0.0026
		Lubbock T-hangars (West)	0.1482	0.1504	0.1504	0.1516	0.1527	0.0023
		Jim Killion	0.1499	0.1521	0.1521	0.1533	0.1544	0.0023
		Lew Lewis Construction	0.1675	0.1700	0.1700	0.1714	0.1726	0.0026
		Lubbock Aero (tracks)	0.1356	0.1376	0.1376	0.1387	0.1397	0.0021
		Lubbock Aero (Hangar 20)	0.1675	0.1700	0.1700	0.1714	0.1726	0.0026
		Marco Steel	0.1675	0.1700	0.1700	0.1714	0.1726	0.0026
		Midway	0.1582	0.1606	0.1606	0.1619	0.1630	0.0024
		Med-Trans Corp (formerly TLC)	0.1675	0.1700	0.1700	0.1714	0.1726	0.0026
		UPS	0.3370	0.3370	0.3370	0.4000	0.4000	0.0630
		West Texas Agriplex	0.1675	0.1700	0.1700	0.1714	0.1726	0.0026
		Wolfpen	0.1504	0.1527	0.1527	0.1539	0.1550	0.0023
Office Space Rentals - Airlines	6913	Per Sq. Ft.	27.88	28.55	28.55	29.23	29.23	no change
Office Space Rentals - Other	6914	TSA	33.61	33.61	33.61	33.61	33.61	no change
		Ports to Plains	11.03	11.22	11.39	11.48	11.56	0.080
		Globe/Ram	19.27	19.60	19.89	20.05	20.19	0.140
		Alamo	17.96	27.69	28.10	28.32	28.52	0.200
		Avis	17.96	27.69	28.10	28.32	28.52	0.200
		Budget	17.96	27.69	28.10	28.32	28.52	0.200
		Enterprise	17.96	27.69	28.10	28.32	28.52	0.200
		Hertz	17.96	27.69	28.10	28.32	28.52	0.200
		National	17.96	27.69	28.10	28.32	28.52	0.200
		Thrifty	-	27.69	28.10	28.32	28.52	0.200
Land Rent - Terminal	6915	Per Sq. Ft.	0.1647	0.1675	0.1700	0.1714	0.1726	0.0012
Land Rent - Agriculture	6917	Per Sq. Ft.	1/3 of crop + $100/acre vegetables	1/3 of crop + $100/acre vegetables	1/3 of crop + $100/acre vegetables	1/3 of crop + $100/acre vegetables	1/2 of crop + $100/acre vegetables	+ 1/6 of crop
Building Rent	6919	Marco Steel	1.2360	1.2570	1.2758	1.2860	1.2950	0.009
Security Access Reimbursement	7017	90% of Aviation Security Access operating budget per month per airline			varies			no change
Landing Fees - Airlines	7534	Rate per 1,000 pounds	1.32	1.43	1.47	1.51	1.51	no change
Landing Fees - Other	7535	Rate per 1,000 pounds	1.65	1.79	1.84	1.89	1.89	no change
Tennant Parking	7549	Fee per employee	50.00	50.00	50.00	50.00	50.00	no change
Public Parking	7550	Airport Shelter Park (per day)	0.0000	0.0000	0.0000	8.5000	8.5000	no change
Rental Car Parking	7551	Fee per month	15.000	15.500	16.000	16.500	17.000	0.500

City of Lubbock TEXAS

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Cemetery Overview

Mission and Purpose

Provide an aesthetically pleasing, peaceful, and quiet sanctuary as a suitable location for families to inter and honor their loved ones.

Overview

Cemetery staff provides the following services:

- Sell burial ground space and mausoleum space on an immediate need or pre-need basis.
- Maintain and beautify the Cemetery property.
- Conduct graveside services with associated amenities.
- Record and preserve Cemetery documents, transactions, and records.

Goals and Objectives

- Provide excellent, yet compassionate customer service to the general public, families, visitors, funeral homes, monument companies, and Lubbock County General Assistance.
- Join families and friends in their grief and walk them empathically through the funeral planning process.
- Properly and professionally care for and maintain Cemetery property, gravesites, and facilities as well as strive to improve the Cemetery's overall appearance.
- Maintain all records in an orderly, efficient, and professional manner.

Accomplishments for FY 2015-16

- Repainted the exterior of the Cemetery office.
- Removed approximately 27 dead and decayed trees.
- Removed 82 tree stumps throughout the Cemetery.
- Worked with Lubbock County General Assistance office to move away from casket burials and performing cremains burials to save Cemetery land space.
- Developed 2,800 new burial plots in Section 7.
- Refurbished and repaired a majority of the paved streets within the Cemetery.
- Began making digital copies of interment records with GIS technology.

Objectives for FY 2016-17

- Continue to make digital copies of interment records.
- Improve Cemetery appearance by trimming trees and maintain turf areas.
- Continue to maintain and improve paved streets.
- Continue working with schools and civic organizations to boost historical significance of the Cemetery.
- Continue providing compassionate and empathic service to families in the funeral planning process.
- Continue to upgrade and complete records in an electronic database.

Financial Condition

Adjusting for accounts payable, other current liabilities, and restricted cash at September 30, 2015, $236,896 is available for appropriation. Bringing that amount forward, the amount available for appropriation at September 30, 2016 is expected to be $227,578. The Cemetery Fund is required by policy, to have 8% of revenue set aside as a reserve. The fund is forecast to have a reserve surplus of $193,738 at September 30, 2016.

Current Assets at September 30, 2015	$	242,751
Less: Accounts Payable and Accrued Liabilities		(27,239)
Total Net Current Assets		215,512
Cashflow Calculations		
Current Assets Less Current Liabilities		215,512
Add: Restricted Cash Reserved for Debt Service		21,384
Total Available Cash at September 30, 2015	$	236,896
FY 2015-16 Budget Forecast:		
Operating Revenues		423,000
Non-Operating Revenues		350,181
Less: Expenses		(782,499)
Forecast Addition to Net Current Assets		(9,318)
Total Available Cash at September 30, 2016		227,578
Estimated September 30, 2016		
Target Net Assets Policy		8.00%
Target Net Assets by Policy	$	33,840
Available for Appropriation at September 30, 2015	$	193,738

Revenue Overview

- The transfer from the General Fund decreased $34,798, or 10.0 percent, for FY 2016-17.
- Mausoleum sales decreased by $500, or 25 percent.

Expense Overview

- Budgeted expenses decreased $1,795, or 0.2 percent, when compared with the FY 2015-16 Operating Budget.
- Compensation and Benefits decreased $6,123, or 1.4 percent, due a decrease in part-time and overtime pay.
- Supplies decreased $2,289, or 4.5 percent, due to decreases in botanical supplies, mail handling, and fuel costs.
- Maintenance decreased $3,365, or 9.4 percent, due to a decrease in motor vehicle maintenance.
- Scheduled Charges decreased $921, or 2.3 percent, due to decreased liability insurance, electric, and gas charges.
- A projected vehicle/equipment debt payment of $36,773, and debt service payment of $54,341 have been included in the FY 2016-17 budget.

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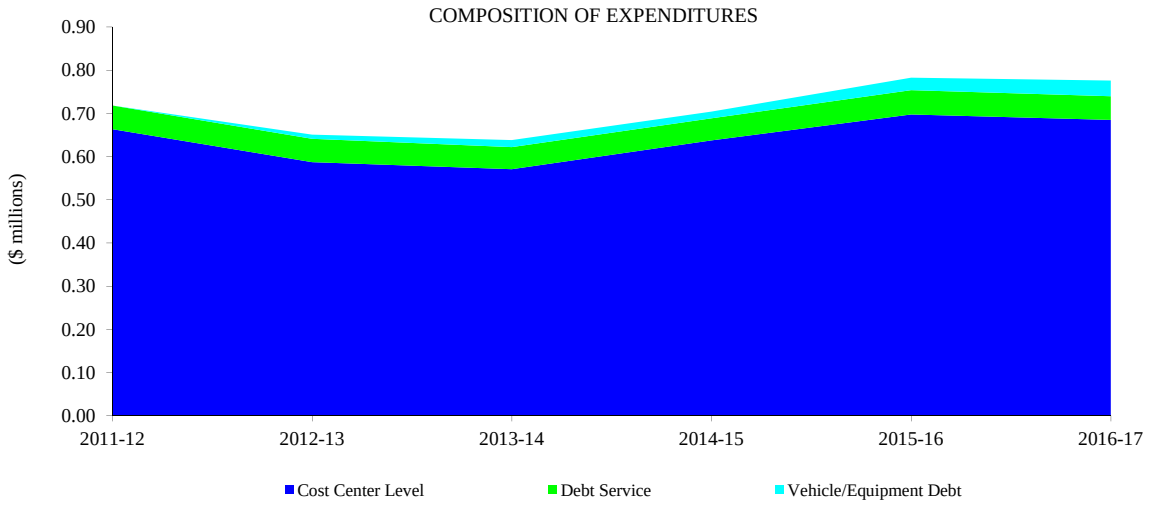
Enterprise Fund - Cemetery



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Cemetery	7	7	7	7	7	-
TOTAL STAFFING	7	7	7	7	7	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Mowing Frequency	25	25	25	25	25	-
Fertilization Frequency	-	1	-	1	1	-
New Markers Installed	427	454	266	350	350	-
Hours on Irrigation System Maint.	300	380	520	400	400	-
Funeral Services	370	416	436	375	375	-
Spaces Sold	317	315	332	300	300	-
Monument Permits Issued	107	97	103	105	105	-

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Cemetery - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ 679	2,103	4,701	-	-	-
Miscellaneous	25,403	56,140	25,034	21,000	21,000	-
Lot Sales	197,034	207,319	215,760	190,000	190,000	-
Grave Services	213,988	189,426	235,099	210,000	210,000	-
Mausoleum Sales	1,939	1,583	250	2,000	1,500	(25.0)
Transfer from Other Funds	80,234	272,205	354,618	350,181	315,203	(10.0)
Total Revenue Sources	519,278	728,775	835,462	773,181	737,703	(4.6)
Utilization of Net Assets	131,742	-	-	9,318	43,000	361.5
TOTAL FUNDING SOURCES	$ 651,020	728,775	835,462	782,499	780,703	(0.2)

DEPARTMENT LEVEL EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 238,271	205,569	261,560	292,193	284,673	(2.6)
Benefits	134,219	135,019	133,057	150,749	152,146	0.9
Supplies	28,521	35,582	49,023	51,210	48,921	(4.5)
Maintenance	28,880	28,877	38,700	35,958	32,593	(9.4)
Professional Services/Training	112,532	123,664	116,812	126,780	126,780	-
Other Charges	1,126	1,109	-	-	-	-
Scheduled Charges	43,116	41,097	38,042	40,603	39,682	(2.3)
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL DEPARTMENT LEVEL EXPENSES	$ 586,665	570,917	637,194	697,493	684,796	(1.8)

FUND LEVEL EXPENSES						
Net Debt Service	$ 54,425	50,692	50,859	55,659	54,341	(2.4)
Vehicle/Equipment Debt	9,439	16,086	16,086	29,346	36,773	25.3
Miscellaneous	491	2,131	1,018	-	-	-
TOTAL FUND LEVEL EXPENSES	$ 64,355	68,909	67,963	85,005	91,114	7.2
Compensation Adjustment					4,794	
TOTAL EXPENSES	$ 651,020	639,826	705,157	782,499	780,703	(0.2)

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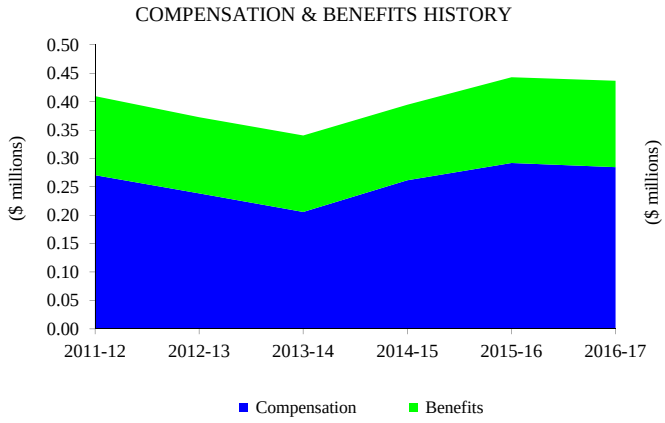
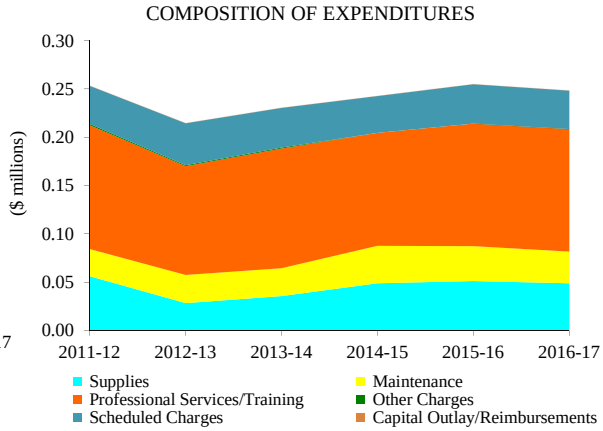
Cemetery - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 238,271	205,569	261,560	292,193	284,673	(2.6)
Benefits	134,219	135,019	133,057	150,749	152,146	0.9
Supplies	28,521	35,582	49,023	51,210	48,921	(4.5)
Maintenance	28,880	28,877	38,700	35,958	32,593	(9.4)
Professional Services/Training	112,532	123,664	116,812	126,780	126,780	-
Other Charges	1,126	1,109	-	-	-	-
Scheduled Charges	43,116	41,097	38,042	40,603	39,682	(2.3)
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 586,665	570,917	637,194	697,493	684,796	(1.8)

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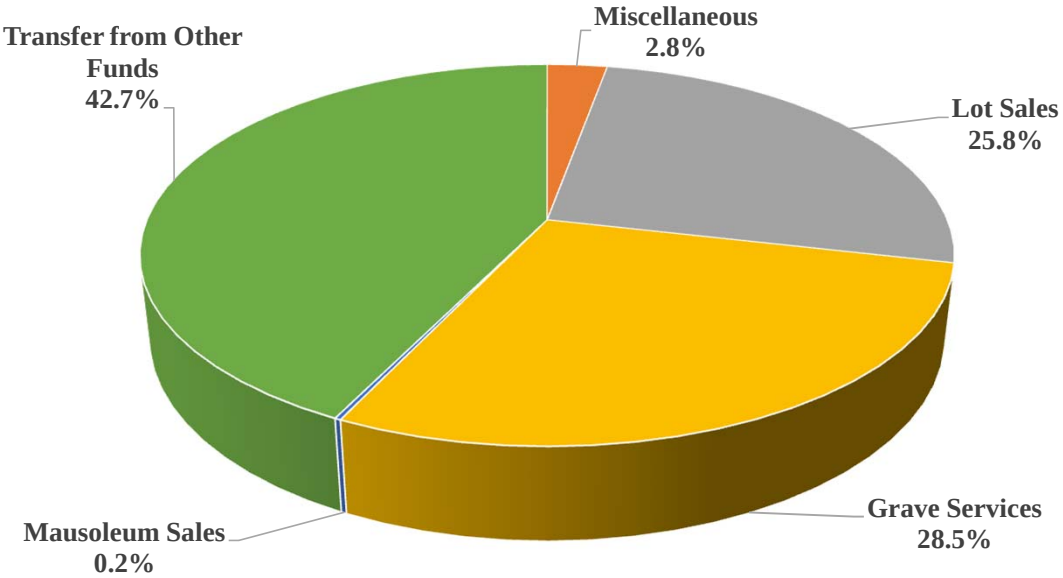
Cemetery Fund Revenue Analysis

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17
Interest Earnings	$ 679	2,103	4,701	-	-
Miscellaneous	25,403	56,140	25,034	21,000	21,000
Lot Sales	197,034	207,319	215,760	190,000	190,000
Grave Services	213,988	189,426	235,099	210,000	210,000
Mausoleum Sales	1,939	1,583	250	2,000	1,500
Transfer from Other Funds	80,234	272,205	354,618	350,181	315,203
Total Revenue Sources	$ 519,278	728,775	835,462	773,181	737,703
Utilization of Net Assets	131,742	-	-	9,318	43,000
TOTAL FUNDING SOURCES	$ 651,020	728,775	835,462	782,499	780,703



Forty-three percent of the revenues in the Cemetery Fund are generated by a transfer from the General Fund. An decrease in the transfer is projected due to utilization of appropriable net assets. Other revenues include miscellaneous, lot sales, grave services, and mausoleum sales. These revenues are projected utilizing previous year trends. Lot sales are derived from the sale of Cemetery ground space which varies according to location and is calculated by taking an average of historical revenues for lots and ground space sold. Grave service revenues are derived from opening and closing fees for funeral services as well as disinterments. These fees are set according to the type of service, i.e. adult, infant, County interment, or cremation, and if the service is on a weekday or Saturday. Revenues are calculated based on the estimated number and kinds of funeral services anticipated in the coming fiscal year and opening and closing fee revenues for previous years.

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Cemetery Fund Line Item Funding Source Summary

FUNDING SOURCES	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forcasted FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	6802	$ 679	2,103	4,701	-	-	-
Lot Sales	7611	197,034	207,319	215,760	190,000	190,000	-
Grave Services	7612	213,988	189,426	235,099	210,000	210,000	-
Mausoleum Sales	7616	1,939	1,583	250	2,000	1,500	(25.0)
Miscellaneous	7613	22,031	51,940	25,034	21,000	21,000	-
Sale of Equipment Vehicle	7104	3,373	4,200	-	-	-	-
Transfer from Other Funds	7904	80,234	272,205	354,618	350,181	315,203	(10.0)
TOTAL REVENUE SOURCES		$ 519,278	728,775	835,462	773,181	737,703	(7.5)

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Civic Centers Overview

Department Mission and Purpose

Provide cultural and economic interchange by maximizing the utilization of Civic Centers facilities. Civic Centers staff provides the following services:

- Room configurations meeting the requirements of a variety of events.
- Facilities focusing on cleanliness and comfort.
- Friendly and courteous staff with the knowledge to make events successful.
- Ticketing services for a majority of events in the region.
- Concession items and services of the highest quality.

Goals and Objectives

- Host annual events appealing to Lubbock citizens and visitors from surrounding communities.
- Provide the highest level of customer service.
- Be the location of choice for meetings and events.

Accomplishments for FY 2015-16

- Renovated the banquet hall space, to include new carpet, wall covering, new chairs, replacement of lamps to LED fixtures, and paint.
- Replaced carpet throughout the facility, to include the pedestrian mall and mezzanine area.
- Renovated the theater to include new seating, wall covering, house lighting, painting, and light fixtures in the lobby.
- Painted walls and replaced carpet in the meeting room area.
- Service contract for ATM's to be installed at the Civic Center on a full-time basis and on an "as needed" basis for the City Bank Auditorium/Coliseum.
- Renovated restrooms throughout the Civic Center facility.
- Procured and installed new kitchen equipment in the banquet hall.

Objectives for FY 2016-17

- Install new direct digital controls for the heating and air conditioning system in the Civic Center.
- Continue to replace and repair the coils in the air handlers in the City Bank Coliseum. This will improve heating and cooling capacities on the space.
- Increase professional development opportunities for staff, to include sessions on safety, customer service, and team building.

Financial Condition

Adjusting for accounts payable, other current liabilities, and restricted cash at September 30, 2015, $445,348 is available for appropriation. The amount available for appropriation at September 30, 2016 is expected to be $417,457. The Civic Center Fund is required by policy, to have 8% of revenue set aside as a reserve. The fund is forecasted to have a reserve surplus of $368,265 at September 30, 2016.

Current Assets at September 30, 2015	$	1,408,919
Less: Accounts Payable and Accrued Liabilites		(1,141,913)
Net Current Assets		267,006
Cashflow Calculations		
Current Assets Less Current Liabilities		267,006
Add: Restricted Cash Reserved for Debt Service		178,342
Total Available Cash at September 30, 2015	$	445,348
FY 2015-16 Amended Budget		
Operating Revenues		614,900
Non-Operating Revenues		2,918,971
Less: Expenses		(3,561,762)
Net Operating		(27,891)
Total Available Cash by September 30, 2016	$	417,457
Estimated September 30, 2016		
Target Net Assets Policy		8.0%
Target Net Assets by Policy		49,192
Available for Appropriation at September 30, 2016	$	368,265

Revenue Overview

- Budgeted revenue decreased $9,521, or 0.3 percent, for FY 2016-17.
- The transfer from the General Fund decreased $12,020, or 1.8 percent, for FY 2016-17.
- The budgeted use of fund balance is $150,000 for FY 2016-17 in an effort to utilize net assets that are above policy levels.

Expenditure Overview

- Budgeted expenses increased $112,588, or 3.2 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits decreased $6,313, or 0.4 percent.
- Supplies decreased $2,154, or 2.6 percent due to a decrease in mail handling fee.
- Maintenance decreased $4,450, or 1.3 percent, due to decreases in building maintenance charges.
- Other Charges decreased $18,555, or 37.6 percent, due to a decrease in rental leases.
- Scheduled Charges increased $129,615, or 16.5 percent, due to increased data processing, electric, and liability insurance charges.

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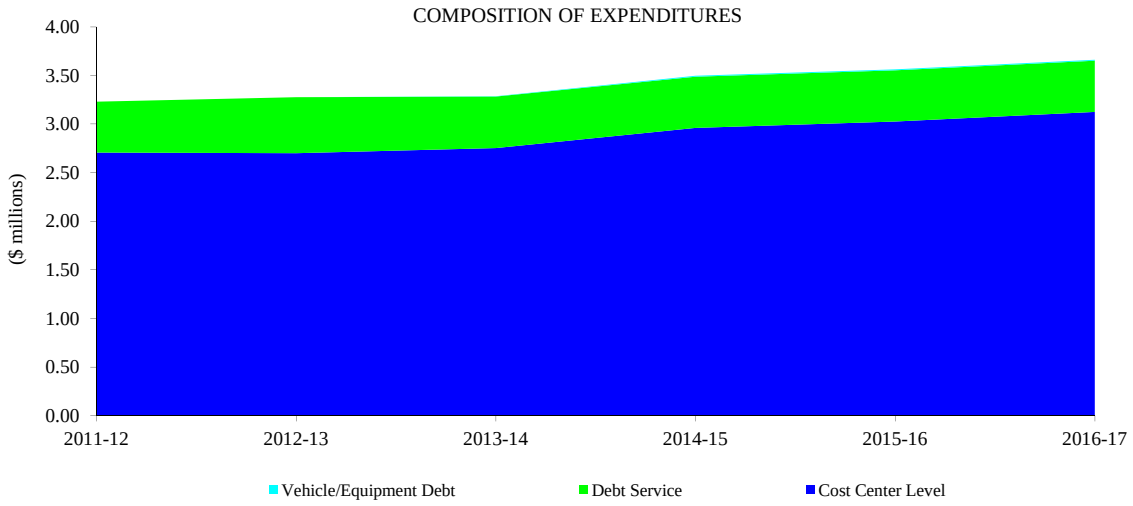
Enterprise Fund - Civic Centers



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Municipal Auditorium	-	-	-	-	-	-
Civic Center	16	16	16	16	16	-
Coliseum	11	11	11	11	11	-
Amphitheater	-	-	-	-	-	-
TOTAL STAFFING	27	27	27	27	27	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Number of Events Under 500 in Attendance	156	234	235	200	200	-
Number of Events Over 500 in Attendance	214	184	192	180	180	-
Number of Event Activities	1,189	1,564	1,310	1,250	1,250	-
Total Event Attendance	352,167	345,062	365,542	315,000	315,000	-
Number of Conventions	10	7	4	5	5	-

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Civic Centers - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ (2,355)	8,328	23,861	-	-	-
Auditorium/Coliseum	156,053	122,963	223,588	146,400	181,400	23.9
Civic Center	536,094	551,941	442,319	437,000	439,000	0.5
Miscellaneous	9,707	17,782	37,184	31,500	39,000	23.8
Transfer from General Fund	510,084	573,349	444,972	672,632	660,612	(1.8)
Transfer from HOT Fund	1,860,392	1,587,164	1,761,300	1,718,697	1,676,781	(2.4)
Transfer from Debt Service Fund	530,859	529,258	528,538	527,642	527,557	(0.0)
Total Revenue Sources	3,600,834	3,390,784	3,461,761	3,533,871	3,524,350	(0.3)
Utilization of Net Assets	-	-	33,595	27,891	150,000	437.8
TOTAL FUNDING SOURCES	$ 3,600,834	3,390,784	3,495,357	3,561,762	3,674,350	3.2

DEPARTMENT LEVEL EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,178,880	1,158,153	1,291,532	1,263,087	1,258,070	(0.4)
Benefits	411,476	420,130	431,198	444,730	443,434	(0.3)
Supplies	99,036	105,403	83,817	82,689	80,535	(2.6)
Maintenance	241,810	235,849	317,513	331,727	327,276	(1.3)
Professional Services/Training	61,688	63,640	42,091	69,630	69,915	0.4
Other Charges	48,329	48,792	43,958	49,320	30,765	(37.6)
Scheduled Charges	659,775	722,621	749,195	784,397	914,011	16.5
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL DEPARTMENT LEVEL EXPENSES	$ 2,700,993	2,754,587	2,959,305	3,025,580	3,124,006	3.3

FUND LEVEL EXPENSES						
Net Debt Service	$ 575,182	527,916	527,511	527,642	527,557	(0.0)
Vehicle/Equipment Debt	-	2,721	8,541	8,541	8,541	0.0
TOTAL FUND LEVEL EXPENSES	$ 575,182	530,637	536,052	536,183	536,098	(0.0)
Compensation Adjustment					14,246	
TOTAL EXPENSES	$ 3,276,175	3,285,224	3,495,357	3,561,762	3,674,350	3.2

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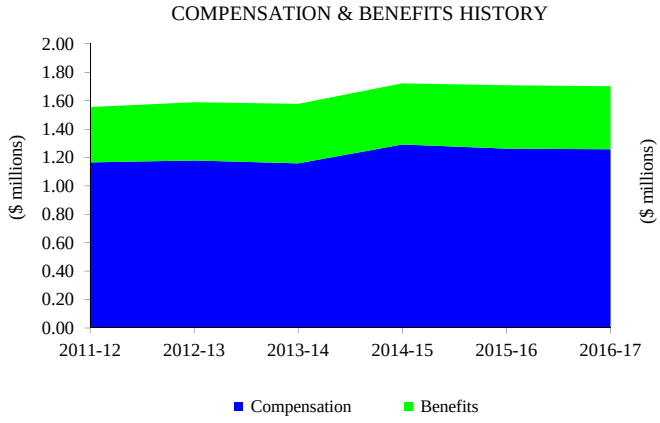
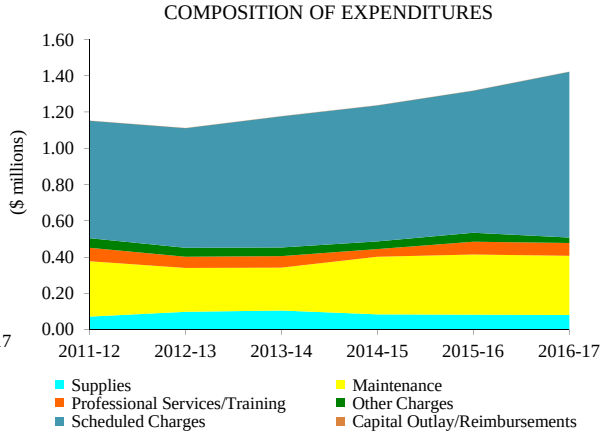
Civic Centers - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,178,880	1,158,153	1,291,532	1,263,087	1,258,070	(0.4)
Benefits	411,476	420,130	431,198	444,730	443,434	(0.3)
Supplies	99,036	105,403	83,817	82,689	80,535	(2.6)
Maintenance	241,810	235,849	317,513	331,727	327,276	(1.3)
Professional Services/Training	61,688	63,640	42,091	69,630	69,915	0.4
Other Charges	48,329	48,792	43,958	49,320	30,765	(37.6)
Scheduled Charges	659,775	722,621	749,195	784,397	914,011	16.5
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 2,700,993	2,754,587	2,959,305	3,025,580	3,124,006	3.3

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Civic Centers - Department Expenditures

Municipal Auditorium

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ -	-	1,046	-	-	-
Benefits	-	-	-	-	-	-
Supplies	4,527	5,544	5,395	4,100	3,520	(14.1)
Maintenance	8,703	4,701	6,802	15,438	7,500	(51.4)
Professional Services/Training	2,187	1,758	3,614	3,200	3,445	7.7
Other Charges	35,493	31,958	37,755	36,555	18,000	(50.8)
Scheduled Charges	48,361	39,444	46,564	46,123	112,809	144.6
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL MUNICIPAL AUDITORIUM	$ 99,271	83,405	101,176	105,416	145,275	37.8

Civic Center

EXPENDITURES BY CATEGORY						
Compensation	$ 792,617	835,613	884,924	899,424	897,060	(0.3)
Benefits	261,416	274,372	283,141	294,038	300,626	2.2
Supplies	61,208	60,123	51,081	51,623	51,429	(0.4)
Maintenance	144,507	190,923	226,984	206,954	223,040	7.8
Professional Services/Training	47,897	45,686	23,090	50,391	50,636	0.5
Other Charges	10,993	15,427	5,372	12,000	12,000	-
Scheduled Charges	412,890	469,906	528,537	587,671	579,319	(1.4)
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL CIVIC CENTER	$ 1,731,528	1,892,050	2,003,130	2,102,100	2,114,109	0.6

Coliseum

EXPENDITURES BY CATEGORY						
Compensation	$ 386,263	320,315	401,776	360,663	358,010	(0.7)
Benefits	150,060	145,758	148,057	150,692	142,808	(5.2)
Supplies	32,303	38,404	26,237	25,182	24,680	(2.0)
Maintenance	86,451	33,807	76,715	107,294	93,945	(12.4)
Professional Services/Training	11,604	16,196	12,430	15,539	15,784	1.6
Other Charges	1,844	1,407	831	765	765	-
Scheduled Charges	198,516	213,263	174,090	150,526	221,808	47.4
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL COLISEUM	$ 867,041	769,150	840,135	810,662	857,800	5.8

Amphitheater

EXPENDITURES BY CATEGORY						
Compensation	$ -	2,224	3,787	3,000	3,000	-
Benefits	-	-	-	-	-	-
Supplies	997	1,331	1,104	1,785	905	(49.3)
Maintenance	2,149	6,419	7,012	2,041	2,791	36.7
Professional Services/Training	-	-	2,956	500	50	(90.0)
Other Charges	-	-	-	-	-	-
Scheduled Charges	7	7	5	76	76	(0.5)
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL AMPHITHEATER	$ 3,152	9,981	14,864	7,402	6,822	(7.8)

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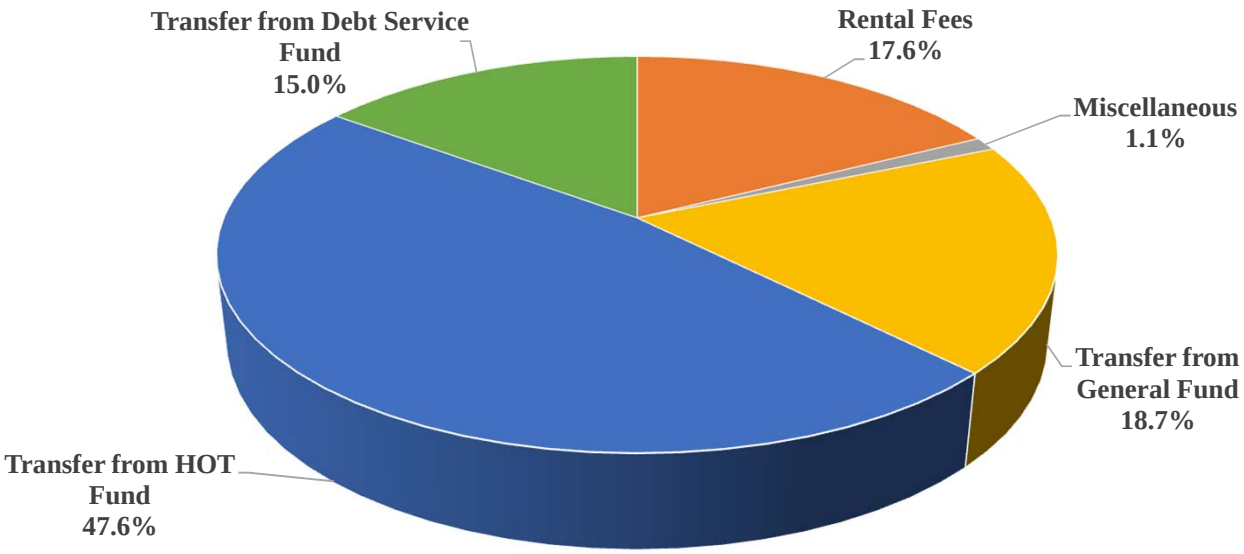
Civic Center Fund Revenue Analysis

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17
Interest Earnings	$ (2,355)	8,328	23,861	-	-
Rental Fees	692,147	674,903	665,907	633,400	620,400
Miscellaneous	9,707	17,782	37,184	34,000	39,000
Transfer from General Fund	510,084	573,349	444,972	672,632	660,612
Transfer from HOT Fund	1,860,392	1,587,164	1,761,300	1,718,697	1,676,781
Transfer from Debt Service Fund	530,859	529,258	528,538	527,642	527,557
Total Revenue Sources	$ 3,600,834	3,390,784	3,461,761	3,586,371	3,524,350
Utilization of Net Assets	-	-	33,595	27,891	150,000
TOTAL FUNDING SOURCES	$ 3,600,834	3,390,784	3,495,357	3,614,262	3,674,350



Forty-eight percent of the revenues in the Civic Center Fund are generated by a transfer from the Hotel Occupancy Tax (HOT) Fund. HOT funds are used for marketing and operating costs at the City's Civic Centers. The revenues in the HOT Fund are projected utilizing historical trends in actual HOT receipts, economic conditions, Texas Tech University events, and sporting events. The transfer from General Fund accounts for nineteen percent of the projected revenues. Other revenues include a transfer from Debt Service Fund, miscellaneous fees, and rental fees which are paid by the lessees for the use of the facilties such as the Auditorium, Coliseum, and Civic Center. Fees paid by groups to utilize the Civic Center, include but are not limited to the Exhibit Hall, Theater, Banquet Hall, Meeting Rooms, Terrace Suite, Mezzanine, and the Wells Fargo Ampitheatre at Mackenzie Park. These revenues are projected utilizing historical trends and collection.

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Civic Center Fund Line Item Funding Source Summary

FUNDING SOURCES	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17	% Change from Amended
Auditorium/Coliseum Rent	6550	$ 60,082	30,775	126,551	100,000	85,000	(15.0)
Auditorium/Coliseum Parking	6551	86,005	83,337	88,950	87,900	87,900	-
Civic Center Rental	6552	523,077	539,544	428,144	425,000	425,000	-
Auditorium/Coliseum Commissions	6555	9,966	8,851	8,087	8,500	8,500	-
Concession Commission - Civic Center	6556	13,016	12,397	14,174	12,000	14,000	16.7
Facility Improvement Fee	6590	7,223	15,154	30,785	30,000	35,000	16.7
Interest on Investments	6802	(2,355)	8,328	23,861	-	-	-
Civic Center Equipment Rental	6901	2,484	1,814	1,531	1,500	1,500	-
Miscellaneous Revenue	7011	-	-	200	-	-	-
Junk Sales	7112	-	813	1,815	-	-	-
Subrogation	7510	-	-	2,852	-	-	-
Insurance Revenue	7618	-	-	-	-	-	-
Misc Other Revenue - ATM Commission	7681	-	-	-	2,500	2,500	-
Transfer from General Fund	7904	510,084	573,349	444,972	672,632	660,612	(1.8)
Transfer from HOT Fund	7906	1,860,392	1,587,164	1,761,300	1,718,697	1,676,781	(2.4)
Transfer from Debt Service Fund	7909	530,859	529,258	528,538	527,642	527,557	(0.0)
TOTAL REVENUE SOURCES		$ 3,600,834	3,390,784	3,461,761	3,586,371	3,524,350	(1.7)

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Summary Of Civic Center Fee Changes

ACCOUNT DESCRIPTION	Account Number		RATE DETAILS	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Proposed FY 2016-17
Civic Center Rental	6552	Banquet Hall:						
		Non-Profit	1/3 per event Friday and Saturday	600.00	600.00	600.00	600.00	625.00
		Non-Profit	1/3 per event Friday and Saturday	700.00	700.00	700.00	700.00	750.00
		Commercial	1/3 per event Friday and Saturday	800.00	800.00	800.00	800.00	900.00
		Commercial	1/3 per event Friday and Saturday	800 / 10%	800 / 10%	800 / 10%	800 / 10%	825 / 10%
		Commercial	2/3 per event Friday and Saturday	900 / 10%	900 / 10%	900 / 10%	900 / 10%	950 / 10%
		Commercial	Full per event Friday and Saturday	1,000 / 10%	1,000 / 10%	1,000 / 10%	1,000 / 10%	1,100 / 10%
		Exhibit Hall:						
		Non-Profit	1/3 per event Friday and Saturday	800.00	800.00	800.00	800.00	850.00
		Non-Profit	1/2 per event Friday and Saturday	1,000.00	1,000.00	1,000.00	1,000.00	1,100.00
		Non-Profit	Full per event Friday and Saturday	1,300.00	1,300.00	1,300.00	1,300.00	1,450.00
		Commercial	1/3 per event Friday and Saturday	1,000 / 10%	1,000 / 10%	1,000 / 10%	1,000 / 10%	1,050 / 10%
		Commercial *	1/2 per event Friday and Saturday	1,200 / 10%	1,200 / 10%	1,200 / 10%	1,200 / 10%	1,300 / 10%
		Commercial *	Full per event Friday and Saturday	1,600 / 10%	1,600 / 10%	1,600 / 10%	1,600 / 10%	1,750 / 10%
		Meeting Rooms:						
		Terrace Suite/Mezzanine	Per Event	500.00	500.00	500.00	500.00	550.00
		Theatre:						
		Non-Profit	1 Perf	450.00	450.00	450.00	450.00	550.00
		Non-Profit	2 perf	600.00	600.00	600.00	600.00	750.00
		Commercial	1 Perf	600 / 10%	600 / 10%	600 / 10%	600 / 10%	800 / 10%
		Commercial	2 perf	775 / 10%	775 / 10%	775 / 10%	775 / 10%	1,000 / 10%

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Lake Alan Henry Overview

Mission and Purpose

The Sam Wahl Recreation Area (SWRA) at Lake Alan Henry (LAH) provides recreational opportunities for lake visitors. Recreational amenities include a boat ramp, boat docks, covered fishing pier, camping areas, trails, and interpretive areas. The Wildlife Mitigation Area (WMA), consisting of 3,700 acres north of the John T. Montford Dam, provides hunting opportunities. The LAH Fund was created by the City Council in FY 2004-05 for operations of the SWRA through the dedication of fees and permits generated in the area. All recreational activities and facilities maintenance are 100 percent funded through the related fees and permits. The General Fund and water rates do not support any of these operations.

Goals and Objectives

- Ensure a safe, clean environment for the lake visitors.
- Meet all of the permit requirements for habitat management on the Wildlife Mitigation Area.

Accomplishments for FY 2015-16

- Installed 86 dock bumpers for protection of boats and docks.
- Installed new water heaters in recreation area restrooms.
- Kept 12 miles of roadway clean, mowed, and bladed.
- Seal coated roadway and parking lot.
- Installed 10 new buoy markers for safety.
- Addition of final wetland area to the southern half of the wildlife mitigation area.
-

Objectives for FY 2016-17

- Install 45 corner bumpers to dock.
- Add caliche to campsite roadways.
- Pour eight 4,000 pound anchors.
- Repaint restrooms and showers.
- Submit annual reports to required agencies.
- Maintain all habitat developments.

Financial Condition

Adjusting for accounts payable, other current liabilities, and restricted cash at September 30, 2015, $107,444 is available for appropriation. Bringing that amount forward, the amount available for appropriation at September 30, 2016 is expected to be $187,619. The Lake Alan Henry Fund, is required, by policy, to have 8% of revenue set aside as a reserve. The fund is forecast to have a reserve surplus of $141,555.

Current Assets at September 30, 2015	$	124,691
Less: Accounts Payable and Accrued Liabilities		(17,247)
Total Net Current Assets		107,444
Cashflow Calculations		
Current Assets Less Current Liabilities		107,444
Add: Restricted Cash Reserved for Debt Service		-
Total Available Cash at September 30, 2015	$	107,444
FY 2015-16 Budget Forecast:		
Operating Revenues	$	575,800
Non-Operating Revenues		-
Less: Expenses		(495,625)
Forecast Addition to Net Current Assets		80,175
Total Available Cash by September 30, 2016	$	187,619
Estimated September 30, 2016		
Target Net Assets Policy		8.0%
Target Net Assets by Policy	$	46,064
Available for Appropriation at September 30, 2016	$	141,555

Revenue Overview

- Budgeted revenues decreased $860, or 0.1 percent, for FY 2016-17. Revenue projections remained stable for FY 2016-17 due to the uncertainty in the revenue stream of various accounts that had rate increases in FY 2015-16. Entrance fees, boat launching fees, camping fees, and various annual permits increased in the previous year. Prior to this, rates had not increased since 2002.

Expenditure Overview

- Budgeted expenses increased $45,923, or 9.3 percent, for FY 2016-17.
- Compensation and benefits increased $7,620, or 4.7 percent, due to an increase to health insurance cost and a correction to previous year TMRS amount budgeted.
- Professional Services increased $5,973, or 4.6 percent, due to a contract escalator for the contract with Garza County Law enforcement to provide security and patrol for the Sam Wahl Recreational Area as well as the Wildlife Mitigation Area.
- Scheduled Charges increased $6,124, or 20 percent, for FY 2016-17 due to increases to electric, water, and solid waste utility costs.
- This year the vehicle/equipment debt payment is budgeted at $3,746.
- Transfer to Water increased $22,243, or 15.7 percent, due to higher scheduled debt service payment. The last year of the debt service schedule is set for FY 2032-33.

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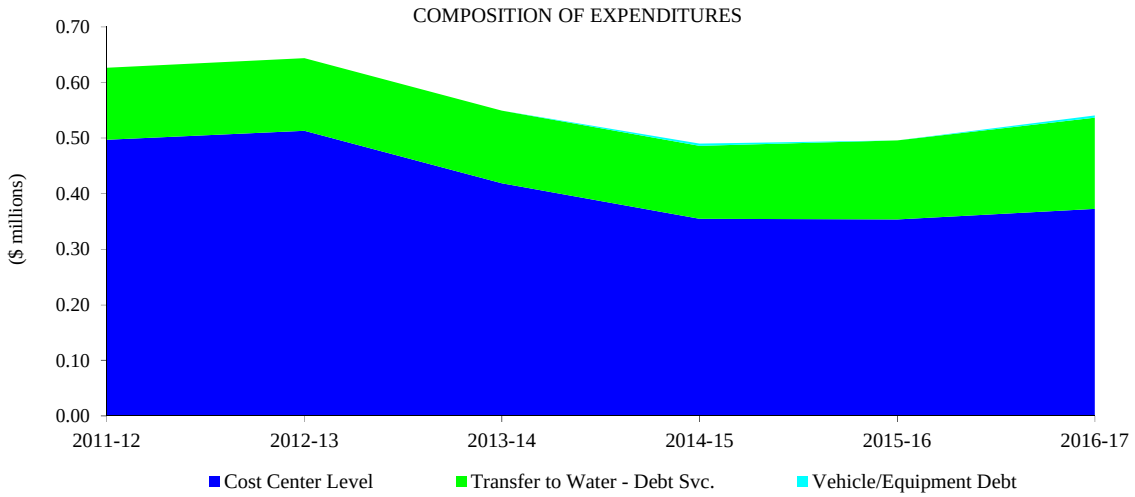
Enterprise Fund - Lake Alan Henry



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Lake Alan Henry	1	1	1	1	1	-
TOTAL STAFFING	1	1	1	1	1	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Number of camp sites improved	32	32	-	-	-	-
Number of camp sites rented	1,956	2,244	2,300	2,350	2	(99.9)

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Lake Alan Henry - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest	$ 105	324	481	-	-	-
Hunting Fees	12,821	10,545	11,398	11,500	11,500	-
Entrance Fees	174,694	161,657	176,296	215,652	215,600	(0.0)
Boat Launching Fees	45,272	43,858	44,235	61,362	61,360	(0.0)
Camping Fees	20,859	19,110	21,205	24,066	24,000	(0.3)
Individual Annual Permit	12,076	9,210	8,420	13,150	13,200	0.4
Family Annual Permit	131,095	123,423	130,045	149,300	150,000	0.5
Annual Boat Permit	42,660	38,637	40,670	60,300	60,500	0.3
House Rent	-	-	20	-	-	-
Senior Annual Permit	6,495	6,165	6,705	7,070	7,100	0.4
Boat Slip Rentals	1,008	1,068	1,043	800	800	-
Livestock Grazing	623	700	7,864	1,600	1,600	-
Restrictive Easement Permit Fee	28,833	51,970	56,231	31,000	31,000	-
Miscellaneous	-	-	4,974	-	-	-
Transfer from General Fund	-	-	-	-	-	-
Total Revenue Sources	476,541	466,667	509,586	575,800	576,660	0.1
Utilization of Net Assets	167,389	82,734	-	-	-	-
TOTAL FUNDING SOURCES	$ 643,930	549,401	509,586	575,800	576,660	0.1

DEPARTMENT LEVEL EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 249,872	175,098	136,852	138,351	137,792	(0.4)
Benefits	79,490	47,627	19,904	24,543	32,722	33.3
Supplies	10,518	12,518	8,412	11,512	11,221	(2.5)
Maintenance	15,228	17,882	21,022	18,521	18,039	(2.6)
Professional Services/Training	120,099	127,534	129,309	129,596	135,569	4.6
Other Charges	2,851	3,686	5,402	500	500	0.0
Scheduled Charges	35,171	34,355	33,686	30,665	36,790	20.0
Capital Outlay/Reimbursements	-	-	-	-	-	
TOTAL DEPARTMENT LEVEL EXPENSES	$ 513,229	418,700	354,587	353,688	372,632	5.4

FUND LEVEL EXPENSES						
Vehicle/Equipment Debt	$ -	-	3,746	-	3,746	-
Transfer to Water	130,701	130,701	131,438	141,937	164,180	15.7
Transfer to Water Capital	-	-	-	-	-	-
Miscellaneous	-	-	-	-	-	-
TOTAL FUND LEVEL EXPENSES	$ 130,701	130,701	135,184	141,937	167,926	18.3
Compensation Adjustment					990	
TOTAL EXPENSES	$ 643,930	549,401	489,771	495,625	541,548	9.3

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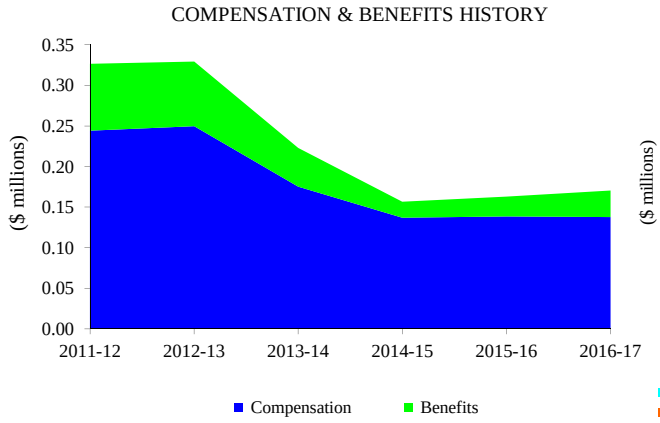
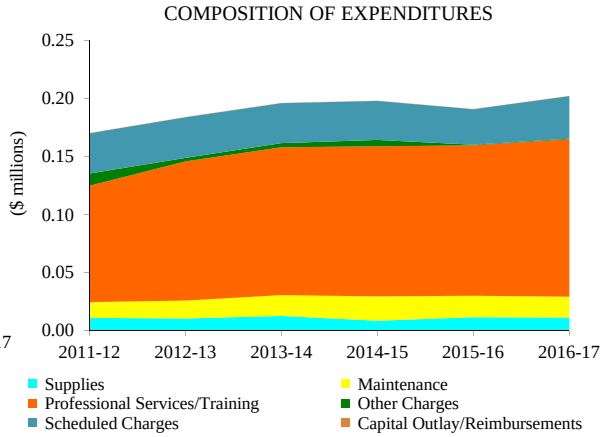
Lake Alan Henry - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 249,872	175,098	136,852	138,351	137,792	(0.4)
Benefits	79,490	47,627	19,904	24,543	32,722	33.3
Supplies	10,518	12,518	8,412	11,512	11,221	(2.5)
Maintenance	15,228	17,882	21,022	18,521	18,039	(2.6)
Professional Services/Training	120,099	127,534	129,309	129,596	135,569	4.6
Other Charges	2,851	3,686	5,402	500	500	0.0
Scheduled Charges	35,171	34,355	33,686	30,665	36,790	20.0
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 513,229	418,700	354,587	353,688	372,632	5.4

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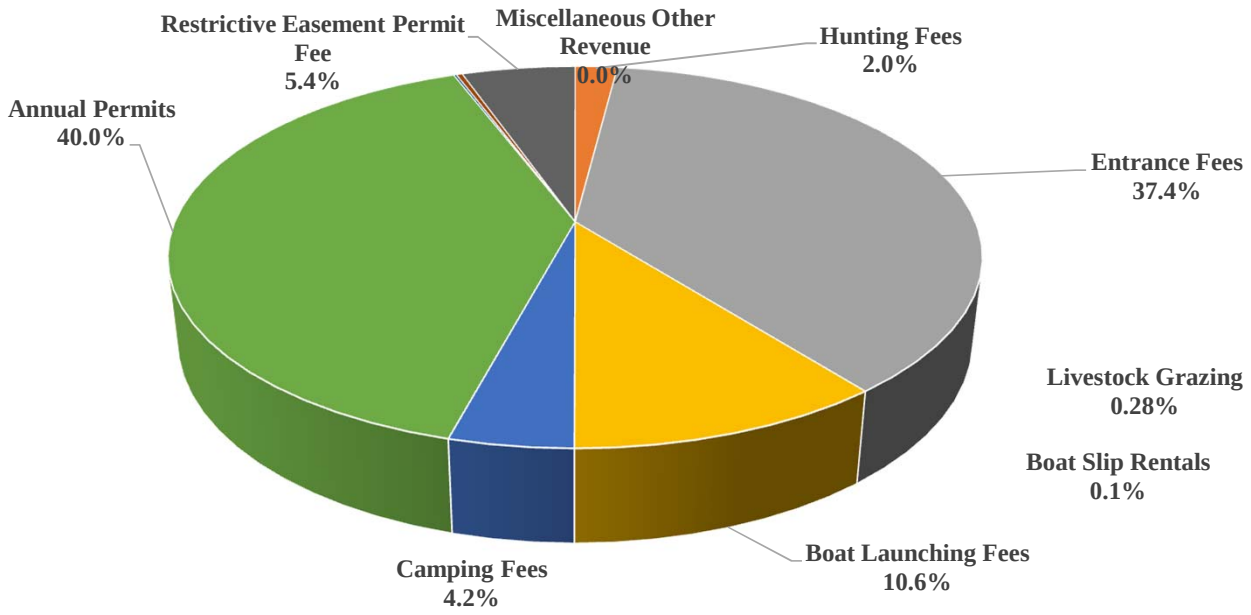
Lake Alan Henry Fund Revenue Analysis

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17
Interest	$ 105	324	481	-	-
Hunting Fees	12,821	10,545	11,398	11,500	11,500
Entrance Fees	174,694	161,657	176,296	215,652	215,600
Boat Launching Fees	45,272	43,858	44,235	61,362	61,360
Camping Fees	20,859	19,110	21,205	24,066	24,000
Annual Permits	192,326	177,435	185,860	229,820	230,800
Boat Slip Rentals	1,008	1,068	1,043	800	800
Livestock Grazing	623	700	7,864	1,600	1,600
Restrictive Easement Permit Fee	28,833	51,970	56,231	53,000	31,000
Miscellaneous Other Revenue	-	-	4,974	-	-
Transfer from General Fund	-	-	-	-	-
Total Revenue Sources	$ 476,541	466,667	509,586	597,800	576,660
Utilization of Net Assets	167,389	82,734	-		-
TOTAL FUNDING SOURCES	$ 643,930	549,401	509,586	597,800	576,660



The two major revenue sources in the Lake Alan Henry Fund are Annual Permits at 40.0 percent and Entrance Fees at 37.4 percent. Entrance Fees are derived from a daily fee paid upon entrance to the Sam Wahl Recreational Area at Lake Alan Henry. The Annual Permits are also associated with the fees paid upon entrance to the Sam Wahl Recreational Area, however these fees are paid on an annual basis. Both these fees are charged dependent on weekday and weekend use as well as resident and non-resident status. The Annual Permits also include Annual Boat Permits. The Annual Boat Permit fee is charged to use the boat ramp for the year in the Sam Wahl Recreational Area. The next major source of revenue for the Lake Alan Henry Fund is the Boat Launching Fee at 10.6 percent. The Boat Launching Fee is a daily fee to use the boat ramp. The budget for the majority of the revenue sources in this fund were projected using current year activity at the Lake. Entrance Fees, Boat Launching Fees, Camping Fees, Family and Individual Annual Permits, Annual Boat Permit and Senior Annual Permit all increased in the prior fiscal year. Rates at Lake Alan Henry had not increased in more than 12 years, since 2002. The projection for FY 2016-17 is conservative in estimate since a full year of the fee increase implementation from the prior year is not yet complete.

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Lake Alan Henry Fund Line Item Funding Source Summary

FUNDING SOURCES	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest	6802	$ 105	324	481	-	-	-
Hunting Fees	6438	12,821	10,545	11,398	11,500	11,500	-
Entrance Fees	6438	174,694	161,657	176,296	215,652	215,600	(0.02)
Boat Launching Fees	6438	45,272	43,858	44,235	61,362	61,360	(0.00)
Camping Fees	6438	20,859	19,110	21,205	24,066	24,000	(0.27)
Individual Annual Permit	6438	12,076	9,210	8,420	13,150	13,200	0.38
Family Annual Permit	6438	131,095	123,423	130,045	149,300	150,000	0.47
Annual Boat Permit	6438	42,660	38,637	40,670	60,300	60,500	0.33
House Rent	6438	-	-	20	-	-	-
Senior Annual Permit	6438	6,495	6,165	6,705	7,070	7,100	0.42
Boat Slip Rentals	6438	1,008	1,068	1,043	800	800	-
Livestock Grazing	6438	623	700	7,864	1,600	1,600	-
Restrictive Easement Permit Fee	6442	28,833	51,970	56,231	53,000	31,000	(41.51)
Sale of Equipment/Vehicles	7104	-	-	2,898	-	-	-
Subrogation	7510	-	-	1,502	-	-	
Miscellaneous-Other Revenue	7681	-	-	575	-	-	-
TOTAL REVENUE SOURCES		$ 476,541	466,667	509,586	597,800	576,660	(3.54)

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Lubbock Power & Light Overview

Mission and Purpose

Provide citizens with safe, reliable, and high quality electric service at the most affordable rates while being financially self-sustaining. Lubbock Power & Light (LP&L) provides the following services:

- Operation and maintenance of the generation, transmission, and distribution facilities of the LP&L system
- Metering, billing, collecting, and customer service for electric, water, wastewater, solid waste, and storm water customer accounts

Goals and Objectives

- Provide safe and reliable electric service at the most affordable rates
- Ensure long-term financial sustainability
- Deliver first-class service to our customers while operating with the highest code of ethics
- Provide a safe work environment for all employees
- Enhance service delivery, service options and community goodwill
- Maintain strong credit ratings

Accomplishments for FY 2015-16

- Announced 2019 solution involving LP&L's proposed integration into the Electric Reliability Council of Texas (ERCOT) Regional Transmission Organization (RTO)
- Developed a long-term load forecast to increase the accuracy of system planning and rate development
- Converted the chart of accounts to be in sync with the Federal Energy Regulatory Commission (FERC) uniform system of accounts (USOA)
- Completed a detailed inventory of fixed assets for transition to FERC accounting and in preparation of filing a Transmission Cost of Service (TCOS) rate
- Completed and/or closed 24 capital projects totaling $43.6 million. Below are a few examples of the projects completed in FY 2015-16:
  - Indiana Underground Cable Replacement, $3.0 million
  - Milwaukee Autotransformer, $2.4 million
  - 69 kV Line – Co-op to Slaton, $3.0 million
  - Tie Line Transformer Replacement, $6.0 million

Objectives for FY 2016-17

- Continue engineering and design studies on the transmission system to provide increased reliability
- Continue to work with our customers to improve communication and customer service in order to strengthen overall relations between ratepayers and their municipally owned utility
- Receive approval from the Public Utility Commission (PUC) of Texas to become a member of ERCOT
- Begin the process to purchase, install, and implement a new billing system and a work management system
- Continue work on the conversion of the Southwestern Public Service Company (SPS) distribution system from 4kV to 12kV
- Hire a transmission planning and engineering firm to oversee the engineering and design of the transmission upgrades related to the 2019 solution

Revenue Overview

LP&L's rate management methodology is aligned with a philosophy that smaller, incremental rate adjustments are the most responsible way to manage the utility and support our customers. In light of that methodology, a base rate adjustment of 5.75 percent is proposed, which follows the financial model by implementing consistent and incremental rate adjustments in an effort to avoid rate volatility. The driver of the rate adjustment is a significant three-year capital investment that improves the reliability, capacity and strength of the transmission grid throughout the City.

- Overall, budgeted revenues decrease $7.29 million, or 3.0 percent, for FY 2016-17.
- Metered revenues increase $3.98 million, or 6.3 percent, due to the proposed base rate adjustment and projected load growth within the LP&L service territory. The base rate adjustment will result in an average monthly increase of $2.07 for the Rate 1 residential customer using 1,000 kWh per month.
- Interest income increases $0.16 million, or 99.3 percent based on higher yields in the pooled investment portfolio as a result of the Federal Reserve Bank raising the fed funds target range to 0.25 to 0.50 percent in early 2016.
- Purchased power cost recovery factor (PPRF) revenue decreases $2.37 million, or 1.6 percent, due to declines in purchased power costs as explained in the Expense Overview below.
- Unit contingent revenue decreases $0.64 million, or 43.2 percent, due to a restructuring of the unit contingent agreement (UCA) with SPS for Cooke Station. LP&L and SPS mutually agreed to exclude the steam turbines from the UCA for the remainder of its term. The decrease in revenue reflects the reduction in capacity payments related to the two steam turbines. The steam turbines will be mothballed until 2019.
- Power marketing sales revenue decreases $8.22 million, or 65.0 percent due to the anticipated revenue from sales in the Southwestern Power Pool (SPP) Integrated Marketplace (IM). The large decrease is based on changed assumptions in the power marketing model based on historical dispatch data from FY 2014-15. Lower natural gas prices also contribute to the decrease. The total estimate of power marketing revenue from Brandon is $0.38 million and Massengale is $4.05 million.

- Fees and charges revenue decreases $0.69 million, or 18.8 percent based on actual revenues in FY 2014-15 and lower than projected revenues in FY 2015-16.
- Outside work orders and developer streetlight revenue increases $0.39 million, or 77.5 percent based on actual revenues in FY 2014-15 and higher than projected revenues in FY 2015-16.
- Revenues will be combined with $683,664 of General Reserves to fund total expenses in FY 2016-17. The General Reserve is projected to be over policy limits by over $6 million at the end of FY 2016-17, yet will be drawn-down through the next several years with planned drawdowns to stabilize the proposed rate increases.

Expense Overview

Budgeted expenses decrease $6.04 million, or 2.5 percent, for FY 2016-17.

- Compensation decreases $0.57 million, or 3.5 percent, due to the elimination of seven highly paid positions, offset by the addition of seven lower paid positions; due to reductions in the amount of salaries charged to operations, increasing the amount of salaries charged to capital projects from 15.6 to 18.6 percent of payroll; and due to reductions in part time personnel. These reductions are offset by a 2.0 percent increase in full-time salaries.
- Benefits decrease $0.19 million, or 2.7 percent, due to the above-mentioned net decrease in compensation and a $0.14 million reduction in workers' compensation insurance costs offset by an increase of $0.11 million in health insurance premiums.
- Supplies (excluding purchased power costs and fuel) decrease $0.22 million, or 13.8 percent, due to decreases in chemical, cleaning, uniform, and safety supplies at Cooke Station. The reductions are associated with the removal of the steam turbines from the UCA with SPS.
- Fuel decreases $6.88 million, or 65.9 percent. The purchase of fuel at the Brandon and Massengale stations is expected to cost approximately $3.56 million in FY 2016-17. The large decrease is based on changed assumptions in the power marketing model based on historical dispatch data from FY 2014-15. Lower natural gas prices also contributed to the decrease.
- Energy/fuel purchased power costs decrease $4.15 million, or 4.9 percent, due to decreases in anticipated natural gas fuel costs. The Energy Information Agency (EIA) projects the price of natural gas at $2.97/MMBtu in FY 2016-17 compared to $3.88/MMBtu in FY 2015-16. This decrease results in a decline in fuel and energy costs.
- Transmission purchased power costs increase $4.38 million, or 22.5 percent. The increase in transmission is related to forecasted load growth and higher transmission costs in SPP.
- Capacity purchased power costs decrease $2.66 million, or 5.8 percent, due to lower capacity charges from SPS. Annually, SPS trues-up the cost of capacity from the previous year and sets a new rate for the next 12 months. For FY 2014-15, LP&L anticipated that the capacity rate of $7.08/kW would increase to $7.70/kW for the FY 2015-16 budget. The capacity charge for FY 2015-16 actually decreased from $7.08/kW to $6.68/kW based on the true-up. For the FY 2016-17 budget, LP&L anticipates that the capacity charge will increase from $6.68/kW to $7.28/kW. The expected decrease in capacity charges from FY 2015-16 to FY 2016-17 is explained by the fact that the FY 2015-16 budget included a capacity rate of $7.70/kw, which reduces to $7.28/kW in the proposed budget.
- Professional services/training increases $0.34 million, or 6.9 percent. The increase is due to anticipated costs associated with the regulatory process to join ERCOT; increased professional service costs related to hiring a transmission planning and engineering firm to oversee the engineering and design of the transmission upgrades related to the 2019 solution; an engineering study of the economizer at Massengale Station; and increased training and travel costs associated with becoming a transmission owner/operator. These increases are partially offset by lower merchant credit card charges in customer service, the cancellation of a pole contact assessment in Distribution, and the elimination of budgeted funds that were incorporated in the FY 2015-16 budget for 2019 solution consultants.
- Scheduled charges decrease $0.40 million, or 7.0 percent, due primarily to a $0.63 million decrease in estimated water and sewer charges related to the mothballed status of the steam turbines at Cooke Station. There were also decreases in liability insurance and electric charges of $0.09 million. The decrease is partially offset by higher property insurance, data processing, and telephone charges totaling $0.30 million
- Debt service increases $0.75 million, or 3.8 percent, due to the increased payments related to the 2016 bond issuance.
- The franchise fee equivalent and payment in lieu of taxes decreases $0.42 million and $0.08 million respectively, or 3.8 percent, due to a correction in the budgeted calculation of the fees as defined in Section 2.03.417 of the Code of Ordinances. LP&L collects franchise fees on electric sales on behalf of the City of Lubbock and those revenues are transferred to the General and Gateway Funds. The budget number for FY 2015-16 was incorrectly calculated by multiplying the General Consumers Metered line-item by five percent. However, the General Consumers Metered line-item already includes franchise fee revenues, therefore, the calculation was

adding a franchise fee on the franchise fee, thereby inflating the budgeted transfer amount. For FY 2015-16, the correct amount is being transferred to the City.

- The transfer to electric capital increases $3.93 million, or 34.7 percent, based on the increase in cash-funded capital projects. The capital program funding goal established by the Electric Utility Board consists of 35 percent cash and 65 percent debt financing. The financial model anticipates that total capital funding from FY 2013-14 through FY 2021-22 will equate to 32.6 percent cash and 67.4 percent debt. However, from year-to-year, the allocation amounts will fluctuate and will consist of a lower cash-to-debt funding ratio in the years, such as FY 2016-17, with large amounts of transmission financing.
- The transfer to WTMPA increases $0.04 million, or 2.2 percent, due to increased operating costs approved by the WTMPA board in FY 2015-16.
- The reimbursement from Water, Wastewater, Solid Waste and Storm Water for the billing function decreases $192,079, or 3.3 percent. The calculation of the reimbursement is based on audited numbers from the prior fiscal year. Therefore, reimbursements for FY 2016-17 are based on the last audited information, which is FY 2014-15. The reason for the decrease in the reimbursement is based on decreases in costs for the LP&L customer service function between FY 2013-14 and FY 2014-15.

Financial Model

The LP&L Financial Model projects all of the revenues, operating expenses, and capital expenditures for FY 2016-17 plus five years beyond the proposed budget. The model considers every line-item for 29 cost centers and applies growth rates that are specific to each line-item in order to project a realistic financial portrait. The model delves into the details, but also incorporates strategic plans that impact the future of LP&L. For the first time, the financial model incorporates revenues and expenses/expenditures related to the integration of the utility into ERCOT. The model is somewhat conservative in future years as it includes an 18-month delay in integration due to unanticipated regulatory or construction delays. Therefore, the model incorporates an 18-month interconnection delay, and envisions the integration by December 1, 2020 rather than the anticipated date of June 1, 2019. Other factors incorporated into the model include:

- The full-requirements agreement with Xcel Energy expires at midnight on May 31, 2019. In order to account for capacity costs between June 2019 and December 2020, the model includes an 18-month SPP bridge with a capacity cost adder of $2/kW-month. Therefore, for the 70 percent of LP&L's load that would ultimately move to ERCOT, costs would increase approximately $14.7 million for that 18-month time period. The remaining 30 percent of LP&L load is provided through a partial requirements contract with Xcel Energy from 2019 through 2044.
- By December 1, 2020, the model envisions that LP&L will have completed the transition to ERCOT. Upon interconnection, approximately 70 percent of the SPP capacity charges will be eliminated due to the fact that ERCOT is an energy-only market. This fact is reflected in the model through lower capacity expense.
- Additionally, LP&L intends to file a Transmission Cost of Service (TCOS) rate filing with the PUC in order to earn a return on its transmission assets. The TCOS revenues appear in the model beginning in FY 2020-21. This revenue coincides with the expiration of the capacity bridge in SPP in December 2020. A full year of TCOS revenues are included in FY 2021-22. The TCOS revenues included in the model are calculated on transmission assets identified in the FY 2016-17 Capital Program that reside within the LP&L Service Territory. The revenues do not anticipate potential TCOS revenues related to 345kV lines necessary for the ERCOT interconnection. Upon approval of the integration, the PUC will identify who will construct and own the 345kV lines, providing LP&L with the additional information necessary to forecast the TCOS revenue impact.

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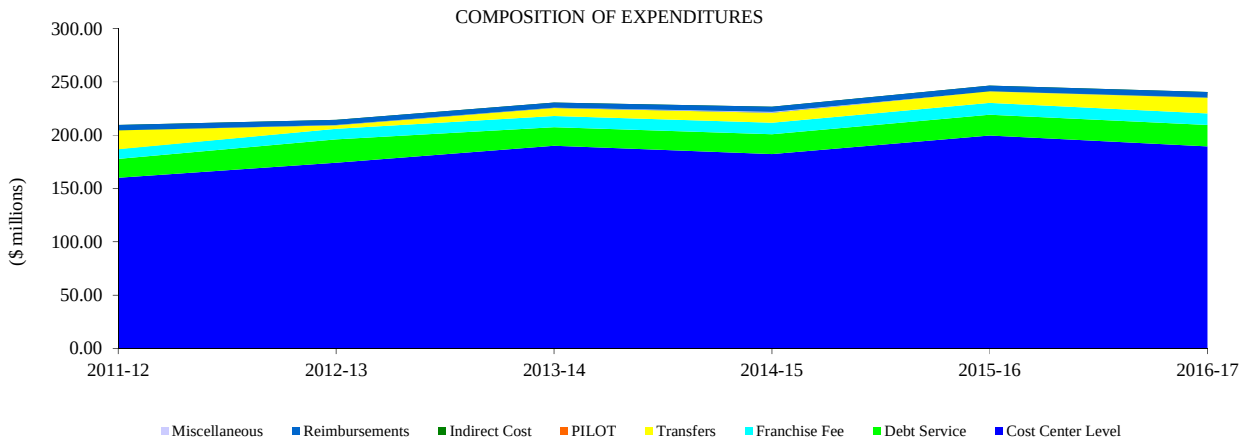
Lubbock Power & Light - Staffing



ADMINISTRATION	Actual	Actual	Actual	Amended	Budget	Change
STAFFING	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Administration	9	13	13	13	13	-
Regulatory Compliance	7	5	5	5	6	1
Legal	-	-	2	2	3	1
TOTAL ADMINISTRATION	16	18	20	20	22	2
PRODUCTION						
STAFFING						
Production Operations	34	37	16	14	8	(6)
Production Maintenance	21	21	-	-	-	-
Production Cooke Station	-	-	17	15	-	(15)
Production Brandon Station	-	-	7	7	7	-
Production Massengale Station	-	-	17	16	29	13
TOTAL PRODUCTION	55	58	57	52	44	(8)
DISTRIBUTION						
STAFFING						
Distribution Supervision & Eng.	20	19	20	21	25	4
Underground Lines	25	26	27	28	27	(1)
Overhead Lines	20	28	27	26	27	1
Distribution Load Dispatching	8	9	9	12	11	(1)
Distribution Customer Svc.	16	15	16	16	13	(3)
Distribution Substations	12	14	13	13	12	(1)
Distribution Meter Shop	6	5	5	6	6	-
Distribution Street Lights	-	-	-	-	3	3
TOTAL DISTRIBUTION	107	116	117	122	124	2
TRANSMISSION						
STAFFING						
Transmission Supervision & Eng.	-	-	-	-	4	4
TOTAL TRANSMISSION	-	-	-	-	4	4
CUSTOMER SERVICE						
STAFFING						
Field Services	-	-	-	-	16	16
Meter Reading	47	47	46	47	21	(26)
Customer Information Systems	6	6	6	6	7	1
Payment Processing	22	22	21	20	6	(14)
Customer Service	36	36	36	36	49	13
Collections	-	-	-	-	10	10
TOTAL CUSTOMER SERVICE	111	111	109	109	109	-
TOTAL STAFFING	289	303	303	303	303	-

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Lubbock Power & Light - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Interest Earnings	$ 100,370	147,797	213,547	157,164	313,178	156,014	99.3
Rentals and Recoveries	314,997	682,439	460,812	297,834	303,220	5,386	1.8
Sale of equipment/recycle scrap	174,726	320,375	223,491	197,250	201,501	4,251	2.2
Sales Tax Commission	17,090	20,016	20,265	17,867	20,265	2,398	13.4
General Consumers Metered	197,334,698	212,021,761	214,564,750	63,096,869	67,073,114	3,976,245	6.3
Purchased Power Cost Recovery Factor (PPRF)	-	-	-	149,164,726	146,793,244	(2,371,481)	(1.6)
Franchise Fee Equivalent Revenue	-	-	-	10,036,069	10,122,983	86,914	0.9
Unit Contingent Sales	11,285,612	10,786,122	1,671,758	1,477,466	839,520	(637,946)	(43.2)
Power Marketing Sales	-	-	1,503,063	12,653,708	4,429,983	(8,223,725)	(65.0)
Fees and Charges	3,205,997	3,322,856	2,984,592	3,675,031	2,984,592	(690,439)	(18.8)
Outside Work Orders and Street Lights	1,184,589	740,517	894,893	504,180	894,893	390,713	77.5
Tampering Fees	93,419	91,649	78,800	95,061	97,109	2,048	2.2
Miscellaneous	211,625	214,485	340,152	106,358	108,650	2,292	2.2
Transfer from Other Funds	-	299,111	85,122	87,891	93,243	5,352	6.1
Total Revenue Sources	213,923,122	228,647,128	223,041,246	241,567,476	234,275,496	(7,291,980)	(3.0)
Utilization of General Reserve	-	-	-	-	683,664	683,664	-
TOTAL FUNDING SOURCES	$ 213,923,122	228,647,128	223,041,246	241,567,476	234,959,160	(6,608,316)	(2.7)

based on closing of Cooke

DEPARTMENT LEVEL EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Compensation	$ 14,818,781	16,013,843	14,830,004	16,122,186	15,551,272	(570,914)	(3.5)
Benefits	6,525,588	6,820,431	6,475,944	7,125,421	6,936,021	(189,399)	(2.7)
Supplies Less Purchased Power & Fuel	1,861,299	2,065,434	1,291,730	1,630,074	1,405,288	(224,786)	(13.8)
Fuel	118,472	126,698	1,306,305	10,441,512	3,564,990	(6,876,522)	(65.9)
Purchased Power Costs	136,366,960	-	-	-	-	-	-
Energy/Fuel	-	93,175,088	87,526,057	84,166,072	80,020,964	(4,145,108)	(4.9)
Transmission - SPP	-	19,865,817	18,071,532	19,492,231	23,874,830	4,382,599	22.5
Capacity - SPP	-	39,445,070	40,558,784	45,561,167	42,904,080	(2,657,087)	(5.8)
Maintenance	3,591,927	2,644,851	2,842,372	2,918,552	2,925,515	6,963	0.2
Professional/Training	2,280,371	3,602,020	3,044,504	4,967,963	5,309,143	341,180	6.9
Other Charges	1,488,976	1,426,103	1,466,655	1,556,376	1,468,884	(87,492)	(5.6)
Scheduled Charges	4,893,758	4,564,165	4,518,201	5,722,996	5,322,665	(400,332)	(7.0)
Capital Outlay/Reimbursements	2,103,500	335,124	308,095	37,000	76,335	39,335	106.3
TOTAL DEPARTMENT LEVEL EXPENSES	$ 174,049,631	190,084,644	182,240,184	199,741,551	189,359,987	(10,381,563)	(5.2)

FUND LEVEL EXPENSES							
Debt Service	$ 16,337,816	17,444,615	18,604,262	19,461,609	20,208,866	747,257	3.8
Indirect Cost Allocation	1,024,610	1,052,997	1,146,225	1,123,599	1,066,407	(57,192)	(5.1)
Franchise Fee Equivalent - General Fund	5,920,041	6,360,653	6,436,943	6,668,930	6,415,991	(252,939)	(3.8)
Franchise Fee Equivalent - Gateway Fund	3,946,694	4,240,435	4,291,295	4,445,953	4,277,327	(168,626)	(3.8)
In Lieu of Property Tax	1,973,347	2,120,218	2,145,648	2,222,977	2,138,664	(84,313)	(3.8)
Transfer to Capital Program	4,874,000	9,034,250	10,839,900	11,313,325	15,240,000	3,926,675	34.7
Transfer to WTMPA	357,882	387,407	844,275	1,757,125	1,794,985	37,860	2.2
Reimbursement - Utility Collections	-	-	-	(5,735,146)	(5,543,067)	192,079	(3.3)
Reimbursement - Personal Services	(2,521,579)	(3,090,609)	(3,209,302)	-	-	-	-
Reimbursement - Supplies	(151,298)	(169,427)	(177,438)	-	-	-	-
Reimbursement - Other Charges	(1,664,240)	(1,485,624)	(1,425,575)	-	-	-	-
Reimbursement - Data Processing	(706,041)	(581,342)	(660,879)	-	-	-	-
Miscellaneous	335,565	275,092	731,789	-	-	-	-
TOTAL FUND LEVEL EXPENSES	$ 35,551,550	35,594,506	39,567,143	41,258,372	45,599,173	4,340,800	10.5
TOTAL EXPENSES	$ 209,601,182	225,679,149	221,807,327	240,999,923	234,959,160	(6,040,763)	(2.5)

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Lubbock Power & Light - Financial Model

		Budget	Forecast				
FUNDING SOURCES		FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Interest Earnings		$ 313,178	310,783	317,892	318,606	321,367	355,880
Rentals and Recoveries		303,220	310,796	318,195	325,554	332,171	338,974
Sale of equipment/recycle scrap		201,501	206,535	211,451	216,342	220,739	225,260
Sales Tax Commission		20,265	20,771	21,266	21,758	22,200	22,655
General Consumers Metered		67,073,114	71,304,946	75,816,717	76,367,731	76,922,866	77,482,156
Purchased Power Cost Recovery Factor (PPRF)		146,793,244	150,108,815	155,036,605	161,573,109	134,506,863	140,714,329
Franchise Fee Equivalent Revenue		10,122,983	10,489,343	10,945,365	11,278,913	10,035,742	10,356,954
Transmission Cost of Service (excl. 345kV lines)		-	-	-	-	14,809,856	17,774,390
Unit Contingent Sales		839,520	839,520	839,520	-	-	-
Power Marketing Sales		4,429,983	4,540,659	4,648,756	4,756,265	4,852,941	4,952,337
Fees and Charges		2,984,592	3,059,157	3,131,985	3,204,416	3,269,550	3,336,515
Outside Work Orders and Street Lights		894,893	917,250	939,087	960,804	980,334	1,000,412
Tampering Fees		97,109	99,535	101,905	104,261	106,381	108,559
Miscellaneous		108,650	111,364	114,016	116,652	119,023	121,461
Transfer from Other Funds		93,243	95,573	97,848	100,111	102,145	104,238
Total Revenue Sources		234,275,496	242,415,047	252,540,606	259,344,521	246,602,178	256,894,120
Use of General Reserve		683,664	274,496	-	6,803,287	-	-
TOTAL FUNDING SOURCES		$ 234,959,160	242,689,543	252,540,606	266,147,807	246,602,178	256,894,120
DEPARTMENT LEVEL EXPENSES							
Compensation		$ 15,551,272	15,996,148	16,476,033	16,970,314	17,479,423	18,003,806
Benefits		6,936,021	7,200,321	7,475,361	7,761,604	8,059,533	8,369,654
Supplies Less Purchased Power & Fuel		1,405,288	1,445,063.59	1,485,076	1,522,446	1,562,590	1,605,888
Fuel		3,564,990	3,654,404	3,741,822	3,828,583	3,907,091	3,987,959
Purchased Power Costs							
Energy/Fuel		80,020,964	79,500,575	79,652,383	81,074,208	87,517,839	91,286,843
Transmission - SPP		23,874,830	25,259,570	26,724,625	28,274,653	8,974,375	9,494,889
Transmission - ERCOT		-	-	-	-	21,197,913	21,777,015
Capacity - SPP		42,904,080	45,340,440	48,656,739	52,215,600	16,810,429	18,160,849
Capacity Bridge - SPP (18 mos.)		-	-	3,273,544	9,820,632	1,636,772	-
Maintenance		2,925,515	2,978,333	3,048,664	3,118,725	3,182,260	3,247,550
Professional/Training		5,309,143	4,312,846	4,415,468	4,517,542	4,609,379	4,703,797
Other Charges		1,468,884	1,505,127	1,540,958	1,576,595	1,608,641	1,641,589
Scheduled Charges		5,322,665	5,455,643	5,585,522	5,714,695	5,830,852	5,950,278
Capital Outlay/Reimbursements		76,335	77,938	79,575	81,246	82,952	84,694
TOTAL DEPARTMENT LEVEL EXPENSES		$ 189,359,987	192,726,409	202,155,771	216,476,843	182,460,050	188,314,810
FUND LEVEL EXPENSES							
Debt Service		$ 20,208,866	21,939,802	23,282,581	26,150,704	31,295,453	31,866,432
Indirect Cost Allocation		1,066,407	1,093,049	1,119,071	1,144,951	1,168,224	1,192,151
Franchise Fee Equivalent - General Fund		6,415,991	6,642,413	6,925,600	7,138,225	6,342,892	6,545,895
Franchise Fee Equivalent - Gateway Fund		4,277,327	4,428,275	4,617,066	4,758,817	4,228,595	4,363,930
Payment In Lieu of Property Tax		2,138,664	2,214,138	2,308,533	2,379,408	2,114,297	2,181,965
Transfer to Capital Program		15,240,000	17,660,000	14,350,000	13,915,000	20,990,000	18,605,000
Transfer to WTMPA		1,794,985	1,809,912	626,991	641,491	654,530	667,936
Reimbursement - Utility Collections		(5,543,067)	(5,824,455)	(5,963,115)	(6,457,632)	(6,588,891)	(6,712,013)
TOTAL FUND LEVEL EXPENSES		$ 45,599,173	49,963,134	47,266,727	49,670,965	60,205,099	58,711,294
TOTAL EXPENSES		$ 234,959,160	242,689,543	249,422,498	266,147,807	242,665,150	247,026,104

		Budget	Forecast				
GENERAL RESERVE		FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
General Reserve	62,977,431	62,293,767	62,019,271	65,137,380	58,334,093	62,271,121	72,139,138
Less: General Reserve Policy		(55,997,335)	(57,975,776)	(60,449,672)	(62,304,938)	(55,366,368)	(57,138,360)
Available for Allocation		-	-	-	-	-	(6,904,754)
TOTAL GENERAL RESERVE		$ 6,296,432	4,043,495	4,687,708	(3,970,845)	6,904,754	8,096,024
Projected Base Rate Increase/Decrease**		5.75%	5.75%	5.75%	0.00%	0.00%	0.00%
Debt Service Coverage (x)		2.51	2.54	2.43	1.90	2.27	2.37

Assumptions

1. The proposed rate structure incorporated in this model is subject to change depending on many variables. Some of these variables may include: volumes, weather, interest rates, commodity prices, inflation rates, the operational impact of new facilities, and changes in the cost or priority of capital projects.
2. The estimated growth of expenditures is forecasted at 2-3% unless trends indicate otherwise.

** Due to the number of variables related to the proposed ERCOT integration, a projected rate decrease will be recommended once more information is available.

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Lubbock Power & Light - Rates

PROJECTED RATE IMPACT	Actual FY 2015-16	Budget FY 2016-17	Forecast FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Projected Base Rate Increase		5.75%	5.75%	5.75%	0.00%	0.00%	0.00%
Residential Standard - Rate 1:							
Service Availability	$ 7.27	$ 7.69	$ 8.13	$ 8.60	$ 8.60	$ 8.60	$ 8.60
Summer Energy (per kWh)	0.03628	0.03837	0.04058	0.04291	0.04291	0.04291	0.04291
Non-Summer Energy (per kWh)	0.02509	0.02653	0.02806	0.02967	0.02967	0.02967	0.02967
Residential All Electric - Rate 3							
Service Availability	7.27	7.69	8.13	8.60	8.60	8.60	8.60
Summer Energy (per kWh)	0.04730	0.05002	0.05290	0.05594	0.05594	0.05594	0.05594
Non-Summer Energy (per kWh)	0.01376	0.01455	0.01539	0.01627	0.01627	0.01627	0.01627
Residential Net Metering - Rate 5							
Service Availability	27.40	28.98	30.65	32.41	32.41	32.41	32.41
Summer Energy ≤1,000kWh (per kWh)	0.01163	0.01230	0.01301	0.01376	0.01376	0.01376	0.01376
Summer Energy >1,000kWh (per kWh)	0.02115	0.02237	0.02366	0.02502	0.02502	0.02502	0.02502
Non-Summer Energy ≤1,000kWh(per kWh)	0.00357	0.00378	0.00400	0.00423	0.00423	0.00423	0.00423
Non-Summer Energy >1,000kWh(per kWh)	0.01058	0.01119	0.01183	0.01251	0.01251	0.01251	0.01251
Small General - Rate 10							
Service Availability	12.20	12.90	13.64	14.42	14.42	14.42	14.42
Energy (per kWh)	0.01789	0.01892	0.02001	0.02116	0.02116	0.02116	0.02116
Small General Net Metering - Rate 11							
Service Availability		27.40	28.98	30.65	30.65	30.65	30.65
Energy <1,000kWh (per kWh)		0.00072	0.00076	0.00080	0.00080	0.00080	0.00080
Energy >1,000kWh (per kWh)		0.01789	0.01892	0.02001	0.02001	0.02001	0.02001
Large School - Rate 15							
Service Availability	35.79	37.85	40.03	42.33	42.33	42.33	42.33
Energy (per kWh)	0.00044	0.00047	0.00050	0.00053	0.00053	0.00053	0.00053
Demand (per kW)	5.20013	5.49914	5.81534	6.14972	6.14972	6.14972	6.14972
Secondary Commercial and Net Metering- Rate 16							
Service Availability	25.72	27.20	28.76	30.41	30.41	30.41	30.41
Energy (per kWh)	0.00072	0.00076	0.00080	0.00085	0.00085	0.00085	0.00085
Summer Demand (per kW)	7.21308	7.62783	8.06643	8.53025	8.53025	8.53025	8.53025
Non-Summer Demand (per kW)	3.85816	4.08000	4.31460	4.56269	4.56269	4.56269	4.56269
Primary Commercial - Rate 16P							
Service Availability	279.58	295.66	312.66	330.64	330.64	330.64	330.64
Energy (per kWh)	0.00051	0.00054	0.00057	0.00060	0.00060	0.00060	0.00060
Demand (per kW)	4.64098	4.90784	5.19004	5.48847	5.48847	5.48847	5.48847
Large Municipal - Rate 17							
Service Availability	44.73	47.30	50.02	52.90	52.90	52.90	52.90
Energy (per kWh)	0.00060	0.00063	0.00067	0.00071	0.00071	0.00071	0.00071
Demand (per kW)	4.71925	4.99061	5.27757	5.58103	5.58103	5.58103	5.58103
Street Lighting - Rate 18							
Energy (per kWh)	0.04305	0.04553	0.04815	0.05092	0.05092	0.05092	0.05092
General Religious - Rate 19							
Service Availability	15.10	15.97	16.89	17.86	17.86	17.86	17.86
Energy (per kWh)	0.01663	0.01759	0.01860	0.01967	0.01967	0.01967	0.01967
Small Municipal & School - Rate 21							
Service Availability	11.69	12.36	13.07	13.82	13.82	13.82	13.82
Energy (per kWh)	0.01476	0.01561	0.01651	0.01746	0.01746	0.01746	0.01746

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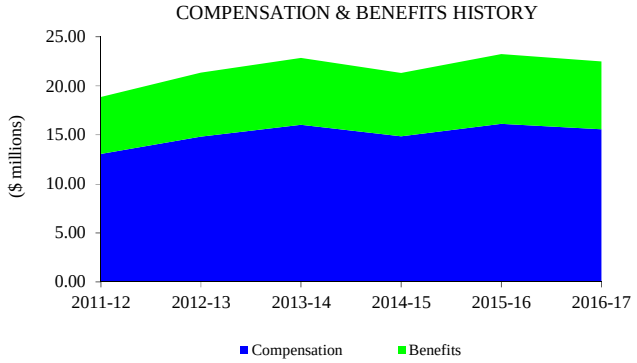
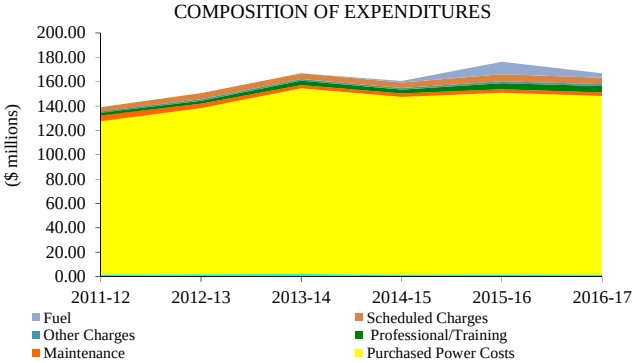
Lubbock Power & Light - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Compensation	$ 14,818,781	16,013,843	14,830,004	16,122,186	15,551,272	(570,914)	(3.5)
Benefits	6,525,588	6,820,431	6,475,944	7,125,421	6,936,021	(189,399)	(2.7)
Supplies Less Purchased Power & Fuel	1,861,299	2,065,434	1,291,730	1,630,074	1,405,288	(224,786)	(13.8)
Fuel	118,472	126,698	1,306,305	10,441,512	3,564,990	(6,876,522)	(65.9)
Purchased Power Costs	136,366,960	152,485,975	146,156,372	149,219,470	146,799,874	(2,419,596)	(1.6)
Maintenance	3,591,927	2,644,851	2,842,372	2,918,552	2,925,515	6,963	0.2
Professional/Training	2,280,371	3,602,020	3,044,504	4,967,963	5,309,143	341,180	6.9
Other Charges	1,488,976	1,426,103	1,466,655	1,556,376	1,468,884	(87,492)	(5.6)
Scheduled Charges	4,893,758	4,564,165	4,518,201	5,722,996	5,322,665	(400,332)	(7.0)
Capital Outlay/Reimbursements	2,103,500	335,124	308,095	37,000	76,335	39,335	106.3
TOTAL EXPENDITURES BY CATEGORY	$ 174,049,631	190,084,644	182,240,184	199,741,550	189,359,987	(10,381,563)	(5.2)

ADMINISTRATION

EXPENDITURES BY FUNCTION	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Administration	$ 2,298,941	3,095,675	1,836,227	2,475,285	2,608,573	133,288	5.4
Regulatory Compliance	630,811	772,510	679,373	824,616	587,551	(237,065)	(28.7)
Legal	-	-	419,975	942,532	1,551,797	609,265	64.6
Conservation And Education	172,274	296,589	455,522	551,175	604,534	53,359	9.7
TOTAL ADMINISTRATION	$ 3,102,026	4,164,775	3,391,098	4,793,607	5,352,455	558,847	11.7

PRODUCTION

EXPENDITURES BY FUNCTION							
Operations	$ 143,472,512	7,374,941	1,849,213	2,769,343	1,125,948	(1,643,394)	(59.3)
Maintenance	4,669,220	3,434,455	-	-	-	-	-
Purchased Power	195,728	152,485,975	146,156,372	149,219,470	146,799,874	(2,419,596)	(1.6)
Cooke Station	-	628	3,111,707	3,406,246	914,827	(2,491,419)	(73.1)
Brandon Station	-	-	1,376,507	2,619,573	2,003,138	(616,434)	(23.5)
Massengale Station	-	-	3,568,857	12,335,916	7,691,946	(4,643,970)	(37.6)
Reg Market Admin & Compliance	-	-	-	-	310,650	310,650	-
TOTAL PRODUCTION	$ 148,337,459	163,295,999	156,062,657	170,350,547	158,846,383	(11,504,164)	(6.8)

DISTRIBUTION

EXPENDITURES BY FUNCTION							
Supervision & Engineering	$ 1,200,392	1,266,043	1,585,263	2,053,576	2,190,754	137,177	6.7
Underground Lines	2,724,786	3,006,132	2,830,991	2,004,969	2,116,054	111,085	5.5
Overhead Lines	3,026,273	2,844,098	2,526,532	1,956,726	1,771,252	(185,474)	(9.5)
Load Dispatching	850,078	905,292	956,920	1,500,029	734,121	(765,908)	(51.1)
Customer Service	3,771,627	3,571,723	3,689,261	4,059,112	3,355,501	(703,611)	(17.3)
Substations	1,706,801	1,710,423	1,768,565	1,802,546	978,000	(824,546)	(45.7)
Meter Shop	498,009	399,329	649,521	744,133	769,949	25,815	3.5
Street Lights	-	-	-	-	745,331	745,331	-
TOTAL DISTRIBUTION	$ 13,777,966	13,703,040	14,007,052	14,121,092	12,660,961	(1,460,130)	(10.3)

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Lubbock Power & Light - Department Overview

TRANSMISSION

EXPENDITURES BY FUNCTION	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Supervision & Engineering	$ -	-	-	-	515,718	515,718	-
Overhead Lines	-	-	-	-	221,624	221,624	-
Load Dispatching	-	-	-	-	621,752	621,752	-
Substations	-	-	-	-	714,562	714,562	-
TOTAL TRANSMISSION	$ -	-	-	-	2,073,656	2,073,656	-

CUSTOMER SERVICE

EXPENDITURES BY FUNCTION							
Field Services	$ -	-	-	-	1,129,149	1,129,149	-
Meter Reading	3,026,150	2,950,535	2,898,381	3,288,641	1,372,822	(1,915,818)	(58.3)
Customer Information Systems	1,292,983	1,326,699	1,167,825	1,856,158	1,883,081	26,924	1.5
Payment Processing	2,839,548	2,967,066	2,977,958	3,247,301	2,286,532	(960,770)	(29.6)
Customer Service	1,673,499	1,676,529	1,735,213	2,084,205	2,971,416	887,212	42.6
Collections	-	-	-	-	783,532	783,532	-
TOTAL CUSTOMER SERVICE	$ 8,832,181	8,920,830	8,779,377	10,476,304	10,426,533	(49,771)	(0.5)
TOTAL EXPENDITURES BY FUNCTION	$ 174,049,631	190,084,644	182,240,184	199,741,550	189,359,987	(10,381,563)	(5.2)

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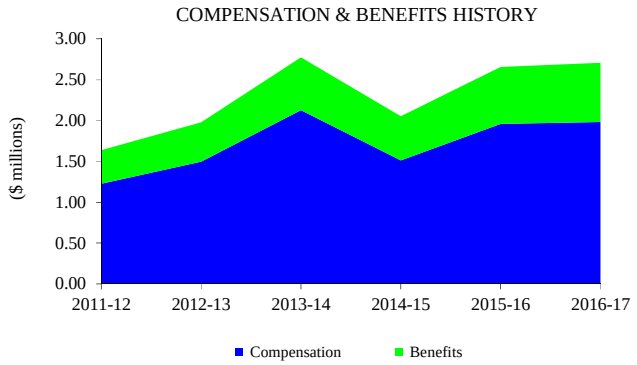
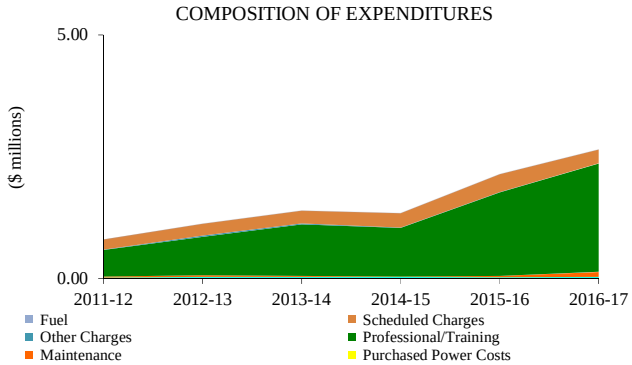
Lubbock Power & Light - Administration





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Compensation	$ 1,494,510	2,126,103	1,511,120	1,958,906	1,982,602	23,696	1.2
Benefits	483,650	648,236	538,732	695,494	723,581	28,086	4.0
Supplies Less Purchased Power & Fuel	33,862	35,788	33,430	25,687	29,989	4,301	16.7
Fuel	-	-	-	-	-	-	-
Purchased Power Costs	-	-	-	-	-	-	-
Maintenance	29,467	17,215	7,683	25,970	105,952	79,982	308.0
Professional/Training	790,978	1,059,108	999,227	1,716,902	2,226,086	509,184	29.7
Other Charges	26,064	18,065	4,218	2,252	3,400	1,148	51.0
Scheduled Charges	245,018	262,066	296,687	368,396	280,846	(87,550)	(23.8)
Capital Outlay/Reimbursements	(1,523)	(1,808)	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 3,102,026	4,164,775	3,391,098	4,793,607	5,352,455	558,847	11.7

ADMINISTRATION EXPENDITURES BY FUNCTION	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Administration	$ 2,298,941	3,095,675	1,836,227	2,475,285	2,608,573	133,288	5.4
Regulatory Compliance	630,811	772,510	679,373	824,616	587,551	(237,065)	(28.7)
Legal	-	-	419,975	942,532	1,551,797	609,265	64.6
Conservation And Education	172,274	296,589	455,522	551,175	604,534	53,359	9.7
TOTAL ADMINISTRATION	$ 3,102,026	4,164,775	3,391,098	4,793,607	5,352,455	558,847	11.7

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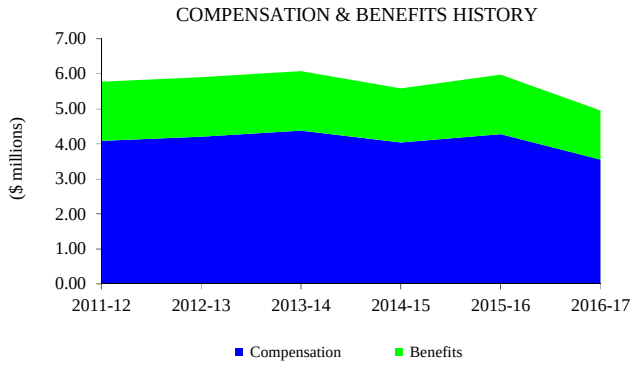
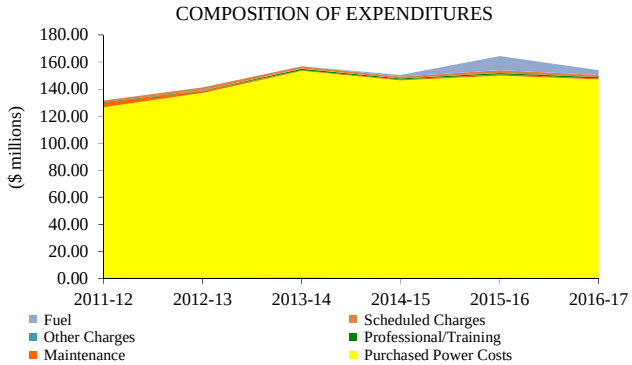
Lubbock Power & Light - Production





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Compensation	$ 4,199,852	4,377,457	4,036,372	4,279,680	3,549,452	(730,228)	(17.1)
Benefits	1,703,886	1,701,561	1,547,454	1,696,489	1,403,976	(292,513)	(17.2)
Supplies Less Purchased Power & Fuel	796,680	1,007,486	298,308	624,299	263,994	(360,305)	(57.7)
Fuel	118,472	126,698	1,306,305	10,366,512	3,564,990	(6,801,522)	(65.6)
Purchased Power Costs	136,366,960	152,485,975	146,156,372	149,219,470	146,799,874	(2,419,596)	(1.6)
Maintenance	1,489,191	539,197	613,483	991,367	729,576	(261,790)	(26.4)
Professional/Training	245,077	1,002,822	775,318	968,400	813,350	(155,050)	(16.0)
Other Charges	15,471	78,664	26,771	12,559	11,500	(1,059)	(8.4)
Scheduled Charges	2,170,092	1,628,585	1,288,462	2,179,271	1,708,170	(471,101)	(21.6)
Capital Outlay/Reimbursements	1,231,778	347,554	13,812	12,500	1,500	(11,000)	(88.0)
TOTAL EXPENDITURES BY CATEGORY	$ 148,337,459	163,295,999	156,062,657	170,350,547	158,846,383	(11,504,164)	(6.8)

PRODUCTION EXPENDITURES BY FUNCTION	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Operations	$ 143,472,512	7,374,941	1,849,213	2,769,343	1,125,948	(1,643,394)	(59.3)
Maintenance	4,669,220	3,434,455	-	-	-	-	-
Purchased Power	195,728	152,485,975	146,156,372	149,219,470	146,799,874	(2,419,596)	(1.6)
Cooke Station	-	628	3,111,707	3,406,246	914,827	(2,491,419)	(73.1)
Brandon Station	-	-	1,376,507	2,619,573	2,003,138	(616,434)	(23.5)
Massengale Station	-	-	3,568,857	12,335,916	7,691,946	(4,643,970)	(37.6)
Reg Market Admin & Compliance	-	-	-	-	310,650	310,650	-
TOTAL PRODUCTION	$ 148,337,459	163,295,999	156,062,657	170,350,547	158,846,383	(11,504,164)	(6.8)

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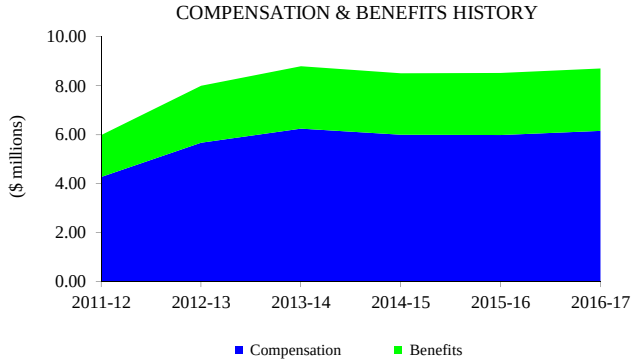
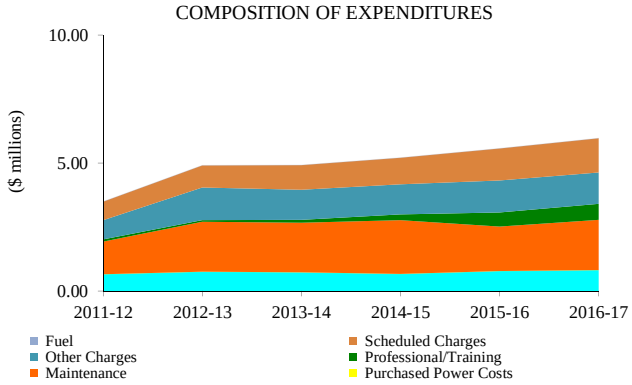
Lubbock Power & Light - Distribution & Transmission





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Compensation	$ 5,675,035	6,253,558	5,998,612	5,984,811	6,152,421	167,610	2.8
Benefits	2,318,894	2,539,581	2,507,545	2,540,281	2,553,335	13,054	0.5
Supplies Less Purchased Power & Fuel	757,389	737,765	674,364	780,761	828,190	47,429	6.1
Fuel	-	-	-	-	-	-	-
Purchased Power Costs	-	-	-	-	-	-	-
Maintenance	1,951,314	1,929,480	2,095,564	1,740,748	1,956,352	215,604	12.4
Professional/Training	59,174	115,531	222,624	552,581	630,628	78,047	14.1
Other Charges	1,281,290	1,172,132	1,177,775	1,242,003	1,224,012	(17,991)	(1.4)
Scheduled Charges	859,402	963,519	1,036,284	1,255,407	1,328,179	72,772	5.8
Capital Outlay/Reimbursements	875,468	(8,526)	294,284	24,500	61,500	37,000	151.0
TOTAL EXPENDITURES BY CATEGORY	$ 13,777,966	13,703,040	14,007,052	14,121,092	14,734,617	613,525	4.3

DISTRIBUTION

EXPENDITURES BY FUNCTION	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Supervision & Engineering	$ 1,200,392	1,266,043	1,585,263	2,053,576	2,190,754	137,177	6.7
Underground Lines	2,724,786	3,006,132	2,830,991	2,004,969	2,116,054	111,085	5.5
Overhead Lines	3,026,273	2,844,098	2,526,532	1,956,726	1,771,252	(185,474)	(9.5)
Load Dispatching	850,078	905,292	956,920	1,500,029	734,121	(765,908)	(51.1)
Customer Service	3,771,627	3,571,723	3,689,261	4,059,112	3,355,501	(703,611)	(17.3)
Substations	1,706,801	1,710,423	1,768,565	1,802,546	978,000	(824,546)	(45.7)
Meter Shop	498,009	399,329	649,521	744,133	769,949	25,815	3.5
Street Lights	-	-	-	-	745,331	745,331	-
TOTAL DISTRIBUTION	$ 13,777,966	13,703,040	14,007,052	14,121,092	12,660,961	(1,460,130)	(10.3)

TRANSMISSION

EXPENDITURES BY FUNCTION							
Supervision & Engineering	$ -	-	-	-	515,718	515,718	-
Overhead Lines	-	-	-	-	221,624	221,624	-
Load Dispatching	-	-	-	-	621,752	621,752	-
Substations	-	-	-	-	714,562	714,562	-
TOTAL TRANSMISSION	-	-	-	-	2,073,656	2,073,656	-
TOTAL EXPENDITURES BY FUNCTION	$ 13,777,966	13,703,040	14,007,052	14,121,092	14,734,617	613,525	4.3

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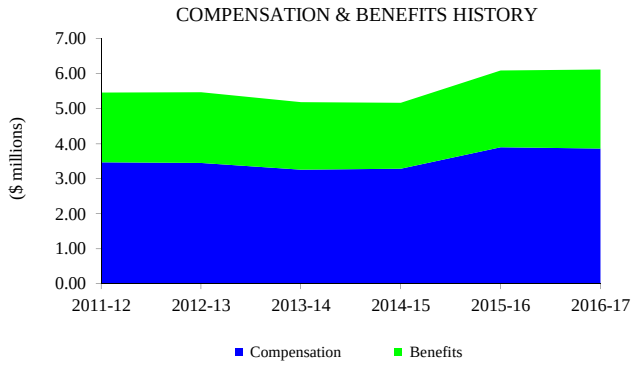
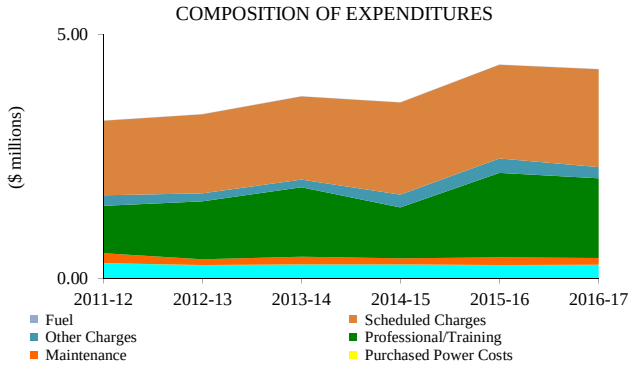
Lubbock Power & Light - Customer Service





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Compensation	$ 3,449,384	3,256,725	3,283,900	3,898,789	3,866,797	(31,992)	(0.8)
Benefits	2,019,159	1,931,053	1,882,213	2,193,156	2,255,130	61,973	2.8
Supplies Less Purchased Power & Fuel	273,369	284,394	285,627	274,327	283,115	8,788	3.2
Fuel	-	-	-	-	-	-	-
Purchased Power Costs	-	-	-	-	-	-	-
Maintenance	121,956	158,960	125,642	160,468	133,635	(26,833)	(16.7)
Professional/Training	1,185,141	1,424,559	1,047,336	1,730,080	1,639,079	(91,001)	(5.3)
Other Charges	166,151	157,242	257,891	299,562	229,972	(69,590)	(23.2)
Scheduled Charges	1,619,246	1,709,994	1,896,768	1,919,922	2,005,470	85,548	4.5
Capital Outlay/Reimbursements	(2,224)	(2,097)	-	-	13,335	13,335	-
TOTAL EXPENDITURES BY CATEGORY	$ 8,832,181	8,920,830	8,779,377	10,476,304	10,426,533	(49,771)	(0.5)

CUSTOMER SERVICE EXPENDITURES BY FUNCTION	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Field Services	$ -	-	-	-	1,129,149	1,129,149	-
Meter Reading	3,026,150	2,950,535	2,898,381	3,288,641	1,372,822	(1,915,818)	(58.3)
Customer Information Systems	1,292,983	1,326,699	1,167,825	1,856,158	1,883,081	26,924	1.5
Payment Processing	2,839,548	2,967,066	2,977,958	3,247,301	2,286,532	(960,770)	(29.6)
Customer Service	1,673,499	1,676,529	1,735,213	2,084,205	2,971,416	887,212	42.6
Collections	-	-	-	-	783,532	783,532	-
TOTAL CUSTOMER SERVICE	$ 8,832,181	8,920,830	8,779,377	10,476,304	10,426,533	(49,771)	(0.5)

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Lubbock Power & Light - Department Expenses

Administration

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Compensation	$ 1,137,279	1,763,175	999,561	1,343,188	1,414,074	70,886	5.3
Benefits	338,095	500,636	351,502	468,093	508,775	40,682	8.7
Supplies	25,919	25,915	24,511	15,269	19,986	4,717	30.9
Maintenance	25,953	14,389	5,125	21,750	101,500	79,750	366.7
Professional/Training	542,544	546,372	188,865	301,595	323,150	21,555	7.1
Other Charges	25,946	17,853	4,062	2,077	1,250	(827)	(39.8)
Scheduled Charges	204,348	228,666	262,602	323,313	239,838	(83,475)	(25.8)
Capital Outlay/Reimbursements	(1,143)	(1,330)	-	-	-	-	-
TOTAL ADMINISTRATION	$ 2,298,941	3,095,675	1,836,227	2,475,285	2,608,573	133,288	5.4

Regulatory Compliance

EXPENDITURES BY CATEGORY							
Compensation	$ 357,231	362,928	370,241	371,113	213,164	(157,949)	(42.6)
Benefits	145,554	147,601	146,390	149,267	89,774	(59,493)	(39.9)
Supplies	5,784	7,409	7,169	8,218	7,425	(794)	(9.7)
Maintenance	2,589	2,826	2,558	4,220	4,452	232	5.5
Professional/Training	79,245	218,896	118,786	257,332	240,961	(16,371)	(6.4)
Other Charges	118	213	156	175	2,150	1,975	1,128.6
Scheduled Charges	40,670	32,887	34,073	34,291	29,625	(4,665)	(13.6)
Capital Outlay/Reimbursements	(379)	(249)	-	-	-	-	-
TOTAL REGULATORY COMPLIANCE	$ 630,811	772,510	679,373	824,616	587,551	(237,065)	(28.7)

Legal

EXPENDITURES BY CATEGORY							
Compensation	$ -	-	141,318	244,605	317,094	72,489	29.6
Benefits	-	-	40,841	78,135	110,821	32,686	41.8
Supplies	-	-	703	1,000	1,500	500	50.0
Maintenance	-	-	-	-	-	-	-
Professional Services/Training	-	-	237,101	608,000	1,111,000	503,000	82.7
Other Charges	-	-	-	-	-	-	-
Scheduled Charges	-	-	13	10,793	11,383	590	5.5
Capital Outlay/Reimbursements	-	-	-	-	-	-	-
TOTAL LEGAL	$ -	-	419,975	942,532	1,551,797	609,265	64.6

Conservation And Education

EXPENDITURES BY CATEGORY							
Compensation	$ -	-	-	-	38,270	38,270	-
Benefits	-	-	-	-	14,211	14,211	-
Supplies	2,159	2,464	1,047	1,200	1,078	(122)	(10.1)
Maintenance	925	-	-	-	-	-	-
Professional Services/Training	169,189	293,841	454,475	549,975	550,975	1,000	0.2
Other Charges	-	-	-	-	-	-	-
Scheduled Charges	-	514	-	-	-	-	-
Capital Outlay/Reimbursements	-	(229)	-	-	-	-	-
TOTAL CONSERVATION AND EDUCATION	$ 172,274	296,589	455,522	551,175	604,534	53,359	9.7

Production Operations

EXPENDITURES BY CATEGORY							
Compensation	$ 2,814,063	2,708,546	786,447	1,364,537	556,970	(807,567)	(59.2)
Benefits	1,107,059	1,098,049	395,213	473,882	213,654	(260,228)	(54.9)
Supplies	136,910,882	1,042,593	9,218	8,600	6,896	(1,704)	(19.8)
Maintenance	47,984	25,442	2,393	700	700	-	-
Professional Services/Training	480,314	928,057	612,905	914,500	333,500	(581,000)	(63.5)
Other Charges	1,718	2,108	5,668	2,500	2,500	-	-
Scheduled Charges	2,113,867	1,572,505	37,369	4,624	10,228	5,604	121.2
Capital Outlay/Reimbursements	(3,377)	(2,360)	-	-	1,500	1,500	-
TOTAL PRODUCTION OPERATIONS	$ 143,472,512	7,374,941	1,849,213	2,769,343	1,125,948	(1,643,394)	(59.3)

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Lubbock Power & Light - Department Expenses

Production Maintenance

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Compensation	$ 1,385,789	1,668,911	-	-	-	-	-
Benefits	596,827	603,513	-	-	-	-	-
Supplies	175,502	91,591	-	-	-	-	-
Maintenance	1,441,207	513,126	-	-	-	-	-
Professional Services/Training	(235,237)	74,765	-	-	-	-	-
Other Charges	13,753	76,556	-	-	-	-	-
Scheduled Charges	56,225	56,080	-	-	-	-	-
Capital Outlay/Reimbursements	1,235,155	349,914	-	-	-	-	-
TOTAL PRODUCTION MAINTENANCE	$ 4,669,220	3,434,455	-	-	-	-	-

Purchased Power

EXPENDITURES BY CATEGORY							
Compensation	$ -	-	-	-	-	-	-
Benefits	-	-	-	-	-	-	-
Supplies	195,728	152,485,975	146,156,372	149,219,470	146,799,874	(2,419,596)	(1.6)
Maintenance	-	-	-	-	-	-	-
Professional Services/Training	-	-	-	-	-	-	-
Other Charges	-	-	-	-	-	-	-
Scheduled Charges	-	-	-	-	-	-	-
Capital Outlay/Reimbursements	-	-	-	-	-	-	-
TOTAL PURCHASED POWER	$ 195,728	152,485,975	146,156,372	149,219,470	146,799,874	(2,419,596)	(1.6)

Production Cooke Station

EXPENDITURES BY CATEGORY							
Compensation	$ -	-	1,362,534	1,176,470	240,562	(935,908)	(79.6)
Benefits	-	-	471,910	494,091	92,693	(401,398)	(81.2)
Supplies	-	-	136,180	251,821	42,163	(209,658)	(83.3)
Maintenance	-	628	275,717	371,833	104,338	(267,495)	(71.9)
Professional Services/Training	-	-	73,095	14,000	100,000	86,000	614.3
Other Charges	-	-	20,395	2,359	-	(2,359)	(100.0)
Scheduled Charges	-	-	758,065	1,083,171	335,070	(748,101)	(69.1)
Capital Outlay/Reimbursements	-	-	13,812	12,500	-	(12,500)	(100.0)
TOTAL PRODUCTION COOKE STATION	$ -	628	3,111,707	3,406,246	914,827	(2,491,419)	(73.1)

Production Brandon Station

EXPENDITURES BY CATEGORY							
Compensation	$ -	-	618,124	511,609	817,261	305,652	59.7
Benefits	-	-	201,964	215,526	322,107	106,581	49.5
Supplies	-	-	357,391	1,537,060	400,280	(1,136,780)	(74.0)
Maintenance	-	-	56,146	83,767	93,068	9,301	11.1
Professional Services/Training	-	-	36,774	8,500	22,200	13,700	161.2
Other Charges	-	-	382	3,100	250	(2,850)	(91.9)
Scheduled Charges	-	-	105,726	260,011	347,973	87,962	33.8
Capital Outlay/Reimbursements	-	-	-	-	-	-	-
TOTAL PRODUCTION BRANDON STATION	$ -	-	1,376,507	2,619,573	2,003,138	(616,434)	(23.5)

Production Massengale Station

EXPENDITURES BY CATEGORY							
Compensation	$ -	-	1,269,267	1,227,064	1,934,659	707,595	57.7
Benefits	-	-	478,367	512,990	775,522	262,533	51.2
Supplies	-	-	1,101,825	9,193,331	3,379,645	(5,813,686)	(63.2)
Maintenance	-	-	279,227	535,067	531,471	(3,596)	51.2
Professional Services/Training	-	-	52,543	31,400	47,000	15,600	49.7
Other Charges	-	-	326	4,600	8,750	4,150	90.2
Scheduled Charges	-	-	387,302	831,465	1,014,899	183,434	22.1
Capital Outlay/Reimbursements	-	-	-	-	-	-	-
TOTAL PRODUCTION MASSENGALE STATION	$ -	-	3,568,857	12,335,916	7,691,946	(4,643,970)	(37.6)

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Lubbock Power & Light - Department Expenses

Reg Market Admin & Compliance

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Compensation	$ -	-	-	-	-	-	-
Benefits	-	-	-	-	-	-	-
Supplies	-	-	-	-	-	-	-
Maintenance	-	-	-	-	-	-	-
Professional Services/Training	-	-	-	-	310,650	310,650	-
Other Charges	-	-	-	-	-	-	-
Scheduled Charges	-	-	-	-	-	-	-
Capital Outlay/Reimbursements	-	-	-	-	-	-	-
TOTAL REG MARKET ADMIN & COMPLIANCE	$ -	-	-	-	310,650	310,650	-

Distribution Supervision & Eng.

EXPENDITURES BY CATEGORY							
Compensation	$ 677,929	735,470	866,892	945,519	1,265,585	320,066	33.9
Benefits	266,334	257,703	323,250	368,856	537,345	168,489	45.7
Supplies	42,819	45,655	45,647	41,999	39,725	(2,274)	(5.4)
Maintenance	27,127	44,867	30,267	42,469	44,794	2,324	5.5
Professional Services/Training	13,319	27,397	142,231	331,595	33,299	(298,296)	(90.0)
Other Charges	6,219	16,801	10,460	3,565	4,666	1,101	30.9
Scheduled Charges	171,086	139,053	166,517	319,073	265,340	(53,733)	(16.8)
Capital Outlay/Reimbursements	(4,441)	(903)	-	500	-	(500)	(100.0)
TOTAL DISTRIBUTION SUPERVISION & ENG.	$ 1,200,392	1,266,043	1,585,263	2,053,576	2,190,754	137,177	6.7

Underground Lines

EXPENDITURES BY CATEGORY							
Compensation	$ 767,846	1,335,196	1,013,811	777,591	783,687	6,096	0.8
Benefits	348,200	572,135	502,016	386,385	328,528	(57,858)	(15.0)
Supplies	253,038	201,082	148,735	191,556	184,340	(7,216)	(3.8)
Maintenance	507,900	755,613	757,946	449,786	576,558	126,772	28.2
Professional Services/Training	6,268	13,486	29,607	9,000	4,000	(5,000)	(55.6)
Other Charges	860	10,994	596	750	7,410	6,660	888.0
Scheduled Charges	100,120	119,589	121,834	189,901	213,531	23,630	12.4
Capital Outlay/Reimbursements	740,553	(1,964)	256,445	-	18,000	18,000	-
TOTAL UNDERGROUND LINES	$ 2,724,786	3,006,132	2,830,991	2,004,969	2,116,054	111,085	5.5

Overhead Lines

EXPENDITURES BY CATEGORY							
Compensation	$ 1,300,255	1,391,370	1,115,503	721,338	617,963	(103,375)	(14.3)
Benefits	549,204	576,307	505,157	313,835	262,598	(51,237)	(16.3)
Supplies	214,495	241,803	196,236	241,178	238,362	(2,816)	(1.2)
Maintenance	700,837	483,966	514,200	500,771	440,611	(60,160)	(12.0)
Professional Services/Training	19,373	20,875	16,100	17,571	22,000	4,429	25.2
Other Charges	-	3,950	-	-	3,552	3,552	-
Scheduled Charges	99,940	127,967	141,497	162,033	186,166	24,133	14.9
Capital Outlay/Reimbursements	142,169	(2,140)	37,838	-	-	-	-
TOTAL OVERHEAD LINES	$ 3,026,273	2,844,098	2,526,532	1,956,726	1,771,252	(185,474)	(9.5)

Distribution Load Dispatching

EXPENDITURES BY CATEGORY							
Compensation	$ 565,314	557,392	575,481	932,963	387,775	(545,188)	(58.4)
Benefits	199,231	237,637	245,289	365,318	165,899	(199,418)	(54.6)
Supplies	3,200	4,510	5,583	5,650	3,778	(1,872)	(33.1)
Maintenance	38,041	28,391	28,417	-	-	-	-
Professional Services/Training	1,001	23,540	13,805	138,122	105,380	(32,742)	(23.7)
Other Charges	6,919	-	28,817	-	444	444	-
Scheduled Charges	36,440	54,232	59,528	57,977	70,845	12,868	22.2
Capital Outlay/Reimbursements	(68)	(410)	-	-	-	-	-
TOTAL DISTRIBUTION LOAD DISPATCHING	$ 850,078	905,292	956,920	1,500,029	734,121	(765,908)	(51.1)

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Lubbock Power & Light - Department Expenses

Distribution Customer Svc.

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Compensation	$ 1,208,241	1,146,797	1,180,850	1,295,157	1,112,798	(182,359)	(14.1)
Benefits	487,216	450,631	467,788	564,066	437,920	(126,146)	(22.4)
Supplies	131,672	149,653	126,667	150,524	145,685	(4,839)	(3.2)
Maintenance	398,663	373,722	470,492	447,782	112,454	(335,328)	(74.9)
Professional Services/Training	8,943	22,064	9,370	11,073	10,023	(1,050)	(9.5)
Other Charges	1,264,714	1,140,386	1,133,569	1,237,688	1,200,444	(37,244)	(3.0)
Scheduled Charges	272,412	289,286	300,527	352,823	336,177	(16,646)	(4.7)
Capital Outlay/Reimbursements	(234)	(816)	-	-	-	-	-
TOTAL DISTRIBUTION CUSTOMER SVC.	$ 3,771,627	3,571,723	3,689,261	4,059,112	3,355,501	(703,611)	(17.3)

Distribution Substations

EXPENDITURES BY CATEGORY							
Compensation	$ 893,344	919,920	929,555	912,761	416,915	(495,846)	(54.3)
Benefits	390,496	379,889	336,574	374,898	181,571	(193,327)	(51.6)
Supplies	56,682	48,271	72,038	95,858	83,787	(12,071)	(12.6)
Maintenance	215,527	156,959	205,170	239,819	128,991	(110,828)	(46.2)
Professional Services/Training	8,070	4,777	8,433	41,081	43,430	2,349	5.7
Other Charges	754	-	4,333	-	2,664	2,664	-
Scheduled Charges	142,573	201,353	212,461	138,129	120,642	(17,487)	(12.7)
Capital Outlay/Reimbursements	(644)	(746)	-	-	-	-	-
TOTAL DISTRIBUTION SUBSTATIONS	$ 1,706,801	1,710,423	1,768,565	1,802,546	978,000	(824,546)	(45.7)

Distribution Meter Shop

EXPENDITURES BY CATEGORY							
Compensation	$ 262,106	167,412	316,521	399,482	410,453	10,971	2.7
Benefits	78,212	65,278	127,470	166,924	175,582	8,658	5.2
Supplies	55,483	46,791	79,458	53,997	59,067	5,071	9.4
Maintenance	63,219	85,962	89,073	60,121	60,726	605	1.0
Professional Services/Training	2,202	3,393	3,077	4,139	4,389	250	6.0
Other Charges	1,823	-	-	-	4,832	4,832	-
Scheduled Charges	36,831	32,040	33,921	35,471	44,899	9,428	26.6
Capital Outlay/Reimbursements	(1,866)	(1,547)	-	24,000	10,000	(14,000)	(58.3)
TOTAL DISTRIBUTION METER SHOP	$ 498,009	399,329	649,521	744,133	769,949	25,815	3.5

Distribution Street Lights

EXPENDITURES BY CATEGORY							
Compensation	$ -	-	-	-	181,308	181,308	-
Benefits	-	-	-	-	72,723	72,723	-
Supplies	-	-	-	-	30,860	30,860	-
Maintenance	-	-	-	-	372,718	372,718	-
Professional Services/Training	-	-	-	-	2,177	2,177	-
Other Charges	-	-	-	-	-	-	-
Scheduled Charges	-	-	-	-	85,545	85,545	-
Capital Outlay/Reimbursements	-	-	-	-	-	-	-
TOTAL DISTRIBUTION STREET LIGHTS	$ -	-	-	-	745,331	745,331	-

Transmission Supervision & Eng.

EXPENDITURES BY CATEGORY							
Compensation	$ -	-	-	-	114,375	114,375	-
Benefits	-	-	-	-	41,159	41,159	-
Supplies	-	-	-	-	3,320	3,320	-
Maintenance	-	-	-	-	-	-	-
Professional Services/Training	-	-	-	-	336,830	336,830	-
Other Charges	-	-	-	-	-	-	-
Scheduled Charges	-	-	-	-	5,033	5,033	-
Capital Outlay/Reimbursements	-	-	-	-	15,000	15,000	-
TOTAL TRANSMISSION SUPERVISION & ENG.	$ -	-	-	-	515,718	515,718	-

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Lubbock Power & Light - Department Expenses

Transmission Overhead Lines

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Compensation	$ -	-	-	-	55,372	55,372	-
Benefits	-	-	-	-	18,686	18,686	-
Supplies	-	-	-	-	35,466	35,466	-
Maintenance	-	-	-	-	103,000	103,000	-
Professional Services/Training	-	-	-	-	9,100	9,100	-
Other Charges	-	-	-	-	-	-	-
Scheduled Charges	-	-	-	-	-	-	-
Capital Outlay/Reimbursements	-	-	-	-	-	-	-
TOTAL TRANSMISSION OVERHEAD LINES	$ -	-	-	-	221,624	221,624	-

Transmission Load Dispatching

EXPENDITURES BY CATEGORY							
Compensation	$ -	-	-	-	385,775	385,775	-
Benefits	-	-	-	-	157,477	157,477	-
Supplies	-	-	-	-	-	-	-
Maintenance	-	-	-	-	-	-	-
Professional Services/Training	-	-	-	-	60,000	60,000	-
Other Charges	-	-	-	-	-	-	-
Scheduled Charges	-	-	-	-	-	-	-
Capital Outlay/Reimbursements	-	-	-	-	18,500	18,500	-
TOTAL TRANSMISSION LOAD DISPATCHING	$ -	-	-	-	621,752	621,752	-

Transmission Substation

EXPENDITURES BY CATEGORY							
Compensation	$ -	-	-	-	420,415	420,415	-
Benefits	-	-	-	-	173,847	173,847	-
Supplies	-	-	-	-	3,800	3,800	-
Maintenance	-	-	-	-	116,500	116,500	-
Professional Services/Training	-	-	-	-	-	-	-
Other Charges	-	-	-	-	-	-	-
Scheduled Charges	-	-	-	-	-	-	-
Capital Outlay/Reimbursements	-	-	-	-	-	-	-
TOTAL TRANSMISSION SUBSTATION	$ -	-	-	-	714,562	714,562	-

Field Services

EXPENDITURES BY CATEGORY							
Compensation	$ -	-	-	-	635,839	635,839	-
Benefits	-	-	-	-	345,316	345,316	-
Supplies	-	-	-	-	41,230	41,230	-
Maintenance	-	-	-	-	43,863	43,863	-
Professional Services/Training	-	-	-	-	2,700	2,700	-
Other Charges	-	-	-	-	-	-	-
Scheduled Charges	-	-	-	-	60,201	60,201	-
Capital Outlay/Reimbursements	-	-	-	-	-	-	-
TOTAL FIELD SERVICES	$ -	-	-	-	1,129,149	1,129,149	-

Meter Reading

EXPENDITURES BY CATEGORY							
Compensation	$ 1,565,178	1,462,402	1,491,692	1,671,586	635,298	(1,036,288)	(62.0)
Benefits	965,395	917,662	872,422	969,851	409,404	(560,447)	(57.8)
Supplies	160,526	171,423	150,151	153,578	114,052	(39,526)	(25.7)
Maintenance	71,762	110,617	88,988	127,386	51,670	(75,716)	(59.4)
Professional Services/Training	102,381	118,292	126,209	105,700	3,490	(102,210)	(96.7)
Other Charges	35,105	31,730	21,460	105,690	26,600	(79,090)	(74.8)
Scheduled Charges	126,197	139,043	147,460	154,850	118,974	(35,876)	(23.2)
Capital Outlay/Reimbursements	(393)	(633)	-	-	13,335	13,335	-
TOTAL METER READING	$ 3,026,150	2,950,535	2,898,381	3,288,641	1,372,822	(1,915,818)	(58.3)

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Lubbock Power & Light - Department Expenses

Customer Information Systems

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	$ Change from Amended	% Change from Amended
Compensation	$ 310,321	242,794	225,762	310,930	275,931	(34,999)	(11.3)
Benefits	125,074	129,646	100,637	143,815	140,203	(3,612)	(2.5)
Supplies	8,555	7,221	6,165	7,400	6,058	(1,342)	(18.1)
Maintenance	-	355	-	-	-	-	-
Professional Services/Training	208,560	237,812	74,176	597,920	647,450	49,530	8.3
Other Charges	838	3,920	8,306	13,500	21,000	7,500	55.6
Scheduled Charges	640,226	705,410	752,781	782,594	792,440	9,846	1.3
Capital Outlay/Reimbursements	(590)	(459)	-	-	-	-	-
TOTAL CUSTOMER INFORMATION SYSTEMS	$ 1,292,983	1,326,699	1,167,825	1,856,158	1,883,081	26,924	1.5

Payment Processing

EXPENDITURES BY CATEGORY							
Compensation	$ 651,828	624,698	659,629	759,799	280,910	(478,889)	(63.0)
Benefits	375,633	336,682	354,623	407,417	138,190	(269,226)	(66.1)
Supplies	97,577	100,524	123,254	106,050	106,168	118	0.1
Maintenance	50,194	47,988	36,654	33,082	37,602	4,520	13.7
Professional Services/Training	844,348	1,033,253	778,042	972,100	813,654	(158,446)	(16.3)
Other Charges	129,258	133,903	225,743	180,372	180,372	-	-
Scheduled Charges	691,886	690,909	800,014	788,482	729,636	(58,847)	(7.5)
Capital Outlay/Reimbursements	(1,176)	(891)	-	-	-	-	-
TOTAL PAYMENT PROCESSING	$ 2,839,548	2,967,066	2,977,958	3,247,301	2,286,532	(960,770)	(29.6)

Customer Service

EXPENDITURES BY CATEGORY							
Compensation	$ 922,058	926,832	906,818	1,156,474	1,640,400	483,926	41.8
Benefits	553,057	547,063	554,531	672,075	1,002,531	330,456	49.2
Supplies	6,710	5,226	6,058	7,300	12,778	5,478	75.1
Maintenance	-	-	-	-	500	500	-
Professional Services/Training	29,852	35,201	68,910	54,360	64,285	9,925	18.3
Other Charges	950	(12,311)	2,382	-	1,000	1,000	-
Scheduled Charges	160,937	174,633	196,513	193,996	249,922	55,926	28.8
Capital Outlay/Reimbursements	(66)	(114)	-	-	-	-	-
TOTAL CUSTOMER SERVICE	$ 1,673,499	1,676,529	1,735,213	2,084,205	2,971,416	887,212	42.6

Collections

EXPENDITURES BY CATEGORY							
Compensation	$ -	-	-	-	398,419	398,419	-
Benefits	-	-	-	-	219,485	219,485	-
Supplies	-	-	-	-	2,830	2,830	-
Maintenance	-	-	-	-	-	-	-
Professional Services/Training	-	-	-	-	107,500	107,500	-
Other Charges	-	-	-	-	1,000	1,000	-
Scheduled Charges	-	-	-	-	54,298	54,298	-
Capital Outlay/Reimbursements	-	-	-	-	-	-	-
TOTAL COLLECTIONS	$ -	-	-	-	783,532	783,532	-

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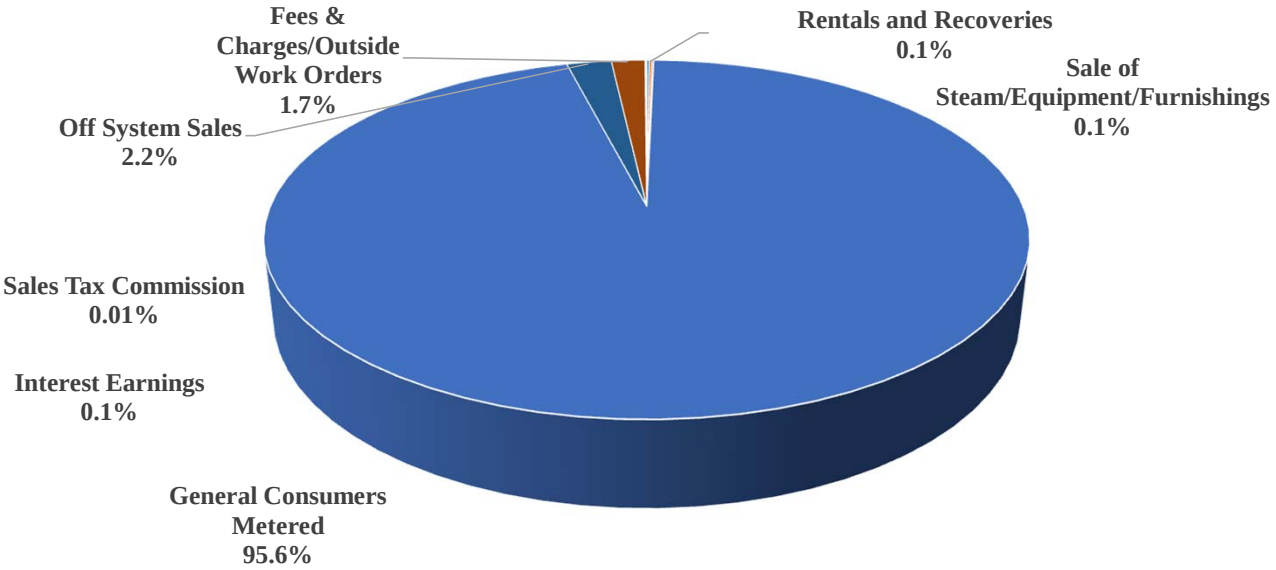
Lubbock Power & Light Fund Revenue Analysis

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17
Interest Earnings	100,370	147,797	213,547	157,164	313,178
Rentals and Recoveries	314,997	682,439	460,812	297,834	303,220
Sale of Steam/Equipment/Furnishings	174,726	320,375	223,491	197,250	201,501
Sales Tax Commission	17,090	20,016	20,265	17,867	20,265
General Consumers Metered	197,334,698	212,021,761	214,564,750	222,297,666	223,989,342
Gas Swap	-	-	-	-	-
Off System Sales	11,285,612	10,786,122	3,174,821	14,131,174	5,269,503
Fees & Charges/Outside Work Orders	4,484,005	4,155,021	3,958,285	4,274,272	3,976,594
Miscellaneous	211,625	214,485	340,152	106,358	108,650
Transfer from Other Funds	-	299,111	85,122	87,891	93,243
Customer Rebates	-	-	-	-	-
Total Revenue Sources	$ 213,923,122	228,647,128	223,041,245	241,567,476	234,275,496
Utilization of Net Assets		-	-	-	-
TOTAL FUNDING SOURCES	$ 213,923,122	228,647,128	223,041,245	241,567,476	234,275,496



Ninety-two percent of the revenues in the Lubbock Power & Light Fund are generated by metered sales. These revenues are projected utilizing a long-term model that projects the growth in the customer base and the revenue requirement of the fund based on capital and operating expenses. The LP&L Fund has a five-year capital plan that is incorporated into the model to project current and future revenue needs. The factors utilized to project metered sales include historical trends, number of meters, weather, electric consumption, natural gas fuel prices and volume rate changes. For FY 2016-17, the biggest drivers of this revenue source are a rate increase and increase in natural gas fuel prices. Off system sales make up almost six percent of the revenues. This is projected based on contract minimum capacity payments for revenue from wholesale power sales under contract. Almost two percent of the revenues in the LP&L Fund come from fees and charges. These charges are forecasted based on estimated general consumer revenues. It includes fees and charges including but not limited to late fees, returned check charges, meter test charges, and reconnect fees.

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Solid Waste Overview

Mission and Purpose

Provide comprehensive, cost-effective, and environmentally sound collection, processing, and disposal of solid waste. The Solid Waste Department provides the following services:

- Provide residential and commercial solid waste collection.
- Operate two landfills for Lubbock and surrounding communities.
- Offer roll-off services for municipal accounts and City-sponsored special events.
- Collect solid waste at special events such as 4th on Broadway and festivals.
- Support neighborhood clean-ups.
- Assist with Codes Department neighborhood deployments.
- Collect illegally dumped items from alleys.
- Provide recycling and household hazardous waste programs.
- Provide funding for inmate work crews to clean and maintain South Loop 289, routes to the landfill, landfill properties, and public areas.

Overview

The City provides garbage collection and disposal services to 71,405 residential customers and 2,772 commercial customers. One of the City's two landfill sites is designated as the Caliche Canyon Landfill and includes a citizen's transfer station. The second site is the West Texas Region Disposal Facility located in Abernathy, Texas, which opened in 1999, and is one of the largest permitted areas for a landfill in the State of Texas. With 1,260 acres, the expected useful life of this landfill is 119 years.

Goals and Objectives

- Collect solid waste from 71,405 households and 2,772 commercial businesses.
- Operate four permanent recycling drop-off centers in compliance with Texas Commission on Environmental Quality (TCEQ) regulations, and operate six satellite recycling sites.
- Operate four Citizen Collection Stations in compliance with TCEQ regulations, where citizens can drop off waste items too large for dumpsters or that are illegal to dispose of in the dumpster.
- Operate two landfills in compliance with TCEQ regulations, for a total of 26 permits, and dispose of 325,000 tons of solid waste and manage 8,400 tons of wood waste.
- Operate the Kingspark methane gas recovery system in compliance TCEQ regulations.

Accomplishments for FY 2015-16

- Began design work on the renovation of the maintenance shop at the Caliche Canyon Landfill.
- Completed design work for the construction and lining for Cell 5 at the West Texas Region Disposal Facility and Cell VI Phase 4 at the Caliche Canyon Landfill.
- Completed design work for the adjustment of methane gas collection system lines at the Caliche Canyon Landfill.
- Completed upgrades to leachate collection systems at the West Texas Region Disposal Facility.
- Completed renovations to the shop at the West Texas Region Disposal Facility.
- Completed installation of new sewer line and sewer tap for Southside building expansion.
- Completed installation of new radio system in collection vehicles.
- Held departmental Operations Driving Skills Event.
- Conducted demo of plastic dumpsters to review durability, use, and cost savings.
- Transition to a double-monitor system for dispatch resulting in increased accuracy and efficiency.
- Consider partnership with GreenPrint with a tree planting intiative.
- KLB liaison partnered with Texas Tech, civic groups and community associations to provide neighborhood and canyon lake cleanup opportunities.
- Conducted tours of the Recycling Stations for elementary students.
- Participated in the 2016 Home and Garden Show and the Lubbock Arts Festival.

Objectives for FY 2016-17

- Complete renovation of the maintenance shop at the Caliche Canyon Landfill.
- Complete construction and lining for Cell 5 at the West Texas Region Disposal Facility and Cell VI Phase 4 at the Caliche Canyon.
- Complete construction phase of adjustment of methane gas collection system lines at Caliche Canyon Landfill.
- Complete closure of Cell IV at Caliche Canyon Landfill.
- Complete construction of new office space at the Southside location.
- House District IV staff (Foreman and Heavy Equipment Operators) at the Southside location.
- Complete installation of new office-based radio system in Solid Waste dispatch office.
- Continue to monitor efficiency and productivity of the larger capacity collection trucks.
- Continue to determine the cost and feasibility of expanding the illegal dumping and large item Bulky Crew collection program.
- Monitor the increase cost of trash collection service resulting from new developments and annexations.

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Solid Waste Overview

- Partner with GreenPrint in planting trees in parks and public areas.

Financial Condition

Adjusting for accounts payable, other current liabilities, and restricted cash at September 30, 2015, Solid Waste has $8,806,984 available for appropriation. The amount on September 30, 2016, is expected to be $8,634,793. The Solid Waste fund is required, by policy, to have 15 percent of revenue set aside as a reserve. The fund is forecast to have a reserve surplus of $5,520,400 at September 30, 2016.

Current Assets at September 30, 2015	$ 8,649,205
Less: Accounts Payable and Accrued Liabilities	(545,402)
Net Current Assets	8,103,803
Cashflow Calculations	
Current Assets Less Current Liabilities	8,103,803
Add: Restricted Cash reserved for Debt Service	703,181
Total Available Cash at September 30, 2015	$ 8,806,984
FY 2015-16 Budget Forecast:	
Operating Revenues	$ 20,762,621
Non-Operating Revenues/Due from Others	1,542,487
Less: Expenses	(22,477,299)
Forecast Addition to Net Current Assets	$ (172,191)
Total Available Cash by September 30, 2016	8,634,793
Estimated September 30, 2016	
Target Net Position Policy	15.00%
Target Net Position by Policy	$ 3,114,393
Available for Appropriation at September 30, 2016	$ 5,520,400

Revenue Overview

- Total revenues increased $435,720, or 2.1 percent, when compared with the FY 2015-16 Operating Budget.
- General collections increased $158,016, or 2.9 percent, due to expected growth in landfill usage.
- Interest earnings increased $21,587 due to budgeting interest this fiscal year.
- Department Operations increased $302,617, or 2.0 percent, due to increases in customer collection accounts.
- Miscellaneous revenues decreased $65,000, or 15.7 percent, due to anticipating less in the sale of vehicles and equipment.

Expense Overview

- Total expenditures increased $1,006,952, or 4.5 percent, when compared with the FY 2015-16 Operating Budget.
- Compensation and benefits decreased $118,214, or 1.6 percent, due to a lower required amount for full-time salaries.
- Supplies increased $38,071, or 2.0 percent, due to an anticipated increase in the per gallon price of diesel fuel.
- Maintenance decreased $196,774, or 8.0 percent, due to a decrease in vehicle maintenance costs as a result of replacing old equipment with new equipment that requires less maintenance.
- Professional services decreased $52,080, or 10.8 percent, due to a decrease in internal training, professional services, and contract fees.
- Other charges decreased $10,501, or 4.8 percent, due to no planned purchase of computer equipment in FY 2016-17.
- Scheduled charges decreased $59,188, or 13.4 percent, due to decreases in liability insurance, gas, and postage scheduled charges offset by an increase in the data processing and electric scheduled charges.
- Capital outlay decreased $93,540, or 48.3 percent, due to a total decrease in the allowance for other equipment.
- Debt Service increased $652,123, or 36.9 percent, for FY 2016-17.
- Vehicle/Equipment debt amount increased $724,987, or 18.5 percent.
- The transfer payment in lieu of property tax increased $21,913, or 5.3 percent.
- The transfer payment in lieu of franchise fee increased $21,789, or 2.1 percent. The amount budgeted is equal to 5 percent of gross revenues.
- The transfer to Lubbock Power and Light (LP&L) for costs related to consumer billing decreased $25,300, or 2.9 percent.
- The transfer to other funds increased $10,966, or 1.6 percent. This includes a transfer to the General Fund for street maintenance and a transfer to the Water Fund for environmental compliance.
- The transfer to the Solid Waste capital program increased $8,025 due to an increase in cash-funded capital improvement projects.

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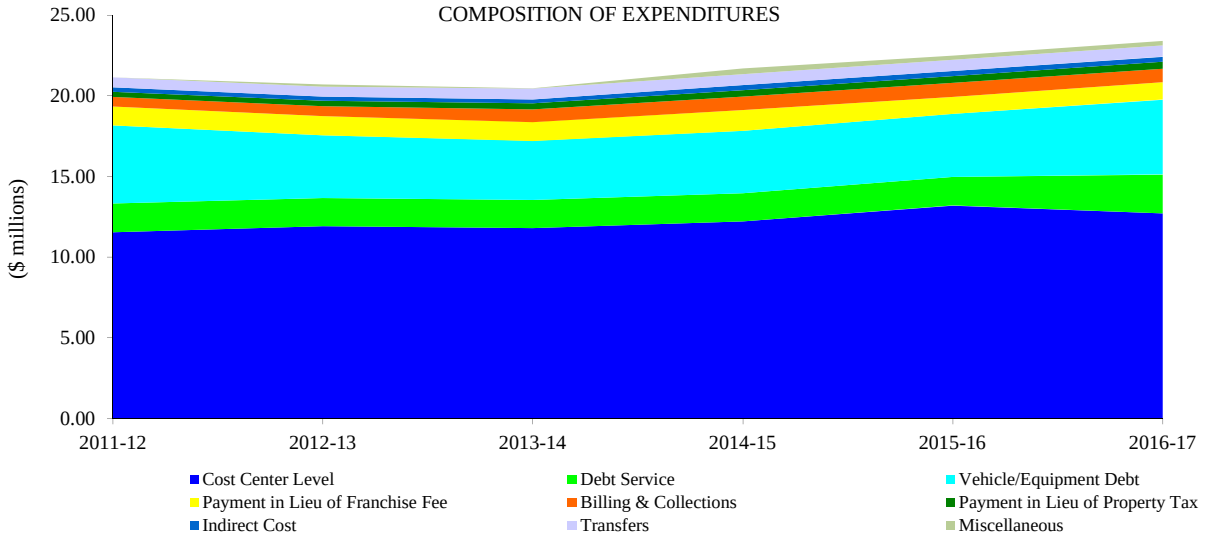
Enterprise Fund - Solid Waste Utility



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Residential Collection	66	66	66	65	66	1
Unpaved Alley Maintenance	18	18	18	18	18	-
Inmate Clean-Up	-	-	-	-	-	-
Solid Waste Disposal	22	23	23	24	24	-
Keep Lubbock Beautiful	-	-	-	1	-	(1)
Recycling Collection	5	5	5	5	5	-
TOTAL STAFFING	111	112	112	113	113	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Residential - Containers in Use	25,228	25,327	25,375	26,209	26,396	0.7
Residential - Solid Waste Collected (per ton)	133,242	121,973	122,000	121,545	122,000	0.4
Disposal - Total Tons	313,109	325,201	330,645	330,645	330,645	-
Disposal - Tons per day of operation	1,035	1,073	1,091	1,091	1,091	-
Recycling - Tons collected at Dropoff Center	1,780	1,673	1,100	1,416	1,500	5.9
Recycling - Tons of Nonrecyclable items	1,381	1,150	1,100	1,100	1,100	-
Alley Maint-Miles bladed of unpaved alleys	1,503	1,101	1,174	1,164	1,231	5.8

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Solid Waste Utility - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
General Collections	$ 4,729,103	4,745,385	5,501,953	5,538,555	5,696,571	2.9
Interest Earnings	3,162	19,567	46,503	-	21,587	-
Rentals and Junk Sales	1,448	1,335	414	1,500	-	(100.0)
Recycling	137,264	150,014	187,463	150,000	170,000	13.3
Department Operations	14,967,306	15,135,619	15,249,854	15,089,344	15,391,961	2.0
Miscellaneous	638,660	478,696	433,033	415,000	350,000	(15.7)
Total Revenue Sources	20,476,943	20,530,616	21,419,220	21,194,399	21,630,119	2.1
Utilization of Net Assets	222,750	-	286,739	1,282,900	1,854,132	44.5
TOTAL FUNDING SOURCES	$ 20,699,693	20,530,616	21,705,959	22,477,299	23,484,251	4.5

DEPARTMENT LEVEL EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 3,866,443	4,008,918	4,145,363	4,978,312	4,858,295	(2.4)
Benefits	2,105,374	2,189,223	2,179,158	2,520,412	2,522,215	0.1
Supplies	1,976,918	1,997,878	2,019,896	1,901,297	1,939,369	2.0
Maintenance	2,665,917	2,355,088	2,541,835	2,451,705	2,254,931	(8.0)
Professional Services/Training	344,380	370,337	454,844	482,337	430,257	(10.8)
Other Charges	119,119	142,288	278,670	220,529	210,028	(4.8)
Scheduled Charges	612,146	517,488	490,117	443,051	383,863	(13.4)
Capital Outlay/Reimbursements	210,252	197,301	100,000	193,540	100,000	(48.3)
TOTAL DEPARTMENT LEVEL EXPENSES	$ 11,900,549	11,778,520	12,209,884	13,191,184	12,698,959	(3.7)

FUND LEVEL EXPENSES						
Net Debt Service	$ 1,769,491	1,757,227	1,750,850	1,765,897	2,418,020	36.9
Vehicle/Equipment Debt	3,888,729	3,669,216	3,871,403	3,912,579	4,637,566	18.5
Indirect Cost Allocation	247,362	244,760	313,977	311,148	300,767	(3.3)
Transfer Payment In Lieu of Property Tax	346,436	375,224	404,611	414,646	436,559	5.3
Transfer Payment In Lieu of Franchise Fee	1,180,923	1,173,212	1,287,447	1,060,151	1,081,940	2.1
Transfer to LP&L - Collections	610,487	779,108	822,446	863,079	837,779	(2.9)
Transfer to Other Funds	614,857	672,769	682,028	701,654	712,620	1.6
Transfer to Solid Waste Capital	132,000	-	347,500	256,603	264,628	3.1
Miscellaneous	8,861	25,380	15,813	358	21,239	5,832.7
TOTAL FUND LEVEL EXPENSES	8,799,145	8,696,896	9,496,075	9,286,115	10,711,118	15.3
Compensation Adjustment					74,175	
TOTAL EXPENSES	$ 20,699,693	20,475,415	21,705,959	22,477,299	23,484,251	4.5

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Solid Waste Utility - Rate Model

	Budget	Forecast				
FUNDING SOURCES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
General Collections	$ 5,696,571	6,094,195	6,499,202	6,564,194	6,980,817	7,050,625
Interest Earnings	21,587	16,952	15,387	13,429	9,914	42,121
Rentals and Junk Sales	-	-	-	-	-	-
Recycling	170,000	171,700	173,417	175,151	176,903	178,672
Department Operations	15,391,961	16,305,416	17,491,310	17,666,223	18,364,585	18,548,231
Miscellaneous	350,000	367,500	385,875	405,169	425,427	446,699
Total Revenue Sources	21,630,119	22,955,763	24,565,192	24,824,166	25,957,646	26,266,348
Use of Net Assets	1,854,132	1,565,558	783,350	1,405,928	-	-
TOTAL FUNDING SOURCES	$ 23,484,251	24,521,321	25,348,542	26,230,095	25,957,646	26,266,348

	Budget	Forecast				
DEPARTMENT LEVEL EXPENSES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Compensation	$ 4,858,295	5,029,636	5,128,745	5,229,836	5,332,950	5,438,125
Benefits	2,522,215	2,667,391	2,824,943	2,996,067	3,182,076	3,384,413
Supplies	1,939,369	1,978,156	2,017,719	2,058,074	2,099,235	2,141,220
Maintenance	2,254,931	2,300,030	2,346,030	2,412,051	2,460,292	2,509,498
Other Charges	1,024,149	1,047,091	1,068,034	1,089,394	1,111,182	1,133,406
Capital Outlay/Reimbursements	100,000	100,000	100,000	100,000	100,000	100,000
TOTAL DEPARTMENT LEVEL EXPENSES	$ 12,698,959	13,122,303	13,485,472	13,885,422	14,285,734	14,706,662
FUND LEVEL EXPENSES						
Net Debt Service	$ 2,418,020	2,537,242	2,423,976	2,385,276	2,314,788	2,256,415
Vehicle/Equipment Debt	4,637,566	5,364,253	5,798,491	5,267,793	5,270,024	4,436,759
Pay-As-You-Go Funding in CIP	264,628	-	-	973,720	-	-
Payment In Lieu of Property Tax	436,559	445,290	454,196	463,280	472,546	481,996
Utility - Cost of Business	1,081,940	1,148,211	1,228,660	1,241,585	1,298,233	1,313,641
Transfer to LP&L - Collections	837,779	862,912	888,800	915,464	942,928	971,215
Transfer to Other Funds	1,013,387	1,040,474	1,068,308	1,096,910	1,126,300	1,156,502
Miscellaneous	21,239	633	640	646	652	659
TOTAL FUND LEVEL EXPENSES	$ 10,711,118	11,399,017	11,863,070	12,344,673	11,425,472	10,617,188
Compensation Adjustment	74,175					
TOTAL EXPENSES	$ 23,484,251	24,521,321	25,348,542	26,230,095	25,711,205	25,323,850

	Forecast	Budget	Forecast				
APPROPRIABLE NET ASSETS	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Net Position	$ 8,634,793	7,720,528	6,154,970	5,371,620	3,965,691	4,212,132	5,154,630
Net Position Reserve Policy	(3,114,393)	(3,163,280)	(3,359,942)	(3,598,577)	(3,634,563)	(3,801,810)	(3,839,828)
TOTAL APPROPRIABLE NET ASSETS	$ 5,520,400	4,557,248	2,795,028	1,773,043	331,129	410,322	1,314,801
Possible Needed Monthly Rate Increase							
Tipping Fee Increase - Per Ton	$ -	-	2.00	2.00	-	2.00	-
Total Tipping Fee - Per Ton	32.00	32.00	34.00	36.00	36.00	38.00	38.00
Residential Rate Increase	-	-	0.75	1.00	-	0.50	-
Total Residential Rate	15.25	15.25	16.00	17.00	17.00	17.50	17.50
Commercial Rate - 2 Cubic Yard Container	40.57	40.57	42.57	45.23	45.23	46.56	46.56
Commercial Rate - 3 Cubic Yard Container	60.14	60.14	63.09	67.04	67.04	69.01	69.01
Commercial Rate - 4 Cubic Yard Container	79.70	79.70	83.62	88.85	88.85	91.46	91.46
Commercial Rate - 6 Cubic Yard Container	115.38	115.38	121.06	128.62	128.62	132.41	132.41
Commercial Rate - 8 Cubic Yard Container	151.06	151.06	158.49	168.40	168.40	173.35	173.35

Assumptions

1. The estimated growth of expenditures is forecasted at 2-3% unless trends indicate otherwise.
2. The proposed rate structure incorporated in this model is subject to change depending on many variables. Some of these variables may include: competition with smaller arid exempt landfills, interest rates, commodity prices, inflation rates, and changes in the cost or priority of capital projects.

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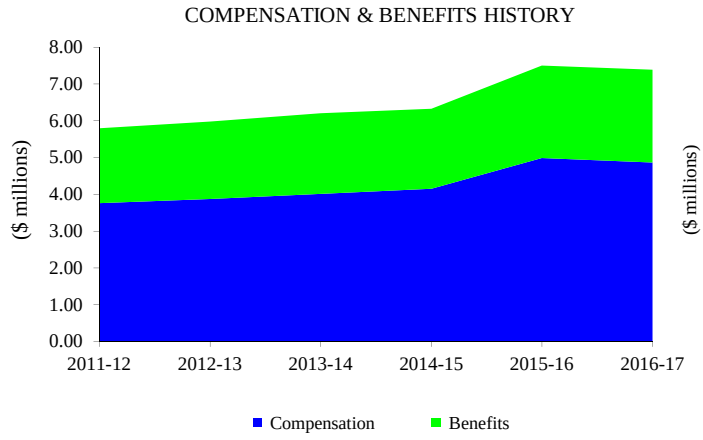
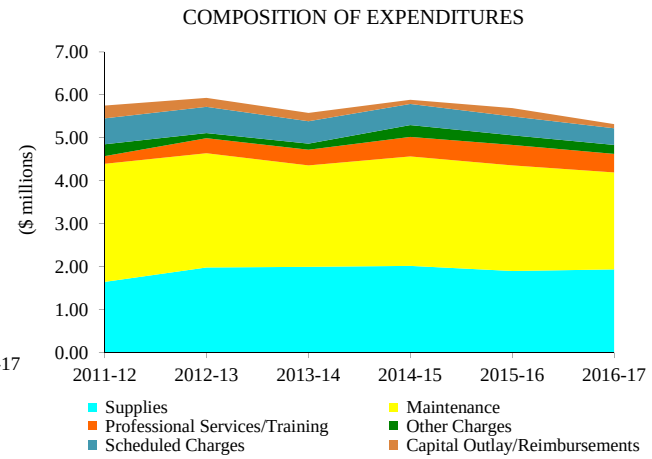
Solid Waste Utility - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 3,866,443	4,008,918	4,145,363	4,978,312	4,858,295	(2.4)
Benefits	2,105,374	2,189,223	2,179,158	2,520,412	2,522,215	0.1
Supplies	1,976,918	1,997,878	2,019,896	1,901,297	1,939,369	2.0
Maintenance	2,665,917	2,355,088	2,541,835	2,451,705	2,254,931	(8.0)
Professional Services/Training	344,380	370,337	454,844	482,337	430,257	(10.8)
Other Charges	119,119	142,288	278,670	220,529	210,028	(4.8)
Scheduled Charges	612,146	517,488	490,117	443,051	383,863	(13.4)
Capital Outlay/Reimbursements	210,252	197,301	100,000	193,540	100,000	(48.3)
TOTAL EXPENDITURES BY CATEGORY	$ 11,900,549	11,778,520	12,209,884	13,191,184	12,698,959	(3.7)

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Residential Collection	$ 6,950,898	6,761,141	7,346,526	6,965,270	7,011,234	0.7
Unpaved Alley Maintenance	986,862	894,116	775,670	1,379,021	1,333,766	(3.3)
Inmate Clean-Up	261,304	285,926	298,439	266,275	282,779	6.2
Solid Waste Disposal	3,199,368	3,300,788	3,288,137	3,943,745	3,528,446	(10.5)
Keep Lubbock Beautiful	-	-	-	111,176	20,450	(81.6)
Recycling Collection	502,117	536,549	501,113	525,697	522,284	(0.6)
TOTAL EXPENDITURES BY DEPARTMENT	$ 11,900,549	11,778,520	12,209,884	13,191,184	12,698,959	(3.7)

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Solid Waste Utility - Department Expenditures

Residential Collection

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 2,458,501	2,484,221	2,587,613	2,776,301	2,776,684	0.0
Benefits	1,378,804	1,416,498	1,439,724	1,509,093	1,527,238	1.2
Supplies	1,222,830	1,217,597	1,197,026	1,091,640	1,177,851	7.9
Maintenance	1,394,469	1,209,013	1,585,208	1,153,861	1,136,518	(1.5)
Professional Services/Training	7,387	14,306	15,249	16,886	17,042	0.9
Other Charges	44,537	62,166	189,146	164,256	164,768	0.3
Scheduled Charges	443,015	351,444	332,561	247,654	211,134	(14.7)
Capital Outlay/Reimbursements	1,355	5,896	-	5,580	-	(100.0)
TOTAL RESIDENTIAL COLLECTION	$ 6,950,898	6,761,141	7,346,526	6,965,270	7,011,234	0.7

Unpaved Alley Maintenance

EXPENDITURES BY CATEGORY						
Compensation	$ 381,180	370,869	304,907	619,761	580,086	(6.4)
Benefits	228,487	206,204	192,839	351,169	357,737	1.9
Supplies	122,096	99,144	85,237	93,697	86,341	(7.9)
Maintenance	228,106	189,969	170,884	293,031	291,013	(0.7)
Professional Services/Training	622	321	1,165	1,668	1,668	-
Other Charges	-	-	-	-	-	-
Scheduled Charges	26,371	22,649	20,638	19,695	16,921	(14.1)
Capital Outlay/Reimbursements	-	4,961	-	-	-	-
TOTAL UNPAVED ALLEY MAINTENANCE	$ 986,862	894,116	775,670	1,379,021	1,333,766	(3.3)

Inmate Clean-Up

EXPENDITURES BY CATEGORY						
Compensation	$ -	168	-	-	-	-
Benefits	-	84	-	-	-	-
Supplies	26,879	29,108	26,231	26,863	30,394	13.1
Maintenance	27,361	24,549	29,642	41,213	41,427	0.5
Professional Services/Training	147,115	210,281	221,260	191,640	191,630	(0.0)
Other Charges	54,251	16,949	17,302	-	-	-
Scheduled Charges	5,698	4,787	4,004	6,559	19,328	194.7
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL INMATE CLEAN-UP	$ 261,304	285,926	298,439	266,275	282,779	6.2

Solid Waste Disposal

EXPENDITURES BY CATEGORY						
Compensation	$ 848,173	912,484	1,023,790	1,271,350	1,242,938	(2.2)
Benefits	406,957	460,397	456,727	528,394	533,690	1.0
Supplies	563,900	603,748	661,066	642,466	590,815	(8.0)
Maintenance	954,454	870,308	667,598	887,387	710,179	(20.0)
Professional Services/Training	179,366	127,627	193,280	254,184	201,455	(20.7)
Other Charges	19,406	21,995	71,935	41,553	30,900	(25.6)
Scheduled Charges	115,575	119,191	113,741	148,411	118,468	(20.2)
Capital Outlay/Reimbursements	111,537	185,038	100,000	170,000	100,000	(41.2)
TOTAL SOLID WASTE DISPOSAL	$ 3,199,368	3,300,788	3,288,137	3,943,745	3,528,446	(10.5)

Keep Lubbock Beautiful

EXPENDITURES BY CATEGORY						
Compensation	$ -	-	-	62,092	-	(100.0)
Benefits	-	-	-	28,274	-	(100.0)
Supplies	-	-	-	3,600	3,600	-
Maintenance	-	-	-	-	-	-
Professional Services/Training	-	-	-	2,850	2,850	-
Other Charges	-	-	-	14,360	14,000	(2.5)
Scheduled Charges	-	-	-	-	-	-
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL KEEP LUBBOCK BEAUTIFUL	$ -	-	-	111,176	20,450	(81.6)

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Solid Waste Utility - Department Expenditures

Recycling Collection

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 178,589	241,176	229,053	248,808	258,587	3.9
Benefits	91,127	106,041	89,868	103,483	103,550	0.1
Supplies	41,213	48,280	50,337	43,032	50,368	17.0
Maintenance	61,527	61,249	88,503	76,213	75,794	(0.5)
Professional Services/Training	9,891	17,801	23,891	15,109	15,612	3.3
Other Charges	924	41,178	288	360	360	-
Scheduled Charges	21,486	19,418	19,173	20,732	18,013	(13.1)
Capital Outlay/Reimbursements	97,360	1,406	-	17,960	-	(100.0)
TOTAL RECYCLING COLLECTION	$ 502,117	536,549	501,113	525,697	522,284	(0.6)

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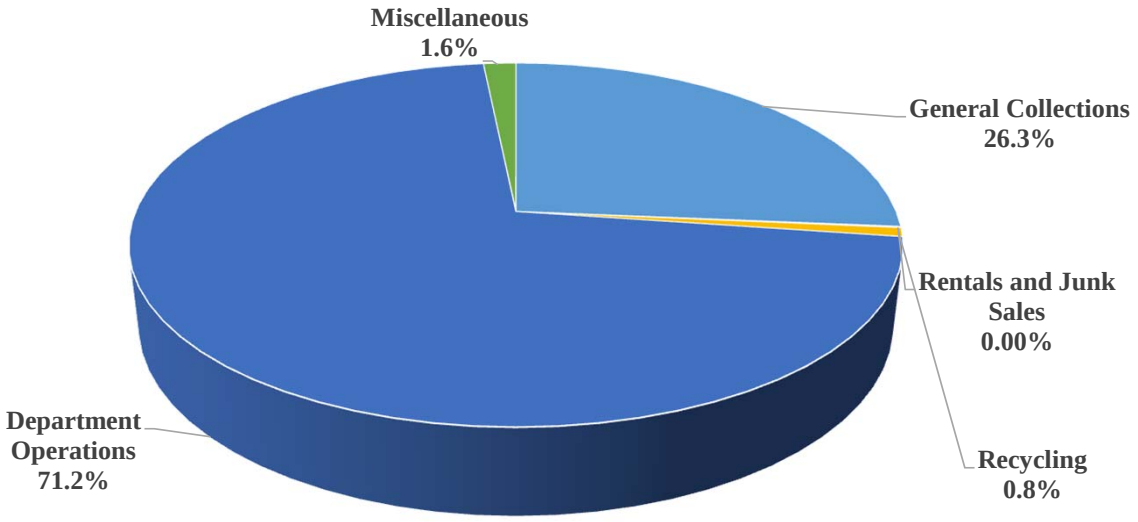
Solid Waste Fund Revenue Analysis

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-forecasted FY 2015-16	Budget FY 2016-17
General Collections	$ 4,729,103	4,745,385	5,501,953	5,533,055	5,696,571
Interest Earnings	3,162	19,567	46,503	-	21,587
Rentals and Junk Sales	1,448	1,335	414	311	-
Recycling	137,264	150,014	187,463	165,000	170,000
Department Operations	14,967,306	15,135,619	15,249,854	15,229,566	15,391,961
Miscellaneous	638,660	478,696	433,033	500,000	350,000
Total Revenue Sources	$ 20,476,943	20,530,616	21,419,220	21,427,932	21,630,119
Utilization of Net Assets	222,750	-	286,739	1,049,367	1,854,132
TOTAL FUNDING SOURCES	$ 20,699,693	20,530,616	21,705,959	22,477,299	23,484,251



Seventy-one percent of the revenues in the Solid Waste Fund are generated by department operations associated with residential and commercial solid waste collection. These revenues are projected utilizing a long-term model that projects the growth in the customer base and the revenue requirement of the fund based on capital and operating expenses. The Solid Waste Fund has a five-year capital plan that is incorporated into the model to project current and future revenue needs. The model is included in this section. The factors utilized to project department operations include historical trends, growth in population, and volume rate changes. General Collection fees associated with landfill disposal make up 26.3 percent of the revenues in the Solid Waste Fund. These fees are projected using number of tons collected in the prior year.

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Solid Waste Fund Line Item Funding Source Summary

FUNDING SOURCES	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17	% Change from Amended
Land/Agriculture Rent	6917	$ 1,448	1,335	414	311	-	(100.0)
Sale of Equipment/Vehicle	7104	624,970	461,942	389,167	500,000	350,000	(30.0)
Recycled Products	7201	137,264	150,014	187,463	165,000	170,000	3.0
General Consumer Metered	7523	14,866,954	15,041,534	15,140,529	15,139,566	15,290,961	1.0
Municipal Metered	7530	100,352	94,084	109,324	90,000	101,000	12.2
Interest on Investments	6802	3,162	19,567	46,503	-	21,587	-
Disposal Fees	6630	4,221,998	4,174,538	4,877,501	4,983,055	5,396,571	8.3
Municipal Disposal Fees	6631	507,105	570,847	624,452	550,000	300,000	(45.5)
Discounts	7012	3,870	3,869	3,911	-	-	-
Subrogation	7510	9,821	12,885	39,956	-	-	-
TOTAL REVENUE SOURCES		$ 20,476,943	20,530,616	21,419,220	21,427,932	21,630,119	0.9

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Storm Water Overview

Mission and Purpose

To protect public health and safety through storm water management by working to reduce the risk of flooding, improve the overall drainage system, and preserve the water quality in playa lakes, ground water, and tributaries to the Brazos River. To accomplish this mission, the Storm Water Department provides the following services:

- Design and construct storm drainage systems that reduce the risk of flooding.
- Review and approve drainage plans and storm water pollution prevention plans ensuring proper planning, reducing the risk of flooding, and ensuring compliance with City codes and ordinances.
- Maintain storm sewers, storm water property, and easements.
- Perform routine street sweeping as part of the Municipal Separate Storm Sewer Systems (MS4) permit requirements.
- Perform routine inspections of construction sites and industrial facilities to ensure compliance with the City's MS4 permit.

Overview

The City's storm water run-off is primarily conveyed through the City's street system that discharges into more than 146 playa lakes. The subsurface drainage, via storm sewer pipes with curb inlets, conveys water to two small intermittent streams (Blackwater Draw and Yellowhouse Draw) which both converge at the upper reaches of the North Fork of the Brazos River.

The City's municipal separate storm sewer system (MS4) is made up of approximately 1,180 miles of paved and unpaved streets, 559 linear miles of paved and unpaved alleys, 1,240 storm sewer inlets, 96 miles of subsurface storm sewer pipe, six detention basins, 146 playa lakes, and one pump station. Maintenance of all of the storm sewers, including street cleaning is funded through storm water fees.

During FY 2015-16, the major focus areas have been:

- Complete Phase 2 Design of Northwest Lubbock Drainage Project.
- Revise the structure change for storm water utility fees.
- Revise the Storm Water Management Program to meet the renewed MS4 permit regulations.
- Continue with Phases 1 & 2 of the Storm Water Master Plan.

When completed with all phases, the Northwest Lubbock Drainage Project will connect six playa lakes to a new drainage system to help reduce the risk of flooding in the northwest region of Lubbock. The Storm Water Utility fee restructure will undergo a revision to the way the customers are assessed. Finally, with the City's MS4 Permit Renewal, issued August 2015, the Storm Water Management Program will undergo changes to achieve compliance with the renewed permit. Another focus is on the Storm Water Master Plan that began in April 2015. This plan will help to revise the Drainage Criteria Manual and update the existing drainage plans throughout the City. It will ultimately take a proactive look at problem areas and prioritize future projects as the City jurisdiction continues to grow and expand.

Goals and Objectives

- Review drainage plans from the development community in a timely manner.
- Comply with the MS4 permit by maintaining partnerships with Texas Tech University, contractors, and citizens.
- Evaluate and monitor the drainage system within the City for potential problems and effective solutions.
- Increase awareness and public education about illicit discharge and general storm water matters and enforce guidelines of the Storm Water Ordinance.

Accomplishments for FY 2015-16

- Began FEMA Restudy for System C that have been positively impacted by construction completion of the Northwest Drainage Project Phase 1 (including the Arnett-Benson neighborhood).
- Completed Phase 1 and began Phase 2 of the Storm Water Master Plan work.
- Continued work to revise the new rate structure for storm water utility fees.
- Removed 10 tons of material from storm sewer inlets in accordance with the MS4 permit.

Objectives for FY 2016-17

- Begin Phase 2 of construction on the Northwest Lubbock Drainage Project to tie into Maxey Park Playa Lake.
- Complete Phase 2 and begin Phase 3 of the Storm Water Master Plan.
- Continue cleaning, repair and replacement of storm sewer inlets and concrete channels in accordance with the MS4 permit.
- Continue the residential street sweeping program to give the City an overall clean appearance and prevent debris from entering and plugging the storm drainage system.

Financial Condition

Adjusting for accounts payable, other current liabilities, and restricted cash at September 30, 2015, Storm Water has $5,506,537 in net position. The amount on September 30, 2016, is expected to be $5,553,545. The Storm Water fund is required, by policy, to have 15 percent of revenue set aside as a reserve. The fund is forecast to have a reserve surplus of $2,054,432 at September 30, 2016.

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Storm Water Overview

Current Assets at September 30, 2015	$ 3,068,926
Less: Accounts Payable and Accrued Liabilities	(571,333)
Net Current Assets	2,497,593
Cashflow Calculations	
Current Assets Less Current Liabilities	2,497,593
Less: Negative Unrestricted	-
Add: Restricted Cash reserved for Debt Service	3,008,944
Total Available Cash at September 30, 2015	$ 5,506,537
FY 2015-16 Budget Forecast:	
Operating Revenues	$23,327,417
Non-Operating Revenues	25,000
Less: Expenses	(23,305,409)
Forecast Addition to Net Current Assets	47,008
Total Available Cash by September 30, 2016	5,553,545
Estimated September 30, 2016	
Target Net Position Policy	15.00%
Target Net Position by Policy	$ 3,499,113
Available for Appropriation at September 30, 2016	$ 2,054,432

Revenue Overview

- Total revenues increased $334,800, or 1.4 percent, when compared with the FY 2015-16 Operating Budget.

Expense Overview

- Total expenditures decreased $1,009,416, or 4.3 percent, when compared with the FY 2015-16 Operating Budget.
- Compensation and benefits decreased $21,520, or 1.0 percent, due to staff turnover.
- Supplies increased $6,823, or 4.1 percent, due to a projected increase in the per gallon price of diesel fuel.
- Scheduled charges increased $19,720, or 19.1 percent, due to an increase in liability insurance cost and the data processing scheduled charge.
- Debt service payment decreased $88,055, or 1.0 percent.
- Vehicle/equipment debt payment increased $85,283, or 15.6 percent, due to debt funded vehicles and equipment in prior years.
- Indirect cost allocation decreased $48,883, or 23.4 percent. This cost is based on the cost allocation plan done by Accounting.
- Transfer payment in lieu of property tax increased $13,305, or 1.5 percent, due to an increase in fixed asset value.
- Transfer payment in lieu of franchise fee increased $16,046, or 1.4 percent, due to an increase in operating revenues.
- Transfer to the capital project fund decreased $1,060,100, or 51.7 percent, due to fewer cash funded capital projects in FY 2016-17.
- Transfer to LP&L for collection costs decreased $25,300, or 2.9 percent.
- Transfer to the general fund for playa lake maintenance increased $63,343, or 21.0 percent, due to an increase in maintenance contracts.

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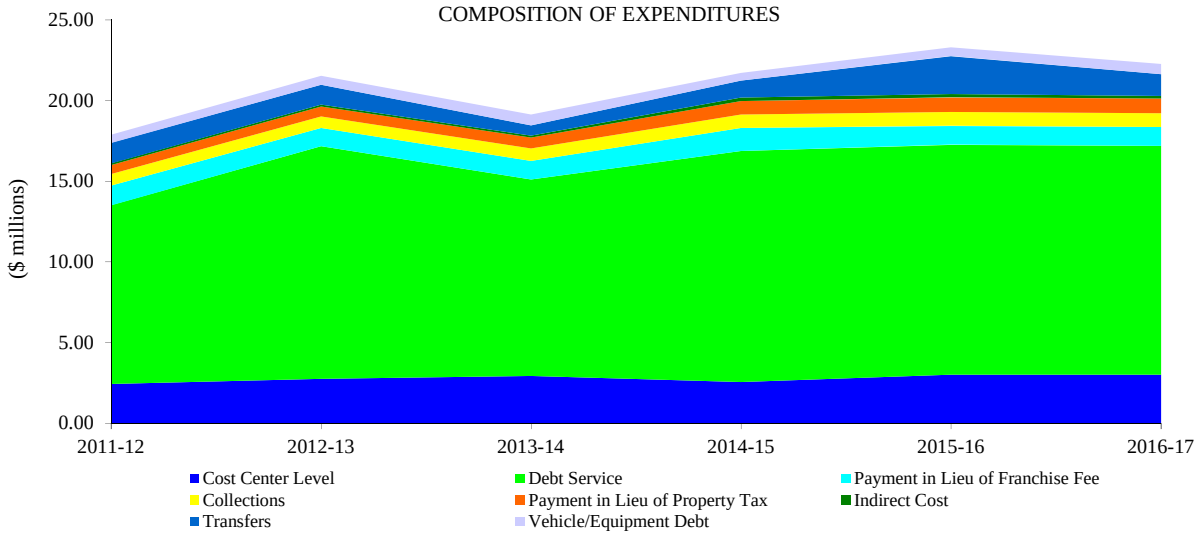
Enterprise Fund - Storm Water Utility



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Stormwater Utility	11	12	13	13	14	1
Street Cleaning	15	15	15	15	15	-
Storm Sewer Maintenance	10	10	10	10	9	(1)
TOTAL STAFFING	36	37	38	38	38	-

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
No. of drainage plans reviewed	45	40	40	40	50	25.0
Construction site inspections	7,691	8,754	11,019	11,219	11,220	0.0
Storm water plans reviewed	912	975	895	869	870	0.1
Storm sewer inlet debris removal	20	14	12	10	18	80.0
Total lane miles swept	20,899	15,485	13,280	13,826	16,992	22.9
Industrial site inspections	124	189	62	75	75	-

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Storm Water Utility - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ (31,835)	2,714	18,698	-	13,884	-
Department Operations	19,397,384	19,742,584	23,175,293	23,327,417	23,648,333	1.4
Transfer from Other Funds	-	-	-	-	-	-
Miscellaneous	2,824,063	2,042,144	1,867,069	25,000	25,000	-
Total Revenue Sources	22,189,612	21,787,442	25,061,060	23,352,417	23,687,217	1.4
Utilization of Net Assets	-	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 22,189,612	21,787,442	25,061,060	23,352,417	23,687,217	1.4

DEPARTMENT LEVEL EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,221,096	1,174,342	1,090,426	1,451,445	1,424,081	(1.9)
Benefits	673,296	642,844	588,562	792,945	798,789	0.7
Supplies	190,273	175,821	177,994	168,261	175,084	4.1
Maintenance	304,038	324,745	366,445	333,323	333,469	0.0
Professional Services/Training	174,730	413,046	173,919	110,030	109,791	(0.2)
Other Charges	63,517	57,434	27,954	33,000	33,300	0.9
Scheduled Charges	115,736	106,006	94,956	103,443	123,163	19.1
Capital Outlay/Reimbursements	1,555	14,305	24,291	-	-	-
TOTAL DEPARTMENT LEVEL EXPENSES	$ 2,744,241	2,908,544	2,544,547	2,992,447	2,997,677	0.2

FUND LEVEL EXPENSES						
Debt Service	$ 9,092,139	9,519,295	9,012,571	8,961,130	8,873,075	(1.0)
Transfer to Debt Service Fund	5,321,023	2,668,239	5,309,575	5,303,870	5,308,446	0.1
Vehicle/Equipment Debt	548,859	659,841	482,352	546,656	631,939	15.6
Indirect Cost Allocation	110,646	127,201	230,829	208,584	159,701	(23.4)
Transfer Payment In Lieu of Property Tax	612,104	668,900	825,949	908,356	921,661	1.5
Transfer Payment In Lieu of Franchise Fee	1,139,519	1,155,837	1,435,531	1,166,371	1,182,417	1.4
Transfer to Storm Water Capital	750,000	350,000	750,000	2,050,000	989,900	(51.7)
Transfer to LP&L - Collections	714,797	779,108	822,446	863,079	837,779	(2.9)
Transfer to General Fund	276,038	284,320	292,850	301,635	364,978	21.0
Transfer to General Fund Capital	220,000	-	-	-	-	-
Miscellaneous	93,960	64,400	328,648	3,281	2,254	(31.3)
TOTAL FUND LEVEL EXPENSES	18,879,085	16,277,141	19,490,751	20,312,962	19,272,150	(5.1)
Compensation Adjustment					26,165	
TOTAL EXPENSES	$ 21,623,326	19,185,685	22,035,298	23,305,409	22,295,993	(4.3)

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Storm Water Utility - Rate Model

	Budget	Forecast				
FUNDING SOURCES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Interest Earnings	$ 13,884	17,362	25,061	19,512	25,958	43,537
Department Operations	23,648,333	23,766,504	23,885,266	24,004,621	21,932,717	22,042,310
Transfer from Other Funds	-	-	-	-	-	-
Miscellaneous	25,000	25,000	25,000	25,000	25,000	25,000
Total Revenue Sources	23,687,217	23,808,866	23,935,327	24,049,133	21,983,675	22,110,847
Use of Net Assets	-	1,932,487	1,109,950	-	-	223,265
TOTAL FUNDING SOURCES	$ 23,687,217	25,741,351	25,045,277	24,049,133	21,983,675	22,334,112

	Budget	Forecast				
DEPARTMENT LEVEL EXPENSES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Compensation	$ 1,424,081	1,478,728	1,507,779	1,537,411	1,567,636	1,598,465
Benefits	798,789	846,622	898,596	955,115	1,016,618	1,083,591
Supplies	175,084	178,586	182,158	185,801	189,517	193,307
Maintenance	333,469	340,138	346,941	353,880	360,958	368,177
Other Charges	266,254	271,579	277,011	282,551	288,202	293,966
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL DEPARTMENT LEVEL EXPENSES	$ 2,997,677	3,115,653	3,212,485	3,314,758	3,422,931	3,537,507
FUND LEVEL EXPENSES						
Net Debt Service	$ 8,873,075	12,047,717	12,019,916	11,773,037	11,390,533	12,814,567
Transfer to Debt Service	5,308,446	5,309,594	4,237,029	3,174,598	2,116,722	1,052,379
Vehicle/Equipment Debt	631,939	700,098	730,970	673,859	645,141	627,009
Indirect Cost Allocation	159,701	164,492	169,427	174,510	179,745	185,137
Payment In Lieu of Property Tax	921,661	949,311	977,790	1,007,124	1,037,338	1,068,458
Utility - Cost of Business	1,182,417	1,188,325	1,194,263	1,200,231	1,096,636	1,102,115
Transfer to LP&L - Collections	837,779	862,912	888,800	915,464	942,928	971,215
Transfer to Storm Water CIP	989,900	1,025,000	1,225,000	125,000	125,000	550,000
Transfer to General Fund	364,978	375,928	387,206	398,822	410,786	423,110
Miscellaneous	2,254	2,322	2,392	2,463	2,537	2,613
TOTAL FUND LEVEL EXPENSES	$ 19,272,150	22,625,699	21,832,792	19,445,107	17,947,366	18,796,604
Compensation Adjustment	26,165					
TOTAL EXPENSES	$ 22,295,993	25,741,352	25,045,277	22,759,865	21,370,297	22,334,112

	Reforecasted	Budget	Forecast				
APPROPRIABLE NET ASSETS	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Net Assets	$ 5,553,545	6,944,768	5,012,281	3,902,332	5,191,599	5,804,977	5,581,712
Less: Net Asset Reserve Policy	(3,499,113)	(3,547,250)	(3,564,976)	(3,582,790)	(3,600,693)	(3,289,908)	(3,306,346)
TOTAL APPROPRIABLE NET ASSETS	2,054,432	3,397,518	1,447,306	319,542	1,590,906	2,515,070	2,275,366
Proposed Rate Structure Changes							
Residential Rate Structure							
Residential Rate - Monthly (Tier 1)	$ 8.80	8.80	8.80	8.80	8.80	8.00	8.00
Residential Rate - Monthly (Tier 2)	13.48	13.48	13.48	13.48	13.48	12.25	12.25
Residential Rate - Monthly (Tier 3)	17.05	17.05	17.05	17.05	17.05	15.50	15.50
Residential Rate - Monthly (Tier 4)	25.58	25.58	25.58	25.58	25.58	23.25	23.25
Commercial Rate Structure							
Commercial Rate - Monthly	25.58	25.58	25.58	25.58	25.58	23.25	23.25

*per Equivalent Residential Unit as of 2014-15

Assumptions

1. Beginning in FY 2014-15, the rate is based upon impervious surface area.
2. The estimated growth of expenditures is forecasted at 2-3% unless trends indicate otherwise.
3. The proposed rate structure incorporated in this model is subject to change depending on many variables. Some of these variables may include: interest rates, commodity prices, inflation rates, and changes in the cost or priority of capital projects.

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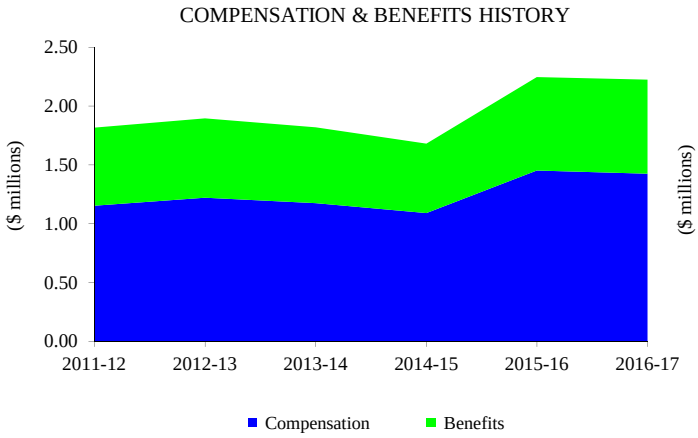
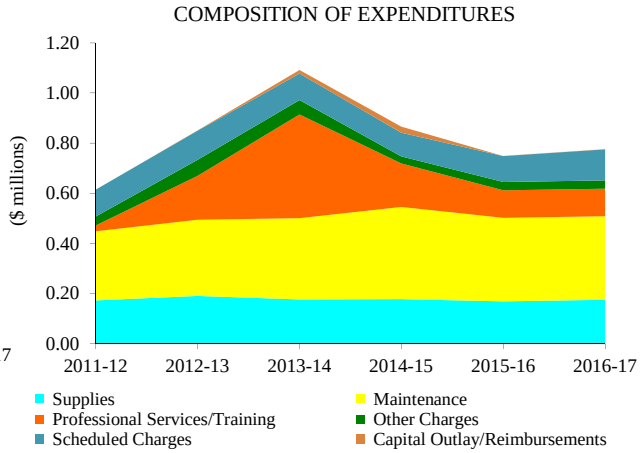
Storm Water Utility - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,221,096	1,174,342	1,090,426	1,451,445	1,424,081	(1.9)
Benefits	673,296	642,844	588,562	792,945	798,789	0.7
Supplies	190,273	175,821	177,994	168,261	175,084	4.1
Maintenance	304,038	324,745	366,445	333,323	333,469	0.0
Professional Services/Training	174,730	413,046	173,919	110,030	109,791	(0.2)
Other Charges	63,517	57,434	27,954	33,000	33,300	0.9
Scheduled Charges	115,736	106,006	94,956	103,443	123,163	19.1
Capital Outlay/Reimbursements	1,555	14,305	24,291	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 2,744,241	2,908,544	2,544,547	2,992,447	2,997,677	0.2

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Stormwater Utility	$ 1,149,579	1,396,303	1,076,600	1,171,512	1,241,008	5.9
Street Cleaning	1,042,782	971,264	1,000,310	1,122,587	1,113,203	(0.8)
Storm Sewer Maintenance	551,880	540,977	467,637	698,347	643,466	(7.9)
TOTAL EXPENDITURES BY DEPARTMENT	$ 2,744,241	2,908,544	2,544,547	2,992,447	2,997,677	0.2

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Storm Water Utility - Department Expenditures

Stormwater Utility

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 541,479	565,393	518,965	614,236	641,742	4.5
Benefits	264,070	278,065	253,222	293,218	319,784	9.1
Supplies	20,349	19,483	20,041	20,297	19,598	(3.4)
Maintenance	29,991	22,334	28,211	31,732	32,764	3.3
Professional Services/Training	149,072	390,290	138,240	107,600	107,361	(0.2)
Other Charges	63,517	45,842	27,677	33,000	33,000	-
Scheduled Charges	79,547	73,770	65,954	71,429	86,758	21.5
Capital Outlay/Reimbursements	1,555	1,125	24,291	-	-	-
TOTAL STORMWATER UTILITY	$ 1,149,579	1,396,303	1,076,600	1,171,512	1,241,008	5.9

Street Cleaning

EXPENDITURES BY CATEGORY						
Compensation	$ 452,558	412,905	398,027	516,764	493,734	(4.5)
Benefits	272,752	249,210	233,675	301,723	301,462	(0.1)
Supplies	103,715	94,627	96,159	87,918	94,178	7.1
Maintenance	195,845	193,667	257,988	200,700	199,306	(0.7)
Professional Services/Training	1,115	586	396	1,300	1,300	-
Other Charges	-	5,295	277	-	300	-
Scheduled Charges	16,796	14,976	13,787	14,182	22,923	61.6
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL STREET CLEANING	$ 1,042,782	971,264	1,000,310	1,122,587	1,113,203	(0.8)

Storm Sewer Maintenance

EXPENDITURES BY CATEGORY						
Compensation	$ 227,058	196,044	173,434	320,445	288,605	(9.9)
Benefits	136,475	115,569	101,665	198,004	177,543	(10.3)
Supplies	66,208	61,711	61,794	60,046	61,308	2.1
Maintenance	78,202	108,744	80,246	100,891	101,399	0.5
Professional Services/Training	24,543	22,170	35,283	1,130	1,130	-
Other Charges	-	6,298	-	-	-	-
Scheduled Charges	19,393	17,260	15,215	17,832	13,482	(24.4)
Capital Outlay/Reimbursements	-	13,180	-	-	-	-
TOTAL STORM SEWER MAINTENANCE	$ 551,880	540,977	467,637	698,347	643,466	(7.9)

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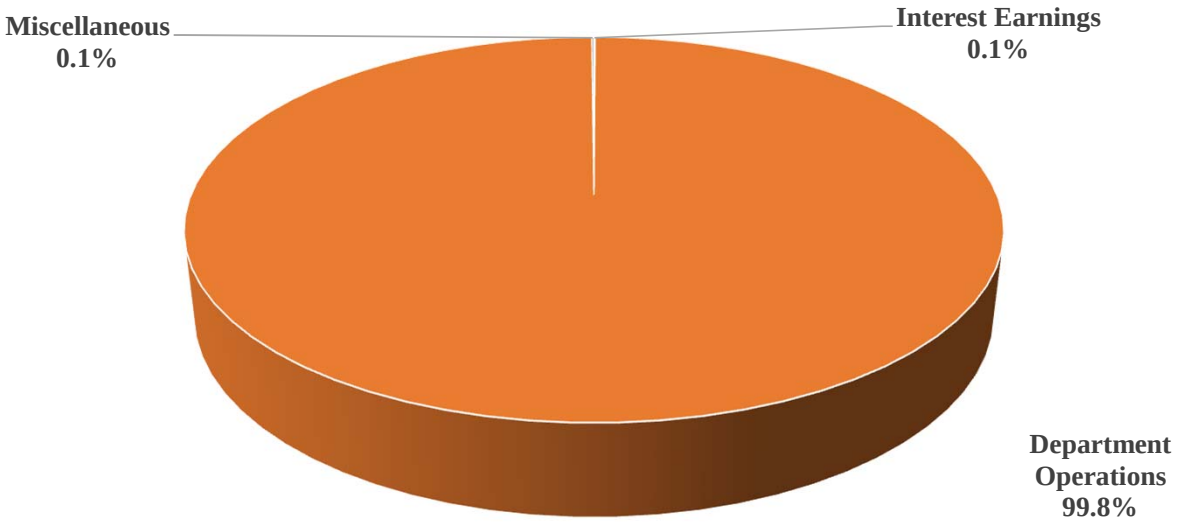
Storm Water Fund Revenue Analysis

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17
Interest Earnings	$ (31,835)	2,714	18,698	-	13,884
Department Operations	19,397,384	19,742,584	23,175,293	23,326,667	23,648,333
Miscellaneous	2,824,063	2,042,144	1,867,069	25,000	25,000
Total Revenue Sources	$ 22,189,612	21,787,442	25,061,060	23,351,667	23,687,217
Utilization of Net Assets	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 22,189,612	21,787,442	25,061,060	23,351,667	23,687,217



The Storm Water Fee generates the majority of the revenue for the fund's department operations, which accounts for almost entirely all revenue in the Storm Water Fund. The projected revenue for FY 2016-17 is determined by a tiered rate structure based upon impervious surface area. The Storm Water Fund utilizes a long-term model with an annual growth factor of one-half percent increase in residential properties and commercial Equivalent Residential Unit (ERU). Additional revenue from operations is derived through storm water plan reviews in conjunction with new construction activity, mobile car wash permits, and sales of the City's Drainage Criteria Manual and Master Drainage Plan (MDP). Historical trends and economic data are analyzed when estimating these revenues. Miscellaneous revenue is derived from the sale of equipment and is projected based upon expected equipment sales.

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Storm Water Fund Line Item Funding Source Summary

FUNDING SOURCES	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest on Investments	6802	$ (31,835)	2,714	18,698	-	13,884	-
Book Sales	7691	50	-	-	-	-	-
Mobile Wash Permits	7695	1,925	1,950	1,950	1,900	1,900	-
Sale of Equipment	7104	183,854	6,966	1,663	25,000	25,000	-
Contribution from Developers	7425	2,621,659	2,020,088	1,852,727	-	-	-
Subrogation	7510	5,490	1,475	-	-	-	-
Storm Water Plan Review	7697	11,085	11,665	10,730	11,000	12,250	11.4
General Consumer Metered	7523	19,397,384	19,742,584	23,175,293	23,313,767	23,634,183	1.4
TOTAL REVENUE SOURCES		$ 22,189,612	21,787,442	25,061,060	23,351,667	23,687,217	1.4

City of Lubbock TEXAS

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Transit Overview

Mission and Purpose

Deliver safe, quality, efficient, environmentally sustainable transportation choices that link people, jobs and community. Citibus provides the following services that is essential to economic vitality:

- City of Lubbock fixed route transportation.
- CitiAccess paratransit transportation.
- Texas Tech University subsystem.
- Special services for charters and sporting events.
- United Supermarkets shopper program – transports low to moderate income and senior citizen passengers from their home to the store.
- Regional service sub-contractor for Medicaid transportation program for the Region 1.
- Greyhound agent for the Lubbock area.

Goals and Objectives

- Seek complementary operating sources of funding to replace government subsidies.
- Maintain the financial performance of Citibus by striving for 50% cost recovery ratio.
- Advance State of Good Repair Grant for administration and maintenance facility renovation.

Accomplishments for FY 2015 -16

- Maintained a cost recovery ratio of 52.20%, placing Citibus among the top performing systems in both the State and the Nation.
- Increased advertising revenue to an all time high bringing in a total of $461,772.
- On-going training for entire workforce improving safety of operations and minimizing liabilities.
- Maintained contract with the Region 1 Medical Transportation Broker allowing Citibus to provide Medicaid trips as a sub-contractor.
- Citibus staff has been active in the community by serving on many boards and committees. They have also served on boards and committees at the State level.
- Submitted a capital replacement plan to the City of Lubbock for bus and van replacement.
- Successfully negotiated a three year transportation agreement with Texas Tech University.
- Procured two CitiAccess vans through the City of Lubbock capital replacement plan.
- Procure/implement bus cameras for all revenue vehicles.
- Successful 2016 Triennial Review was achieved. Only one deficiency was realized.
- Awarded 2016 Transit System of the Year by the Federal Transit Administration Region VI

Objectives for FY 2016-17

- Maintain a purposeful relationship with the Region 1 Medical Transportation broker.
- Pursue a TIGER grant that will allow implementation of the master plan for a new Citibus administrative/maintenance facility that would accommodate the expected growth of the fleet and personnel while improving safety and mobility.
- Pursue funding opportunities to replace fixed route and paratransit vehicles that have exceeded their useful life.
- Pursue funding opportunities to upgrade fare collection equipment.
- Pursue funding opportunities that allow all passengers to track bus movement and location.
- Implement bus cameras for all revenue vehicles.

Revenue Overview

A large portion of revenues that fund the operations of Citibus come from State or Federal grants. In most instances, the grants are received after the start of the fiscal year, and in some instances, may be received very late in the fiscal year. Prior to FY 2010-11, all grant funds that the City planned to apply for, were appropriated in the operating budget. This year, only grants that are received on an annual basis, or that the City is very likely to receive, will be budgeted. All other grants will be budgeted through budget amendments when the grant award is received. A grant overview has been included in the budget document to assist the reader in understanding what type of expenditure can be funded from each grant and how the grants are awarded. Following is a listing of grants that will be appropriated for FY 2016-17:

<u>Federal Grants</u>

- The FY 2016-17 Section 5307 grant has been budgeted at $3,157,670. This is the same amount as the prior fiscal year budget. The local match is $889,776.
- The FY 2016-17 Section 5310 grant has been budgeted at $199,016. This is the same amount as the prior fiscal year budget. The local match for the grant is $59,889.
- The Community Development Block Grant budget has been taken out due to the elimination of this funding.

<u>State Grants</u>

- The Section 5307 State Operating Assistance grant stayed the same, totaling $630,416.
- The Section 5303 Municipal Planning Organization grant remained the same as the prior year, totaling $53,700.

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Transit Overview

Local Funds

- The transfer from the General Fund to Citibus remained the same, totaling $2,247,383, for FY 2016-17.
- The transfer from the Economic Development Fund to Citibus increased by 9,120, totaling $400,000, for FY 2016-17.
- The combination of all other local revenues including fares, advertising, Texas Tech, and Demand Response increased $156,726 to total $5.74 million for FY 2016-17. This is mainly due to an increase in the contract with Texas Tech University.

Expense Overview

Prior to FY 2010-11, all grant and operational expenses were comingled for budget purposes. Beginning in FY 2010-11, grant expenses were segregated from operational expenses to better account for the expenditure of these funds. Department level expenses increased $175,107, or 2.4 percent, for FY 2016-17.

- Transfers to grant funds include the local match of Federal Grants, total $988,165, or a 0.9 percent decrease, for FY 2016-17.
- All other expenditures related to the operations of Citibus are funded from State and Federal Grants. The largest share of grant funding comes from FY 2016-17 Section 5307 funds. The funds are guaranteed, and come with pre-award approval.

  In order to use these funds for operations, a local match of 50 percent is required. If the funds are used for capital, preventative maintenance, or planning needs, a local match of 20 percent is required. The total budget for FY 2016-17 Section 5307 funds is $4.05 million.

- All other grants are presented separately in the budget document, and will be accounted for separately in the City's Grant Fund.

Grants Overview

The following items are intended to help the reader understand the various types of funding to the City's Transit Fund:

Federal Grant 5307 is a formula grant program based on population, population density, and passenger factors such as hours of service, miles and passengers. These funds are guaranteed and have pre-award approval. These funds can be used for operating (for transit systems with less than 100 buses in peak service), capital needs, and planning needs. Operating assistance funds are based on 50 percent federal and 50 percent local. Capital and planning funds are based on 80 percent federal funds and 20 percent local funds. Capital Assistance includes anything that is not operating and can be used for the following:

- Capital Maintenance, which is maintenance parts, and labor.
- ADA Capital, limited to 10 percent of the 5307 allocation, can be used as operating assistance for Paratransit services (CitiAccess).
- Planning funds can be used for planning purposes, for maintenance of eligibility, and the preparation of grant applications.

Federal Grant 5310 (Capital & Operating), is federal funds that used to flow through the State. This has been changed and these funds will come directly from the Feds. It can only be used for seniors and persons with disabilities related to transportation. Citibus used to use these funds to help maintain the paratransit vans, but with MAP-21 these funds will now have to be used for a replacement van and to pay for our mobility manager and trips that are provided outside the required ADA service.

State Operating Assistance 5307 has no local funding match, and is used strictly for operations, fixed route, and CitiAccess.

MPO Planning Funds provide 100 percent funding from the Metropolitan Planning Organization, and can be used for planning purposes only.

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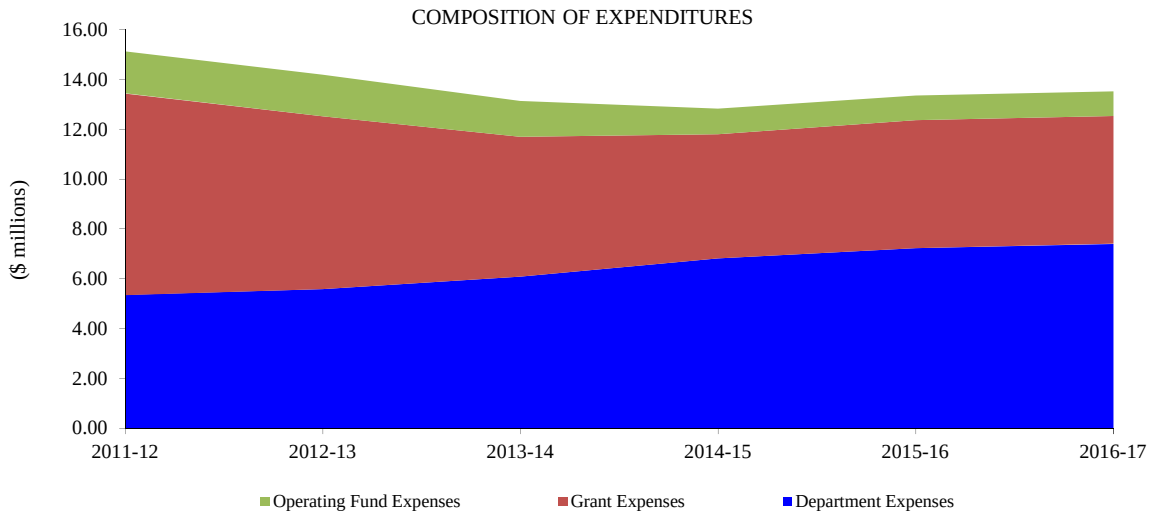
Enterprise Fund - Transit



PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Passengers	3,826,062	4,029,032	3,900,000	3,895,000	3,900,000	0.1
Hours	185,486	186,387	179,223	186,000	186,100	0.1
Operating Recovery Ratios	56.40%	55.50%	52.50%	52.50%	52.50%	-
Avg Miles b/w Chargeable road calls	9,108	7,482	9,500	9,000	9,000	-
Avg No. of City Route Passengers/Hour	14.02	12.39	13.00	12.50	12.50	-
Net Cost per System Passenger	$ 1.29	1.31	1.45	1.40	1.40	-

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Transit - Combined Grant and Operating Overview

Operating Fund	Actual	Actual	Actual	Amended	Budget	% Change
FUNDING SOURCES	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
City Transfer	$ 1,431,877	1,647,223	2,235,272	2,247,383	2,247,383	-
Department Operations	1,974,513	1,972,040	1,661,497	1,546,777	1,640,000	6.0
Advertising	275,000	275,000	300,000	310,000	300,000	(3.2)
Tech Sub System	3,414,936	3,459,856	3,535,452	3,604,775	3,678,278	2.0
Ticket & Freight Agent	170,000	160,000	130,000	120,000	115,000	(4.2)
Leasing & Sale of Buses	28,000	-	24,000	-	5,000	-
Transfer from Economic Development Fund	-	-	-	390,880	400,000	2.3
Total Operating Revenues	$ 7,294,326	7,514,119	7,886,221	8,219,815	8,385,661	2.0
Section 5307 Federal Grant	$ 3,065,264	3,157,670	3,133,441	3,157,669	3,157,670	0.0
FY 2015-16 Section 5307 Federal Grant	-	-	-	(50,000)	50,000	(200.0)
Section 5310 Federal Grant - Elderly and Disabled	201,776	187,209	-	398,032	199,016	(50.0)
FY 2014-15 Section 5310 Federal Grant - Elderly and Disabled	-	-	-	(50,000)	50,000	(200.0)
Section 5307 State Grant - Operating	637,480	637,480	615,439	630,416	630,416	-
Section 5304 State Grant - Regional Coordination	-	-	-	-	-	-
Section 5311 State Grant - Transfer Plaza Renovation	263,278	-	-	-	-	-
Section 5309 Federal State of Good Repair Grant	875,000	-	-	-	-	-
Community Development Block Grant	159,174	159,174	159,174	-	-	-
Lubbock Metropolitan Planning Organization Grant	53,700	53,700	53,700	53,700	53,700	-
Total Grant Revenues	5,255,672	4,195,233	3,961,754	4,139,817	4,140,802	0.0
Utilization of Net Assets	-	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 12,549,998	11,709,352	11,847,975	12,359,632	12,526,463	1.3

	Actual	Actual	Actual	Amended	Budget	% Change
GRANT AND DEPARTMENT LEVEL EXPENSES	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Compensation	$ 4,870,723	5,193,830	5,315,271	5,288,471	5,442,995	(0.5)
Benefits	2,054,129	2,160,600	2,210,847	2,553,739	2,598,007	15.5
Supplies	1,755,750	1,642,242	1,739,733	1,493,327	1,493,327	(14.2)
Maintenance	1,463,657	1,444,830	1,146,080	1,468,469	1,507,476	28.1
Professional Services/Training	409,662	436,057	605,770	604,968	647,126	(0.1)
Other Charges	475,480	478,079	533,471	481,741	478,075	(9.7)
Capital Outlay/Reimbursements	343,750	343,750	250,000	468,918	359,459	87.6
Capital Outlay/Reimbursements	1,138,278	-	-	-	-	-
TOTAL GRANT AND DEPARTMENT LEVEL EXPENSES	$ 12,511,430	11,699,389	11,801,172	12,359,632	12,526,463	1.3

The employees of Citibus are employees of McDonald Transit

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Transit - Operating Fund Overview

Operating Fund	Actual*	Actual*	Actual*	Amended	Budget	% Change
FUNDING SOURCES	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
City Transfer	$ 1,431,877	1,647,223	2,235,272	2,247,383	2,247,383	-
Department Operations	1,974,513	1,972,040	1,661,497	1,546,777	1,640,000	6.0
Advertising	275,000	275,000	300,000	310,000	300,000	(3.2)
Tech Sub System	3,414,936	3,459,856	3,535,452	3,604,775	3,678,278	2.0
Ticket & Freight Agent	170,000	160,000	130,000	120,000	115,000	(4.2)
Leasing & Sale of Buses	28,000	-	24,000	-	5,000	-
Transfer from Economic Development Fund	-	-	-	390,880	400,000	2.3
Total Revenue Sources	7,294,326	7,514,119	7,886,221	8,219,815	8,385,661	2.0
Utilization of Net Assets	-	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 7,294,326	7,514,119	7,886,221	8,219,815	8,385,661	2.0

	Actual*	Actual*	Actual*	Amended	Budget	% Change
DEPARTMENT LEVEL EXPENSES **	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Compensation	$ 1,576,951	1,639,045	2,484,210	2,648,036	2,656,141	0.3
Benefits	921,729	1,427,169	1,581,928	2,061,635	2,196,891	6.6
Supplies	1,755,750	1,642,242	1,737,094	1,482,066	1,482,066	(0.0)
Maintenance	459,920	474,466	-	-	-	-
Professional Services & Contract Maint	402,812	429,207	488,037	558,554	594,201	6.4
Other Charges	459,254	461,845	523,239	472,097	468,197	(0.8)
TOTAL DEPARTMENT LEVEL EXPENSES **	$ 5,576,417	6,073,975	6,814,509	7,222,388	7,397,495	2.4

FUND LEVEL EXPENSES						
Transfer to 5307 Grant Fund	$ 1,619,897	1,383,379	1,024,910	916,148	889,776	(2.9)
Transfer to FY 2015-16 5307 Grant Fund	$ -	-	-	(12,500)	12,500	
Transfer to 5316 Grant Fund	-	-	-	-	-	-
Transfer to 5317 Grant Fund	-	-	-	-	-	-
Transfer to 5310 Grant Fund	59,444	46,802	-	119,779	59,889	(50.0)
Transfer to FY 2015-16 5310 Grant Fund	-	-	-	(26,000)	26,000	(200.0)
TOTAL FUND LEVEL EXPENSES	$ 1,679,341	1,430,181	1,024,910	997,427	988,165	(0.9)
TOTAL EXPENSES	$ 7,255,758	7,504,156	7,839,419	8,219,815	8,385,661	2.0
				-		

*Due to grant funding extending beyond the fiscal year, budgeted amounts are provided

**In prior years, the expenses were not broken down by funding source so history of the expenses is not available

The employees of Citibus are employees of McDonald Transit.

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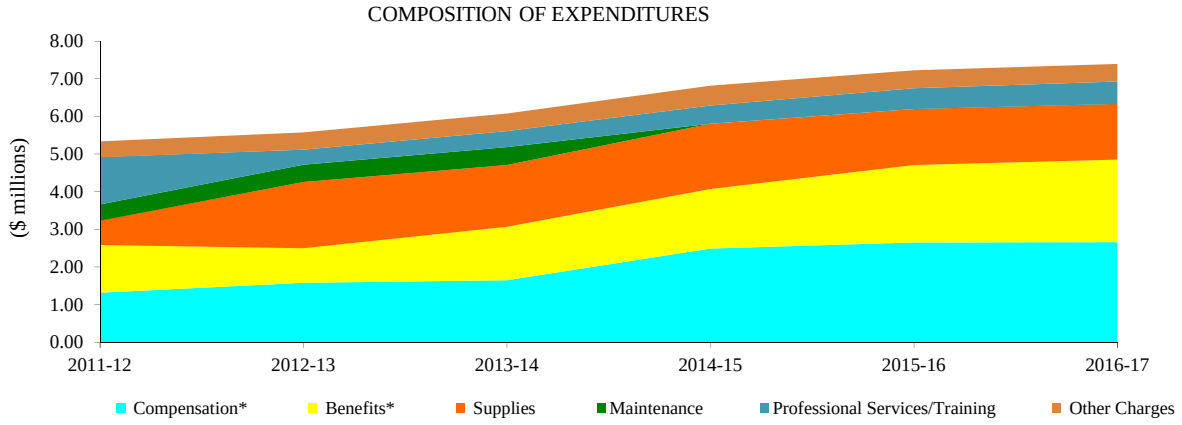
Transit - Department Overview



EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation*	$ 1,576,951	1,639,045	2,484,210	2,648,036	2,656,141	0.3
Benefits*	921,729	1,427,169	1,581,928	2,061,635	2,196,891	6.6
Supplies	1,755,750	1,642,242	1,737,094	1,482,066	1,482,066	(0.0)
Maintenance	459,920	474,466	-	-	-	-
Professional Services/Training	402,812	429,207	488,037	558,554	594,201	6.4
Other Charges	459,254	461,845	523,239	472,097	468,197	(0.8)
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL EXPENDITURES BY CATEGORY	$ 5,576,417	6,073,975	6,814,509	7,222,388	7,397,495	2.4

*The employees of Citibus are employees of McDonald Transit.

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Transit Federal and State Grants Overview

Section 5307 Federal Grant

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
ADA Capital	$ 300,000	313,344	313,344	315,767	315,767	-
Capital	275,000	275,000	200,000	200,000	200,000	-
Capital Maintenance	1,359,912	1,628,388	2,191,301	2,371,167	2,455,692	3.6
Operating Assistance	969,712	813,307	322,067	168,973	133,811	(20.8)
Planning	160,640	127,631	106,729	101,762	52,400	(48.5)
Transfer from State 5307 Operating Grant	-	-	-	-		-
Transfer from CDBG Grant	-	-	-	-		-
Transfer from Operating for Local Match	1,619,897	1,383,379	1,024,910	916,148	889,776	(2.9)
TOTAL GRANT FUNDING	4,685,161	4,541,049	4,158,351	4,073,818	4,047,446	(0.6)
EXPENDITURES BY CATEGORY						
Compensation*	2,733,240	2,932,684	2,197,469	1,885,948	1,919,790	1.8
Benefits*	849,428	512,028	441,048	408,933	302,968	(25.9)
Supplies	-	-	2,639	11,261	11,261	-
Maintenance	742,517	736,353	1,146,080	1,468,469	1,507,476	2.7
Professional Services/Training	-	-	110,883	39,564	46,075	16.5
Other Charges	16,226	16,234	10,232	9,644	9,878	2.4
Capital Outlay/Reimbursements	343,750	343,750	250,000	250,000	250,000	-
TOTAL GRANT EXPENSES	$ 4,685,161	4,541,049	4,158,351	4,073,818	4,047,446	(0.6)

Section 5307 Federal Grant FY 2015-16

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Planning	-	-	-	(50,000)	50,000	(200.0)
Transfer from Operating for Local Match	-	-	-	(12,500)	12,500	(200.0)
TOTAL GRANT FUNDING	-	-	-	(62,500)	62,500	(200.0)
EXPENDITURES BY CATEGORY						
Compensation*	-	-	-	(62,500)	62,500	(200.0)
TOTAL GRANT EXPENSES	$ -	-	-	(62,500)	62,500	(200.0)

Section 5307 State Operating Grant

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
State Operating Assistance Grant	$ 637,480	637,480	615,439	630,416	630,416	-
TOTAL GRANT FUNDING	637,480	637,480	615,439	630,416	630,416	-
EXPENDITURES BY CATEGORY						
Compensation*	386,308	450,424	465,795	588,006	573,029	(2.5)
Benefits*	251,172	187,056	149,644	42,410	57,387	35.3
TOTAL GRANT EXPENSES	$ 637,480	637,480	615,439	630,416	630,416	-

Section 5310 Federal Grant

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Federal Grant	$ 201,776	187,209	-	179,114	89,557	(50)
Capital	-	-	-	218,918	109,459	(50)
Transfer from Operating for Local Match	59,444	46,802	-	119,779	59,889	(50)
	261,220	234,011	-	517,811	258,905	(50)
EXPENDITURES BY CATEGORY						
Compensation*	-	-	-	298,893	149,447	(50)
Benefits*	-	-	-	-		-
Supplies	-	-	-	-		-
Maintenance	261,220	234,011	-	-	-	-
Professional Services/Training	-	-	-	-		-
Other Charges	-	-	-	-		-
Capital Outlay/Reimbursements	-	-	-	218,918	109,459	(50)
TOTAL GRANT EXPENSES	$ 261,220	234,011	-	517,811	258,905	(50)

Section 5310 Federal Grant FY 2015-16

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Federal Grant	$ -	-	-	(50,000)	50,000	(200)
Transfer from Operating for Local Match	-	-	-	(26,000)	26,000	(200)
TOTAL GRANT FUNDING	-	-	-	(76,000)	76,000	(200)
EXPENDITURES BY CATEGORY						
Compensation*	-	-	-	(76,000)	76,000	(200)
TOTAL GRANT EXPENSES	$ -	-	-	(76,000)	76,000	(200)

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Community Development Block Grant

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Community Development Block Grant (CDBG)	$ 159,174	159,174	159,174	-	-	-
TOTAL GRANT FUNDING	159,174	159,174	159,174	-	-	-
EXPENDITURES BY CATEGORY						
Compensation*	159,174	159,174	159,174	-	-	-
TOTAL GRANT EXPENSES	$ 159,174	159,174	159,174	-	-	-

Section 5303 MPO Grant

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Municipal Planning Organization Grant	$ 53,700	53,700	53,700	53,700	53,700	-
TOTAL GRANT FUNDING	53,700	53,700	53,700	53,700	53,700	-
EXPENDITURES BY CATEGORY						
Compensation*	15,050	12,503	8,623	6,089	6,089	0
Benefits*	31,800	34,347	38,227	40,761	40,761	-
Professional Services/Training	6,850	6,850	6,850	6,850	6,850	-
TOTAL GRANT EXPENSES	$ 53,700	53,700	53,700	53,700	53,700	0.0

Section 5311 State Grant

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
State Grant 5311 - Transfer Plaza Renovation	$ 263,278	-	-	-		-
TOTAL GRANT FUNDING	263,278	-	-	-	-	-
EXPENDITURES BY CATEGORY						
Capital Outlay/Reimbursements	263,278	-	-	-		-
TOTAL GRANT EXPENSES	$ 263,278	-	-	-	-	-

Section 5309 State of Good Repair Grant

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Federal Capital Grant - FY 2012-13	$ 875,000	-	-	-		-
TOTAL GRANT FUNDING	875,000	-	-	-	-	-
EXPENDITURES BY CATEGORY						
Capital Outlay/Reimbursements	875,000	-	-	-		-
TOTAL GRANT EXPENSES	$ 875,000	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 6,935,013	5,625,414	4,986,664	5,137,244	5,128,967	(0.2)
GRAND TOTAL EXPENDITURES	$ 6,935,013	5,625,414	4,986,664	5,137,245	5,128,967	(0.2)

*The employees of Citibus are the employees of McDonald Transit.

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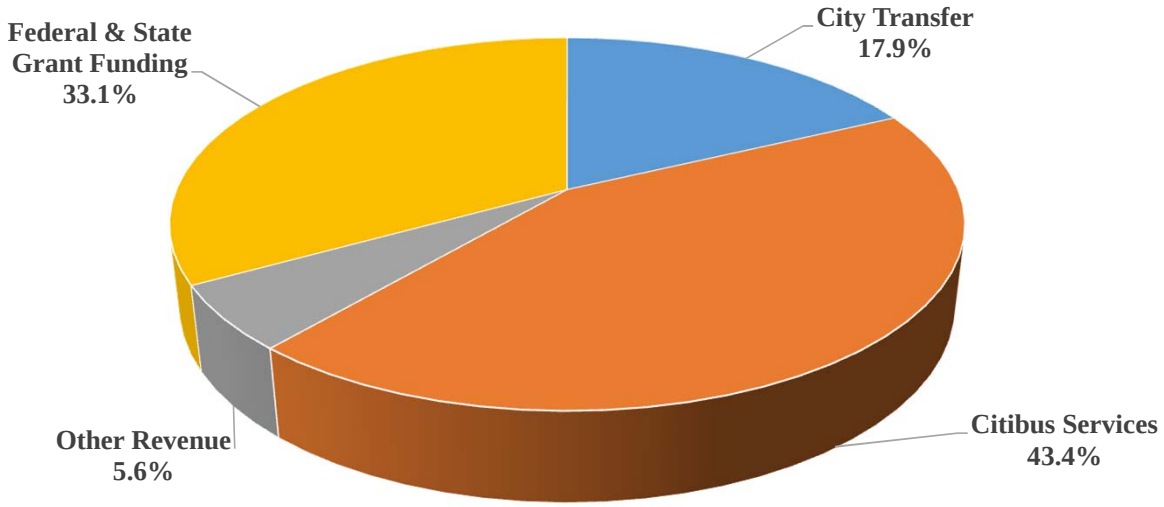
Transit Fund Revenue Analysis

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17
City Transfer	$ 1,431,877	1,647,223	2,235,272	2,247,383	2,247,383
Citibus Services	5,559,449	5,591,896	5,326,949	5,271,552	5,433,278
Other Revenue	303,000	275,000	324,000	700,880	705,000
Federal & State Grant Funding	5,255,672	4,195,233	3,961,754	4,139,817	4,140,802
Total Revenue Sources	$ 12,549,998	11,709,352	11,847,975	12,359,632	12,526,463
Utilization of Net Assets		-	-	-	-
TOTAL FUNDING SOURCES	$ 12,549,998	11,709,352	11,847,975	12,359,632	12,526,463



Transportation services offered by Citibus include fixed route, route guarantee, special services, demand response service, and the Texas Tech sub system. The revenue generated through the contract with Texas Tech Student Government Association to provide bus service during the academic year produces the majority of revenue earned by these services. FY 2016-17 revenue estimates for these services are projected based upon contract amounts and/or prior year actuals. Federal and state grant funding is estimated according to information released by the granting agencies during the annual budget preparation. The transfer amount from the General Fund is based upon budgeted expenditures and availability of grant funding. Other revenue generated in the Transit Fund includes advertising income, proceeds from leasing or selling buses, and a transfer from the Economic Development Fund in the amount of $400,000.

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Transit Fund Line Item Funding Source Summary

FUNDING SOURCES	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17	% Change from Amended
City Routes	6640	$ 730,795	699,259	699,349	692,613	700,000	1.1
Demand Response Services	6641	1,012,904	782,600	729,247	745,000	770,000	3.4
Tech Sub System	6642	3,614,581	3,646,872	3,730,519	3,604,775	3,678,278	2.0
Route Guarantee	6643	28,650	26,520	28,990	27,664	30,000	8.4
Advertising Revenue	6644	295,292	307,985	461,772	310,000	300,000	(3.2)
Special Services	6645	91,684	166,252	157,946	120,000	125,000	4.2
Greyhound	6646	147,699	134,155	118,849	120,000	115,000	(4.2)
Sale of Equipment Vehicle	7104	1,280	7,604	-	-	5,000	-
Transfer from General Fund	7904	1,431,877	1,647,223	2,235,272	2,247,383	2,247,383	-
TOTAL REVENUE SOURCES		$ 7,354,761	7,418,469	8,161,944	8,258,315	8,370,661	1.4

The following accounts are not included in the summary above:

1. 7430 Capital Contribution is an accounting entry and does not have a revenue sheet associated with it.

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Summary of Transit Fee Changes

ACCOUNT DESCRIPTION	Account Number	Rate Details	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Proposed FY 2016-17	$ Change from Amended
Tech Sub System	6642	Cost per hour service	50.00	50.00	51.00	52.02	53.06	1.02

City of Lubbock TEXAS

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Wastewater Overview

Mission and Purpose

Water Utilities plans for, protects, and preserves valuable water resources while providing high quality water, exceptional water, and wastewater services to our customers. The Wastewater Department provides the following services:

- Perform predictive, preventative, and emergency maintenance of approximately 1,145 miles of wastewater lines, 33 lift stations, and 16,227 manholes.
- Educate the public concerning direct and indirect wastewater reuse.
- Educate customers regarding the importance of minimizing the discharge of fats, oils and greases into the sewer system.
- Provide engineering and wastewater resources planning necessary to improve wastewater infrastructure and optimize the beneficial reuse of treated wastewater.

Overview

Wastewater collection and treatment is provided within the city limits to residential, commercial, and industrial customers. The collection system consists of 1,145 miles of sanitary sewer lines and 33 lift stations. The wastewater treatment plant's permitted capacity is 31.5 million gallons per day with an average utilization of approximately 19 million gallons per day. The peak utilization of the wastewater treatment plant is 24 million gallons per day. The treated wastewater is reused for beneficial purposes in various ways. In 2015, approximately 37 percent of the wastewater was used to irrigate crops at the Lubbock Land Application Site and 27 percent was used to irrigate crops at the Hancock Land Application Site. In addition, Xcel Energy used approximately 10 percent of the treated wastewater, and 26 percent was discharged into the North Fork of the Double Mountain Fork of the Brazos River (North Fork). Currently, dewatered solids generated during the wastewater treatment process are hauled and disposed of at the City's regional solid waste landfill in Abernathy, Texas.

Supplementing the City's potable water supply with indirect and/or direct reuse of the City's reclaimed water is an important part of the City's 2013 Strategic Water Supply Plan adopted by the City Council. As a result, several projects are underway that improve the quality of the treated wastewater for more beneficial reuse. The City completed Phase I, Phase II, and the digester upgrades of Phase III of a four-phase project to upgrade the Southeast Water Reclamation Plant. Phase I included upgrades and improvements to the influent lift station. Phase II construction included upgrades to Plant 3 for filtration and ultraviolet disinfection, and Plant 4 for biological nutrient removal, filtration, and ultraviolet disinfection.

Phase III includes design and construction improvements to anaerobic digesters and solids handling. Construction began in May 2014 to the solids handling process and facilities. Upgrades include thickening improvements, dewatering improvements, solids loading, solids handling odor control, and headworks odor control. Phase IV will include upgrades to Plant 3 for biological removal. These efforts will improve the quality of the City's effluent discharge and prepare it for possible future direct or indirect potable reuse. The high quality effluent can be discharged into the North Fork. In addition, the City is currently evaluating the best approach to treating reclaimed water and reusing it as part of our drinking water supply in the future.

The 2008 Wastewater Master Plan recommended several improvements to the collection system in order to meet population growth as well as aging infrastructure replacement needs. South Lubbock Sanitary Sewer System Expansion Phase I, Package A of this expansion, was completed in August 2012 with the completion of a 54" sanitary sewer line tie-in at the headworks lift station at the Southeast Water Reclamation Plant (SEWRP). Phase I Package B began in May 2014, and tied the 98th Street wastewater flow to the SEWRP. Phase I-B was completed in October 2015. Phase II of the South Lubbock Sanitary Sewer System Expansion began construction in June 2015, and will allow wastewater flow along the FM 1585 corridor from FM 1585 and Quaker Ave to be treated at the SEWRP. During the Phase II design the City will continue to procure easement for the South Lubbock Sanitary Sewer up to Milwaukee Avenue. The extension of this sewer main will relieve the surcharge of sewer mains currently servicing multiple sewer sheds in south Lubbock. This allows important maintenance of the 50th street main to take place once the Phase 1-B sewer main is completed. In addition, the new Northwest Wastewater Treatment Plant and Outfall construction is underway. This project will relieve the sewer main surcharges in North Lubbock.

The preliminary engineering report was completed on the Canyon Lakes Sewer Interceptor and design on Phase I of the rehabilitation, which includes the rehabilitation of the sewer interceptor under roadways, is completed and construction is expected to begin summer of 2016.

Goals and Objectives

The following goals are associated with the implementation of the Wastewater Master Plan that was completed in FY 2010-11:

- Treatment Standards: Meet regulatory requirements for the wastewater system by:
  - Striving to be efficient and effective.
  - Seeking to stay current on regulatory changes.
  - Striving to comply with regulatory permits.
- Wastewater Reuse: Develop a sustainable water supply as described in the 2013 Strategic Water Supply Plan, including:
  - Improving the existing wastewater treatment facilities by increasing the effluent quality to stream discharge standards and diversifying beneficial uses of effluent.
  - Discharge treated wastewater and playa lake storm water to the North Fork.

Wastewater Overview

  - Constructing reservoirs and infrastructure on the North Fork to capture developed water for beneficial use.
  - Study the potential for direct potable reuse of wastewater.
- System Sustainability: Maintain long-term infrastructure viability by replacing and/or rehabilitating aging wastewater lines, manholes, and treatment and lift station facilities.
- System Expansion: Expand the wastewater collection infrastructure and treatment facilities to accommodate developing areas of the City, and remove flow restrictions from the system.

Accomplishments for FY 2015-16

- Began a Potable Water Reuse Implementation Feasibility Study.
- Cleaned out 130 miles of wastewater mains.
- Treated and disposed of 6.93 billion gallons of wastewater.
- Monitored 485 food establishments as part of the surcharge program.
- Analyzed 10,330 wastewater samples.
- Sampled 158 wells on the Lubbock Land Application Site, the Hancock Land Application Site, and along the Jim Bertram Lake System to determine nitrogen contamination levels in the groundwater.
- Adjusted 184 Manholes.
- Completed the new 98th Street South Sewer Interceptor and abandoned 98th and Ave P lift station.
- Completed design of Camelot Lift Station.
- Completed construction of South Lubbock Sanitary Sewer Phase 2A and abandoned Kelsey Park Lift Station.
- Completed first milestone of Solids Handling Project.
- Completed design of Canyon Lakes Interceptor Phase I.
- Began design of Canyon Lakes Interceptor Phase 1A and II.
- Completed Canyon Lakes Outfall at the SEWRP (Outfall 007) project construction.
- Completed design of force main re-route for Milwaukee Ridge Lift Station.
- Completed design and construction of SEWRP drying bed rehabilitation.
- Completed design and bidding and began construction on the Northwest Water Reclamation Plant.
- Completed design of Southeast Water Reclamation Plant Emergency Generator project.
- Began design of Southeast Water Reclamation Plant 2 Closure project.

Objectives for FY 2016-17

- Complete the Potable Reuse Implementation Feasibility Study.
- Finish construction of Phase II of the South Lubbock Sanitary Sewer Expansion which will extend sewer service along the FM 1585 corridor.
- Complete construction of Camelot Lift Station.
- Complete construction of Canyon Lakes Interceptor Phase I.
- Complete design of Canyon Lakes Interceptor Phase IA and II.
- Complete construction of force main re-route for Milwaukee Ridge lift station.
- Complete Diversion, Waste Activated Sludge and Outfall construction for the Northwest Water Reclamation Plant.
- Complete Fiber Optics to the Northwest Water Reclamation Plant.
- Substantially complete construction of Northwest Reclamation Plant.
- Substantially complete Phase III Solids Handling Project at the Southeast Water Reclamation Plant.
- Complete construction of the Southeast Water Reclamation Plant Generator project.
- Complete design of Southeast Water Reclamation Plant 2 Closure Project.
- Clean 140 linear miles of wastewater mains.
- Pump 720 million gallons of groundwater from under the Lubbock Land Application Site to aid in remediation of nitrate contamination.
- Analyze 11,400 wastewater samples.
- Maintain and repair sewer mains in order to protect the health and safety of our citizens.

Financial Condition

Adjusting for accounts payable, other current liabilities, and restricted cash at September 30, 2015, $18,933,853 is available for appropriation. Bringing that amount forward, the amount available for appropriation at September 30, 2016 is expected to be $15,841,456. The Wastewater Fund is required, by policy, to have 25% of revenue set aside as a reserve. The fund is forecast to have a reserve surplus of $6,241,323 at September 30, 2016.

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Wastewater Overview

Current Assets at September 30, 2015	$ 12,075,846
Less: Accounts Payable and Accrued Liabilities	(1,128,921)
Net Current Assets	10,946,925
Current Assets Less Current Liabilities	10,946,925
Add: Restricted Cash reserved for Debt Service	7,986,928
Total Available Cash at September 30, 2015	$ 18,933,853
FY 2015-16 Budget Forecast:	
Operating Revenues	$ 38,400,530
Non-Operating Revenues	84,500
Less: Expenses	(41,577,428)
Forecast Addition to Net Current Assets	(3,092,397)
Total Available Cash by September 30, 2016	15,841,456
Estimated September 30, 2016	
Target Net Assets Policy	25.00%
Target Net Assets by Policy	9,600,133
Available for Appropriation at September 30, 2016	$ 6,241,323

Revenue Overview

- Budgeted revenues increased $4,352,967, or 11.2 percent, for FY 2016-17.
- Enterprise fund fees increased $25,000 or 6.7 percent, due to a projected increase in Hay revenue.
- Interest earnings increased $39,604 due to budgeting interest this year.
- Metered services increased $4,270,911, or 11.5 percent, due to an expected increase in customer growth, an increase to the base rate from $16 to $18 on a 0.75" meter as well as subsequent increases to the other meter sizes, and a 9% increase in the volume charge.
- Utilization of net assets for FY 2016-17 is $2,171,432.

Expense Overview

- Budgeted expenses increased $3,653,915, or 8.8 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and Benefits increased $220,845, or 4.3 percent, due to the addition of three positions for the new Northwest Reclamation plant and increased health benefit costs.

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- Supplies decreased $35,452, or 3.6 percent, due to lower fuel costs.
- Maintenance increased $192,941, or 15.5 percent, due to an increase in motor vehicle maintenance and other equipment maintenance associated with cloth media filters.
- Professional Services increased $31,576, or 4.2 percent, due to increase in outside consulting fees associated with groundwater remediation studies.
- Other charges decreased $13,626, or 16.1 percent due to slight decrease to Texas Commission on Environmental Quality bottled water and reverse osmosis program as well as decrease in machine equipment rental due to not having to rent a belt press and conveyer during construction of the solids handling facility.
- Scheduled charges increased $537,797, or 13.5 percent due to increases to electric utility costs as well as data processing charges.
- Capital outlay decreased $71,000, or 31.8 percent, due to not funding communication equipment purchased in the prior year and reducing sewer system improvements based on prior year activity.
- The Debt Service payment increased $1,255,218, or 5.6 percent, due to the new debt payments related to the Northwest Water Reclamation Plant.
- The vehicle/equipment debt payment increased $90,869 or 17.0 percent.
- The Indirect Cost Allocation increased $123,540 or 25.1 percent. This is based on the Indirect Cost Allocation Plan completed by the Accounting Department.
- The transfer in lieu of property tax to the General Fund increased $374,803, or 20.0 percent, due to an increase in fixed assets.
- The cost of business transfer to the General Fund increased $217,648, or 11.2 percent, based on 5 percent of gross revenues.
- The transfer to Wastewater Capital increased $761,736 due to cash funding more projects than in the previous fiscal year in an effort to vehicle/equipment debt fund fewer vehicles and equipment.

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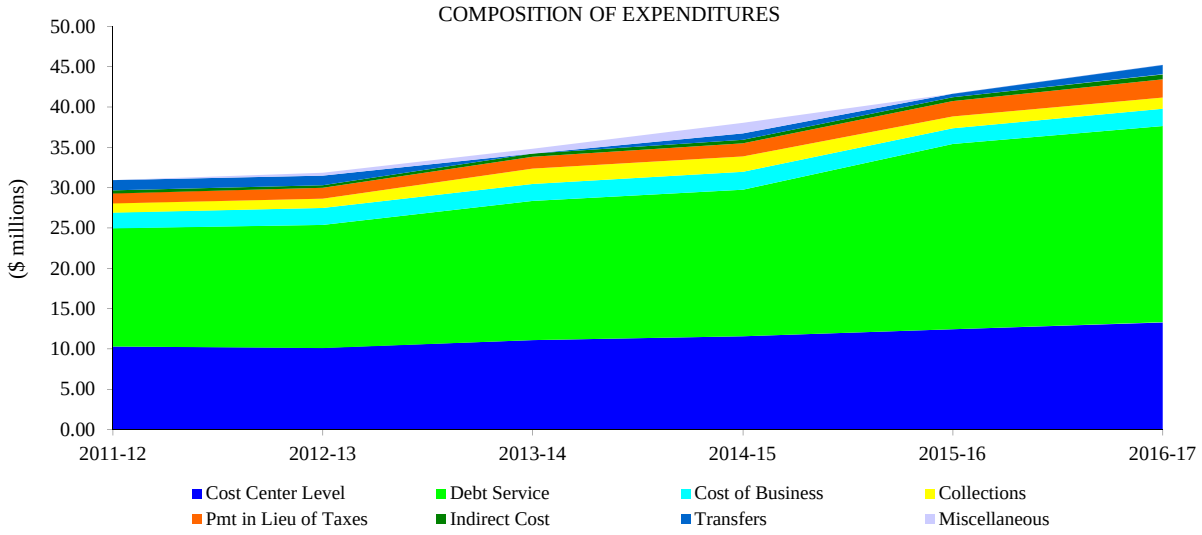
Enterprise Fund - Wastewater Utility



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Treatment	26	26	28	28	31	3
Collection	16	16	16	16	16	-
Land Application	15	15	15	15	15	-
Industrial Monitoring	8	8	8	8	8	-
Laboratory	6	6	6	6	6	-
Sampling & Monitoring	3	3	3	3	3	-
Pro Rata	-	-	-	-	-	-
TOTAL STAFFING	74	74	76	76	79	3

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Wastewater Customer Accounts Per Employee	946	954	937	1,027	1,027	-
Commercial/Industrial Meetings Conducted	110	130	150	302	275	(8.9)
Permit Required Samples Collected	3,289	3,289	3,361	3,361	3,361	-

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Wastewater Utility - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
License and Permits	$ 2,132	1,657	5,058	6,300	5,500	(12.7)
Enterprise Fund Fees	253,205	321,916	465,983	373,000	398,000	6.7
Interest Earnings	(1,850)	154,302	512,148	-	39,604	-
Junk Sales	13,238	83,460	53,320	25,000	25,000	-
Metered Services	32,821,726	32,959,430	33,704,709	37,160,647	41,431,558	11.5
Department Operations	1,105,817	1,169,474	1,247,698	1,123,500	1,140,000	1.5
Transfer from Other Funds	84,294	78,870	59,388	59,500	61,252	2.9
EPA Grant	21,986	461,166	-	-	-	-
Total Revenue Sources	34,300,547	35,230,275	36,048,304	38,747,947	43,100,914	11.2
Utilization of Net Assets	-	-	2,118,494	2,870,483	2,171,432	(24.4)
TOTAL FUNDING SOURCES	$ 34,300,547	35,230,275	38,166,798	41,618,430	45,272,345	8.8

DEPARTMENT LEVEL EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 2,992,923	3,087,564	3,154,834	3,454,517	3,547,054	2.7
Benefits	1,487,179	1,538,057	1,516,856	1,687,503	1,815,811	7.6
Supplies	900,318	841,367	826,072	980,997	945,545	(3.6)
Maintenance	1,017,779	1,111,414	1,302,612	1,248,172	1,441,114	15.5
Professional Services/Training	593,101	598,385	577,564	749,871	781,447	4.2
Other Charges	46,607	113,908	125,136	84,634	71,008	(16.1)
Scheduled Charges	2,982,781	3,288,629	3,480,550	3,996,941	4,534,738	13.5
Capital Outlay/Reimbursements	95,451	483,541	550,353	223,500	152,500	(31.8)
TOTAL DEPARTMENT LEVEL EXPENSES	$ 10,116,139	11,062,864	11,533,976	12,426,135	13,289,216	6.9

FUND LEVEL EXPENSES						
Debt Service	$ 14,961,287	16,915,460	17,851,695	22,454,836	23,710,055	5.6
Vehicle/Equipment Debt	363,519	519,299	507,824	534,911	625,780	17.0
Indirect Cost Allocation	317,057	394,616	410,340	491,484	615,024	25.1
Payment In Lieu of Property Tax	1,344,987	1,499,762	1,645,322	1,875,814	2,250,617	20.0
Payment In Lieu of Franchise Fee	2,091,366	2,102,702	2,212,178	1,937,397	2,155,046	11.2
Transfer to LP&L - Collections	1,150,915	1,884,393	1,904,524	1,475,089	1,385,767	(6.1)
Transfer to Wastewater Capital	975,000	-	791,653	416,264	1,178,000	183.0
Miscellaneous	365,514	574,656	1,309,285	6,499	3,978	(38.8)
Transfer to General Fund Capital	220,000	-	-	-	-	-
TOTAL FUND LEVEL EXPENSES	$ 21,789,644	23,890,888	26,632,821	29,192,295	31,924,268	9.4
Compensation Adjustment					58,862	
TOTAL EXPENSES	$ 31,905,784	34,953,752	38,166,798	41,618,430	45,272,345	8.8

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Wastewater Utility - Rate Model

	Budget	Forecast				
FUNDING SOURCES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
License and Permits	$ 5,500	5,665	5,835	6,010	6,190	6,376
Enterprise Fund Fees	398,000	405,960	414,079	422,361	430,808	439,424
Interest Earnings	39,604	34,175	33,038	36,089	37,443	39,026
Junk Sales	25,000	25,000	25,000	25,000	25,000	25,000
Metered Services	41,431,558	44,155,291	46,341,139	47,088,278	47,560,071	47,850,011
Department Operations	1,140,000	1,152,250	1,164,640	1,177,170	1,189,844	1,202,662
Transfer from Other Funds	61,252	62,477	63,727	65,001	66,301	67,627
Total Revenue Sources	43,100,913	45,840,818	48,047,458	48,819,909	49,315,658	49,630,126
Use of Net Assets	2,171,432	454,728	-	-	-	-
TOTAL FUNDING SOURCES	$ 45,272,345	46,295,546	48,047,458	48,819,909	49,315,658	49,630,126

	Budget	Forecast				
DEPARTMENT LEVEL EXPENSES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Compensation	$ 3,547,054	3,678,034	3,751,595	3,826,627	3,903,159	3,981,223
Benefits	1,815,811	1,918,397	2,029,662	2,150,442	2,281,656	2,424,315
Supplies	945,545	964,456	983,745	1,003,420	1,023,488	1,043,958
Maintenance	1,441,114	1,469,936	1,499,335	1,529,321	1,559,908	1,591,106
Professional Services/Training	781,447	797,076	813,017	829,278	845,863	862,781
Other Charges	71,008	72,428	73,877	75,354	76,861	78,399
Scheduled Charges	4,534,738	4,597,619	4,661,453	4,726,255	4,792,041	4,858,828
Capital Outlay/Reimbursements	152,500	155,550	158,661	161,834	165,071	168,372
TOTAL DEPARTMENT LEVEL EXPENSES	$ 13,289,216	13,653,496	13,971,344	14,302,531	14,648,048	15,008,980
FUND LEVEL EXPENSES						
Debt Service	$ 23,710,055	23,392,559	24,283,452	24,549,016	24,353,806	24,139,000
Vehicle/Equipment Debt	625,780	585,941	660,461	793,869	833,606	799,180
Total Transfers	6,406,454	6,670,992	6,912,692	7,086,624	7,250,781	7,410,054
Northwest Water Reclamation Plant	-	900,000	945,000	992,250	1,041,863	1,093,956
Miscellaneous	3,978	4,058	4,139	4,222	4,306	4,392
Transfer to Wastewater Capital	1,178,000	1,088,500	50,000	550,000	550,000	300,000
TOTAL FUND LEVEL EXPENSES	$ 31,924,267	32,642,050	32,855,744	33,975,982	34,034,362	33,746,582
Compensation Adjustment	58,862	-	-	-	-	-
TOTAL EXPENSES	$ 45,272,345	46,295,546	46,827,089	48,278,512	48,682,410	48,755,563

	Budget	Budget	Forecast				
APPROPRIABLE NET ASSETS	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Net Assets	$ 15,841,456	13,670,023	13,215,295	14,435,665	14,977,062	15,610,309	16,484,873
Less: Net Asset Reserve Policy	(9,600,133)	(10,743,765)	(11,429,791)	(11,981,423)	(12,173,455)	(12,296,728)	(12,374,618)
TOTAL APPROPRIABLE NET ASSETS	$ 6,241,323	2,926,259	1,785,504	2,454,241	2,803,607	3,313,581	4,110,254
Base Charges							
Residential No Meter	$ 60.57	68.14	68.14	68.14	68.14	68.14	68.14
0.75" meter	16.00	18.00	18.00	18.00	18.00	18.00	18.00
1.00" meter	19.49	21.93	21.93	21.93	21.93	21.93	21.93
1.50" meter	28.18	31.71	31.71	31.71	31.71	31.71	31.71
2.00" meter	38.64	43.47	43.47	43.47	43.47	43.47	43.47
3.00" meter	63.07	70.95	70.95	70.95	70.95	70.95	70.95
4.00" meter	97.92	110.16	110.16	110.16	110.16	110.16	110.16
6.00" meter	185.07	208.21	208.21	208.21	208.21	208.21	208.21
8.00" meter	289.64	325.84	325.84	325.84	325.84	325.84	325.84
10.00" meter	411.67	463.13	463.13	463.13	463.13	463.13	463.13
Volume Charge	2.67	2.91	3.17	3.39	3.39	3.39	3.39

Assumptions

1. The proposed rate structure incorporated in this model is subject to change depending on many variables. Some of these variables may include: wastewater volumes, interest rates, commodity prices, inflation rates, the operational impact of new facilities, and changes in the cost or priority of capital projects.
2. The estimated growth of expenditures is forecasted at 2-3% unless trends indicate otherwise.

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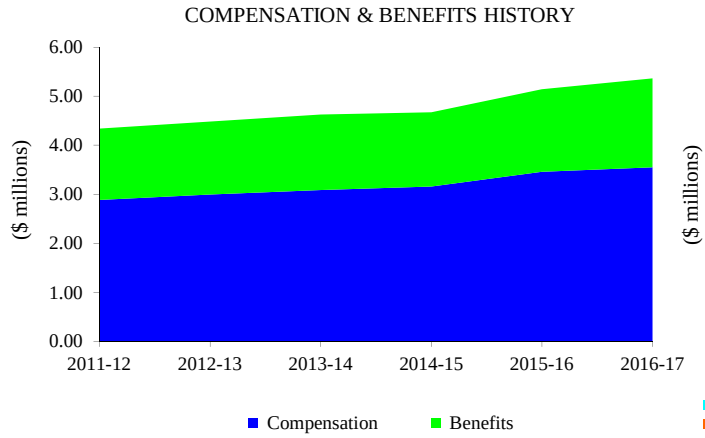
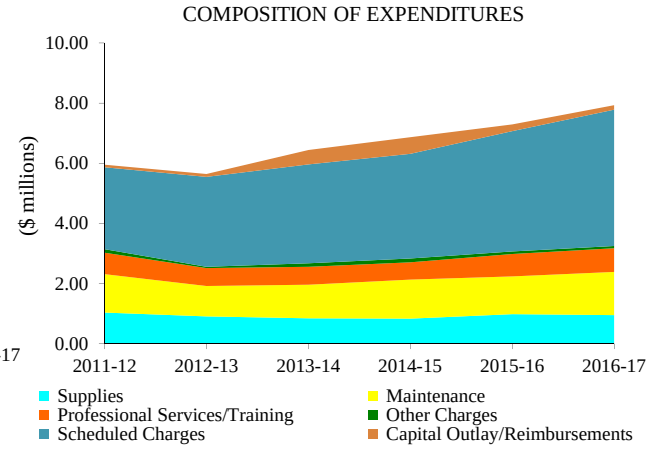
Wastewater Utility - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 2,992,923	3,087,564	3,154,834	3,454,517	3,547,054	2.7
Benefits	1,487,179	1,538,057	1,516,856	1,687,503	1,815,811	7.6
Supplies	900,318	841,367	826,072	980,997	945,545	(3.6)
Maintenance	1,017,779	1,111,414	1,302,612	1,248,172	1,441,114	15.5
Professional Services/Training	593,101	598,385	577,564	749,871	781,447	4.2
Other Charges	46,607	113,908	125,136	84,634	71,008	(16.1)
Scheduled Charges	2,982,781	3,288,629	3,480,550	3,996,941	4,534,738	13.5
Capital Outlay/Reimbursements	95,451	483,541	550,353	223,500	152,500	(31.8)
TOTAL EXPENDITURES BY CATEGORY	$ 10,116,139	11,062,864	11,533,976	12,426,135	13,289,216	6.9

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Treatment	$ 4,870,792	5,059,059	5,530,174	6,224,056	6,850,474	10.1
Collection	1,275,044	1,292,780	1,215,353	1,487,379	1,512,573	1.7
Land Application	2,196,897	2,722,694	2,674,234	2,621,609	2,672,355	1.9
Industrial Monitoring	533,855	598,911	710,018	719,903	736,936	2.4
Laboratory	386,262	502,007	467,720	497,467	521,039	4.7
Sampling & Monitoring	578,284	614,156	604,079	721,622	841,797	16.7
Pro Rata	151,982	123,751	152,045	44,532	44,828	0.7
Sewer Taps	123,023	149,506	180,354	109,568	109,214	(0.3)
TOTAL EXPENDITURES BY DEPARTMENT	$ 10,116,139	11,062,864	11,533,976	12,426,135	13,289,216	6.9

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Wastewater Utility - Department Expenditures

Treatment

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 1,226,494	1,224,205	1,197,212	1,436,004	1,479,708	3.0
Benefits	558,953	560,269	531,495	656,912	726,426	10.6
Supplies	322,151	246,052	283,390	327,038	332,222	1.6
Maintenance	443,334	457,941	696,028	561,472	711,309	26.7
Professional Services/Training	141,066	111,394	173,011	214,886	132,886	(38.2)
Other Charges	7,714	4,419	40,963	23,026	17,600	(23.6)
Scheduled Charges	2,171,080	2,454,778	2,608,074	3,004,717	3,450,322	14.8
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL TREATMENT	$ 4,870,792	5,059,059	5,530,174	6,224,056	6,850,474	10.1

Collection

EXPENDITURES BY CATEGORY						
Compensation	$ 479,350	465,031	433,011	549,027	543,246	(1.1)
Benefits	269,898	271,348	256,738	308,917	326,050	5.5
Supplies	130,219	134,570	109,971	127,291	129,023	1.4
Maintenance	230,185	237,100	209,242	240,027	276,882	15.4
Professional Services/Training	4,207	7,965	4,560	6,070	6,070	-
Other Charges	-	739	1,459	-	-	-
Scheduled Charges	158,475	174,621	194,511	215,047	231,302	7.6
Capital Outlay/Reimbursements	2,709	1,406	5,861	41,000	-	(100.0)
TOTAL COLLECTION	$ 1,275,044	1,292,780	1,215,353	1,487,379	1,512,573	1.7

Land Application

EXPENDITURES BY CATEGORY						
Compensation	$ 563,487	600,593	644,991	647,674	716,886	10.7
Benefits	294,678	305,313	308,074	318,934	357,868	12.2
Supplies	361,060	367,152	328,590	430,923	375,651	(12.8)
Maintenance	271,713	305,725	304,942	334,827	331,611	(1.0)
Professional Services/Training	110,479	131,454	62,762	127,000	105,600	(16.9)
Other Charges	8,763	28,350	48,022	12,108	11,408	(5.8)
Scheduled Charges	574,786	581,314	582,563	670,143	723,331	7.9
Capital Outlay/Reimbursements	11,931	402,794	394,290	80,000	50,000	(37.5)
TOTAL LAND APPLICATION	$ 2,196,897	2,722,694	2,674,234	2,621,609	2,672,355	1.9

Industrial Monitoring

EXPENDITURES BY CATEGORY						
Compensation	$ 296,385	335,642	396,258	405,167	392,877	(3.0)
Benefits	138,846	159,170	178,372	186,856	190,491	1.9
Supplies	19,742	20,040	28,287	23,460	23,712	1.1
Maintenance	6,109	7,061	7,754	11,021	16,060	45.7
Professional Services/Training	41,340	47,009	50,838	43,570	48,622	11.6
Other Charges	35	-	-	-	-	-
Scheduled Charges	31,398	29,990	48,509	49,830	65,175	30.8
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL INDUSTRIAL MONITORING	$ 533,855	598,911	710,018	719,903	736,936	2.4

Laboratory

EXPENDITURES BY CATEGORY						
Compensation	$ 180,801	212,945	235,915	251,963	251,444	(0.2)
Benefits	103,432	108,986	115,984	127,612	129,966	1.8
Supplies	53,329	54,621	63,972	51,881	68,604	32.2
Maintenance	17,879	35,086	19,766	32,000	32,000	-
Professional Services/Training	1,458	2,510	3,270	4,270	4,285	0.4
Other Charges	-	57,382	-	-	-	-
Scheduled Charges	29,363	30,477	28,813	29,741	34,739	16.8
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL LABORATORY	$ 386,262	502,007	467,720	497,467	521,039	4.7

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Wastewater Utility - Department Expenditures

Sampling & Monitoring

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 123,050	125,163	127,940	131,941	130,132	(1.4)
Benefits	52,380	64,364	64,728	70,314	67,801	(3.6)
Supplies	12,820	17,647	10,816	19,505	16,332	(16.3)
Maintenance	48,059	68,499	64,879	68,825	73,252	6.4
Professional Services/Training	294,507	298,014	283,088	354,075	483,984	36.7
Other Charges	30,095	23,018	34,549	49,500	42,000	(15.2)
Scheduled Charges	17,372	17,450	18,079	27,462	28,296	3.0
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL SAMPLING & MONITORING	$ 578,284	614,156	604,079	721,622	841,797	16.7

Pro Rata

EXPENDITURES BY CATEGORY						
Compensation	$ 91,054	76,930	90,880	16,974	16,994	0.1
Benefits	51,020	41,814	45,864	9,158	8,761	(4.3)
Supplies	997	1,286	1,047	900	-	(100.0)
Maintenance	500	-	-	-	-	-
Professional Services/Training	44	40	35	-	-	-
Other Charges	-	-	73	-	-	-
Scheduled Charges	307	-	-	-	1,573	-
Capital Outlay/Reimbursements	8,061	3,681	14,146	17,500	17,500	-
TOTAL PRO RATA	$ 151,982	123,751	152,045	44,532	44,828	0.7

Sewer Taps

EXPENDITURES BY CATEGORY						
Compensation	$ 32,302	47,055	28,627	15,767	15,767	-
Benefits	17,971	26,792	15,600	8,801	8,447	(4.0)
Supplies	-	-	-	-	-	-
Maintenance	-	-	-	-	-	-
Professional Services/Training	-	-	-	-	-	-
Other Charges	-	-	71	-	-	-
Scheduled Charges	-	-	-	-	-	-
Capital Outlay/Reimbursements	72,751	75,659	136,056	85,000	85,000	-
TOTAL SEWER TAPS	$ 123,023	149,506	180,354	109,568	109,214	(0.3)

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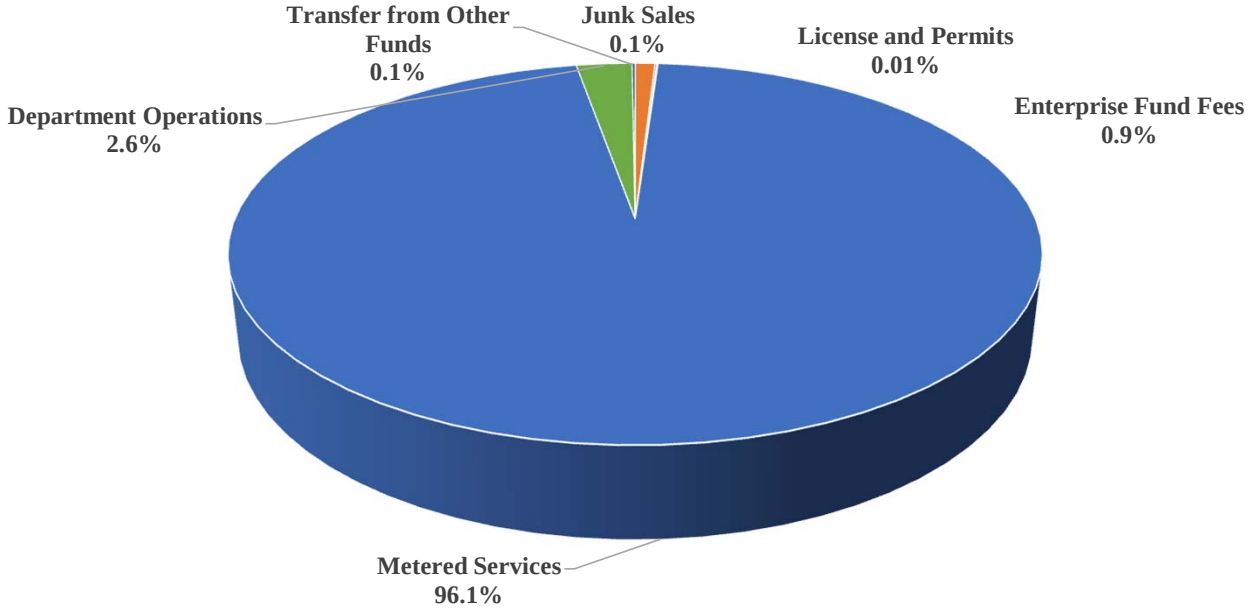
Wastewater Fund Revenue Analysis

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17
License and Permits	$ 2,132	1,657	5,058	5,100	5,500
Enterprise Fund Fees	253,205	321,916	465,983	373,000	398,000
Interest Earnings	(1,850)	154,302	512,148	-	39,604
Junk Sales	13,238	83,460	53,320	25,000	25,000
Metered Services	32,821,726	32,959,430	33,704,709	36,897,430	41,431,558
Department Operations	1,105,817	1,169,474	1,247,698	1,125,000	1,140,000
Transfer from Other Funds	84,294	78,870	59,387	59,500	61,252
EPA Grant	21,986	461,166	-	-	-
Total Revenue Sources	$ 34,300,547	35,230,275	36,048,303	38,485,030	43,100,914
Utilization of Net Assets	-	-	2,118,494	3,092,398	2,171,432
TOTAL FUNDING SOURCES	$ 34,300,547	35,230,275	38,166,797	41,577,429	45,272,345



Ninety-six percent of the revenues in the Wastewater Fund are generated by metered sales. These revenues are projected utilizing a long-term model that projects the growth in the customer base and the revenue requirement of the fund based on capital and operating expenses. The Wastewater Fund has a five-year capital plan that is incorporated into the model to project current and future revenue needs. The model is included in this section. The factors utilized to project metered sales include historical trends, growth in population, and volume rate and base rate changes. There is an 9.0 percent increase in the volume charge rate for FY 2016-17 as well as a $2 increase to the base rate on a 0.75" meter as well as subsequent increases to the other meter sizes. Almost three percent of the revenue, or 2.6 percent, is generated from Department Operations in the Wastewater Fund. This includes the sale of effluent water, wastewater pro rata and wastewater tap fees as well as septic load and cleaning sewer line fees. The wastewater pro rata and tap fees were projected based upon anticipated development. The sewer line cleaning revenue is projected based on an increase in private sector businesses doing this type of cleaning. The septic haulers revenue is projected based on the number of additional permitted haulers. Enterprise Fund Fees, 0.9 percent of the revenue in this fund, include fees for the sale of corn and hay as well as fees associated with grazing at the Land Application Site.

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Wastewater Fund Line Item Funding Source Summary

FUNDING SOURCES	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17	% Change from Amended
Sewer Taps	6055	$ 128,363	141,125	136,810	125,000	135,000	8.0
Sewage Disposal Permit	6219	2,132	1,657	5,058	5,100	5,500	7.8
EPA Grant	6367	21,986	461,166	-	-	-	-
Fee-Returned Checks	6410	-	-	30	-	-	-
Cleaning Sewer Lines	6601	9,687	8,772	9,265	5,000	5,000	-
Hay Sales	6602	172,186	204,375	288,834	225,000	250,000	11.1
Cattle Grazing	6602	62,462	98,365	133,335	130,000	130,000	-
Miscellaneous Lease	6602	18,557	19,176	30,705	18,000	18,000	-
Cotton Proceeds	6602	-	-	13,109	-	-	-
Oil and Gas Lease	6602	-	-	-	-	-	-
Interest on Investments	6802	(1,850)	154,302	512,148	-	39,604	-
Sale of Equipment Vehicle	7104	13,238	83,460	53,320	25,000	25,000	-
Sale of Water Effluent	7106	665,730	617,038	834,992	775,000	780,000	0.6
Junk Sales	7112	6,180	101,211	7,932	-	-	-
Wastewater Pro Rata	7428	234,690	224,571	175,688	140,000	140,000	-
Subrogation	7510	-	2,133	2,209	-	-	-
General Consumer Metered	7523	31,422,295	31,900,349	32,478,694	35,797,430	40,331,558	12.7
Surcharge	7533	1,399,431	1,059,081	1,226,014	1,100,000	1,100,000	-
Septic Load Fees	7604	61,168	74,623	80,772	80,000	80,000	-
Transfer from Water Revenue Fund	7924	42,147	39,435	29,694	29,750	30,626	2.9
Transfer from Solid Waste	7937	42,147	39,435	29,694	29,750	30,626	2.9
TOTAL REVENUE SOURCES		$ 34,300,547	35,230,275	36,048,304	38,485,030	43,100,914	12.0

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Summary of Wastewater Fee Changes

ACCOUNT DESCRIPTION	Account Number	Rate Details	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Proposed FY 2016-17	$ Change from Amended
Sale of Water Effluent	7106	Cost per thousand gallons up to and above 1.25 billion gallons annually	0.612060	0.61818	0.624362	0.630600	0.636910	0.006310
General Consumer Metered	7523	Base Rate						
		Residential No Meter	53.00	53.00	53.00	60.57	68.14	7.57
		0.75"	14.00	14.00	14.00	16.00	18.00	2.00
		1.00"	17.06	17.06	17.06	19.49	21.93	2.44
		1.50"	24.66	24.66	24.66	28.18	31.71	3.52
		2.00"	33.81	33.81	33.81	38.64	43.47	4.83
		3.00"	55.19	55.19	55.19	63.07	70.95	7.88
		4.00"	85.68	85.68	85.68	97.92	110.16	12.24
		6.00"	161.94	161.94	161.94	185.07	208.21	23.13
		8.00"	253.43	253.43	253.43	289.64	325.84	36.20
		10.00"	360.21	360.21	360.21	411.67	463.13	51.46
		Volume Charge	2.25	2.25	2.45	2.67	2.91	0.24
Surcharge	7533	BOD per pound	0.2122	0.1973	0.2151	0.2531	0.2736	0.0205
		TSS per pound	0.1954	0.1837	0.1981	0.2298	0.2474	0.0176
Septic Load Fees	7604	BOD per pound	0.2122	0.1973	0.2151	0.2531	0.2736	0.0205
		TSS per pound	0.1954	0.1837	0.1981	0.2298	0.2474	0.0176

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Water Overview

Mission and Purpose

Our mission is to plan for, protect, and preserve valuable water resources while providing high quality water and exceptional water services to our customers. The Water Utility Department provides the following services:

- Safe and high-quality drinking water that meets state, federal, and operating requirements.
- An adequate supply of water at sufficient pressure through the efficient operation and maintenance of the water pumping system and pump stations.
- Engineering and water resource planning to manage and protect water resources and infrastructure.
- Public education regarding water conservation, water-wise landscaping, and water reuse.
- Prompt responses to customer service requests; accurate metering of delivered water, and timely repair or replacement of malfunctioning meters.
- Predictive, preventative, and emergency maintenance of approximately 1,789 miles of water lines and 5,904 fire hydrants.

Overview

To assist with the strategic development of additional water supplies, the City Council established the Lubbock Water Advisory Commission (LWAC) in July 2003, with the primary objective of developing and implementing a 100-year water supply plan. In July 2007, with the recommendation of the LWAC, the City Council approved a Strategic Water Supply Plan for Lubbock. The most recent Strategic Water Supply Plan was approved in February 2013. The City works closely with the Region O Water Planning Group in preparing their portion of the State Water Plan, which includes the City's water supply needs and alternatives.

The daily capacity of the City water supply and treatment is 76 million gallons, with an average utilization of 31.7 million gallons per day. The City currently receives its water supply from the City-owned Bailey County Well Field, City-owned Lake Alan Henry, and the Canadian River Municipal Water Authority (CRMWA) which supplies water from the Roberts County Well Field and Lake Meredith to its eleven member cities.

The City obtained 7.9 billion gallons of its annual water supply from CRMWA in FY 2015. Due to increased reservoir levels in Lake Meredith, CRMWA continued pumping water from this source throughout the year. However, the majority of CRMWA's current supply comes from groundwater produced from the Roberts County Well Field to meet the water demands of Lubbock and ten other member cities. CRMWA owns 407,566 surface acres of ground water rights with an estimated 22 million acre-feet of water within those rights.

The Bailey County Well Field contains 175 active water wells with 83,305 acres of water rights and provided 1.8 billion gallons of the City's water supply in FY 2015.

Lake Alan Henry, built by the City in 1993, became a new water source for the City in 2012 when Phase 1 of the water supply project was completed. Phase 1 consisted of two pump stations, a 51-mile raw water pipeline, the South Water Treatment Plant, and treated water transmission lines. The South Water Treatment Plant's initial capacity is 15 million gallons per day. The treatment facilities include a 225 million gallon terminal storage reservoir and a high service pump station to transfer the treated water into the City's distribution system. The lake provided 1.9 billion gallons of the City's water supply in FY 2015.

The City provides water service to over 83,366 meters through 1,788.5 miles of distribution lines. The City also provides treated water under contract to Shallowater, Ransom Canyon, Buffalo Springs, Reese Redevelopment Authority, Lubbock Cooper ISD's main campus, and the Texas Department of Criminal Justice's Montford Unit. The City is also under contract to provide water under emergency conditions to Littlefield and Wolfforth.

Goals and Objectives

Many goals are associated with the implementation of the Strategic Water Supply Plan adopted in February 2013 that focuses on four types of water strategies: Water Conservation, Water Reuse, Groundwater, and Surface Water. Goals include:

- Encouraging water conservation to extend the life of existing water supply sources and infrastructure to keep water supply costs as low as possible.
- Maintaining long-term infrastructure viability by replacing and/or rehabilitating aging water lines, valves, hydrants, meters, supply, treatment, transmission, and pumping facilities in order to minimize system water loss.
- Continuing inspections at both residential and commercial facilities to determine whether backflow protection devices are necessary and ensure that 17,440 existing devices are functioning properly in order to protect water quality in the distribution system.
- Continuing to refine and develop the 2013 Strategic Water Supply Plan by initiating water supply studies associated with strategies described in the Plan.
- Responding and repairing water main breaks in a timely manner to minimize water loss.
- Respond to sewer repair calls in a timely manner in order to protect the health and safety of our citizens.
- Provide the best water customer service to our citizens.

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Water Overview

Accomplishments for FY 2015-16

- Completed the third annual spraying of herbicide to control salt cedar along the river upstream of Lake Alan Henry.
- Completed erosion control study for the Lake Alan Henry Pump Station and Spillway area.
- Conducted a Montford Dam Emergency Action Plan exercise as required by the TCEQ.
- Began construction of intake tower structural improvements and instrumentation at Lake Alan Henry.
- Initiated a potable reuse evaluation to determine which direct and indirect reuse water supply strategies appear to be the most viable for the City.
- Continued developing a Model for the Water Distribution System Master Plan project. Identified areas of need for elevated storage within the City.
- Finalized a study of the benefits and potential use of aquifer storage and recovery as a water supply strategy.
- Initiated a feasibility study of pumping groundwater from the brackish Dockum/Santa Rosa formation.
- Completed design of Pump Station 16.
- Completed design of the 2nd phase of improvements for the North Water Treatment Plant.
- Began construction of 2nd phase of improvements for the North Water Treatment Plant.
- Completed design for the South Water Treatment Plant low flow improvements at the high service pump station and plant.
- Completed Construction of the Pump Station Emergency Generators project for Pump Station 4, 8 and 10.
- Continued to improve the plan review process through updating of the City of Lubbock Public Works Engineering Design Standards and Specifications.
- Completed design of Pump Station 10.
- Completed design and construction of the Pump Station intake meters for Pump Station 3 and 8.
- Increased proactive valve maintenance and replacement schedule.
- Continued to decrease response time to water breaks to minimize the amount of water lost.
- Completed the Bailey County disinfection system replacement project.
- Completed the Water Facilities Security Upgrade project.
- Adjusted 75 water valves and 50 manholes.
- Replaced 30 water valves. Utilized the new Insert-A-Valve machine to install eight new valves ranging from 4” to 12”.
- Located and exercised 2,290 water valves.
- Changed out an additional 200 meters with the additional two service workers.
- Conducted 2,000+ customer service inspections.
- Conducted 4,100+ irrigation inspections.
- Conducted Backflow tests for City facilities to insure TCEQ compliance.
- Completed next phase of 2” line replacement. Replaced 13,200 linear feet of pipeline.

Objectives for FY 2016-17

- Complete the fourth annual spraying of herbicide to control salt cedar along the river upstream of Lake Alan Henry.
- Finalize a feasibility study of pumping groundwater from the brackish Dockum/Santa Rosa formation.
- Complete the Model for the Water Distribution System Master Plan project by the summer of 2015.
- Begin construction of Pump Station 10 improvements.
- Complete construction of Pump Station 16.
- Continue with design and construction of North Water Treatment plant improvements according to Water Treatment Plant Master Plan Update.
- Increase proactive valve maintenance and replacement schedule.
- Decrease response time to water breaks to minimize water loss. Continue replacement of broken water valves.
- Utilize the new service workers to change out 5,000 of the 10 year water meter change outs.
- Continue to conduct the TCEQ required Customer Service, Irrigation and Backflow Inspections.
- Complete the Lake Alan Henry Watershed Management Plan.
- Obtain land for proposed elevated storage tanks from the Master Plan.
- Complete construction of structural rehabilitation at Intake Tower and new instrumentation at Lake Alan Henry.

Financial Condition

Adjusting for accounts payable, other current liabilities, and restricted cash at September 30, 2015, $49,218,027 is available for appropriation. Bringing that amount forward, the amount available for appropriation at September 30, 2016 is expected to be $40,150,050. The Water Fund is required, by policy, to have 25% of revenue set aside as a reserve. The fund is forecast to have a reserve surplus of $20,149,651 at September 30, 2016.

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Water Overview

Current Assets at September 30, 2015	$ 41,514,201
Less: Accounts Payable and Accrued Liabilities	(3,537,695)
Net Current Assets	$ 37,976,506
Cashflow Calculations	
Current Assets Less Current Liabilities	37,976,506
Add: Restricted Cash reserved for Debt Service	11,241,521
Total Available Cash at September 30, 2015	$ 49,218,027
FY 2015-16 Budget Forecast:	
Operating Revenues	80,001,597
Non-Operating Revenues	237,480
Less: Expenses	(89,307,053)
Forecast Addition to Net Current Assets	(9,067,976)
Total Available Cash by September 30, 2016	40,150,050
Estimated September 30, 2016	
Target Net Assets Policy	25.00%
Target Net Assets by Policy	$ 20,000,399
Available for Appropriation at September 30, 2016	$ 20,149,651

Revenue Overview

- The Lubbock Water Advisory Commission (LWAC) met in early June 2016 to discuss the Proposed FY 2016-17 Water Fund operating budget and water utility rate model. The LWAC recommends for FY 2016-17 a proposed base rate increase with no change to the volume rate. This recommendation is the budget for the Water Fund and is included in the budget narrative, the budget book pages and the Water Utility Rate Model-Option 1. The LWAC also requested that the City Council be provided with a Water Utility rate model that reflects no change to the current base or volume rate for FY 2016-17. Option 2 is included for reference only and is found only on the page labeled Water Utility Rate Model - Option 2. If the City Council decides to select Option 2, there will be budgetary implications to the Water Fund as well as the General Fund.
- Revenues increased $1,495,526, or 1.8 percent, for FY 2016-17.
- The model for FY 2016-17 increases the base rate from $16 to $18 on a 0.75" meter as well as subsequent relative decreases to the other meter sizes. There is no increase to the volume rate.
- Interest earnings increased $100,375 due to budgeting interest this year.
- Metered Sales increased $1,416,435, or 1.8 percent, due to changes in projected demand and increase to the base rate.
- Utilization of net assets for FY 2016-17 is $2,448,229.

Expense Overview

- Expenditures increased $1,763,696, or 2.1 percent, for FY 2016-17.
- Compensation and Benefits decreased $58,694, or 0.6 percent, when compared to the FY 2015-16 Operating Budget due to staff retirements offset by an increase to health benefit cost.
- Supplies decreased $18,168, or 0.9 percent, due to increase in chemical supplies offset by lower costs in other supply areas.
- Maintenance decreased $354,654, or 11.3 percent, due to budgeting a portion of water system maintenance in the capital outlay account for accounting purposes.
- Professional Services/Training decreased $33,039, or 3.2 percent, due to decreasing budget for professional services associated with the lobbying contract.
- Other Charges decreased $10,790, or 9.9 percent, due to not budgeting for rent/lease equipment needed last fiscal year.
- Scheduled Charges increased $31,733, or 0.3 percent for FY 2016-17 due to increase to CRMWA cost offset by decreases to data processing charges, liability insurance and electric utility costs.
- Capital Outlay increased $221,000, or 38.2 percent due to budgeting for water system maintenance in the capital outlay account.
- Debt Service increased $1,231,665, or 3.3 percent for FY 2016-17.
- Vehicle/Equipment debt decreased $43,734, or 7.3 percent due to a decrease in the scheduled vehicle/equipment debt payment for FY 2016-17.
- Indirect cost allocation decreased $80,859, or 8.0 percent for FY 2016-17. This is based on the Cost Allocation Plan completed by the Accounting Department.
- The transfer in lieu of property tax to the General Fund increased $96,537, or 2.5 percent, due to increases in capital assets.
- The cost of business transfer to the General Fund increased $74,776, or 1.8 percent, due to an increase in revenue. The amount budgeted is equal to 5 percent of gross revenues.
- The transfer to the General Fund for paved streets increased $17,945, or 2.4 percent due to an increase in cost for paved streets.
- The transfer to LP&L for collection services decreased $52,157, or 2.1 percent. The distribution is set by the Electric Fund for the portion of the charge related to meter reading, billing and customer service for the Water Fund.
- The transfer to Water Capital increased $613,868 or 19.9 percent, due to an increase in cash funded projects.
- The $123,869 transfer to other funds is for overnight dispatch expense and environmental compliance.

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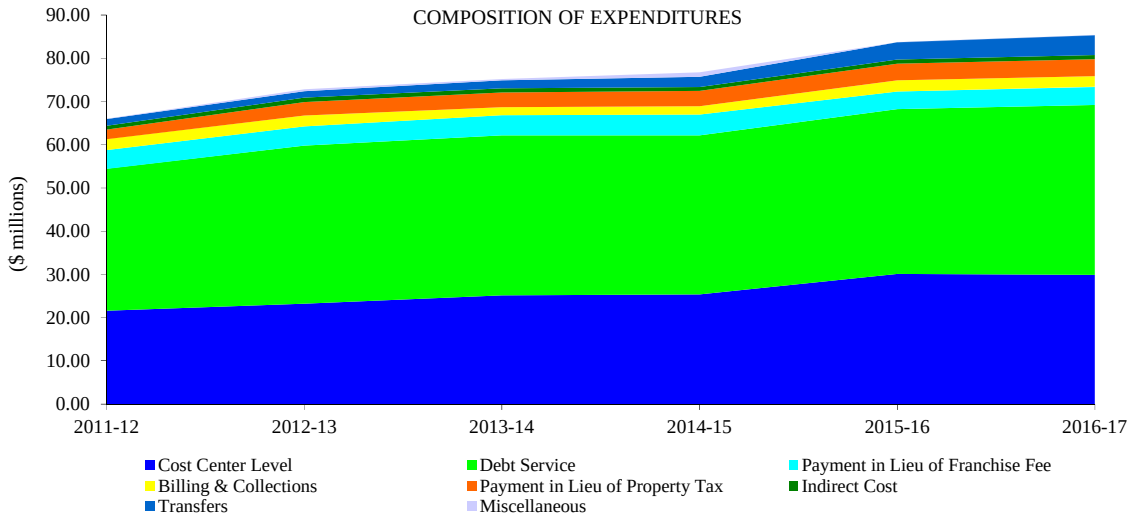
Enterprise Fund - Water Utility



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Administration	7	7	6	6	6	-
Conservation & Education	8	11	11	11	12	1
Pro Rata	-	-	-	-	-	-
Engineering	19	19	19	19	19	-
Water Meter & Customer Service	25	24	26	26	26	-
Equipment Maintenance	4	4	4	4	4	-
Distribution & Maintenance	46	45	46	46	46	-
Process Control Lab Svcs.	7	7	7	7	7	-
Pumping & Control	18	18	18	18	18	-
Treatment	11	11	11	11	11	-
Production	10	10	10	10	10	-
Reservoir	9	9	9	9	9	-
Water Microbiology Lab	3	3	3	3	3	-
Water Taps	-	-	-	-	-	-
TOTAL STAFFING	167	168	170	170	171	1

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Water delivered per employee (mgd)	0.51	0.52	0.43	0.44	0.44	-
Valves Located and Excercised	2,309	3,500	1,722	2,290	2,405	5.0
Customer Service Inspections Conducted	1,321	1,403	2,005	2,400	2,500	4.2

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Water Utility - Fund Overview

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ 55,394	272,546	473,145	-	100,375	-
Rentals	177,159	179,004	185,211	179,000	179,000	-
Junk Sales	200,443	99,912	71,900	94,296	85,220	(9.6)
Metered Sales	80,284,641	77,504,474	75,706,020	79,188,055	80,604,490	1.8
Department Operations	1,772,068	2,004,586	2,170,616	1,889,711	1,855,260	(1.8)
Transfer from Other Funds	130,701	130,701	131,438	141,937	164,180	15.7
Total Revenue Sources	82,620,406	80,191,224	78,738,330	81,493,000	82,988,525	1.8
Utilization of Net Assets	-	-	-	2,180,060	2,448,229	12.3
TOTAL FUNDING SOURCES	$ 82,620,406	80,191,224	78,738,330	83,673,059	85,436,755	2.1

DEPARTMENT LEVEL EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 5,620,798	5,888,050	6,234,690	6,974,146	6,862,129	(1.6)
Benefits	2,924,752	3,061,285	3,185,883	3,640,745	3,694,068	1.5
Supplies	1,638,600	1,635,775	1,628,007	2,042,996	2,024,828	(0.9)
Maintenance	2,529,098	2,699,609	2,898,762	3,140,569	2,785,915	(11.3)
Professional Services/Training	812,455	700,310	788,989	1,048,127	1,015,088	(3.2)
Other Charges	85,206	101,161	113,183	108,889	98,099	(9.9)
Scheduled Charges	9,145,935	10,027,045	9,757,055	12,548,610	12,580,344	0.3
Capital Outlay/Reimbursements	469,868	1,045,754	712,443	579,000	800,000	38.2
TOTAL DEPARTMENT LEVEL EXPENSES	$ 23,226,712	25,158,988	25,319,012	30,083,082	29,860,470	(0.7)

FUND LEVEL EXPENSES						
Debt Service	$ 37,378,070	37,717,657	37,720,007	37,567,874	38,799,538	3.3
Vehicle/Equipment Debt	779,389	760,465	648,520	595,434	551,700	(7.3)
Indirect Cost Allocation	1,026,626	957,210	867,009	1,007,214	926,355	(8.0)
Payment In Lieu of Property Tax	3,051,534	3,394,808	3,588,050	3,849,687	3,946,224	2.5
Payment In Lieu of Franchise Fee	4,440,516	4,694,580	4,785,701	4,074,650	4,149,426	1.8
Transfer to Paved Streets	726,975	738,042	733,216	755,786	773,731	2.4
Transfer to LP&L - Collections	2,566,959	1,884,393	1,904,524	2,533,899	2,481,742	(2.1)
Transfer to Water Capital	200,000	700,000	1,522,219	3,079,375	3,693,243	19.9
Transfer to Other Funds	42,147	338,546	114,816	117,641	123,869	5.3
Transfer to General Fund Capital	520,000	50,000	-	-	-	-
Miscellaneous	443,585	282,437	1,032,067	8,418	9,185	9.1
TOTAL FUND LEVEL EXPENSES	$ 51,175,801	51,518,138	52,916,129	53,589,977	55,455,013	3.5
Compensation Adjustment					121,272	
TOTAL EXPENSES	$ 74,402,513	76,677,126	78,235,141	83,673,059	85,436,755	2.1

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Water Utility - Rate Model

Option 1

($2.00 Base Rate Increase; No change to Volume Rate in FY 2016-17)

	Budget	Forecast				
FUNDING SOURCES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Interest Earnings	$ 100,375	94,255	93,349	97,678	103,976	114,212
Rentals	179,000	180,790	182,598	184,424	186,268	188,131
Junk Sales	85,220	85,220	85,220	85,220	85,220	85,220
Metered Sales	80,604,490	84,701,783	88,811,061	91,645,081	93,147,755	97,233,224
Department Operations	1,855,260	1,892,365	1,930,213	1,968,817	2,008,193	2,048,357
Transfer from Other Funds	164,180	148,832	143,084	118,765	118,976	114,305
Total Revenue Sources	82,988,525	87,103,244	91,245,523	94,099,984	95,650,389	99,783,449
Use of Net Assets	2,448,229	362,422	-	-	-	-
TOTAL FUNDING SOURCES	$ 85,436,755	87,465,666	91,245,523	94,099,984	95,650,389	99,783,449

	Budget	Forecast				
DEPARTMENT LEVEL EXPENSES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Compensation	$ 6,862,129	7,123,069	7,265,530	7,410,840	7,559,057	7,710,238
Benefits	3,694,068	3,909,501	4,143,398	4,397,544	4,673,901	4,974,625
Supplies	2,024,828	2,065,324	2,106,631	2,148,763	2,191,738	2,235,573
Maintenance	2,785,915	2,841,633	2,898,466	2,956,435	3,015,564	3,075,875
Professional Services / Training	1,015,088	1,035,390	1,056,098	1,077,220	1,098,764	1,120,739
Other Charges	98,099	100,061	102,062	104,103	106,186	108,309
Scheduled Charges	12,580,344	12,792,234	13,007,927	13,227,492	13,451,004	13,678,536
Capital Outlay/Reimbursements	800,000	816,000	832,320	848,966	865,946	883,265
TOTAL DEPARTMENT LEVEL EXPENSES	$ 29,860,470	30,683,212	31,412,431	32,171,365	32,962,159	33,787,160
FUND LEVEL EXPENSES						
Debt Service	$ 38,799,538	41,383,656	42,608,006	43,481,483	42,546,285	38,468,075
Vehicle/Equipment Debt	551,700	614,788	627,150	735,968	687,511	629,074
Miscellaneous	9,185	9,369	9,557	9,748	9,943	10,141
Transfer to Other Funds	-	-	-	-	-	-
Total Transfers	12,401,347	12,854,641	13,316,739	13,722,096	14,070,129	14,555,410
Transfer to Water Capital	3,693,243	1,920,000	1,540,000	1,460,000	1,280,000	1,500,000
TOTAL FUND LEVEL EXPENSES	$ 55,455,013	56,782,454	58,101,452	59,409,294	58,593,867	55,162,700
Compensation Adjustment	121,272					
TOTAL EXPENSES	$ 85,436,755	87,465,666	89,513,883	91,580,659	91,556,027	88,949,860

	Forecast	Budget	Forecast				
APPROPRIABLE NET ASSETS	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Net Assets	$ 40,150,050	37,701,821	37,339,399	39,071,040	41,590,365	45,684,728	56,518,316
Less: Reserve for RCWF Transmission Line	-	-	-	(1,147,621)	(5,265,406)	(11,542,654)	(21,031,021)
Less: Net Asset Reserve Policy	(20,000,399)	(20,659,688)	(21,693,734)	(22,730,968)	(23,449,580)	(23,835,554)	(24,867,428)
TOTAL APPROPRIABLE NET ASSETS	$ 20,149,651	17,042,133	15,645,665	15,192,451	12,875,379	10,306,519	10,619,867
Base Charges							
0.75" meter	16.00	18.00	19.00	20.00	20.00	20.00	20.00
1.00" meter	26.71	30.05	31.72	33.39	33.39	33.39	33.39
1.50" meter	53.26	59.91	63.24	66.57	66.57	66.57	66.57
2.00" meter	85.60	96.30	101.65	107.00	107.00	107.00	107.00
3.00" meter	170.64	191.97	202.63	213.30	213.30	213.30	213.30
4.00" meter	266.58	299.91	316.57	333.23	333.23	333.23	333.23
6.00" meter	533.02	599.65	632.96	666.28	666.28	666.28	666.28
8.00" meter	852.86	959.47	1,012.77	1,066.07	1,066.07	1,066.07	1,066.07
10.00" meter	1,226.11	1,379.38	1,456.01	1,532.64	1,532.64	1,532.64	1,532.64
Volume Rates							
Block 1	4.76	4.76	4.91	5.05	5.20	5.20	5.20
Block 2	6.50	6.50	6.69	6.89	7.10	7.10	7.10
Block 3	7.79	7.79	8.03	8.27	8.52	8.52	8.52

Assumptions

1. The proposed rate structure incorporated in this model is subject to change depending on many variables. Some of these variables may include: water volumes, interest rates, commodity prices, inflation rates, the operational impact of new facilities, and changes in the cost or priority of capital projects.
2. The estimated growth of expenditures is forecasted at 2-3% unless trends indicate otherwise.

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Water Utility - Rate Model

Option 2

(No change to Base Rate or Volume Rate in FY 2016-17)

	Budget	Forecast				
FUNDING SOURCES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Interest Earnings	$ 100,375	89,006	83,670	87,083	93,356	103,567
Rentals	179,000	180,790	182,598	184,424	186,268	188,131
Junk Sales	85,220	85,220	85,220	85,220	85,220	85,220
Metered Sales	78,394,646	82,841,535	88,435,232	91,645,081	93,147,755	97,233,224
Department Operations	1,855,260	1,892,365	1,930,213	1,968,817	2,008,193	2,048,357
Transfer from Other Funds	164,180	148,832	143,084	118,765	118,976	114,305
Total Revenue Sources	80,778,681	85,237,748	90,860,015	94,089,390	95,639,769	99,772,804
Use of Net Assets	4,547,581	2,134,643	-	-	-	-
TOTAL FUNDING SOURCES	$ 85,326,263	87,372,391	90,860,015	94,089,390	95,639,769	99,772,804

	Budget	Forecast				
DEPARTMENT LEVEL EXPENSES	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Compensation	$ 6,862,129	7,123,069	7,265,530	7,410,840	7,559,057	7,710,238
Benefits	3,694,068	3,909,501	4,143,398	4,397,544	4,673,901	4,974,625
Supplies	2,024,828	2,065,324	2,106,631	2,148,763	2,191,738	2,235,573
Maintenance	2,785,915	2,841,633	2,898,466	2,956,435	3,015,564	3,075,875
Professional Services / Training	1,015,088	1,035,390	1,056,098	1,077,220	1,098,764	1,120,739
Other Charges	98,099	100,061	102,062	104,103	106,186	108,309
Scheduled Charges	12,580,344	12,792,234	13,007,927	13,227,492	13,451,004	13,678,536
Capital Outlay/Reimbursements	800,000	816,000	832,320	848,966	865,946	883,265
TOTAL DEPARTMENT LEVEL EXPENSES	$ 29,860,470	30,683,212	31,412,431	32,171,365	32,962,159	33,787,160
FUND LEVEL EXPENSES						
Debt Service	$ 38,799,538	41,383,656	42,608,006	43,481,483	42,546,285	38,468,075
Vehicle/Equipment Debt	551,700	614,788	627,150	735,968	687,511	629,074
Miscellaneous	9,185	9,369	9,557	9,748	9,943	10,141
Transfer to Other Funds	-	-	-	-	-	-
Total Transfers	12,290,855	12,761,366	13,297,463	13,721,566	14,069,598	14,554,878
Transfer to Water Capital	3,693,243	1,920,000	1,540,000	1,460,000	1,280,000	1,500,000
TOTAL FUND LEVEL EXPENSES	$ 55,344,521	56,689,179	58,082,176	59,408,764	58,593,336	55,162,168
Compensation Adjustment	121,272					
TOTAL EXPENSES	$ 85,326,263	87,372,391	89,494,607	91,580,129	91,555,496	88,949,328

	Forecast	Budget	Forecast				
APPROPRIABLE NET ASSETS	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22
Net Assets	$ 40,150,050	35,602,469	33,467,826	34,833,234	37,342,495	41,426,769	52,250,245
Less: Reserve for RCWF Transmission Line	-	-	-	(1,147,621)	(5,265,406)	(11,542,654)	(21,031,021)
Less: Net Asset Reserve Policy	(20,000,399)	(20,107,226)	(21,228,673)	(22,637,010)	(23,449,580)	(23,835,554)	(24,867,428)
TOTAL APPROPRIABLE NET ASSETS	$ 20,149,651	15,495,242	12,239,153	11,048,603	8,627,509	6,048,560	6,351,796
Base Charges							
0.75" meter	16.00	16.00	18.00	20.00	20.00	20.00	20.00
1.00" meter	26.71	26.71	30.05	33.39	33.39	33.39	33.39
1.50" meter	53.26	53.26	59.91	66.57	66.57	66.57	66.57
2.00" meter	85.60	85.60	96.30	107.00	107.00	107.00	107.00
3.00" meter	170.64	170.64	191.97	213.30	213.30	213.30	213.30
4.00" meter	266.58	266.58	299.91	333.23	333.23	333.23	333.23
6.00" meter	533.02	533.02	599.65	666.28	666.28	666.28	666.28
8.00" meter	852.86	852.86	959.47	1,066.07	1,066.07	1,066.07	1,066.07
10.00" meter	1,226.11	1,226.11	1,379.38	1,532.64	1,532.64	1,532.64	1,532.64
Volume Rates							
Block 1	4.76	4.76	4.91	5.05	5.20	5.20	5.20
Block 2	6.50	6.50	6.69	6.89	7.10	7.10	7.10
Block 3	7.79	7.79	8.03	8.27	8.52	8.52	8.52

Assumptions

1. The proposed rate structure incorporated in this model is subject to change depending on many variables. Some of these variables may include: water volumes, interest rates, commodity prices, inflation rates, the operational impact of new facilities, and changes in the cost or priority of capital projects.
2. The estimated growth of expenditures is forecasted at 2-3% unless trends indicate otherwise.

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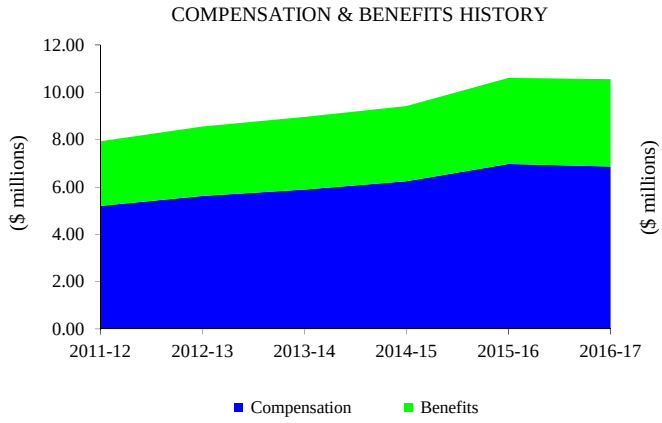
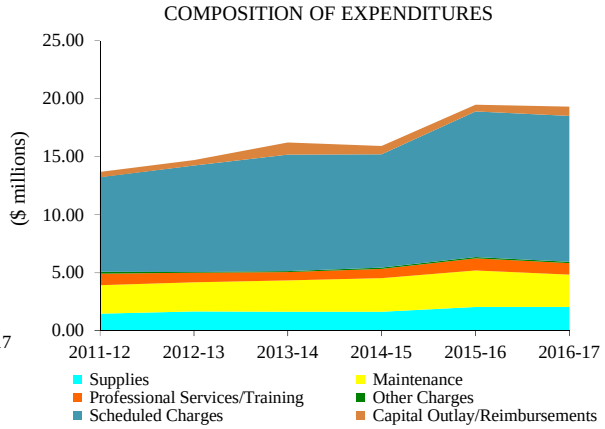
Water Utility - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 5,620,798	5,888,050	6,234,690	6,974,146	6,862,129	(1.6)
Benefits	2,924,752	3,061,285	3,185,883	3,640,745	3,694,068	1.5
Supplies	1,638,600	1,635,775	1,628,007	2,042,996	2,024,828	(0.9)
Maintenance	2,529,098	2,699,609	2,898,762	3,140,569	2,785,915	(11.3)
Professional Services/Training	812,455	700,310	788,989	1,048,127	1,015,088	(3.2)
Other Charges	85,206	101,161	113,183	108,889	98,099	(9.9)
Scheduled Charges	9,145,935	10,027,045	9,757,055	12,548,610	12,580,344	0.3
Capital Outlay/Reimbursements	469,868	1,045,754	712,443	579,000	800,000	38.2
TOTAL EXPENDITURES BY CATEGORY	$ 23,226,712	25,158,988	25,319,012	30,083,082	29,860,470	(0.7)

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Administration	$ 1,081,869	1,037,335	1,052,868	1,128,189	1,068,181	(5.3)
Conservation & Education	807,352	803,184	868,140	1,068,342	1,132,784	6.0
Pro Rata	277,671	251,124	295,533	116,610	117,590	0.8
Engineering	892,833	867,588	1,047,608	1,322,442	1,242,494	(6.0)
Water Meter & Customer Service	1,699,072	1,760,542	1,903,222	2,091,106	2,083,213	(0.4)
Equipment Maintenance	228,716	255,722	257,907	298,088	309,601	3.9
Distribution & Maintenance	2,822,457	3,328,091	3,056,476	3,677,317	3,592,602	(2.3)
Process Control Lab Svcs.	542,871	584,875	547,164	576,282	582,839	1.1
Pumping & Control	3,125,323	3,433,786	3,631,968	4,385,199	4,275,363	(2.5)
Treatment	7,033,970	7,217,182	7,377,415	9,105,728	9,308,950	2.2
Production	1,787,718	2,059,538	1,712,247	2,072,787	2,070,673	(0.1)
Reservoir	2,257,627	2,935,776	2,714,436	3,603,085	3,427,329	(4.9)
Water Microbiology Lab	227,951	215,415	227,750	233,340	244,638	4.8
Water Taps	441,285	408,830	626,277	404,568	404,214	(0.1)
TOTAL EXPENDITURES BY DEPARTMENT	$ 23,226,712	25,158,988	25,319,012	30,083,082	29,860,470	(0.7)

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Water Utility - Department Expenditures

Administration

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 555,144	496,665	503,103	479,720	490,054	2.2
Benefits	213,329	184,009	200,423	185,843	191,320	2.9
Supplies	9,305	6,081	8,199	8,887	8,308	(6.5)
Maintenance	2,978	5,628	3,965	5,602	5,612	0.2
Professional Services/Training	261,604	312,525	295,593	355,964	319,750	(10.2)
Other Charges	15,718	246	1,017	960	960	-
Scheduled Charges	23,792	32,180	40,568	91,213	52,177	(42.8)
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL ADMINISTRATION	$ 1,081,869	1,037,335	1,052,868	1,128,189	1,068,181	(5.3)

Conservation & Education

EXPENDITURES BY CATEGORY						
Compensation	$ 288,221	378,645	451,829	479,843	504,157	5.1
Benefits	158,050	208,969	243,933	261,757	282,201	7.8
Supplies	55,105	41,962	63,488	83,981	77,952	(7.2)
Maintenance	12,784	11,055	13,769	16,579	13,562	(18.2)
Professional Services/Training	226,828	48,428	41,398	146,020	149,084	2.1
Other Charges	11,933	129	2,282	2,461	2,761	12.2
Scheduled Charges	54,431	61,353	51,441	77,701	103,068	32.6
Capital Outlay/Reimbursements	-	52,643	-	-	-	-
TOTAL CONSERVATION & EDUCATION	$ 807,352	803,184	868,140	1,068,342	1,132,784	6.0

Pro Rata

EXPENDITURES BY CATEGORY						
Compensation	$ 120,728	111,538	133,149	16,648	16,994	2.1
Benefits	67,623	62,038	68,263	9,062	8,761	(3.3)
Supplies	1,039	1,286	1,047	900	-	(100.0)
Maintenance	-	-	-	-	-	-
Professional Services/Training	281	5	33	-	-	-
Other Charges	-	-	204	-	-	-
Scheduled Charges	307	-	-	-	1,835	-
Capital Outlay/Reimbursements	87,693	76,257	92,837	90,000	90,000	-
TOTAL PRO RATA	$ 277,671	251,124	295,533	116,610	117,590	0.8

Engineering

EXPENDITURES BY CATEGORY						
Compensation	$ 405,426	450,827	558,569	730,987	703,761	(3.7)
Benefits	185,790	219,587	256,431	344,375	341,098	(1.0)
Supplies	38,396	32,001	33,240	33,072	35,138	6.2
Maintenance	12,823	15,019	16,695	20,863	18,800	(9.9)
Professional Services/Training	11,626	16,820	14,243	15,720	14,445	(8.1)
Other Charges	10,745	15,132	16,790	9,287	9,362	0.8
Scheduled Charges	224,292	118,201	151,639	159,138	119,889	(24.7)
Capital Outlay/Reimbursements	3,735	-	-	9,000	-	(100.0)
TOTAL ENGINEERING	$ 892,833	867,588	1,047,608	1,322,442	1,242,494	(6.0)

Water Meter & Customer Service

EXPENDITURES BY CATEGORY						
Compensation	$ 682,205	740,079	763,768	884,158	878,250	(0.7)
Benefits	398,323	426,466	444,034	508,102	531,955	4.7
Supplies	114,724	117,075	128,463	132,343	126,700	(4.3)
Maintenance	313,122	298,101	293,902	346,030	340,710	(1.5)
Professional Services/Training	13,011	12,552	11,830	13,320	12,880	(3.3)
Other Charges	19,415	898	9,561	-	360	-
Scheduled Charges	149,892	164,986	178,792	207,153	192,359	(7.1)
Capital Outlay/Reimbursements	8,380	386	72,873	-	-	-
TOTAL WATER METER & CUSTOMER SEF	$ 1,699,072	1,760,542	1,903,222	2,091,106	2,083,213	(0.4)

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Water Utility - Department Expenditures

Equipment Maintenance

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 106,044	117,100	115,298	129,535	122,538	(5.4)
Benefits	55,705	67,971	72,930	79,341	79,287	(0.1)
Supplies	21,663	25,415	22,139	29,605	32,981	11.4
Maintenance	12,467	9,822	13,559	16,311	12,760	(21.8)
Professional Services/Training	1,133	350	443	970	970	-
Other Charges	-	-	371	-	375	-
Scheduled Charges	31,705	34,271	33,167	42,326	60,691	43.4
Capital Outlay/Reimbursements	-	794	-	-	-	-
TOTAL EQUIPMENT MAINTENANCE	$ 228,716	255,722	257,907	298,088	309,601	3.9

Distribution & Maintenance

EXPENDITURES BY CATEGORY						
Compensation	$ 1,043,904	1,109,409	1,176,911	1,516,628	1,504,654	(0.8)
Benefits	611,701	642,050	639,208	902,164	909,021	0.8
Supplies	206,615	224,621	229,529	219,691	215,739	(1.8)
Maintenance	779,182	670,470	827,400	826,394	835,332	1.1
Professional Services/Training	26,335	37,955	29,581	31,650	31,650	-
Other Charges	3,150	2,033	6,720	9,681	9,681	-
Scheduled Charges	145,221	131,073	147,127	171,109	86,526	(49.4)
Capital Outlay/Reimbursements	6,350	510,480	-	-	-	-
TOTAL DISTRIBUTION & MAINTENANCE	$ 2,822,457	3,328,091	3,056,476	3,677,317	3,592,602	(2.3)

Process Control Lab Svcs.

EXPENDITURES BY CATEGORY						
Compensation	$ 303,607	287,577	304,797	313,183	310,988	(0.7)
Benefits	148,848	146,310	147,370	155,826	157,928	1.3
Supplies	51,096	50,491	59,797	60,210	58,555	(2.7)
Maintenance	14,847	20,625	4,514	20,000	15,000	(25.0)
Professional Services/Training	2,487	4,799	9,785	5,162	5,183	0.4
Other Charges	-	3,776	-	-	-	-
Scheduled Charges	21,987	23,094	20,900	21,901	35,185	60.7
Capital Outlay/Reimbursements	-	48,202	-	-	-	-
TOTAL PROCESS CONTROL LAB SVCS.	$ 542,871	584,875	547,164	576,282	582,839	1.1

Pumping & Control

EXPENDITURES BY CATEGORY						
Compensation	$ 693,966	738,446	794,063	837,210	808,875	(3.4)
Benefits	357,229	376,354	393,507	407,935	411,526	0.9
Supplies	95,467	108,816	131,469	115,741	104,613	(9.6)
Maintenance	396,455	363,391	389,552	455,671	355,450	(22.0)
Professional Services/Training	181,308	180,260	208,580	239,500	240,000	0.2
Other Charges	44	-	342	-	-	-
Scheduled Charges	1,400,853	1,644,526	1,714,454	2,229,141	2,254,898	1.2
Capital Outlay/Reimbursements	-	21,991	-	100,000	100,000	-
TOTAL PUMPING & CONTROL	$ 3,125,323	3,433,786	3,631,968	4,385,199	4,275,363	(2.5)

Treatment

EXPENDITURES BY CATEGORY						
Compensation	$ 542,517	532,461	512,861	595,786	538,512	(9.6)
Benefits	269,819	260,593	271,854	283,683	272,357	(4.0)
Supplies	489,213	470,458	477,821	661,137	650,967	(1.5)
Maintenance	217,839	137,019	329,015	333,572	230,708	(30.8)
Professional Services/Training	21,301	37,832	50,714	54,000	53,500	(0.9)
Other Charges	368	3,254	5,240	500	500	-
Scheduled Charges	5,492,913	5,775,565	5,729,910	7,177,049	7,462,406	4.0
Capital Outlay/Reimbursements	-	-	-	-	100,000	-
TOTAL TREATMENT	$ 7,033,970	7,217,182	7,377,415	9,105,728	9,308,950	2.2

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Water Utility - Department Expenditures

Production

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 354,281	383,812	348,899	431,129	428,178	(0.7)
Benefits	193,394	195,732	170,715	217,155	221,880	2.2
Supplies	114,091	102,620	99,921	115,852	134,047	15.7
Maintenance	633,053	939,696	757,182	842,884	744,431	(11.7)
Professional Services/Training	6,687	7,475	29,659	36,952	36,952	-
Other Charges	75	33	368	1,500	1,500	-
Scheduled Charges	486,137	427,976	305,503	427,315	403,685	(5.5)
Capital Outlay/Reimbursements	-	2,193	-	-	100,000	-
TOTAL PRODUCTION	$ 1,787,718	2,059,538	1,712,247	2,072,787	2,070,673	(0.1)

Reservoir

EXPENDITURES BY CATEGORY						
Compensation	$ 384,798	393,578	421,476	442,654	438,752	(0.9)
Benefits	182,422	184,764	193,199	217,832	218,944	0.5
Supplies	376,914	400,481	310,942	519,348	518,149	(0.2)
Maintenance	131,668	227,083	248,456	255,163	212,050	(16.9)
Professional Services/Training	57,563	37,805	92,820	145,308	147,113	1.2
Other Charges	23,733	75,542	70,043	84,500	72,500	(14.2)
Scheduled Charges	1,100,528	1,607,261	1,377,501	1,938,280	1,789,821	(7.7)
Capital Outlay/Reimbursements	-	9,262	-	-	30,000	-
TOTAL RESERVOIR	$ 2,257,627	2,935,776	2,714,436	3,603,085	3,427,329	(4.9)

Water Microbiology Lab

EXPENDITURES BY CATEGORY						
Compensation	$ 90,945	93,228	98,491	100,898	100,649	(0.2)
Benefits	53,959	55,841	56,077	58,868	59,343	0.8
Supplies	64,974	54,466	61,952	62,230	61,680	(0.9)
Maintenance	1,881	1,699	753	1,500	1,500	-
Professional Services/Training	2,289	3,503	4,308	3,561	3,561	-
Other Charges	25	119	117	-	100	-
Scheduled Charges	13,877	6,559	6,053	6,284	17,805	183.3
Capital Outlay/Reimbursements	-	-	-	-	-	-
TOTAL WATER MICROBIOLOGY LAB	$ 227,951	215,415	227,750	233,340	244,638	4.8

Water Taps

EXPENDITURES BY CATEGORY						
Compensation	$ 49,015	54,686	51,476	15,767	15,767	-
Benefits	28,559	30,600	27,941	8,801	8,447	(4.0)
Supplies	-	-	-	-	-	-
Maintenance	-	-	-	-	-	-
Professional/Training	-	-	-	-	-	-
Other Charges	-	-	127	-	-	-
Scheduled Charges	-	-	-	-	-	-
Capital Outlay/Reimbursements	363,711	323,544	546,732	380,000	380,000	-
TOTAL WATER TAPS	$ 441,285	408,830	626,277	404,568	404,214	(0.1)

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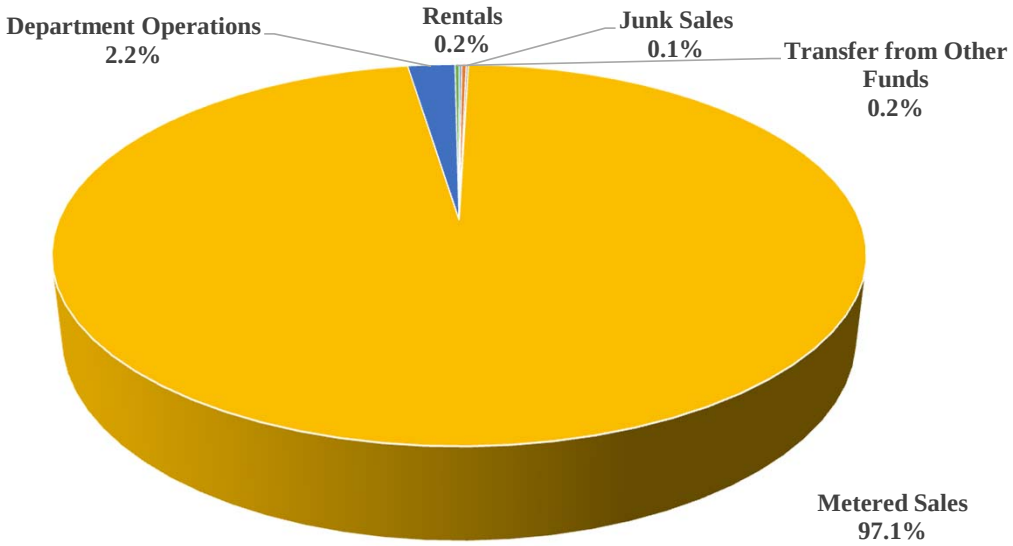
Water Fund Revenue Analysis

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17
Interest Earnings	$ 55,394	272,546	473,145	-	100,375
Rentals	177,159	179,004	185,211	179,000	179,000
Junk Sales	200,443	99,912	71,900	95,543	85,220
Metered Sales	80,284,641	77,504,474	75,706,020	77,982,886	80,604,490
Department Operations	1,772,068	2,004,586	2,170,616	1,839,711	1,855,260
Transfer from Other Funds	130,701	130,701	131,438	141,937	164,180
Total Revenue Sources	$ 82,620,406	80,191,224	78,738,330	80,239,077	82,988,525
Utilization of Net Assets	-	-	-	9,067,976	2,448,229
TOTAL FUNDING SOURCES	$ 82,620,406	80,191,224	78,738,330	89,307,053	85,436,755



Ninety-seven percent of the revenues in the Water Fund are generated by metered sales. These revenues are projected utilizing a long-term model that projects the growth in the customer base and the revenue requirement of the fund based on capital and operating expenses. The Water Fund has a five-year capital plan that is incorporated into the model to project current and future revenue needs. The model is included in this section. The factors utilized to project metered sales include, historical trends, weather, growth in population, and volume rate changes. Weather can have a major impact on water usage. In years of drought water usage increases tempered by any water restrictions in place during that time, and in rainy years water usage decreases. The effect of a major drought can be seen in FY 2012-13 on the chart above, while FY 2014-15 demonstrates a year with more than average rainfall. A change in the volume rate can generate additional revenue but also promotes conservation, so the expected increase in revenues are discounted for the conservation affect. The base rate in FY 2016-17 increased on a 0.75" meter from $16 to $18 as well as subsequent relative increases to the other meter sizes. The volume rate for all three blocks remains level with the prior year.

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Water Fund Line Item Funding Source Summary

FUNDING SOURCES	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17	% Change from Amended
Water Taps	6054	$ 353,525	319,217	535,351	350,000	325,000	(7.1)
Grant - EPA	6367	-	-	-	-	-	-
Recording Fees	6416	-	-	-	-	-	-
Municipal Settings Design	6443	1,722	-	-	-	-	-
Interest on Investments	6802	55,394	272,546	473,145	-	100,375	-
Rent - Land Rent	6917	-	-	-	-	-	-
Rent - Telecom Water Tower	6927	177,159	179,004	185,211	179,000	179,000	-
Recoveries	7011	3,290	3,748	2,437	-	-	-
Sale of Equipment Vehicle	7104	150,873	41,959	46,669	60,000	50,000	(16.7)
Sale of Junk Meters	7109	46,281	51,540	17,370	35,543	35,220	(0.9)
Junk Sales	7112	-	2,665	5,425	-	-	-
Contribution from Other	7401	-	-	-	-	-	-
Water Pro Rata	7427	321,140	541,438	496,895	250,000	300,000	20.0
Reconnect Fees	7515	467,315	451,981	338,458	400,000	400,000	-
General Consumption Metered	7523	80,284,641	77,504,474	75,706,020	77,982,886	80,604,490	3.4
General Store Sales	7576	26	7	-	-	-	-
Sale of Water Treatment	7587	410,475	404,075	396,399	410,000	410,000	-
Reimbursement from Other Cities	7588	-	-	234,171	234,171	234,171	-
Water Meter Set Fees	7609	103,207	190,805	79,726	105,000	90,000	(14.3)
Water Microbiology Laboratory Services Fee	7610	107,170	96,204	89,096	90,540	96,089	6.1
Miscellaneous Revenue	7681	7,488	861	520	-	-	-
Transfer from Special Revenue Fund	7903	130,701	130,701	131,438	141,937	164,180	15.7
Transfer from Solid Waste	7937	-	-	-	-	-	
TOTAL REVENUE SOURCES		$ 82,620,406	80,191,224	78,738,330	80,239,077	82,988,525	3.4

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Summary of Water Fee Changes

ACCOUNT DESCRIPTION	Account Number	Rate Details	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Proposed FY 2016-17	$ Change from Amended
General Consumer Metered	7523	Base Rate Charge						
		0.75"	21.00	18.00	18.00	16.00	18.00	2.00
		1.00"	35.06	30.05	30.05	26.71	30.05	3.34
		1.50"	69.90	59.91	59.91	53.26	59.91	6.66
		2.00"	112.35	96.30	96.30	85.60	96.30	10.70
		3.00"	223.96	191.97	191.97	170.64	191.97	21.33
		4.00"	349.89	299.91	299.91	266.58	299.91	33.32
		6.00"	699.59	599.65	599.65	533.02	599.65	66.63
		8.00"	1,119.38	959.47	959.47	852.86	959.47	106.61
		10.00"	1,609.28	1,379.38	1,379.38	1,226.11	1,379.38	153.26

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Special Revenue Funds Overview

Special Revenue Funds are used to account for the proceeds of specific revenue sources that are legally restricted to expenditure for specified purposes. The FY 2016-17 Operating Budget and Capital Program includes the following departments in the Special Revenue Funds Section:

- Abandoned Vehicles
- Animal Assistance Program
- Central Business District Tax Increment Financing Reinvestment Zone
- Community Development
- Criminal Investigation Fund
- Department of Justice Asset Sharing
- Economic Development
- Emergency Management
- Gateway Streets
- Hotel Occupancy Tax
- Lubbock Business Park Tax Increment Financing Reinvestment Zone
- Lubbock Economic Development Alliance, Inc.
- Municipal Court
- North and East Lubbock Neighborhood and Infrastructure Fund
- North Overton Public Improvement District
- North Overton Tax Increment Financing Reinvestment Zone
- North Point Public Improvement District
- Quincy Park Public Improvement District
- Valencia Public Improvement District
- Vintage Township Public Improvement District

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Funding Source Summary by Fund

SPECIAL REVENUE FUNDS	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Abandoned Vehicles	718,615	723,961	822,677	575,000	600,000	4.3
Animal Assistance Program	107,536	136,155	121,641	110,000	112,000	1.8
Cable Services Fund	534,462	605,641	631,344	613,311	-	(100.0)
Central Business District Tax Increment	1,335,330	728,248	977,340	1,073,811	1,162,208	8.2
Community Development	3,322,556	3,491,765	2,820,886	3,136,391	2,802,540	(10.6)
Criminal Investigation Fund	274,134	465,949	553,490	481,783	386,783	(19.7)
Department of Justice Asset Sharing	1,430	123,890	562	100,000	25,000	(75.0)
Economic Development	3,707,051	3,491,214	3,098,763	3,260,125	3,348,210	2.7
Emergency Management	415,894	457,643	458,598	496,177	434,093	(12.5)
Gateway Streets	8,294,279	8,199,853	8,429,183	8,425,728	8,311,498	(1.4)
Hotel/Motel Tax	6,147,506	6,276,688	6,823,165	6,800,000	6,879,428	1.2
Lubbock Business Park TIF	261,139	372,222	360,806	436,122	479,751	10.0
Lubbock Economic Development Alliance	5,187,512	5,387,578	5,669,778	5,774,154	5,803,025	0.5
Municipal Court	305,560	277,932	275,875	235,204	236,890	0.7
North and East Lubbock Fund	-	724,530	523,617	310,222	164,899	(46.8)
North Overton Public Improvement District	483,661	570,939	586,441	575,764	663,000	15.2
North Overton Tax Increment	3,363,314	3,934,831	4,062,224	4,148,796	4,619,339	11.3
North Point Public Improvement District	82,965	85,104	95,354	223,206	159,087	(28.7)
Quincy Park Public Improvement District	40,744	52,887	73,082	87,272	117,032	34.1
Valencia Public Improvement District	3,918	6,462	8,580	10,620	12,764	20.2
Vintage Township Public Improvement Dist.	774,607	271,613	186,432	188,548	193,179	2.5
TOTAL SPECIAL REVENUE FUNDS	35,372,965	36,405,301	36,614,639	37,087,436	36,510,725	(1.6)

Appropriation Summary by Fund

SPECIAL REVENUE FUNDS	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Abandoned Vehicles	718,615	723,961	822,677	575,000	599,860	4.3
Animal Assistance Program	81,583	102,275	72,713	110,000	110,179	0.2
Cable Services Fund	-	31,185	36,186	613,311	-	(100.0)
Central Business District Tax Increment	1,335,330	399,641	582,682	1,011,141	1,162,208	14.9
Community Development	3,322,556	3,396,057	2,820,886	3,136,391	2,802,540	(10.6)
Criminal Investigation Fund	245,016	465,949	243,186	481,783	386,783	(19.7)
Department of Justice Asset Sharing	-	-	-	100,000	25,000	(75.0)
Economic Development	3,684,582	3,491,214	3,094,790	3,260,125	3,348,210	2.7
Emergency Management	415,894	453,283	458,598	496,177	434,093	(12.5)
Gateway Streets	8,294,279	8,199,853	8,353,469	8,425,728	8,311,498	(1.4)
Hotel Occupancy Tax	5,817,987	6,276,688	6,823,165	6,800,000	6,879,428	1.2
Lubbock Business Park Tax Increment	3,292	6,584	13,909	15,766	15,769	0.0
Lubbock Economic Development Alliance, Inc.	5,187,512	5,387,578	5,669,778	5,774,154	5,803,025	0.5
Municipal Court	305,560	277,932	275,875	213,544	227,317	6.4
North and East Lubbock Fund	-	-	523,617	310,222	164,899	(46.8)
North Overton Public Improvement District	421,993	416,249	480,895	556,432	663,000	19.2
North Overton Tax Increment	3,274,494	3,246,720	3,217,169	3,267,061	3,365,738	3.0
North Point Public Improvement District	36,357	29,200	20,231	223,206	159,087	(28.7)
Quincy Park Public Improvement District	7,818	14,610	6,913	66,501	117,032	76.0
Valencia Public Improvement District	3,211	3,308	3,342	5,492	4,725	(14.0)
Vintage Township Public Improvement Dist.	774,607	271,613	178,144	188,548	193,179	2.5
TOTAL SPECIAL REVENUE FUNDS	33,930,686	33,193,901	33,698,227	35,630,584	34,773,570	(2.4)

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Position History by Fund

SPECIAL REVENUE FUNDS	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Abandoned Vehicles	-	-	-	-	-	-
Animal Assistance Program	-	-	-	-	-	-
Cable Services Fund	-	-	-	-	-	-
Central Business District Tax Increment	-	-	-	-	-	-
Community Development	16	16	14	14	13	(1)
Criminal Investigation Fund	-	-	-	-	-	-
Department of Justice Asset Sharing	-	-	-	-	-	-
Economic Development	2	-	-	-	-	-
Emergency Management	5	5	5	2	2	-
Gateway Streets	-	-	-	-	-	-
Hotel/Motel Tax	-	-	-	-	-	-
Lubbock Business Park Tax Increment	-	-	-	-	-	-
Lubbock Economic Development Alliance, Inc.	-	-	-	-	-	-
Municipal Court	2	2	2	2	2	-
North and East Lubbock Fund	-	-	-	-	-	-
North Overton Public Improvement District	-	-	-	-	-	-
North Overton Tax Increment	-	-	-	-	-	-
North Point Public Improvement District	-	-	-	-	-	-
Quincy Park Public Improvement District	-	-	-	-	-	-
Valencia Public Improvement District	-	-	-	-	-	-
Vintage Township Public Improvement District	-	-	-	-	-	-
TOTAL SPECIAL REVENUE FUNDS	25	23	21	18	17	(1)

City of Lubbock TEXAS

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Abandoned Vehicle Fund Overview

Mission and Purpose

Protect the community from vandalism and provide a clean, well-maintained appearance by removing abandoned vehicles from streets, private property, and public property. Revenues from the sale of vehicles provide funding for necessary equipment in the Police Department.

Goals and Objectives

- Comply with provisions set forth in the Texas Transportation Code, Chapter 683, for law enforcement purposes.
- Remove abandoned vehicles from streets, private property, and public property.

Accomplishments for FY 2015-16

- Stickered more than 1,200 abandoned vehicles resulting in vehicle removal by owner or tow company from streets, private property, or public property.
- Auctioned over 1,200 abandoned vehicles providing over $130,000 in revenue for law enforcement purposes.
- Completed a payment of $149,860 to the Vehicle/Equipment Debt for the Motorola contract for the patrol car digital video system.

Objectives for FY 2016-17

- Continue to beautify streets and neighborhoods by removing abandoned vehicles throughout the city limits.
- Replenish funds through the abandoned vehicle auction; these funds will be used for law enforcement purposes.
- Make the final payment of $149,860 for the Motorola digital video system contract on the Vehicle/Equipment Debt.

Revenue Overview

- Budgeted revenues increased $25,000, or 4.3 percent, for FY 2016-17.
- Revenue from sales of vehicles increased to $600,000.

Expenditure Overview

- Budgeted expenditures increased $24,860, or 4.3 percent, when compared to the FY 2015-16 Operating Budget.
- Professional Services/Training increased $24,860, or 5.8 percent due to increased utilization of towing services for abandoned vehicles.
- The transfer to the General Fund totals $149,860 for FY 2016-17. The transfer will reimburse the General for the annual Vehicle/Equipment Debt payments associated with the digital video cameras purchased in FY 2009-10. The lease payment was reduced for FY 2015-16. The final payment is included in the FY 2016-17 budget.

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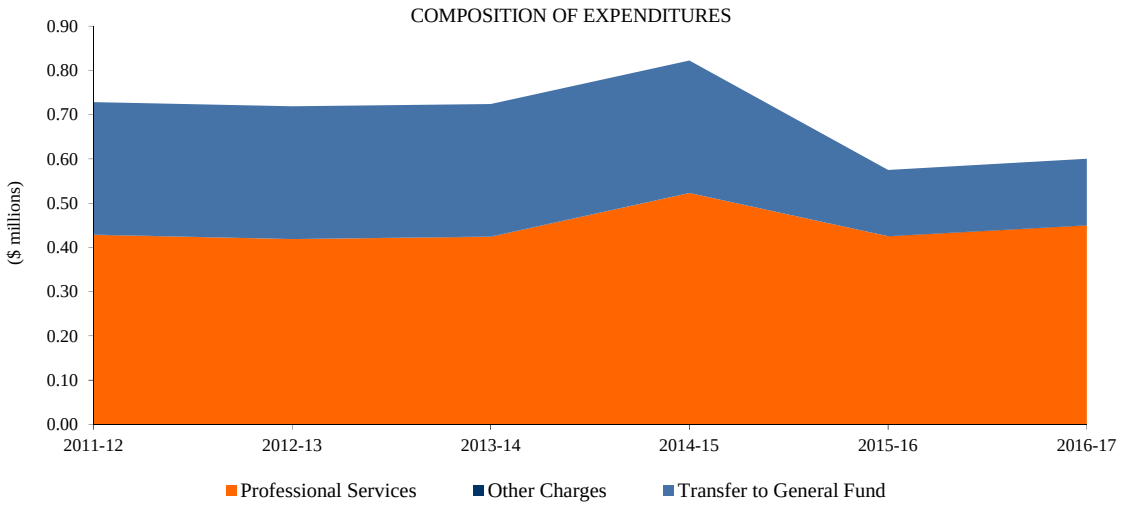
Abandoned Vehicle



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ 170	285	1,475	-	-	-
Sale of Vehicles	564,597	640,829	795,742	575,000	600,000	4.3
Total Revenue Sources	564,767	641,114	797,217	575,000	600,000	4.3
Utilization of Net Assets	153,849	82,847	25,460	-	-	-
TOTAL FUNDING SOURCES	$ 718,615	723,961	822,677	575,000	600,000	4.3

EXPENDITURES						
Professional Services	$ 418,895	423,565	522,907	425,140	450,000	5.8
Other Charges	-	676	50	-	-	-
Transfer to General Fund	299,720	299,720	299,720	149,860	149,860	-
TOTAL EXPENDITURES	$ 718,615	723,961	822,677	575,000	599,860	4.3

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Animal Assistance Program Overview

Mission and Purpose
Provide funding to qualifying low income pet owners to assist with the cost of spaying or neutering their companion dogs and cats.

Goals and Objectives
- Reduce the number of animals surrendered and euthanized yearly.

Accomplishments for FY 2015-16
- Increased the number of spay/neuter vouchers that were issued.
- Increased the number of events to provide for an increased number of spay/neuter vouchers for the public.

Objectives for FY 2016-17
- Increase the number of vouchers issued.
- Speed up the approval process for spay/neuter vouchers.
- Increase the number of events to provide for more spay/neuter vouchers to the public.

Revenue Overview
- Revenues are projected to increase $2,000, or 1.8 percent, for FY 2016-17.

Expenditure Overview
- Budgeted expenditures increased $179, or 0.2 percent, when compared to the FY 2015-16 Operating Budget.

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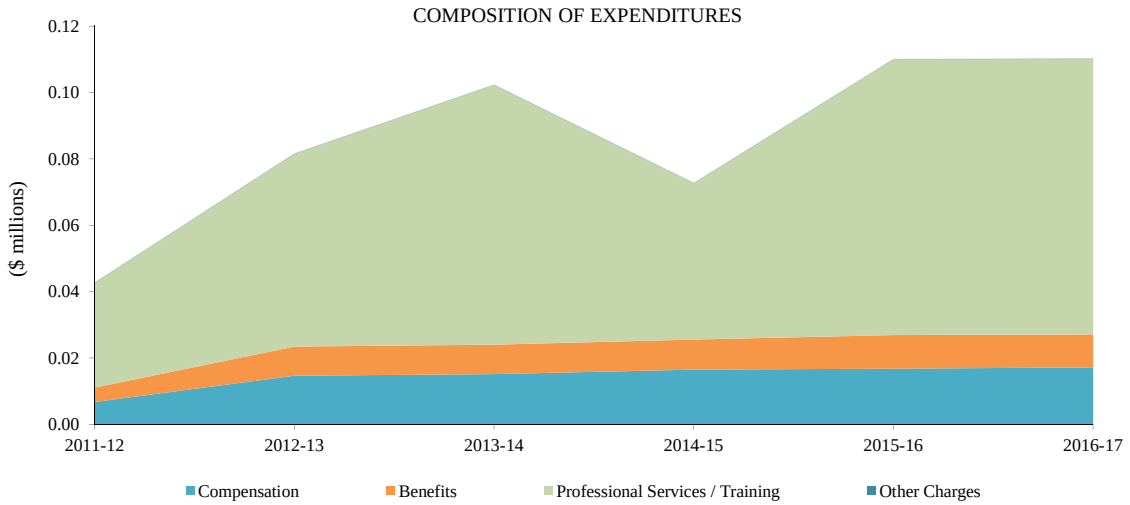
Animal Assistance Program



	Actual	Actual	Actual	Amended	Budget	% Change
FUNDING SOURCES	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Interest Earnings	$ 126	725	1,699	-	-	-
Animal Licensing and Registration	107,410	135,430	119,942	110,000	112,000	1.8
Total Revenue Sources	107,536	136,155	121,641	110,000	112,000	1.8
Utilization of Net Assets	-	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 107,536	136,155	121,641	110,000	112,000	1.8

EXPENDITURES						
Compensation	$ 14,615	15,165	16,428	16,786	17,190	2.4
Benefits	8,832	8,820	9,078	10,139	9,914	(2.2)
Professional Services / Training	58,136	78,290	47,207	83,075	83,075	-
Other Charges	-	-	-	-	-	-
TOTAL EXPENDITURES	$ 81,583	102,275	72,713	110,000	110,179	0.2

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Central Business District TIF Overview

Mission and Purpose

Promote the economic growth and revitalization of the Central Business District. The Central Business District (CBD) Tax Increment Financing Reinvestment Zone (TIF) supports downtown development.

History and Overview

The CBD has been developed for office, retail, and governmental agency uses. As in many cities, retail shopping and dining has relocated to areas outside the CBD and office development has consequently stagnated. To reverse that trend and stimulate further development downtown, in December 2001, the City established the CBD TIF. Participating taxing jurisdictions include the City of Lubbock, Lubbock County, High Plains Underground Water District, and Lubbock County Hospital District.

The CBD TIF Board of Directors created a project and finance plan to assist redevelopment. As the project has progressed, the Project and Finance Plans have been updated with actual values and the projections revised to reflect expected development. The CBD TIF Board of Directors adopted the Fourth Amended Project and Finance Plan (Plan) on September 24, 2009. The revised Plan extended the term of the CBD TIF from 20 years to 40 years. The total projected assessment value shown in the Plan at the end of the 40-year CBD TIF term increased from $198 million to $276 million, and the total increment value increased from $92 million to $170 million, compared to the 20-year Plan. Historical appraised values of the CBD TIF are:

	Value	% Change
2001	105,858,251	
2002	106,282,263	0.4
2003	114,147,891	7.4
2004	116,851,419	2.4
2005	128,228,159	9.7
2006	137,773,996	7.4
2007	161,582,451	17.3
2008	165,812,393	2.6
2009	172,290,452	3.9
2010	171,041,421	(0.7)
2011	171,587,238	0.3
2012	171,822,804	0.1
2013	183,968,425	7.2
2014	191,145,323	3.9
2015	202,885,256	6.1

The Revitalization Action Plan (Action Plan) for Downtown Lubbock was completed in FY 2007-08 by the Downtown Redevelopment Commission. The Action Plan was approved by the CBD TIF Board of Directors and the City Council. The Action Plan created seven broad land-use districts in the downtown area and dispersed catalytic projects and supporting elements throughout these districts in a manner that best complemented existing key structures. The districts included: Arts and Convention District, CBD, Depot Entertainment District, Residential District, Gateway Retail District, Destination Retail District, and Transition District. The Action Plan addressed implementation of the plan, economic development, policy and land use, urban design, and transportation and utilities.

The City solicited proposals in FY 2007-08 for a Master Developer to implement the Action Plan. In December 2008, the City executed a master development agreement with McDougal Companies for the redevelopment of Downtown.

During the 2009 Legislative Session, a bill was passed allowing the City to extend the life of the CBD TIF up to 20 years after the original termination date of the TIF. The bill was effective on May 23, 2009. The bill allowed the City to extend the life of the TIF so that bonds can be sold to pay for the public improvements necessary in the Downtown area. The termination date for the CBD TIF is now December 31, 2040.

The City Council has rezoned most of the Central Business District into five districts: CB-2 for downtown business uses such as commercial, office, and residential mixed use; CB-3 allows commercial but includes the ability to have a residential component; CB-4 supports the entertainment industry with a larger area and needed flexibility; CB-5 is the new Civic Center District; and CB-6 is the Arts District area.

Goals and Objectives

- Develop increased private investment within the CBD TIF to fund future public improvements.
- Align the CBD TIF Project and Finance Plan with the Downtown Redevelopment Plan.
- Increase residential and commercial development in areas in and around the CBD.
- Assist the master developer in the implementation of the Downtown Revitalization initiative.

Accomplishments for FY 2015-16

- Worked with the overhead utility companies for relocation of the utilities underground.
- Coordinated with the downtown master developer.
- Completed the construction of Phase IIIa of the Underground Utilities project.
- Began the design of Phase IIIb of the Underground Utilities project.

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Central Business District TIF Overview

Objectives for FY 2016-17

- Work with the master developer to support private development in Downtown Lubbock, and to implement the Downtown Action Plan.
- Engage an engineer to do utility planning for downtown.
- Complete the next phase of the underground utilities.

Financial Condition

Adjusting for accounts payable and other current liabilities at September 30, 2015, $870,765 is available for appropriation. Bringing that amount forward, the amount available for appropriation at September 30, 2016, is expected to be $897,831. The CBD TIF with its stable property tax revenue does not require a fund balance cushion.

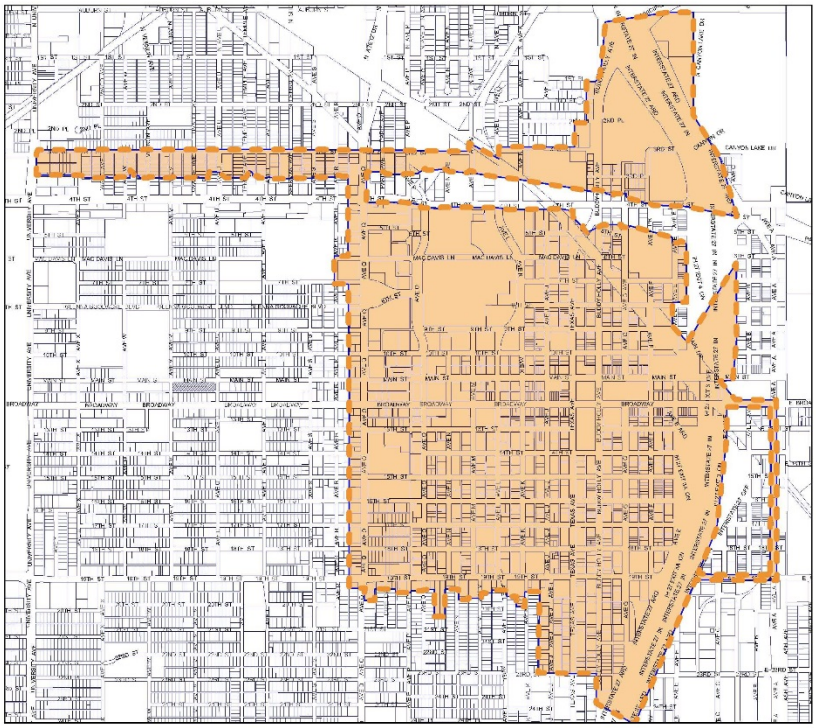
Current Assets		
Current Assets at September 30, 2015	$	896,596
Less: Accounts Payable and Accrued Liabilities		(25,831)
Net Current Assets		870,765
FY 2015-16 Budget Re-forecasted		
Operating Revenues	$	964,728
Non-Operating Revenues		111,491
Expenses		(1,049,152)
Revenue Over/(Under) Expenses		27,066
Available for Appropriation at September 30, 2016	$	897,831

Revenue Overview

- Revenues are projected to decrease $65,010, or 6.1 percent, as taxable values are expected to remain relatively flat. The total tax rate used to calculate the revenue from contributing jurisdictions in 2016 is $1.01921. The school districts do not participate.

Expenditure Overview

- Budgeted expenditures increased $151,067, or 14.9 percent, when compared to the FY 2015-16 Budget.
- Compensation and Benefits decreased $27,956, or 54.1 percent, due to reduced allocation for legal staff time spent on the CBD TIF.
- Professional Services/Training increased $237,618, or 94.1 percent, due to budgeting for engineering services in FY 2016-17.
- Transfer to TIF Capital Projects decreased by $200,000 due to no cash funded project in the FY 2016-17 budget.
- Debt Service increased $141,401, due to the additional debt issued for the underground utilities project.



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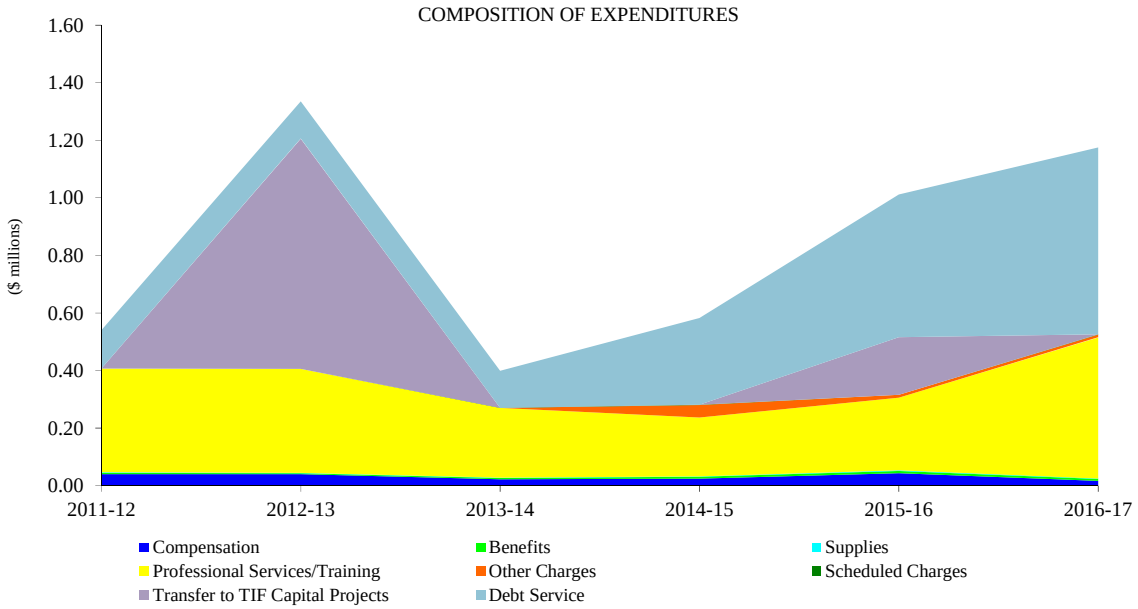
Central Business District TIF



Operating Fund

FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Property Tax	$ 627,532	727,323	792,325	964,728	965,717	0.1
Transfer N&E Lubbock Neighborhood & Infr Fun	-	-	181,132	108,491	41,225	(62.0)
Interest Earnings	146	925	3,883	592	1,859	213.8
Total Revenue Sources	627,678	728,248	977,340	1,073,811	1,008,801	(6.1)
Utilization of Net Assets	707,651	-	-	-	153,407	-
TOTAL FUNDING SOURCES	$ 1,335,330	728,248	977,340	1,073,811	1,162,208	8.2

EXPENDITURES						
Compensation	$ 39,817	22,446	24,721	43,744	16,651	(61.9)
Benefits	3,165	3,922	6,651	7,883	7,020	(10.9)
Supplies	1,244	1,286	1,047	1,650	1,678	1.7
Professional Services/Training	361,407	242,659	204,294	252,529	490,147	94.1
Other Charges	-	(26)	44,061	10,000	10,000	-
Scheduled Charges	-	617	581	49	25	(49.4)
Transfer to TIF Capital Projects	800,000	-	-	200,000	-	(100.0)
Debt Service	129,698	128,737	301,328	495,286	649,401	31.1
Less Interest Earnings on Bond Funds	-	-	-	-	(12,714)	-
TOTAL EXPENDITURES	$ 1,335,330	399,641	582,682	1,011,141	1,162,208	14.9

City of Lubbock TEXAS

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Community Development Overview

Mission and Purpose

Create positive change in the community by promoting self-sufficiency through partnerships in economic development, quality housing, and neighborhood reinvestment. Community Development (CD) provides the following services:

- Administer federal grant programs including Community Development Block Grant (CDBG), Home Investment Partnership Program (HOME), and Emergency Solutions Grant (ESG).
- Administer state grant programs including the Community Services Block Grant (CSBG) and the Comprehensive Energy Assistance Program (CEAP).
- Implement affordable housing programs.

Goals and Objectives

- Educate citizens, neighborhoods, and agencies concerning available programs, and encourage active participation in these programs.
- Develop and implement strategic planning to deal with the use of federal, state and local resources.
- Ensure safe, decent, accessible, and affordable housing for Lubbock citizens.
- Ensure revenue by managing and servicing our housing loan portfolios.

Accomplishments for FY 2015-16

- Received approval of the FY 2015-16 Action Plan and FY 2014-15 Consolidated Annual Performance and Evaluation Report by U.S Department of Housing and Urban Development (HUD).
- Expect to meet all of HUD's requirements for commitments and expenditure ratios for HOME program.
- Conducted annual technical assistance Workshop and received 23 proposals for FY 2016-17.

Objectives for FY 2016-17

- Provide decent, accessible, affordable housing for Lubbock citizens.
- Educate and inform the public concerning services and programs offered in order to encourage participation.
- Work with the Community Development and Services Board, City Management, and City Council to address housing and community needs.
- Continue to spend funds in a timely fashion.

Revenue Overview

- Federal grant entitlement amounts increased $16,149, or 0.6 percent.
  - Funding from the CDBG decreased $9,193, or 0.5 percent.
  - Funding from the HOME program increased $22,827, or 3.4 percent.
  - Funding from the ESG increased $2,515, or 1.5 percent.

Expenditure Overview

- Total expenditures decreased $333,851, or 10.6 percent, when compared with FY 2015-16.
- Department level expenditures decreased $825, or 0.1 percent.
  - Compensation and benefits are allocated between FY 2016-17 CDBG funding, prior-year grant funds, and State grants that are received and appropriated throughout the year. The portion of total compensation and benefits allocated to the FY 2016-17 CDBG decreased $3,481, or 0.5 percent.
- The CDSB recommends funding of the CDBG, HOME, and ESG. The following recommendations of the CDSB were published and a public hearing was held on June 9, 2016, to allow citizens to directly participate in the funding process:

  Community Development Block Grant

  Several new programs are receiving funding for the first time in FY 2016-17. The CDBG funding will have a direct impact on the General Fund in the following areas:
  - Citibus – Paratransit Services: No funding for the second consecutive year.
  - Parks – Summer Satellite Program: Funding for this program decreased $32,000 in FY 2016-17. This represents a total reduction in funding.
  - Indirect Costs: The transfer to the General Fund will remain unchanged at $41,000 for FY 2016-17.

  HOME Investment Partnership Program

  Funding decreased for most programs, while two programs supporting new construction will receive funding for the first time – Community Housing Resource Board New Construction and Guadalupe Economic Service New Construction Homebuyer.

  Funding has decreased for FY 2016-17 in the following areas:
  - City of Lubbock Community Development Housing – Major Rehabs: Funding decreased $283,010, or 46.2 percent.
  - North and East Lubbock Community Development Corporation – Residential Construction: Funding decreased $100,000. This is a total reduction in funding.
  - Guadalupe Economic Service – Mahon Neighborhood Revitalization: Funding decreased $85,000. This is a total reduction of funding for FY 2016-17.
  - Administrative Costs – Program Funds: Funding has been removed for FY 2016-17.

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Emergency Solutions Grant

Overall funding increased in FY 2016-17. Several programs which received funding for the first time in FY 2015-16 will not receive funding in FY 2016-17.

Funding increased in the following areas:

- Family Promise – Rapid Rehousing: Funding increased $16,000, or 76.2 percent.
- Salvation Army – Rapid Rehousing: Funding increased $42,131.
- Women's Protective Services – Rapid Rehousing: Funding increased $24,000, or 92.3 percent.

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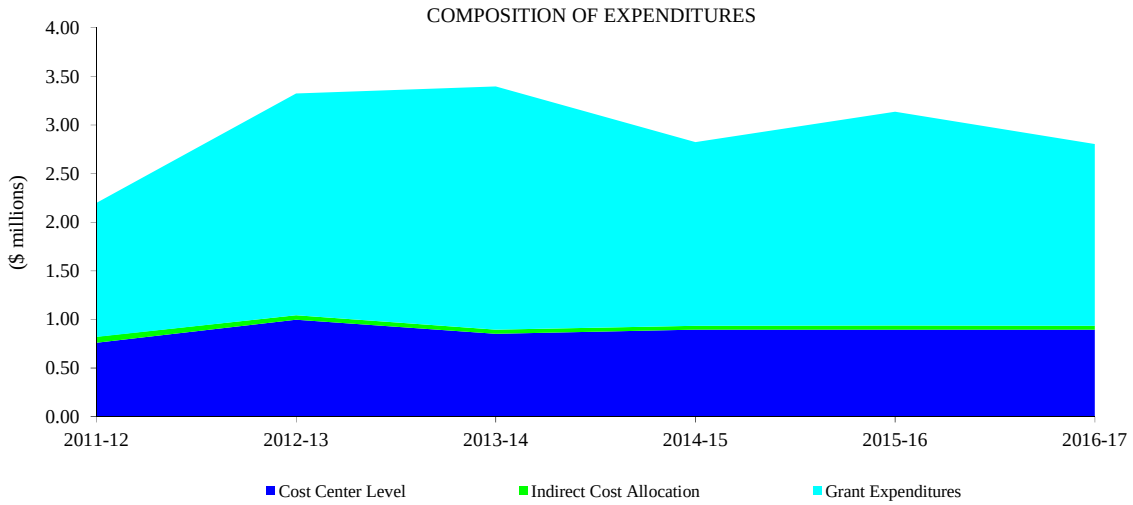
Community Development



STAFFING	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
CDBG - Administration	7	7	6	6	13	7
CDBG - Field Services	9	9	8	8	-	(8)
TOTAL STAFFING	16	16	14	14	13	(1)

PERFORMANCE MEASURES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Affordable housing new construction projects completed	2	2	2	1	4	300.0
Affordable housing rehab proj. completed	130	120	208	120	120	-
Special events/promotions	5	5	5	5	5	-
Monitoring of pass-through grants	19	17	13	17	17	-
% projects completed within budget	100	100	100	100	100	-

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Community Development - Fund Overview

CD Block Grant (CDBG)	Actual*	Actual*	Actual*	Amended	Budget	% Change
FUNDING SOURCES	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Entitlement	$ 1,996,304	2,069,185	1,926,030	1,935,068	1,925,875	(0.5)
Program Income	-	250,000	-	-	-	-
TOTAL FUNDING SOURCES	$ 1,996,304	2,319,185	1,926,030	1,935,068	1,925,875	(0.5)
DEPARTMENT LEVEL EXPENDITURES						
Compensation	$ 561,956	433,927	460,816	434,405	439,124	1.1
Benefits	241,215	207,619	198,814	222,638	214,438	(3.7)
Supplies	23,669	19,902	30,463	26,450	20,248	(23.4)
Maintenance	4,351	7,051	13,697	13,824	13,342	(3.5)
Professional Services/Training	40,580	68,228	51,450	51,450	52,450	1.9
Other Charges	1,419	5,125	18,485	18,235	18,235	-
Scheduled Charges	119,983	109,277	120,481	127,999	136,338	6.5
TOTAL DEPARTMENT LEVEL EXPENDITURES	$ 998,171	851,129	894,206	895,000	894,175	(0.1)
FUND LEVEL EXPENDITURES						
Boys & Girls Club - D-League Summer Program	$ 10,745	11,137	11,137	32,000	28,000	(12.5)
Citibus - Paratransit Services	159,174	164,985	148,392	-	-	-
City of Lubbock Codes - Property Maintenance Inspection	150,000	-	-	-	-	-
City of Lubbock ComDev - Indirect Cost	45,000	42,000	41,000	41,000	41,000	-
City of Lubbock ComDev -Contingency Fund	-	112,253	-	-	-	-
City of Lubbock ComDev Housing - Minor Rehabs	564,710	657,718	701,920	708,808	545,320	(23.1)
City of Lubbock ComDev Housing - Rehab Revolving Loan	-	250,000	-	-	-	-
City of Lubbock Parks - Summer Satellite	27,662	28,672	28,672	32,000	-	(100.0)
Communities in Schools - Champions CIS Model	8,644	8,960	15,000	34,500	30,000	(13.0)
CASA of the South Plains	-	-	-	35,000	28,000	(20.0)
Community Health Center of LBK - Dental Services	15,917	16,504	20,000	40,000	35,000	(12.5)
Early Head Start - Child Care Services	7,959	8,249	-	-	-	-
WorkSource - Direct Child Care Assistance	20,421	21,167	-	-	30,000	-
YWCA - Special Needs Challenger ED	13,732	14,233	14,233	30,000	30,000	-
YWCA - Child Care Services	35,185	36,470	36,470	66,000	54,880	(16.8)
YWCA - Youth Services	-	-	15,000	20,760	19,000	(8.5)
Lubbock Children's Health Clinic	-	-	-	-	8,000	-
Big Brothers & Big Sisters - Mentoring At Risk Youth	-	-	-	-	26,000	-
North & East Lubbock CDC - Regis Park	-	-	-	-	108,500	-
Roots - Gateway Sidewalk Project	-	-	-	-	12,000	-
Lil Precious Steps - Playground	-	-	-	-	11,000	-
Louise Hopkins Underwood for the Arts - Shade Structures	-	-	-	-	25,000	-
TOTAL FUND LEVEL EXPENDITURES	$ 1,059,149	1,372,348	1,031,824	1,040,068	1,031,700	(0.8)
TOTAL EXPENDITURES	$ 2,057,320	2,223,477	1,926,030	1,935,068	1,925,875	(0.5)

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Community Development - Fund Overview

HOME

FUNDING SOURCES	Actual* FY 2012-13	Actual* FY 2013-14	Actual* FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Entitlement	$ 833,391	726,944	726,758	679,643	702,470	3.4
Program Income	250,000	300,000	-	350,000	-	(100.0)
TOTAL FUNDING SOURCES	$ 1,083,391	1,026,944	726,758	1,029,643	702,470	(31.8)
EXPENDITURES						
American Dream Downpayment (ADDI)	-	-	-	-	-	-
Community Housing Resource - CHDO Operations	$ 30,554	27,545	27,545	27,000	26,500	(1.9)
Community Housing Resource - Lease/Purchase XI	125,009	109,041	-	-	-	-
Community Housing Resource - Elderly/Handicapped Rental	-	-	109,014	101,946	-	(100.0)
Community Housing Resource - New Construction	-	-	-	-	106,000	-
City of Lubbock ComDev Housing - Major Rehabs	819,489	787,664	517,524	612,733	329,723	(46.2)
Guadalupe Economic Service - Mahon Revitalization	-	-	-	85,000	-	(100.0)
Guadalupe Economic Service - New Construction Homebuyer	-	-	-	-	170,000	-
N&E Lubbock CDC - Residential Construction	-	-	-	100,000	-	(100.0)
Administrative Costs - Program Funds	25,000	30,000	-	35,000	-	(100.0)
Admin./Direct Delivery (10%) - Housing Section	83,339	72,694	72,676	67,964	70,247	3.4
TOTAL EXPENDITURES	$ 1,083,391	1,026,944	726,758	1,029,643	702,470	(31.8)

Emergency Solutions Grant

FUNDING SOURCES						
Entitlement	$ 181,845	145,636	168,098	171,680	174,195	1.5
TOTAL FUNDING SOURCES	$ 181,845	145,636	168,098	171,680	174,195	1.5
EXPENDITURES						
Alcoholic Recovery Center - Operations	$ 18,000	13,489	18,000	18,000	18,000	-
BCFS Homeless Prevention	-	-	-	5,000	-	
Catholic War Veterans - Homeless Prevention	-	-	-	-	-	-
Catholic War Veterans - Essential Services	-	-	-	-	-	-
ASK House					-	-
Family Promise - Operations	15,000	11,802	-	16,000	-	(100.0)
Family Promise - Rapid Rehousing	-	16,861	-	21,000	37,000	76.2
Salvation Army - Operations	45,000	29,506	-	15,804	-	(100.0)
Salvation Army - Street Outreach	15,000	-	-	-	-	-
Salvation Army - Essential Services	-	-	-	14,000	-	(100.0)
Salvation Army - Rapid Rehousing	45,207	-	38,100	10,000	52,131	421.3
Salvation Army - Homeless Prevention	15,000	20,904	57,849	10,000	-	(100.0)
Women's Protective Services - Essential Services	15,000	12,646	-	15,000	-	(100.0)
Women's Protective Services - Rapid Rehousing	-	16,861	41,542	26,000	50,000	92.3
Women's Protective Services - Emergency Shelter Operations	-	-	-	5,000	-	(100.0)
Link Ministries	-	12,645	-	-	-	-
Administration (7.5%) - Daily Operations	13,638	10,922	12,607	12,876	13,064	1.5
HMIS Continuum of Care Reporting Software	-	-	-	3,000	4,000	33.3
TOTAL EXPENDITURES	$ 181,845	145,636	168,098	171,680	174,195	1.5
Total Revenue Sources	$ 3,261,540	3,491,765	2,820,886	3,136,391	2,802,540	(10.6)
Utilization of Net Assets	61,016	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 3,322,556	3,491,765	2,820,886	3,136,391	2,802,540	(10.6)
GRAND TOTAL EXPENDITURES	$ 3,322,556	3,396,057	2,820,886	3,136,391	2,802,540	(10.6)

* Due to grant funding extending beyond the fiscal year, budgeted amounts are provided

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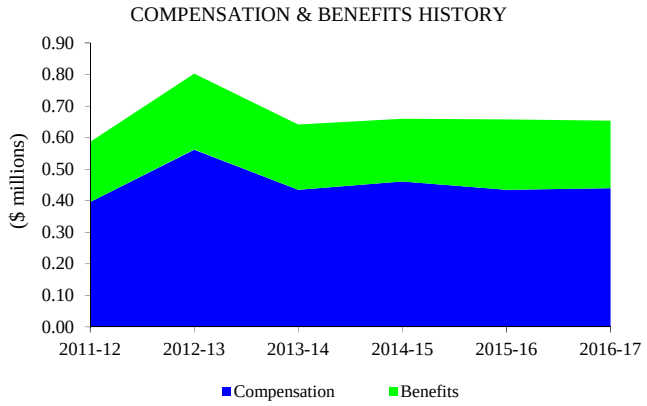
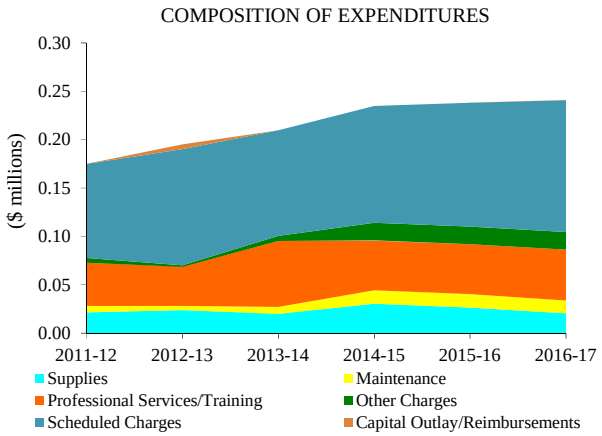
Community Development - Department Overview





EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 561,956	433,927	460,816	434,405	439,124	1.1
Benefits	241,215	207,619	198,814	222,638	214,438	(3.7)
Supplies	23,669	19,902	30,463	26,450	20,248	(23.4)
Maintenance	4,351	7,051	13,697	13,824	13,342	(3.5)
Professional Services/Training	40,580	68,228	51,450	51,450	52,450	1.9
Other Charges	1,419	5,125	18,485	18,235	18,235	-
Scheduled Charges	119,983	109,277	120,481	127,999	136,338	6.5
TOTAL EXPENDITURES BY CATEGORY	$ 998,171	851,129	894,206	895,000	894,175	(0.1)

EXPENDITURES BY DEPARTMENT	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
CDBG Administration*	$ 383,447	357,316	344,206	345,000	894,175	159.2
CDBG Field	614,724	493,814	550,000	550,000	-	(100.0)
TOTAL EXPENDITURES BY DEPARTMENT	$ 998,171	851,129	894,206	895,000	894,175	(0.1)

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Community Development - Department Expenditures

CDBG Administration*

EXPENDITURES BY CATEGORY	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 203,460	173,980	179,416	171,575	439,124	155.9
Benefits	96,124	77,294	81,256	80,588	214,438	166.1
Supplies	5,758	3,789	10,536	10,389	20,248	94.9
Maintenance	276	268	451	451	13,342	2,858.3
Professional Services/Training	32,513	60,423	28,200	34,200	52,450	53.4
Other Charges	(297)	4,342	5,250	5,000	18,235	264.7
Scheduled Charges	45,614	37,220	39,097	42,798	136,338	218.6
TOTAL CDBG ADMINISTRATION*	$ 383,447	357,316	344,206	345,000	894,175	159.2

CDBG Field

EXPENDITURES BY CATEGORY						
Compensation	$ 358,496	259,947	281,400	262,830	-	(100.0)
Benefits	145,091	130,326	117,558	142,050	-	(100.0)
Supplies	17,911	16,112	19,927	16,062	-	(100.0)
Maintenance	4,075	6,783	13,246	13,373	-	(100.0)
Professional Services/Training	8,067	7,805	23,250	17,250	-	(100.0)
Other Charges	1,716	783	13,235	13,235	-	(100.0)
Scheduled Charges	74,369	72,057	81,384	85,201	-	(100.0)
Capital Outlay/Reimbursements	5,000	-	-	-	-	-
TOTAL CDBG FIELD	$ 614,724	493,814	550,000	550,000	-	(100.0)

* Administration expenditures cannot exceed 20% of the total CDBG annual allocation.

City of Lubbock TEXAS

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Criminal Investigation Fund Overview

Mission and Purpose

Provide funding for specialized equipment, technology, and payments to confidential informants to aid in the investigation of certain criminal cases through the use of seized assets resulting from local police investigations.

Goals and Objectives

- Use funds according to the provisions of Texas Code of Criminal Procedure Chapter 59 and related federal statutes.
- Investigate criminal offenses, arrest offenders, and assist in the criminal prosecution of the offenders in a court of competent jurisdiction.

Accomplishments for FY 2015-16

- Funded construction costs for the firing range baffle.
- Purchased computer server and other equipment for wireless upload of new Mobile Video Recording system installed in patrol vehicles.

Objectives for FY 2016-17

- Fund the purchase of a new Mobile Command Trailer and two support vehicles.
- Continue to fund various equipment and projects as needed.

Revenue Overview

- Budgeted revenues remained flat at $272,000 for FY 2016-17.
- Revenue from the sale of vehicles/equipment is budgeted at $22,000; while confiscation funds remain at $250,000.
- A utilization of net assets of $114,783 is planned in FY 2016-17.

Expenditure Overview

- Budgeted expenditures decreased $95,000 when compared to the FY 2015-16 Operating Budget.
- Supplies decreased $150,000, or 46.6 percent, due to not budgeting for surveillance and undercover operations equipment and crime scene equipment needed for Police Investigations as well as rifles and specialized weapons for patrol. This year funding expansion of wireless equipment and replacement of older machines and equipment.
- Maintenance decreased $50,000, or 71.4 percent, due to not funding repair of facilities.
- Professional Services/Training decreased $10,000 due to not funding basic and specialized training classes for Investigations, Administration and Patrol.
- Other charges decreased $40,000 due to not budgeting for computer surveillance and undercover operations equipment and crime scene processing.
- Capital Outlay increased $155,000 due to funding a Mobile Emergency Response Center and two trucks that can be quickly deployed to areas where a centralized command structure is needed such as a hostage situation, major crime scene or disaster area.

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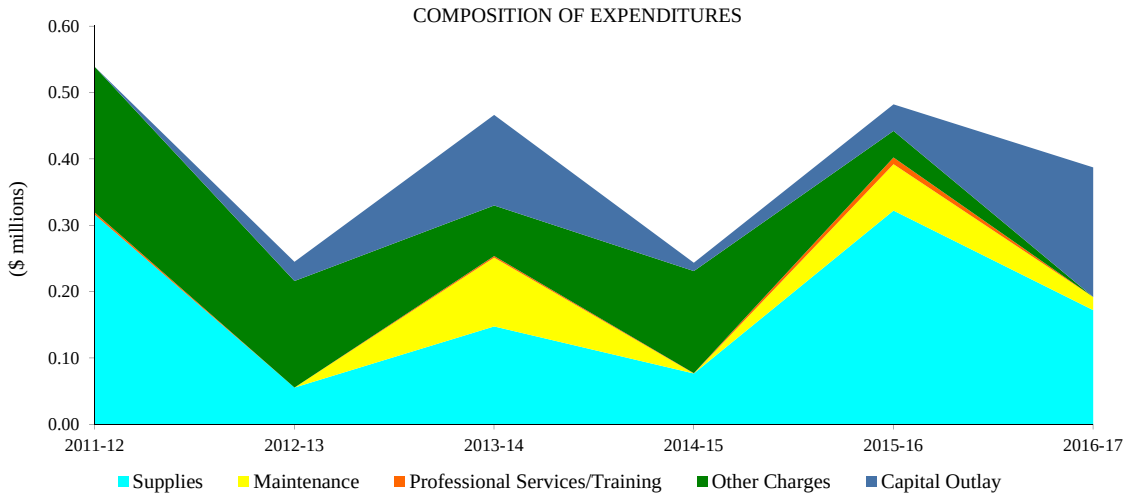
Criminal Investigation Fund



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Revenue from Sale of Equipment/Vehicles	$ 23,110	22,772	80,968	22,000	22,000	-
Confiscation Funds	250,954	245,883	467,921	250,000	250,000	-
Interest Earnings	69	1,997	4,602	-	-	-
Total Revenue Sources	274,134	270,651	553,490	272,000	272,000	-
Utilization of Net Assets	-	195,298	-	209,783	114,783	(45.3)
TOTAL FUNDING SOURCES	$ 274,134	465,949	553,490	481,783	386,783	(19.7)

EXPENDITURES						
Supplies	$ 55,307	147,339	76,751	321,783	171,783	(46.6)
Maintenance	-	103,819	-	70,000	20,000	(71.4)
Professional Services/Training	287	2,118	156	10,000	-	(100.0)
Other Charges	160,194	76,438	153,790	40,000	-	(100.0)
Capital Outlay	29,228	136,235	12,488	40,000	195,000	387.5
TOTAL EXPENDITURES	$ 245,016	465,949	243,186	481,783	386,783	(19.7)

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Department of Justice Asset Sharing Overview

Mission and Purpose

Provide funding for specialized equipment, technology, and other needs of the Police Department through the use of seized assets resulting from federal investigations.

Goals and Objectives

- Expend funds according to the provisions of Texas Code of Criminal Procedure Chapter 59 and related federal statutes.

Accomplishments for FY 2015-16

- Funding this year included supplemental funding to an in car video system and officer body cameras funded mainly through the vehicle/equipment debt financing program.

Objectives for FY 2016-17

- Fund specialized equipment and other needs for law enforcement purposes.

Revenue Overview

- Operating revenues are not budgeted as funds are not known until received through court awards after the Police Department has confiscated funds during criminal investigations. For FY 2016-17, there will be a utilization of fund balance in the amount of $25,000. The account balance in this fund at the end of the previous fiscal year was projected to be $26,447.

Expenditure Overview

- Budgeted expenditures for the fund decreased $75,000, or 75 percent. Supplies decreased $75,000 due to not budgeting for surveillance and undercover operations equipment or crime scene processing and investigations equipment for Police Investigations. This year taser cartridges, taser batteries and rifles have been budgeted for Police Patrol.

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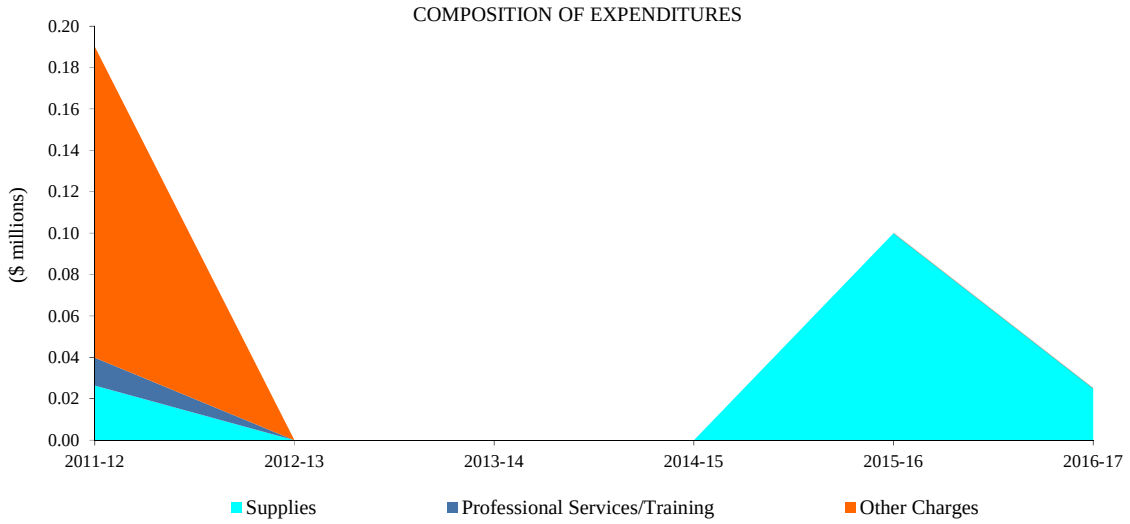
Department of Justice Asset Sharing



	Actual	Actual	Actual	Amended	Budget	% Change
FUNDING SOURCES	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Court Awards	$ 1,426	123,721	-	-	-	-
Interest Earnings	4	169	562	-	-	-
Total Revenue Sources	1,430	123,890	562	-	-	-
Utilization of Net Assets	-	-	-	100,000	25,000	(75.0)
TOTAL FUNDING SOURCES	$ 1,430	123,890	562	100,000	25,000	(75.0)

EXPENDITURES						
Supplies	$ -	-	-	100,000	25,000	(75.0)
Professional Services/Training	-	-	-	-	-	-
Other Charges	-	-	-	-	-	-
TOTAL EXPENDITURES	$ -	-	-	100,000	25,000	(75.0)

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Economic Development Overview

Mission and Purpose

Enhance and encourage economic development, job creation, and facilitate the development of new industries. The Economic Development Fund provides the following services:

- Assist Lubbock Economic Development Alliance (LEDA) in its economic development activities by providing a single point of contact for city related issues.
- Coordinate and monitor special projects such as the Downtown Redevelopment Plan.
- The majority of property tax revenues in this Fund are transferred to Market Lubbock, Inc. (MLI).

Goals and Objectives

- Assist MLI and Lubbock Economic Development Alliance (LEDA) in their recruiting efforts.
- Administer and coordinate the implementation of the Downtown Revitalization Action Plan.
- Promote economic development in the City of Lubbock.

Accomplishments for FY 2015-16

- Assisted LEDA with city related issues on business prospects.
- Prepared and updated economic and demographic information and updated on the City website.
- Worked with LEDA and businesses on the City of Lubbock Tax Abatement program.

Objectives for FY 2016-17

- Provide assistance to LEDA in its recruiting and retention efforts.
- Provide assistance to businesses as it relates to city issues.
- Participate in providing the best transit system possible to assist citizens with their transportation needs, whether it be to go to work, shopping, medical visits, or other normal day-to-day transportation requirements.

Revenue Overview

- Revenues are based on a 2.315 cent property tax allocation, estimated at $3.3 million.
- Estimated revenues, including delinquent, penalties and interest, increased $88,085, or 2.7 percent, for FY 2016-17.

Expenditure Overview

- Budgeted expenditures for the fund increased $88,085, or 2.7 percent.
- Compensation and benefits decreased by $7,752, or 21.2 percent, due to a decrease in the staff allocation.
- MLI's transfer is $2,917,699 for FY 2016-17, an increase of $85,902, or 3.0 percent, from the prior year.
- Included in the FY 2016-17 budget is a transfer to Transit of $400,000 for Citibus operations. Citibus plays an important role in the City by providing transportation for employees to get to their jobs each day.

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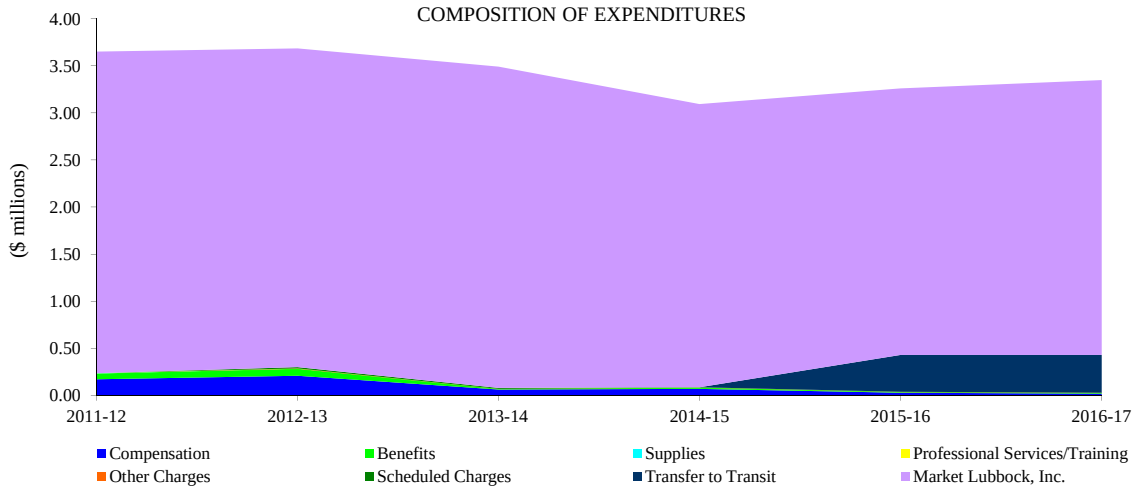
Economic Development



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Property Tax	$ 3,630,079	3,413,209	3,047,208	3,189,125	3,310,895	3.8
Delinquent Property Tax	46,077	33,016	30,061	39,000	19,958	(48.8)
Penalty & Interest - Delinquent Property Tax	30,894	26,967	21,494	32,000	17,357	(45.8)
Total Revenue Sources	3,707,051	3,473,193	3,098,763	3,260,125	3,348,210	2.7
Utilization of Net Assets	-	18,021	-	-	-	-
TOTAL FUNDING SOURCES	$ 3,707,051	3,491,214	3,098,763	3,260,125	3,348,210	2.7

EXPENDITURES						
Compensation	$ 209,397	61,921	71,042	28,395	19,060	(32.9)
Benefits	72,793	5,914	13,123	8,207	9,790	19.3
Supplies	1,495	1,303	-	-	-	-
Professional Services/Training	879	73	4	-	-	-
Other Charges	2,448	-	-	-	-	-
Scheduled Charges	13,125	9,973	1,457	846	1,304	54.1
Transfer to Transit	-	-	-	390,880	400,000	2.3
Market Lubbock, Inc.	3,384,445	3,412,029	3,009,165	2,831,797	2,917,699	3.0
Compensation Adjustment	-	-	-	-	357	-
TOTAL EXPENDITURES	$ 3,684,582	3,491,214	3,094,790	3,260,125	3,348,210	2.7

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Emergency Management Fund Overview

Mission and Purpose

The mission of the City of Lubbock Office of Emergency Management (OEM) is to plan and prepare for emergencies, educate the public about preparedness, manage limited grant funding to improve homeland security and public safety capabilities, coordinate emergency response and recovery, and work with the public and partner organizations to protect our community when it needs us the most.

We achieve our mission through maintaining an emergency management program that addresses mitigation, preparedness, response, and recovery for all hazards, in coordination with Lubbock County, the State of Texas, and federal agencies.

Emergency Management provides the following:

- Coordinate the citywide response to large-scale emergencies and disasters. Coordination includes planning, preparedness activities and the response and recovery phases of a disaster or catastrophic event.
- Maintain the Lubbock Emergency Operations Center (EOC) and the City of Lubbock Situation Room. During area-wide emergencies, the EOC and Situation Room serve as the command centers for the City of Lubbock response and recovery operations.
- Develop, maintain, and submit the City/County Emergency Management Plan for City Council and State of Texas approval.
- Recommend mutual aid agreements for adoption by the City Council and Commissioners' Court.
- Survey the availability of existing personnel, equipment, supplies, and services for use during emergencies.
- Ensure the operational emergency management organization follows the structure in the approved Emergency Management Plan.

Goals and Objectives

- Coordinate with all levels of management within the City and County to ensure an optimum level of emergency preparedness.
- Administer contracts and grants related to Emergency Management and Homeland Security.
- Provide training and response activities for the City, County, and the South Plains Association of Governments (SPAG) Region.
- Coordinate planning with community stakeholders and medical centers.

Accomplishments for FY 2015-16

- Coordinated the response and recovery to a number of citywide emergencies including the 2015 Blizzard and met the reimbursement requirements associated with the Presidential Declaration.
- Met and/or exceeded all of the deliverables required by the Emergency Management Performance Grant.
- Strengthened public safety (Police, Fire, and EMS) capabilities to respond and recover from an active shooter situation through virtual tabletop and functional training exercises.
- Coordinated with other appropriate City of Lubbock departments to enhance employee and public awareness and education related to infectious diseases.
- Initiated a systematic plan for rewriting the Lubbock Emergency Management Plan as required by state and federal legislation.
- Coordinated Homeland Security grant applications for equipment and training necessary to sustain and improve the capabilities of the following City of Lubbock specialty teams: Public Safety Dive, Hazardous Materials, and Heavy Rescue.
- Improved our ability to obtain and maintain situational awareness through technological advances in the Situation Room.
- Represented the OEM on the design and development and construction teams for the new City of Lubbock EOC.
- Provided administrative and logistical support to the South Plains Incident Management Team including all that was required for two State deployments and ongoing qualifications training.
- Enhanced our effectiveness and professional development through the successful completion of over 500 hours of required and/or specialized training for members of the South Plains Incident Management Team.
- Enhanced our effectiveness and professional development through the successful completion of over 150 hours of specialized training for the OEM staff.

Objectives for FY 2016-17

- Achieve 75 percent completion of the construction of the new City of Lubbock EOC.
- Complete the legislatively mandated rewrite of the Lubbock Emergency Management Plan and secure State approval and City Council adoption of the Plan.
- Secure the resources necessary to develop a comprehensive Texas Commission on Environmental Quality approved debris removal plan for the City of Lubbock.
- Meet and/or exceed the milestones required for the Homeland Security Emergency Management grant funding for the City of Lubbock Specialty Teams.
- Meet and/or exceed the deliverables required for the Emergency Management Performance Grant.

Revenue Overview

- The transfer from the General Fund decreased $62,084, or 12.5 percent, due to a decrease in expenditures. Grant funding will reimburse the General Fund a portion of the expenses upon receipt.

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Emergency Management Fund Overview

Expenditure Overview

- Total expenditures decreased $62,084, or 12.5 percent, when compared with the FY 2015-16 Operating Budget.
- Compensation and benefits increased $3,770, or 1.8 percent due to a 2 percent cost of living adjustment beginning in January 2017 and the increase in health insurance costs.
- Supplies decreased $1,146, or 5.1 percent, due to decreases in the price of fuel and the mail handling scheduled charge.
- Maintenance decreased $66,719, or 81.6 percent, due primarily to the scheduled charge for communication equipment decreasing significantly.
- Professional services/training increased $50, or 0.2 percent, due to an increase in professional dues.
- Scheduled charges increased $1,961, or 1.2 percent, due to an increase in the data processing scheduled charge, offset slightly by a decrease in the liability insurance charge.

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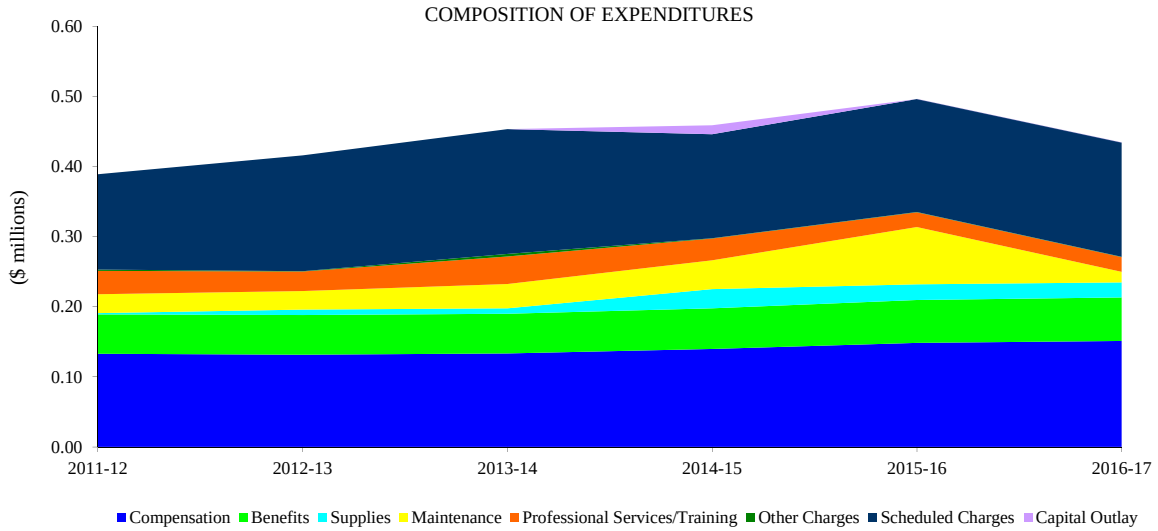
Emergency Management



	Actual	Actual	Actual	Amended	Budget	% Change
FUNDING SOURCES	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Grant	$ 117,260	101,880	78,567	-	-	-
Transfers - General Fund	294,274	355,763	380,031	496,177	434,093	(12.5)
Total Revenue Sources	411,534	457,643	458,598	496,177	434,093	(12.5)
Utilization of Net Assets	4,360	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 415,894	457,643	458,598	496,177	434,093	(12.5)

EXPENDITURES						
Compensation	$ 131,217	133,252	139,420	148,385	150,874	1.7
Benefits	56,922	56,674	58,030	61,022	62,303	2.1
Supplies	7,524	7,825	27,587	22,550	21,404	(5.1)
Maintenance	26,717	34,714	41,044	81,743	15,024	(81.6)
Professional Services/Training	27,722	39,059	30,988	20,834	20,884	0.2
Other Charges	361	3,600	361	360	360	-
Scheduled Charges	165,431	178,158	148,215	161,283	163,244	1.2
Capital Outlay	-	-	12,953	-	-	-
TOTAL EXPENDITURES	$ 415,894	453,283	458,598	496,177	434,093	(12.5)

City of Lubbock TEXAS

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Gateway Streets Overview

Mission and Purpose

In 2004, the City Council established the Gateway Streets Program. The program, funded with 40 percent of franchise fees, opens areas of the City for development through thoroughfare construction.

The City thoroughfare streets that have recently been completed as part of the Gateway program include: Erskine Street from Frankford Avenue to West Loop 289, Slide Road from West Loop 289 to Erskine Street, Slide Road from 4th Street to West Loop 289, Indiana Avenue from 103rd Street to FM 1585, Quaker Avenue from 98th Street to 114th Street, Quaker Avenue from 114th Street to 130th Street (FM 1585), and Frankford Avenue from 98th Street to 114th Street.

Other major street projects in the Gateway Fund include Erskine Street from Martin Luther King, Jr. (MLK) Boulevard to East Loop 289, Slide Road from Marshall Street to US 84, and 98th Street from University Avenue to US 87.

Accomplishments for FY 2015-16

- The In-house design for the construction of Erskine Avenue from MLK Boulevard to Loop 289 have been completed and the right-of-way and easements for the project were acquired. The project is currently under construction with the completion expected by the end of the summer 2016.
- The 98th Street widening project is under construction and is currently 60 percent complete. The project is expected to be completed by the beginning of 2017.

Objectives for FY 2016-17

- Staff expects to continue to identify future Gateway Projects and identify and work to coordinate the right-of-way acquisitions.

Revenue Overview

- Budgeted revenues decreased $164,912, or 2.1 percent, when compared to the FY 2015-16 Operating Budget.
- Anticipated franchise fee collections for Suddenlink decreased $37,791, or 4.5 percent.
- Anticipated franchise fee collections for Telecom increased $54,710, or 5.0 percent.
- Anticipated franchise fee collections for South Plains Electric Cooperative decreased $33,200, or 8.4 percent.
- Anticipated transfer from LP&L decreased $168,626, or 3.8 percent
- Anticipated franchise fee collections for Atmos Energy increased $18,208, or 2.0 percent.
- The utilization of net assets increased $50,681, or 6.9 percent, as a result of decreased revenue projections.

Expenditure Overview

- Budgeted expenditures show a decrease of $114,231 or 1.4 percent, due to a decrease in debt service.
- A transfer to debt service in the amount of $8,314,581 is planned for FY 2016-17.

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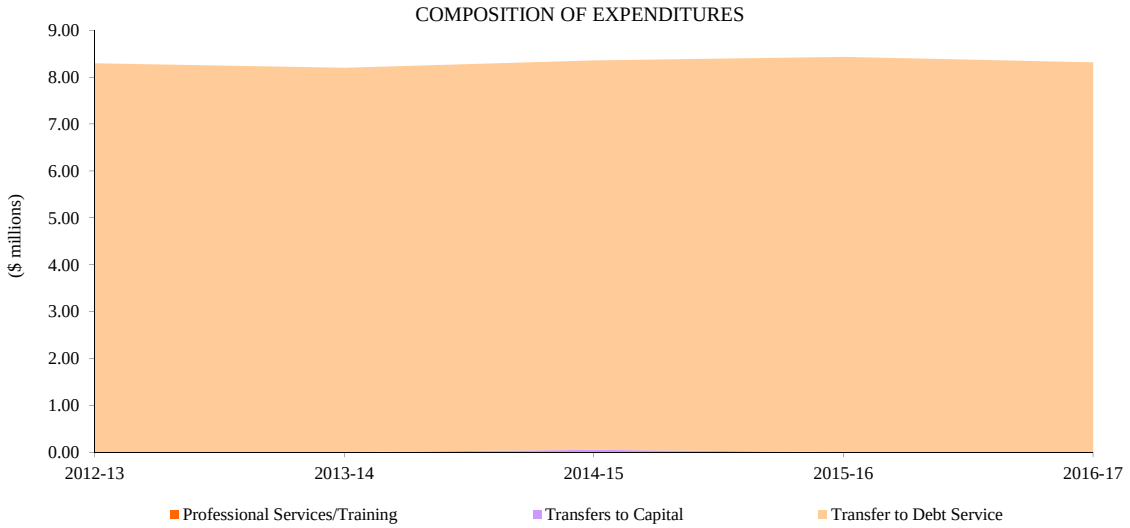
Gateway Streets



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest	$ (4,488)	21,566	50,988	-	-	-
Xcel Energy	1,075	2,038	2,950	2,000	2,000	-
Suddenlink	792,361	821,584	798,425	834,458	796,667	(4.5)
Telecom	1,364,189	1,263,964	1,555,908	1,100,000	1,154,710	5.0
West Texas Gas	2,732	3,150	4,839	2,880	4,667	62.0
NTS	13,789	6,096	-	-	-	-
South Plains Electric Cooperative	386,484	445,600	499,550	393,200	360,000	(8.4)
Transfer from LP&L	3,946,694	4,240,435	4,291,295	4,445,953	4,277,327	(3.8)
Atmos	890,141	930,570	1,225,228	910,400	928,608	2.0
Total Revenue Sources	7,392,976	7,735,004	8,429,183	7,688,891	7,523,979	(2.1)
Utilization of Net Assets	901,303	464,849	-	736,837	787,519	6.9
TOTAL FUNDING SOURCES	$ 8,294,279	8,199,853	8,429,183	8,425,728	8,311,498	(1.4)

EXPENDITURES						
Compensation	$ -	-	-	-	-	-
Benefits	-	-	-	-	-	-
Professional Services/Training	800	-	-	-	-	-
Other Charges	-	-	-	-	-	-
Scheduled Charges	-	-	-	-	-	-
Transfers to Capital	-	-	50,000	-	-	-
Transfer to Debt Service	8,293,479	8,199,853	8,303,469	8,429,207	8,314,581	(1.4)
Less Interest Earnings on Bond Funds	-	-	-	(3,479)	(3,083)	(11.4)
Less Build America Bonds Subsidy	-	-	-	-	-	-
Interest Earnings on Debt	-	-	-	-	-	-
TOTAL EXPENDITURES	$ 8,294,279	8,199,853	8,353,469	8,425,728	8,311,498	(1.4)

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Gateway Streets - Financial Model

Fiscal Year	Funding Sources	Operations	Annual Debt Service	Total Expenditures	Funding Over/(Under) Expenditures	Cumulative Appropriable Net Assets	Net Asset Policy Level	Net Assets Over/(Under) Policy
2017	$ 7,523,979	-	8,311,497	8,311,497	(787,518)	14,089,380	8,311,497	5,777,882
2018	7,593,422	-	8,276,100	8,276,100	(682,678)	13,406,701	8,276,100	5,130,601
2019	7,663,530	-	8,262,108	8,262,108	(598,578)	12,808,124	8,262,108	4,546,016
2020	7,734,311	-	8,213,969	8,213,969	(479,659)	12,328,465	8,213,969	4,114,496
2021	7,805,769	-	8,166,832	8,166,832	(361,063)	11,967,402	8,166,832	3,800,570
2022	7,877,913	-	8,163,821	8,163,821	(285,907)	11,681,495	8,163,821	3,517,675
2023	7,950,749	-	8,144,632	8,144,632	(193,883)	11,487,612	8,144,632	3,342,980
2024	8,024,284	-	8,133,917	8,133,917	(109,633)	11,377,979	8,133,917	3,244,063
2025	8,098,524	-	8,189,929	8,189,929	(91,405)	11,286,574	8,189,929	3,096,645
2026	8,173,476	-	7,085,354	7,085,354	1,088,122	12,374,696	7,085,354	5,289,342
2027	8,249,148	-	6,861,563	6,861,563	1,387,585	13,762,281	6,861,563	6,900,718
2028	8,325,546	-	6,805,336	6,805,336	1,520,210	15,282,491	6,805,336	8,477,156
2029	8,402,678	-	5,360,520	5,360,520	3,042,157	18,324,649	5,360,520	12,964,128
2030	8,480,550	-	3,091,108	3,091,108	5,389,442	23,714,091	3,091,108	20,622,983
2031	8,559,170	-	2,416,024	2,416,024	6,143,147	29,857,238	2,416,024	27,441,214
2032	8,638,546	-	308,725	308,725	8,329,821	38,187,059	308,725	37,878,334
2033	8,718,684	-	306,750	306,750	8,411,934	46,598,993	306,750	46,292,243
2034	8,799,593	-	309,225	309,225	8,490,368	55,089,360	309,225	54,780,135
2035	8,881,279	-	198,900	198,900	8,682,379	63,771,739	198,900	63,572,839

Assumptions

1. Franchise fees from LP&L, Suddenlink, South Plains Electric Cooperative, and Atmos are 2% of the 5% fee.
2. Telecom ROW is estimated at a no increase.
3. Bond Issuance is based on 20 year debt, with a 5% interest rate.

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Gateway Fund Line Item Funding Source Summary

FUNDING SOURCES	Account Number	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Re-Forecasted FY 2015-16	Budget FY 2016-17	% Change from Amended
Suddenlink Cable Franchise	6102	$ 792,361	821,584	798,425	795,333	796,667	0.2
Xcel Franchise	6103	1,075	2,038	2,950	2,000	2,000	-
Atmos Gas Utility Franchise	6105	890,141	930,570	1,225,228	910,400	928,608	2.0
South Plains Electric Co-op Franchise	6107	386,484	445,600	499,550	360,000	360,000	-
West Texas Gas Franchise	6110	2,732	3,150	4,839	4,667	4,667	0.0
Telecomunications Right of Way	6125	1,364,189	1,263,964	1,555,908	1,155,725	1,154,710	(0.1)
Interest on Investments	6802	(4,488)	21,566	50,988	-	-	-
NTS Franchise	6806	13,789	6,096	-	-	-	-
Transfer from Electric Revenue Fund	7918	3,946,694	4,240,435	4,291,295	4,155,861	4,277,327	2.9
TOTAL REVENUE SOURCES		$ 7,392,976	7,735,004	8,429,183	7,383,986	7,523,979	1.9

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Hotel Occupancy Tax Fund Overview

Mission and Purpose

This fund accounts for hotel occupancy tax (HOT) receipts and distributes those receipts as authorized in Chapter 351 of the Texas Tax Code and as directed by the City Council.

The FY 2016-17 Operating Budget includes the following changes to the FY 2015-16 allocation:

- Civic Center Operations and Marketing allocation will decrease from 25.27496 percent to 24.37385 percent.
- Convention and Tourism Bureau allocation will decrease from 45.1080801 percent to 38.63691 percent.
- The allocation, Transfer to TIF – Conference Center will be decreased from 8.73668 percent to 7.99771 percent.
- Buddy and Maria Elena Holly Plaza Debt Service allocation will be decreased from 1.38395 percent to 1.36185 percent.
- The allocation, Transfer to CIP – Civic Center, will be included at 7.77239 percent.
- The allocation, Transfer to CIP – Buddy Holly Center, will be increased from 1.47059 percent to 1.83155 percent.

Revenue Overview

- Budgeted HOT receipts increased $79,428, or 1.2 percent. The projected receipts are based on recent trends in actual HOT receipts.

Expenditure Overview

- Budgeted expenditures have increased $79,428, or 1.2 percent, for FY 2016-17.
- Civic Center Operations/Marketing – 24.37385 percent: Funds are used for marketing and operating costs at the City's Civic Centers. The allocation is $1,676,781 for FY 2016-17.
- Convention and Tourism Bureau – 38.63691 percent: Funds are used by Visit Lubbock to plan and implement an effective marketing program. The program promotes a positive image of the City to attract conventions, group tours, and tourism travel. The allocation is $2,657,998 for FY 2016-17.
- Visiting Participatory and Spectator Sports Marketing – 12.10454 percent: Funds are used by the Lubbock Sports Authority to market Lubbock as the Sports Capital of West Texas and Eastern New Mexico. Its goal is to maintain existing events and aggressively solicit new sports conventions, tournaments, events, and organizations that have a positive economic impact on the City. The allocation increased $9,614, or 1.2 percent, due to the increase in HOT revenues.
- Buddy and Maria Elena Holly Plaza Debt Service – 1.36185 percent: Funds are used to pay debt service on the property acquired for the Buddy and Maria Elena Holly Plaza. The allocation decreased $421 for FY 2016-17. The small decrease was due to decreasing debt service.
- North Overton TIF Conference Center – 7.99771 percent: The funds are a portion of the total projected hotel occupancy tax receipts collected from the Overton Hotel and Conference Center that will be used to pay debt service on the Conference Center portion of the development. The allocation is $550,197 for FY 2016-17. The total debt on the conference center is $8.97 million, with 11 years of debt service remaining.
- Civic Lubbock, Inc. – 5.92120 percent: Funds are used to underwrite local visual and performing arts programs. The allocation is $407,345 for FY 2016-17.
- Transfer to CIP – Buddy Holly Center – 1.83155 percent: Funds will be used for renovations at the Buddy Holly Center. The allocation is $126,000 for FY 2016-17.
- Transfer to CIP – Civic Center Renovation – 7.77239 percent: Funds will be used for renovations at the Lubbock Memorial Civic Center. The allocation is $534,696 for FY 2016-17.

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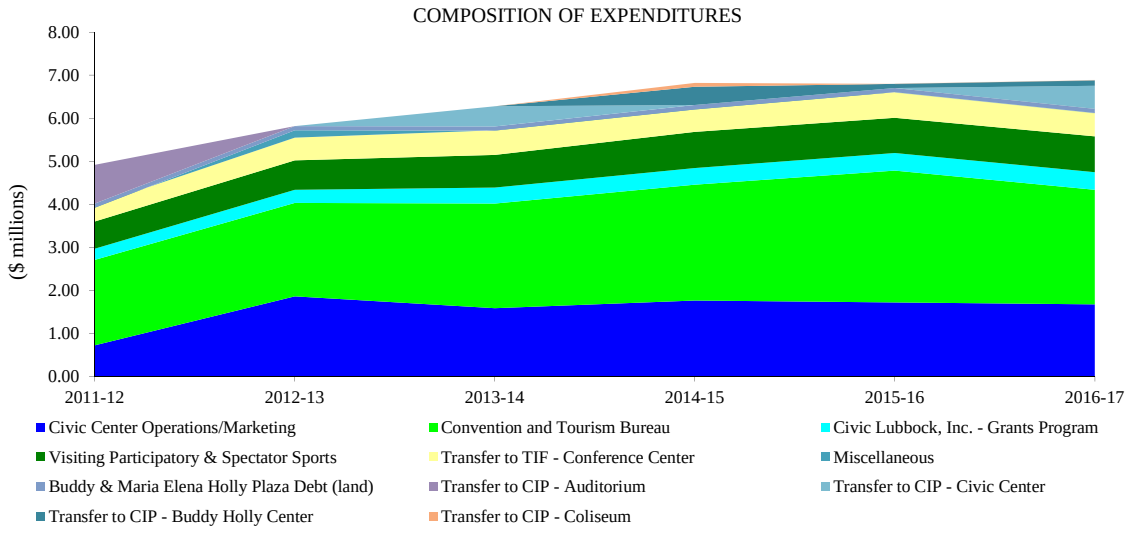
Hotel Occupancy Tax



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Hotel/Motel Tax	$ 6,147,539	6,252,188	6,769,286	6,800,000	6,879,428	1.2
Interest Earnings	(32)	(58)	(618)	-	-	-
Total Revenue Sources	6,147,506	6,252,130	6,768,668	6,800,000	6,879,428	1.2
Utilization of Net Assets	-	24,558	54,496	-	-	-
TOTAL FUNDING SOURCES	$ 6,147,506	6,276,688	6,823,165	6,800,000	6,879,428	1.2

EXPENDITURES						
Civic Center Operations/Marketing	$ 1,860,392	1,587,164	1,761,300	1,718,697	1,676,781	(2.4)
Convention and Tourism Bureau	2,170,289	2,426,239	2,692,434	3,067,349	2,657,998	(13.3)
Civic Lubbock, Inc. - Grants Program	304,637	371,827	386,062	402,642	407,345	1.2
Visiting Participatory & Spectator Sports	688,224	760,115	843,512	823,109	832,723	1.2
Transfer to TIF - Conference Center	522,547	570,922	608,820	594,094	550,197	(7.4)
Buddy & Maria Elena Holly Plaza Debt (land)	97,323	95,757	97,328	94,109	93,688	(0.4)
Transfer to CIP - Civic Center	-	467,565	-	-	534,696	-
Transfer to CIP - Buddy Holly Center	-	-	428,189	100,000	126,000	26.00
Transfer to CIP - Coliseum	-	-	91,141	-	-	-
Transfer to CIP - Auditorium	-	-	-	-	-	-
Transfer to CIP - Auditorium (Fund Balance)	-	-	-	-	-	-
Miscellaneous	174,575	(2,902)	(85,621)	-	-	-
TOTAL EXPENDITURES	$ 5,817,987	6,276,688	6,823,165	6,800,000	6,879,428	1.2

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Lubbock Business Park TIF

Mission and Purpose

Promote economic growth of the Lubbock Business Park Tax Increment Financing Reinvestment Zone (TIF).

History and Overview

Lubbock Economic Development Alliance (LEDA) continues to develop a 586 acre business park adjacent to Interstate 27 and between East Kent Street and East Ursuline Street. To further develop the business park, the City partnered with LEDA to create a TIF to assist in funding the public improvements needed to develop the park. The TIF was created on December 16, 2009, Ordinance No. 2009-O0113. Following is the market value of the TIF each year.

Year	Market Value	Taxable Value	% Change
2009	$ 410,486	410,486	
2010	15,219,253	2,498,920	508.8%
2011	37,053,082	25,888,196	936.0%
2012	44,041,389	27,441,841	6.0%
2013	45,879,585	38,390,344	39.9%
2014	48,212,761	36,319,587	-5.4%
2015	56,301,941	46,489,432	28.0%

Goals and Objectives

- Install public infrastructure to support the development of the Lubbock Business Park.

Accomplishments for FY 2015-16

- Completed and submitted the Lubbock Business Park TIF annual report to the Comptroller of Public Accounts and the other participating taxing jurisdictions.

Objectives for FY 2016-17

- Continue the installation of public infrastructure to support the development of the Lubbock Business Park.

Financial Condition

Adjusting for accounts payable and other current liabilities at September 30, 2015, $1,207,792 is available for appropriation. Bringing that amount forward, the amount available for appropriation at September 30, 2016, is expected to be $1,628,148. The Lubbock Business Park TIF with its stable property tax revenue does not require a fund balance cushion.

Current Assets at September 30, 2015	$	1,208,115
Less: Accounts Payable and Accrued Liabilities		(323)
Net Current Assets		1,207,792
FY 2015-16 Budget :		
Operating Revenues		436,122
Less: Expenses		(15,766)
Forecast Addition to Net Current Assets		420,356
Available for Appropriation at September 30, 2016	$	1,628,148

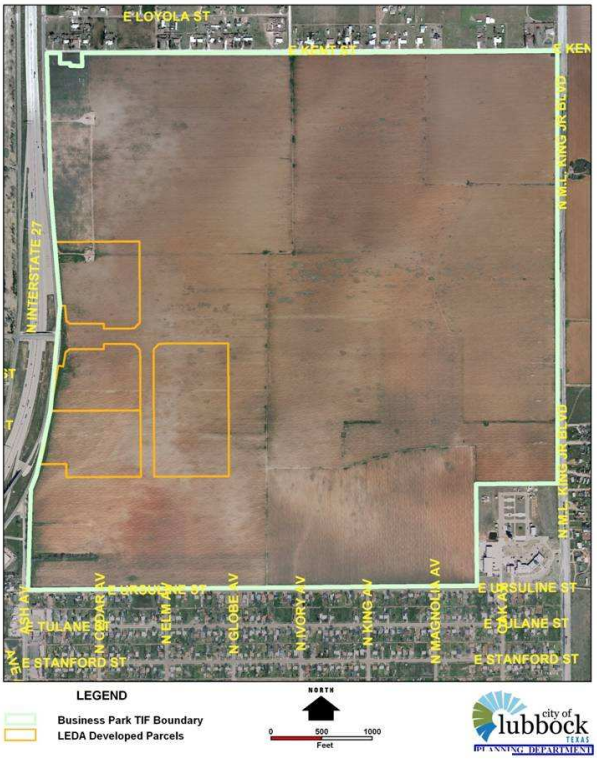
The Lubbock Business Park TIF is building a fund balance until new infrastructure is needed in Lubbock Business Park. At that time, the money in fund balance will be utilized for new public infrastructure. The Lubbock Business Park TIF has no outstanding debt.

Revenue Overview

- Property tax revenues are projected to increase $41,129, or 9.5 percent, due to increased property values from new construction. Revenues in the Lubbock Business Park TIF grow at a slower rate due to tax abatements for many of the projects located in the TIF.

Expenditure Overview

- Budgeted expenditures increased $3 when compared to FY 2015-16 operating budget.



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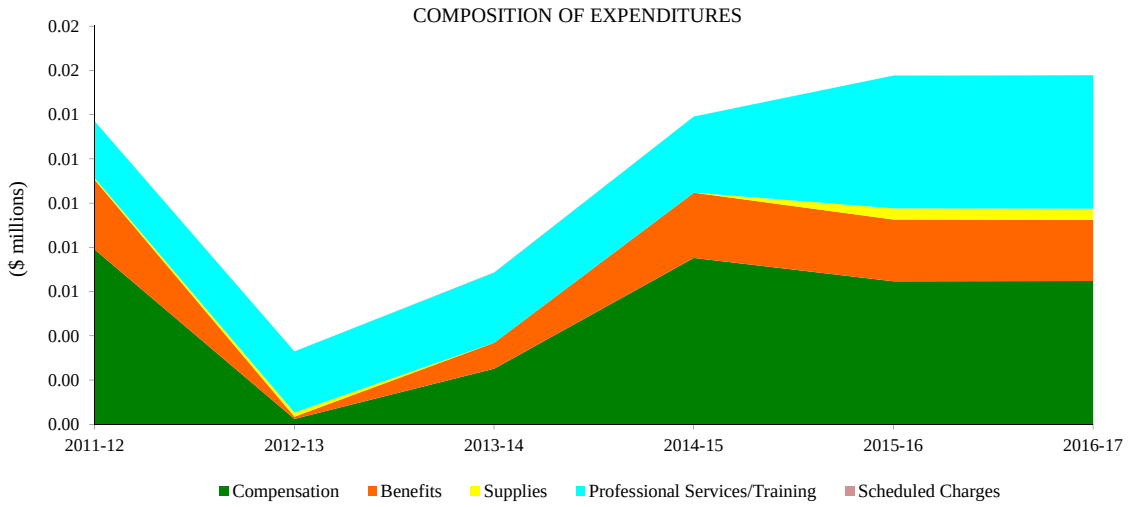
Lubbock Business Park TIF



	Actual	Actual	Actual	Amended	Budget	% Change
FUNDING SOURCES	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Property Tax	$ 260,920	370,753	354,962	433,622	474,751	9.5
Interest	219	1,468	5,844	2,500	5,000	100.0
Total Revenue Sources	261,139	372,222	360,806	436,122	479,751	10.0
Utilization of Net Assets	-	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 261,139	372,222	360,806	436,122	479,751	10.0

EXPENDITURES						
Compensation	$ 246	2,521	7,514	6,458	6,481	0.4
Benefits	98	1,168	2,956	2,800	2,763	(1.3)
Supplies	192	-	-	500	500	-
Professional Services/Training	2,757	3,163	3,434	6,000	6,000	-
Other Charges	-	(289)	-	-	-	-
Scheduled Charges	-	21	5	8	25	206.0
TOTAL EXPENDITURES	$ 3,292	6,584	13,909	15,766	15,769	0.0

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Lubbock Economic Development Alliance Overview

Mission and Purpose

- An election held on November 4, 2003, authorized the collection of one-eighth of a percent of City sales tax for economic development purposes. The revenues collected as a result of that election are deposited into this Fund, and subsequently transferred to the Lubbock Economic Development Alliance (LEDA).
- LEDA was established in FY 2004-05 for the City's economic development activities.
- LEDA programs include business recruitment, workforce development, foreign trade zone, and the bioscience initiatives.

Revenue & Expenditure Overview

- Estimated revenue and expenditures for FY 2016-17 total $5,803,025 compared to the FY 2015-16 Operating Budget.
- Estimated revenue for FY 2016-17 is projected to be 0.5 percent higher when compared to the FY 2015-16 budget.
- The LEDA operating budget is included in the components units section of the budget.

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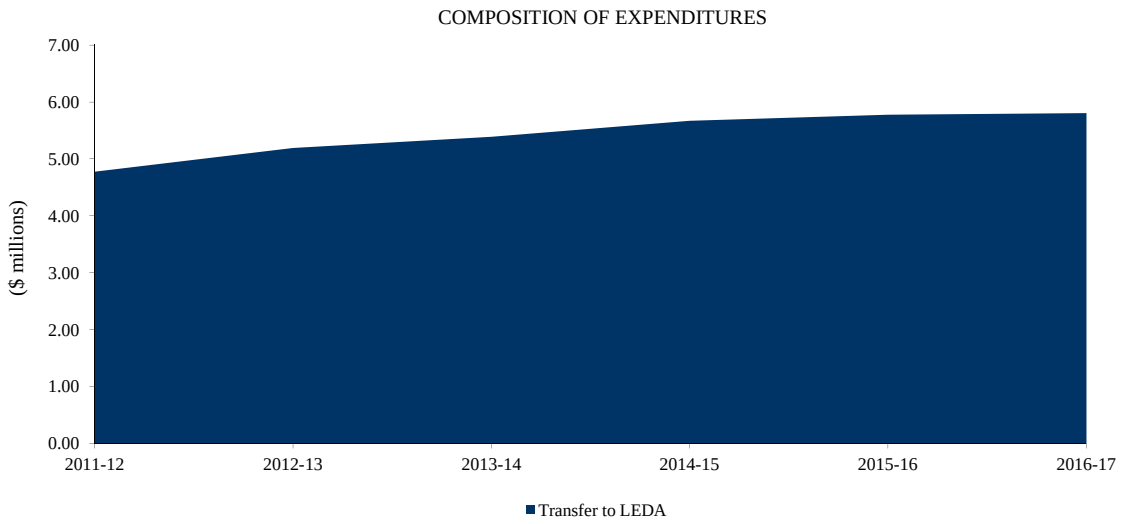
Lubbock Economic Dev. Alliance



	Actual	Actual	Actual	Amended	Budget	% Change
FUNDING SOURCES	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Sales Tax	$ 5,187,512	5,387,578	5,669,778	5,774,154	5,803,025	0.5
Total Revenue Sources	5,187,512	5,387,578	5,669,778	5,774,154	5,803,025	0.5
Utilization of Net Assets	-	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 5,187,512	5,387,578	5,669,778	5,774,154	5,803,025	0.5

EXPENDITURES						
Transfers to LEDA	$ 5,187,512	5,387,578	5,669,778	5,774,154	5,803,025	0.5
TOTAL EXPENDITURES	$ 5,187,512	5,387,578	5,669,778	5,774,154	5,803,025	0.5

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Municipal Court Fund Overview

Mission and Purpose

The Municipal Court Fund was created in FY 2004-05 to track revenue designated for Municipal Court use in Court Security, Court Improvements, and Court Technology.

Goals and Objectives

- Continue to improve court facilities and technology.
- Enhance the court management software system.
- Establish a Juvenile Case Manager system (in partnership with Lubbock County, or independently).

Accomplishments for FY 2015-16

- No projects have been carried out in the last few years due to a decline in special fund balances and curtailment of such projects due to City budgetary constraints and anticipation of relocation due to the Citizens Tower project.

Objectives for FY 2016-17

- Rebuild fund balances (technology sub-accounts) by removing monthly scheduled IT charges from the 137 fund.
- Install a paperless Court system.

Revenue Overview

- Revenues are projected to increase $1,686, or 0.7 percent, in FY 2016-17.

Expenditure Overview

- Budgeted expenditures increased $13,773, or 6.4 percent, when compared to the FY 2015-16 Operating Budget.
- Compensation and benefits increased $22,783, or 24.0 percent, due to increases in insurance costs and the change in staff allocations.
- Supplies decreased $600, or 28.6 percent, due to a decrease in uniform costs.
- Maintenance decreased $3,500, or 70.0 percent, due to a decrease in overall building maintenance costs.
- Scheduled Charges decreased $5,410, or 5.0 percent, due to a decrease in data processing charges.

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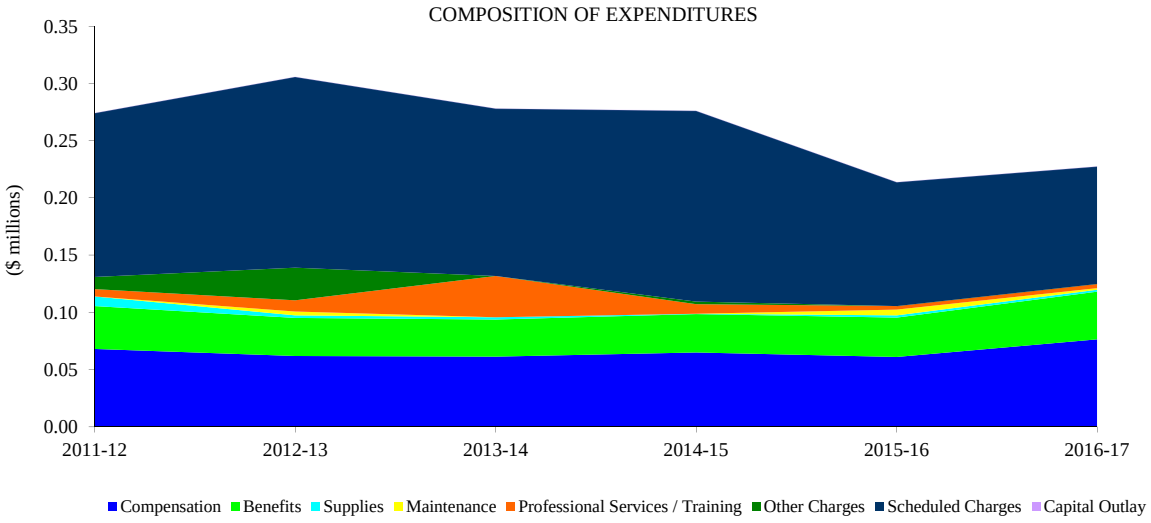
Municipal Court



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Court Security	$ 115,633	92,566	103,755	96,000	96,674	0.7
Court Improvement	17,296	51,790	10,831	15,204	11,334	(25.5)
Court Technology	153,538	123,838	138,334	124,000	128,882	3.9
Interest	134	608	1,181	-	-	-
Total Revenue Sources	286,601	268,803	254,101	235,204	236,890	0.7
Utilization of Net Assets	18,959	9,129	21,774	-	-	-
TOTAL FUNDING SOURCES	$ 305,560	277,932	275,875	235,204	236,890	0.7

EXPENDITURES						
Compensation	$ 61,806	61,250	64,883	60,998	76,464	25.4
Benefits	33,346	32,292	33,657	34,309	41,626	21.3
Supplies	2,054	2,141	168	2,100	1,500	(28.6)
Maintenance	3,556	-	-	5,000	1,500	(70.0)
Professional Services / Training	9,715	36,100	8,376	3,000	3,500	16.7
Other Charges	28,476	-	2,271	-	-	-
Scheduled Charges	166,606	146,149	166,521	108,137	102,727	(5.0)
Capital Outlay	-	-	-	-	-	-
TOTAL EXPENDITURES	$ 305,560	277,932	275,875	213,544	227,317	6.4

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North and East Lubbock Neighborhood & Infrastructure Fund Overview

Mission and Purpose

Provide funding for downtown redevelopment and neighborhood infrastructure projects, and the North and East Lubbock Community Development Corporation (CDC).

History and Overview

The City Council approved a resolution May 9, 2013 creating the North and East Lubbock Neighborhood and Infrastructure Program fund. The program will be funded with 90 percent of the oil and gas royalty revenue that historically was deposited into the General Fund.

Twenty-five percent of the revenues are allocated to Central Business District Tax Increment Finance District (CBD TIF) and seventy-five percent of the revenues are allocated to the North and East Lubbock CDC.

Goals and Objectives

- Utilize funds to assist in the redevelopment of downtown Lubbock including neighborhood projects and infrastructure improvements.
- Provide funding to the North and East Lubbock CDC to be utilized in the redevelopment of North and East Lubbock.

Accomplishments for FY 2015-16

- Funded North and East Lubbock CDC operations and special projects.
- Transferred necessary funds to the Central Business District Tax Increment Financing District (TIF) for Downtown Redevelopment initiatives.

Objectives for FY 2016-17

- Fund the operations and special projects for North and East Lubbock CDC.
- Fund special projects for Downtown Redevelopment initiatives through the Cental Business District Tax Increment Financing District (TIF).

Revenue Overview

- Revenues are expected to be $164,899 in FY 2016-17.

Expenditure Overview

- Seventy-five percent, or $123,674, is designated for the North and East Lubbock CDC and twenty-five percent, or $41,225, is designated for downtown redevelopment and will be transferred to the Central Business District TIF.

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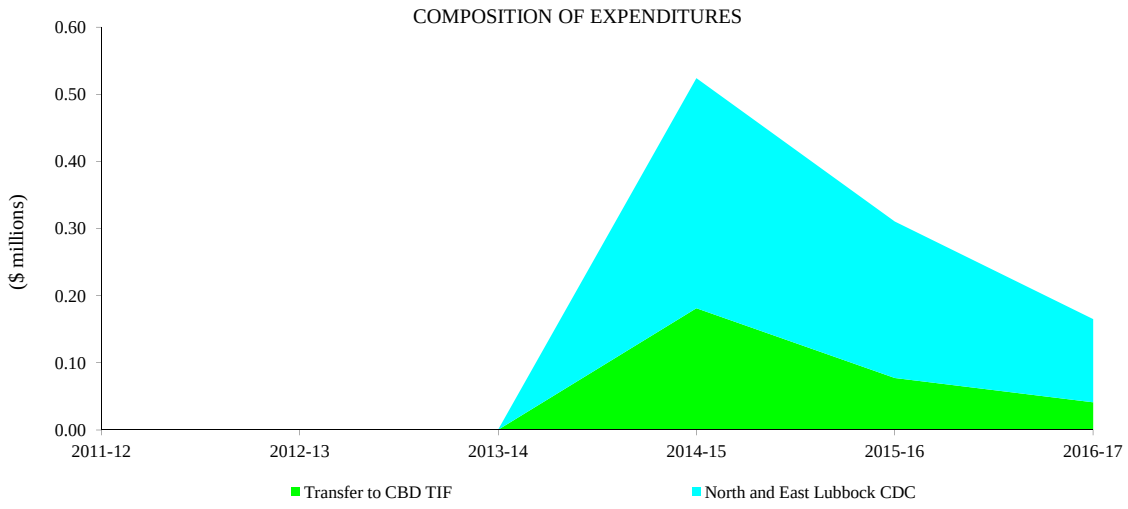
North and East Lubbock Neighborhood and Infrastructure Fund



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Oil and Gas Royalties	$ -	724,530	433,962	310,222	164,899	(46.8)
Interest	-	-	-	-	-	-
Total Revenue Sources	-	724,530	433,962	310,222	164,899	(46.8)
Utilization of Net Assets	-	-	89,655	-	-	-
TOTAL FUNDING SOURCES	$ -	724,530	523,617	310,222	164,899	(46.8)

EXPENDITURES						
Transfer to CBD TIF	$ -	-	181,132	77,556	41,225	(46.8)
North and East Lubbock CDC	-	-	342,485	232,667	123,674	(46.8)
TOTAL EXPENDITURES	$ -	-	523,617	310,222	164,899	(46.8)

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North Overton Public Improvement District Overview

Mission and Purpose

Provide security, lighting, and landscape maintenance within the boundaries of the North Overton Public Improvement District (PID). The North Overton PID provides the following services:

- Enhance and maintain landscaping and public improvements within the street right-of-way (ROW).
- Provide security.
- Fund the electric cost of lighting.

History and Overview

A PID is a defined assessment area created by the City in response to property owner petitions to provide specific types of improvements or maintenance in a given public area.

The North Overton PID was created on October 9, 2003, by Resolution of the City Council after the property owners petitioned for the creation of the PID. Each year a public hearing is held and the City Council sets the assessment for the North Overton PID.

The North Overton PID is responsible for maintaining the amenities in the ROW including the electric cost for the pedestrian lighting and the water cost for irrigation of the landscaping. The North Overton PID includes a portion of the area between Fourth Street on the North, Avenue Q to the East, Broadway Street on the South, and University Avenue to the West.

Goals and Objectives

- Maintain landscaping in the ROW.
- Provide electricity for lighting to ensure a safe pedestrian environment.
- Secure and maintain a safe environment for residents and businesses.

Accomplishments for FY 2015-16

- Supervised collection and safekeeping of the assessments.
- Maintained the common areas in the North Overton PID.
- Repaired and replaced hardscape, pedestrian lights, and plant materials as needed when damaged by vehicles and vandalism.

Objectives for FY 2016-17

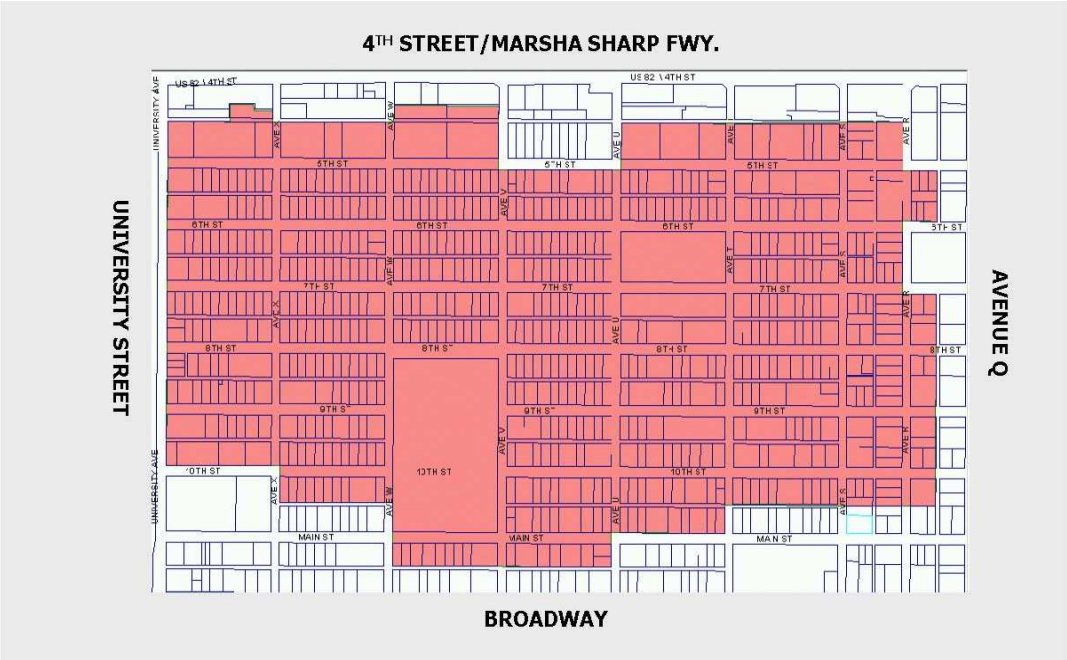
- Continue to maintain the common areas and supervise the collection and safekeeping of the assessments.

Revenue Overview

- Funding sources are projected to increase $49,396, or 8.6 percent, compared to FY 2015-16 actual revenues.
- The FY 2016-17 revenue estimate is based on an assessment rate of $0.18 per $100 valuation and an estimated property value of $346.8 million.

Expenditure Overview

- Budgeted expenditures increased $106,568, or 19.2 percent, when compared to FY 2015-16.



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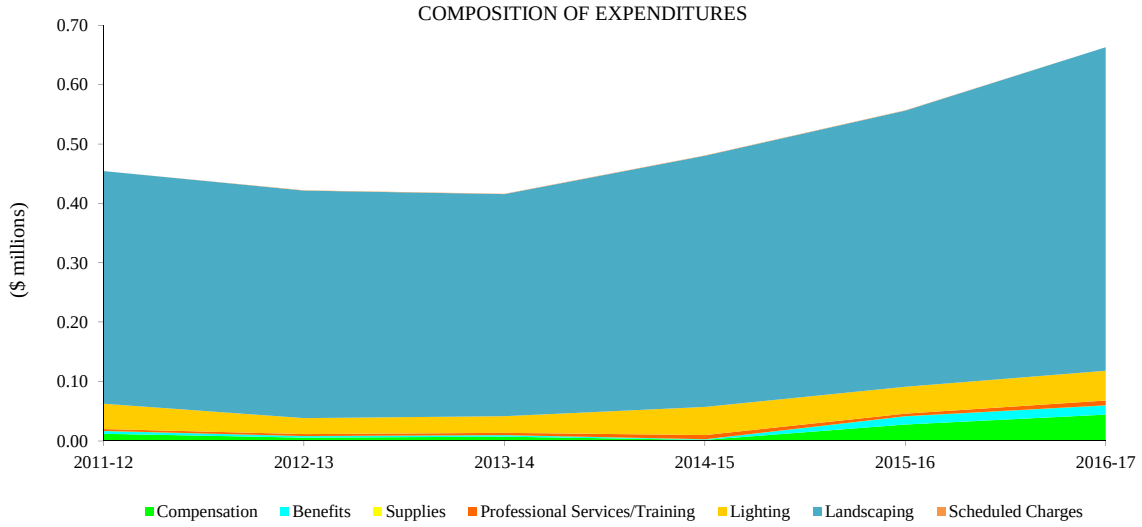
North Overton PID



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Assessments	$ 483,546	570,081	584,000	574,985	624,152	8.6
Interest	114	857	2,441	779	1,008	29.4
Total Revenue Sources	483,661	570,939	586,441	575,764	625,160	8.6
Utilization of Net Assets	-	-	-	-	37,840	-
TOTAL FUNDING SOURCES	$ 483,661	570,939	586,441	575,764	663,000	-

EXPENDITURES						
Compensation	$ 5,377	6,761	1,873	27,369	44,357	62.1
Benefits	2,615	2,928	760	13,908	15,644	12.5
Supplies	53	37	43	200	-	(100.0)
Professional Services/Training	3,193	3,553	7,141	4,600	7,799	69.5
Lighting	27,016	28,056	47,353	45,000	50,000	11.1
Landscaping	383,087	374,226	423,121	465,155	545,000	17.2
Scheduled Charges	652	688	604	200	200	0.2
TOTAL EXPENDITURES	$ 421,993	416,249	480,895	556,432	663,000	19.2

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North Overton TIF Reinvestment Zone Overview

Mission and Purpose

Promote economic growth and revitalization of the North Overton Tax Increment Financing Reinvestment Zone (TIF).

History and Overview

Overton Park is a 300+ acre revitalization project in the heart of Lubbock in a previously blighted area known as North Overton.

North Overton was established in 1907 and was developed as a middle class owner-occupied neighborhood. In 1925, Texas Tech University (formerly Texas Technological College) was established along the western boundary of the neighborhood. Following World War II, the growth of Texas Tech University stimulated a need for student housing, resulting in many non-conforming apartments, converted garages, and subdivided houses, leading to reduced home ownership in North Overton. Continued growth of Texas Tech encouraged development of apartment buildings, further eroding the stability of the area. Through the 1990s, the situation in North Overton continued to stagnate as population declined and vacancies rose. Owner occupancy of the properties was 7.3 percent compared to 51.5 percent citywide. Crime was high, many properties were in poor condition, abandoned vehicles and weeds were prevalent, and there was little to attract residents to North Overton.

A local developer approached the City to discuss plans to redevelop North Overton. The developer planned to purchase and redevelop about 90 percent of the North Overton property. The developer and other property owners submitted a petition requesting the City establish a TIF to provide the public funds for constructing public improvements. In response, the City created the North Overton TIF with participation from the City, County, High Plains Underground Water District, and Lubbock County Hospital District.

The public/private partnership provides a significantly enhanced redevelopment of the North Overton area by investing public funds in upgraded intersections, additional right-of-way (ROW) landscaping, improved street lighting, park improvements, and street and utility replacement and reconstruction. The infrastructure projects replace 70-year old utilities, provide new street lighting and signalization, upgrade an existing park, and provide enhanced (ROW) landscaping, wider sidewalks, and street furniture. The pedestrian oriented plans require street closures for development projects, student housing, a variety of housing developments, and retail to support the neighborhood. The City approved site design guidelines for the development in Overton Park to ensure the quality of the development project.

The TIF was created on March 14, 2002, Ordinance No. 2002-O0029, and will expire on December 31, 2031.

Overton Park developed faster than anticipated. It is projected that the build-out of the public/private project will occur over a ten-year period. According to the latest Project and Finance Plan for the North Overton TIF, there are planned expenditures of $40.4 million for public infrastructure improvements. To-date $41.4 million in bonds have been issued. The total project expenditures are $40.2 million through September 30, 2015. The North Overton TIF has expended an additional $11 million in grants from the CH Foundation and Moody Foundation for the Overton Conference Center. The expenditures provide public infrastructure to encourage future development and redevelopment and will increase the taxable value by approximately $347.4 million during the TIF's 30-year life. The TIF expires in 2031. Historical appraised values of the North Overton TIF are:

	Value	% Change
2003	37,690,761	
2004	47,072,971	24.9%
2005	144,869,221	207.8%
2006	185,442,083	28.0%
2007	192,302,370	3.7%
2008	209,920,452	9.2%
2009	279,910,784	33.3%
2010	305,098,516	9.0%
2011	295,309,171	-3.2%
2012	311,515,596	5.5%
2013	355,373,233	14.1%
2014	361,077,868	1.6%
2015	360,243,679	-0.2%

Goals and Objectives

- Replace and upgrade public utility infrastructure.
- Reconstruct street infrastructure.
- Construct landscaping in the parkway including, architectural and engineering services, plant material, pedestrian lighting, and street furniture.

Accomplishments for FY 2015-16

- Submitted the North Overton TIF annual report to the Comptroller of Public Accounts and the other participating taxing jurisdictions.
- Worked with Developers on the development of the remaining North Overton Properties.

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North Overton TIF Reinvestment Zone Overview

Objectives for FY 2016-17

- Continue upgrading and/or replacing public infrastructure as funding is available.

Financial Condition

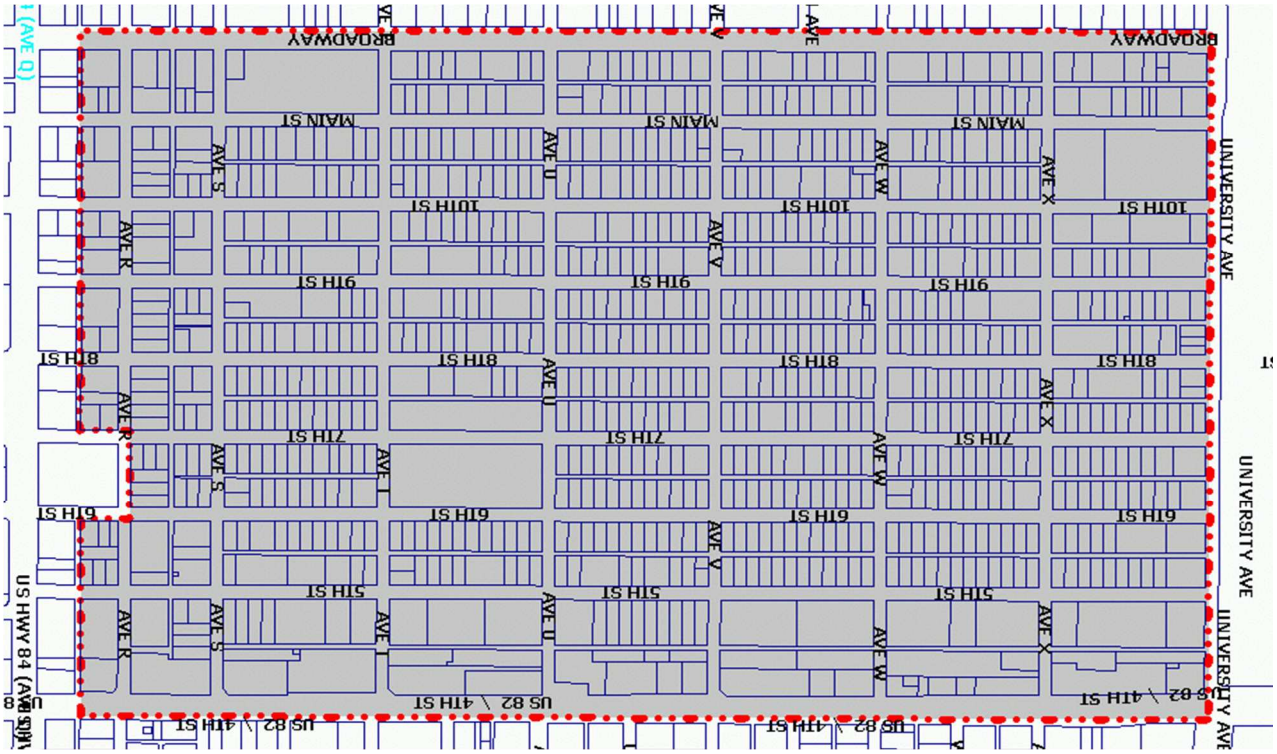
The North Overton TIF has outstanding debt of $26.6 million with annual debt service of $3.2 million through FY 2023-24, and then decrease each year until it is paid in full in FY 2029-30. Based on the preliminary 2016 taxable values, the North Overton TIF is projected to have eliminated its deficit in net assets in FY 2017. The Solid Waste fund has provided a loan each year for the interim period until the development in the North Overton TIF generates enough revenues to fund debt service and repayment of the loan. FY 2012-13 was the first year that the North Overton TIF Fund was able to pay the debt service and begin repayment of the Solid Waste loan.

Revenue Overview

- Funding sources are projected to increase $470,543 or 11.3 percent, for FY 2016-17.
- Estimated property tax revenues are projected to increase $511,636, or 15.1 percent, as compared to budgeted FY 2015-16 revenues.
- The lease amount of one percent of net room revenue is projected to increase $2,096, or 2.0 percent, due to increased occupancy at the Overton Hotel.
- The transfer from the Hotel Occupancy Tax Fund increased $43,897, or 7.4 percent, due to a decreased percent of hotel occupancy funds.

Expenditure Overview

- Budgeted expenditures increased $98,678, or 3.0 percent, compared to FY 2015-16 Operating Budget.
- Compensation and Benefits decreased $13,657, or 52.4 percent, due to decreasing amount of staff time charged to this TIF.
- Debt service increased $163,176, or 5.4 percent, due to a revision in the debt service schedule for the North Overton TIF for refunded bonds.
- Other Charges decreased $50,000 due to funding for development agreements for participation in right of way improvements for new developments in FY 2016-17 have been moved to the capital program.



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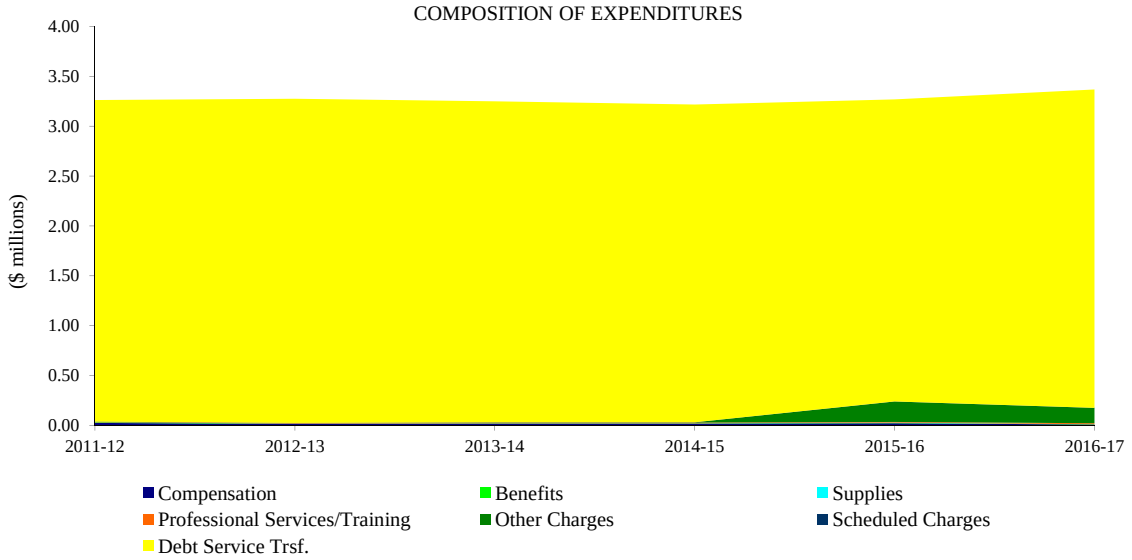
North Overton TIF



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Property Tax	$ 2,718,064	3,203,470	3,284,788	3,384,298	3,895,934	15.1
Misc. Other Revenue - 1% Hotel Revenue	88,820	95,510	103,008	104,824	106,920	2.0
Misc. Other Revenue - 65,000	-	65,000	65,527	65,580	65,580	(0.0)
Transfer from Hotel Motel Tax	522,547	570,922	608,820	594,094	550,197	(7.4)
Solid Waste Loan	33,604	-	-	-	-	-
Interest	280	(71)	81	-	707	-
Total Interfund Revenue Sources	3,363,314	3,934,831	4,062,224	4,148,796	4,619,339	11.3
Utilization of Net Assets	-	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 3,363,314	3,934,831	4,062,224	4,148,796	4,619,339	11.3

EXPENDITURES						
Compensation	$ 15,623	19,269	17,209	22,058	8,724	(60.4)
Benefits	1,302	2,449	3,698	3,993	3,670	(8.1)
Supplies	1,180	1,286	1,047	1,400	570	(59.3)
Professional Services/Training	5,347	5,258	5,901	7,000	7,000	-
Other Charges	2,454	3,814	3,163	205,500	155,500	(24.3)
Scheduled Charges	-	54	34	37	25	(32.5)
Transfer to Debt Service	3,249,097	3,214,757	3,186,321	3,027,073	3,190,249	5.4
Less Interest Earnings on Bond Funds	(510)	(167)	(202)	-	-	-
TOTAL EXPENDITURES	$ 3,274,494	3,246,720	3,217,169	3,267,061	3,365,738	3.0

City of Lubbock TEXAS

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North Point Public Improvement District Overview

Mission and Purpose

Maintain common areas and construct a hike and bike trail in the North Point Public Improvement District (PID). The North Point PID provides the following services:

- Maintenance of landscaping in the common areas.
- Construction and maintenance of a hike and bike trail.

History and Overview

A PID is a defined assessment area created by the City in response to property owner petitions to provide specific types of improvements or maintenance of a given public area.

The North Point PID was created by resolution of the City Council on October 28, 2004, after the property owners petitioned for its creation. Each year, a public hearing is held and the assessment for the North Point PID is set by the City Council.

The North Point PID is responsible for maintaining the amenities in the Slide Road right-of-way and median, neighborhood entry stations, and a hike and bike trail. The hike and bike trail will be constructed when the North Point PID has sufficient funding for that purpose.

The North Point PID generally covers a 543-acre area between Erskine Avenue and Ursuline Avenue, and between North Quaker Avenue to a line east of Frankford Avenue.

Goals and Objectives

- Provide maintenance services for the common areas in the North Point PID.

Accomplishments for FY 2015-16

- Supervised collection and safekeeping of the assessments.
- Maintained the common areas in the North Point PID.
- Awarded a new contract for holiday lighting..
- Coordinated the annual meeting of the property owners.

Objectives for FY 2016-17

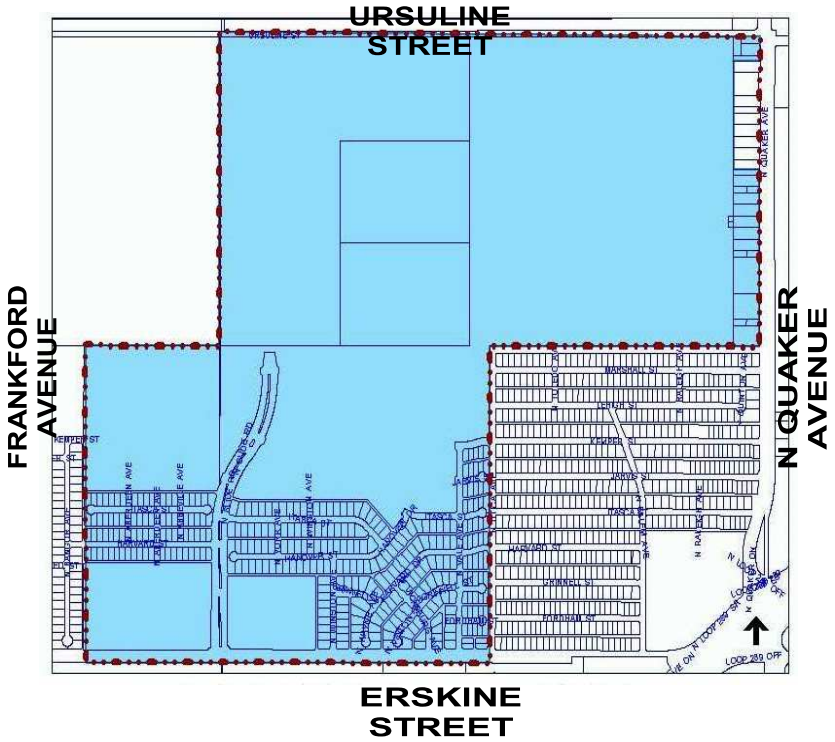
- Continue to maintain the common areas and supervise the collection and safekeeping of the assessments.
- Apprise the North Point PID Advisory Board of the progress in constructing the walking track.
- Maintain additional medians on North Slide Road.
- Improve entrance sign in the Slide Road median at Erskine.

Revenue Overview

- Estimated assessment revenues are projected to increase $24,388, or 23.1 percent, due to an increase in property values.
- The FY 2016-17 revenue estimate is based on an assessment rate of $0.14 per $100 valuation and an estimated property value of $92.5 million.

Expenditure Overview

- Budgeted expenditures decreased $64,119, or 28.7 percent, due to a reduction of capital outlay needed to complete the walking track project.



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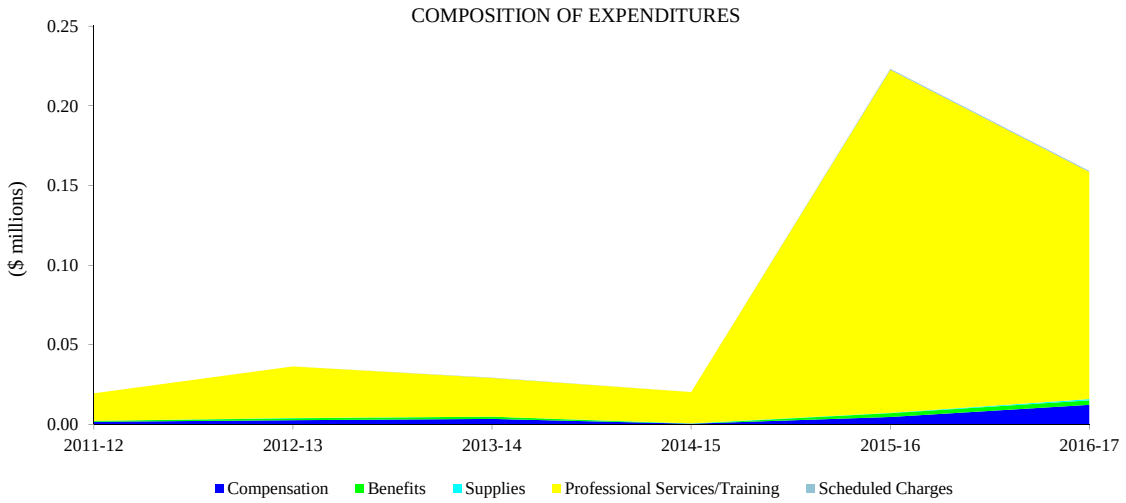
North Point PID



	Actual	Actual	Actual	Amended	Budget	% Change
FUNDING SOURCES	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Assessments	$ 82,784	84,127	92,860	105,227	129,565	23.1
Interest	181	977	2,494	476	635	33.4
Total Revenue Sources	82,965	85,104	95,354	105,703	130,200	23.2
Utilization of Net Assets	-	-	-	117,503	28,887	(75.4)
TOTAL FUNDING SOURCES	$ 82,965	85,104	95,354	223,206	159,087	(28.7)

EXPENDITURES						
Compensation	$ 2,585	3,381	327	4,644	12,294	164.7
Benefits	1,269	1,464	136	2,351	2,707	15.1
Supplies	170	27	118	300	839	179.7
Professional Services/Training	32,282	24,299	19,598	215,284	142,620	(33.8)
Scheduled Charges	51	30	52	627	627	(0.0)
TOTAL EXPENDITURES	$ 36,357	29,200	20,231	223,206	159,087	(28.7)

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Quincy Park Public Improvement District Overview

Mission and Purpose

Construct a park, construct the 93rd Street entrance, and maintain public improvements when completed in the Quincy Park Public Improvement District (PID). The Quincy Park PID provides the following services:

- Maintenance of landscaping in the common areas.
- Construction and maintenance of the park.

History and Overview

A PID is a defined assessment area created by the City in response to property owner petitions to provide specific types of improvements or maintenance of a given public area.

The Quincy Park PID was created by resolution of the City Council on July 28, 2009, after the property owners petitioned for the creation of the Quincy Park PID. Each year, a public hearing is held and the assessment for the Quincy Park PID is set by the City Council.

The Quincy Park PID is responsible for constructing and maintaining the amenities in the 93rd Street right-of-way (ROW), median, and park.

The Quincy Park PID generally covers a 177.19 acre area bounded by portions of 88th and 89th Street on the North, Upland Avenue on the West, 98th Street on the South, and Quincy Avenue on the East.

Goals and Objectives

- Supervise collection and safekeeping of the assessments.
- Provide maintenance services for the common areas in the Quincy Park PID.

Accomplishments for FY 2015-16

- Coordinated the annual meeting of the property owners and conducted nominations and elections for two positions on the Quincy Park PID Advisory Board.
- Create specifications and negotiate a contract for the maintenance of the park and 93rd Street median.
- Purchase additional trash cans and pet waste stations for the park.
- Train new advisory board members.

Objectives for FY 2016-17

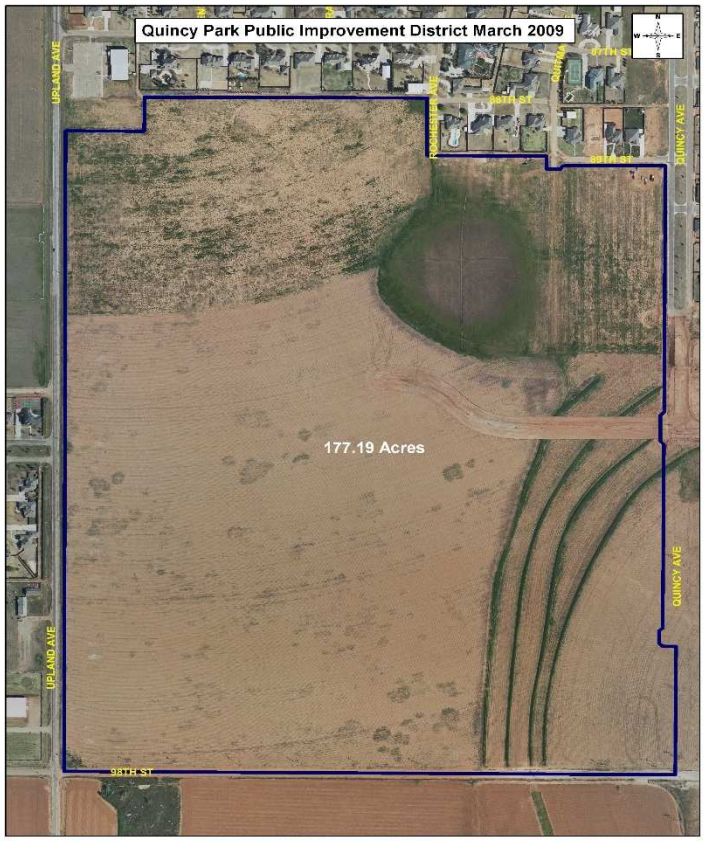
- Install park lighting and park entrance sign.
- Maintain park and 93rd Streeth medians.

Revenue Overview

- Estimated revenues are projected to increase $19,031, or 21.8 percent, due to additional construction of homes in Quincy Park.
- The FY 2015-16 estimate is based on an assessment rate of $0.15 per $100 valuation and estimated total property values of $70.5 million.

Expenditure Overview

- Budgeted expenditures increased $50,531, or 76.0 percent, based upon estimated costs for maintaining the park at 91st and Quitman and for other public improvements.



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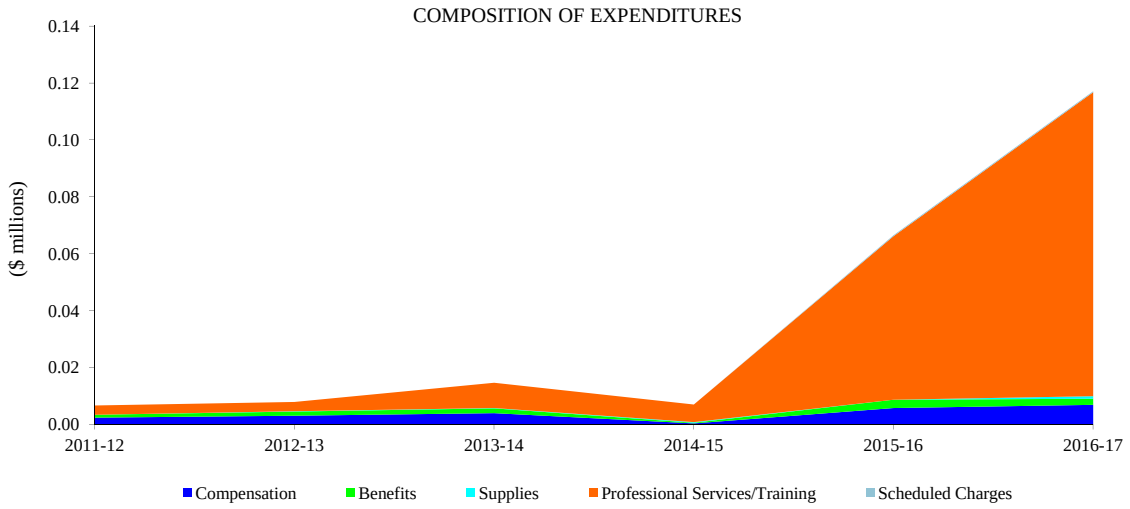
Quincy Park PID



	Actual	Actual	Actual	Amended	Budget	% Change
FUNDING SOURCES	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Assessments	$ 40,710	52,633	72,263	86,983	105,786	21.6
Interest	34	254	819	289	517	78.9
Total Revenue Sources	40,744	52,887	73,082	87,272	106,303	21.8
Utilization of Net Assets	-	-	-	-	10,729	-
TOTAL FUNDING SOURCES	$ 40,744	52,887	73,082	87,272	117,032	34.1

EXPENDITURES						
Compensation	$ 3,029	3,944	351	5,705	6,871	20.4
Benefits	1,485	1,708	143	2,897	2,161	(25.4)
Supplies	46	89	327	-	800	-
Professional Services/Training	3,253	8,859	6,080	57,557	106,858	85.7
Scheduled Charges	6	10	11	342	342	(0.0)
TOTAL EXPENDITURES	$ 7,818	14,610	6,913	66,501	117,032	76.0

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Valencia Public Improvement District Overview

Mission and Purpose

Maintain public improvements when completed in the Valencia Public Improvement District (PID). The Valencia PID provides the following services:

- Maintenance of landscaping in the common areas.
- Maintenance of the park.

History and Overview

A PID is a defined assessment area created by the City in response to property owner petitions to provide specific types of improvements or maintenance of a given public area.

The Valencia PID was created by resolution of the City Council on July 14, 2011, after the property owners petitioned for the creation of the Valencia PID. Each year, a public hearing is held and the assessment for the Valencia PID is set by the City Council.

The Valencia PID is responsible for constructing and maintaining the amenities in the 87th Street right-of-way (ROW), median, and park.

The Valencia PID generally covers an area bounded by 85th Street on the North, Milwaukee Avenue on the West, 88th Place on the South, and Iola Avenue on the East.

Goals and Objectives

- Maintain the 87th Street median and the park.

Accomplishments for FY 2015-16

- Supervised collection and safekeeping of the assessments.

Objectives for FY 2016-17

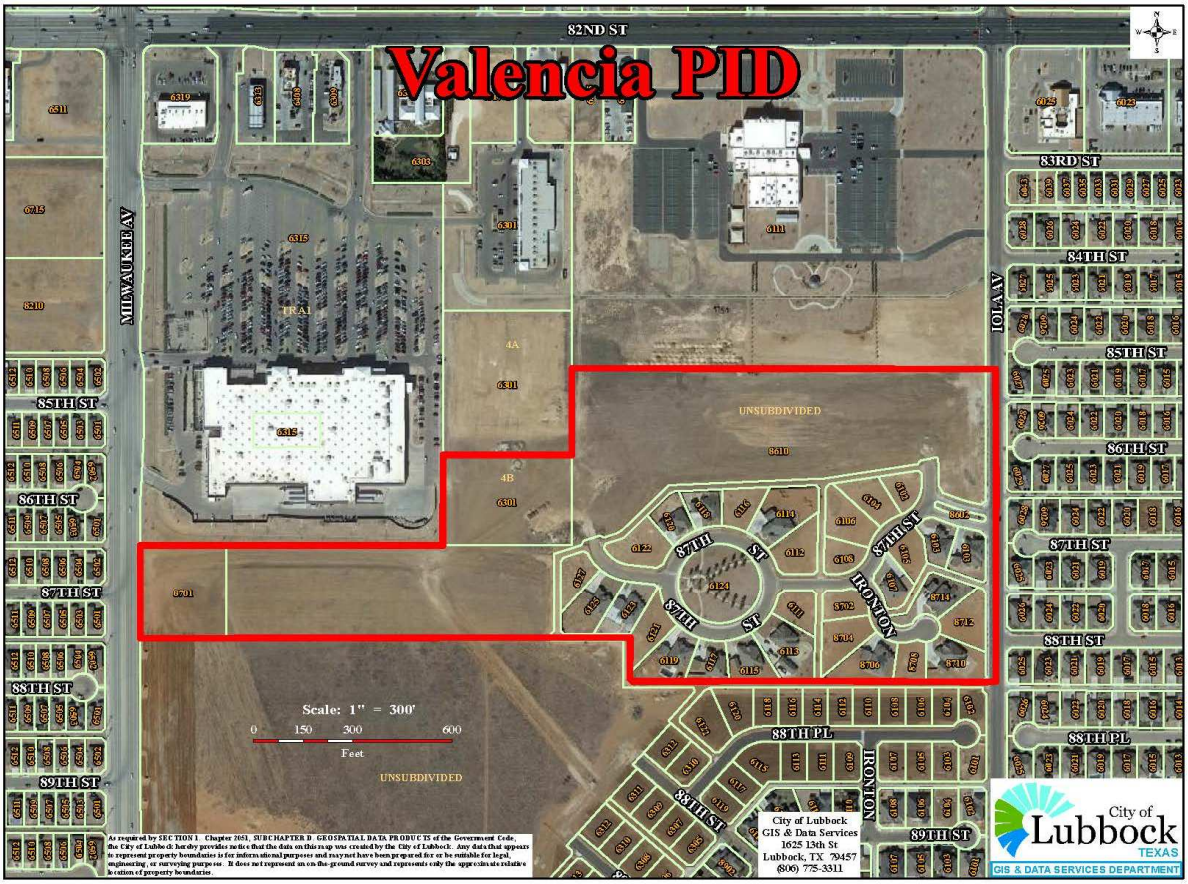
- Maintain common areas with in the PID boundary once the PID takes ownership of the 87th Street park and the 87th Street median.

Revenue Overview

- The FY 2016-17 revenue estimate is based on an assessment rate of $0.11 per $100 valuation and an estimated total property value of $11.5 million.

Expenditure Overview

- Budgeted expenditures decreased $767, or 14.0 percent, when compared to the FY 2015-16 budget.



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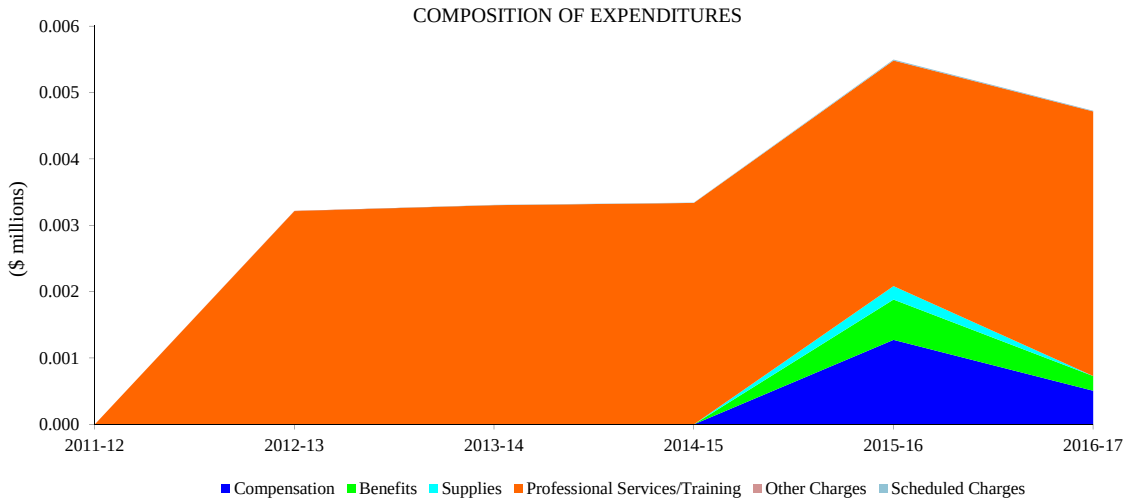
Valencia PID



	Actual	Actual	Actual	Amended	Budget	% Change
FUNDING SOURCES	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Assessments	$ 3,920	6,462	8,580	10,620	12,693	19.5
Interest	(2)	-	-	-	71	-
Total Revenue Sources	3,918	6,462	8,580	10,620	12,764	20.2
Utilization of Net Assets	-	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 3,918	6,462	8,580	10,620	12,764	20.2

EXPENDITURES						
Compensation	$ -	-	-	1,271	506	(60.2)
Benefits	-	-	-	610	219	(64.1)
Supplies	-	-	-	201	-	(100.0)
Professional Services/Training	3,213	3,303	3,337	3,400	3,990	17.4
Other Charges	(2)	-	-	-	-	-
Scheduled Charges	-	5	5	10	10	(4.3)
TOTAL EXPENDITURES	$ 3,211	3,308	3,342	5,492	4,725	(14.0)

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Vintage Township Public Improvement District Overview

Mission and Purpose

Construct public improvements in the Vintage Township Public Improvement District.

History and Overview

A Public Improvement District (PID) is a defined assessment area created by the City in response to property owner petitions to provide specific types of improvements or maintenance of a given public area.

The Vintage Township PID was created by resolution of the City Council on January 12, 2007, after the property owners petitioned for the creation of the PID. Each year the Service and Assessment Plan is reviewed by the City Council. The Vintage Township PID generally covers a 276 acre area bounded by 114th Street on the north, Quaker Avenue on the east, 122nd Street on the south and Slide Road on the west.

The general nature of the public improvements in the Vintage Township PID includes the acquisition, design, construction, installation and improvement of the following:

- parks and greens including playgrounds, athletic facilities, pavilions, amphitheaters, community facilities, bridges, walkways, lighting, benches, trash receptacles;
- landscaping, hardscaping, and irrigation;
- water features such as lakes, ponds, and fountains;
- distinctive lighting and signs;
- pedestrian malls, passages, or pathways including pedestrian bridges;
- vehicular bridges and low water crossings;
- community meeting halls or similar buildings;
- community monuments, towers, and other similar structures;
- art work;
- water and sewer infrastructure and facilities;
- streets, roadways, and other thoroughfares, including streetscaping and streetlighting; and
- stormwater drainage infrastructure and facilities.

Goals and Objectives

- Construct public improvements and amenities that are above the level of improvements typically provided by a developer.

Accomplishments for FY 2015-16

- Funds were transferred to the Vintage Township Public Facilities Corporation for the payment of debt service on the 2008A and 2008B bonds.

Objectives for FY 2016-17

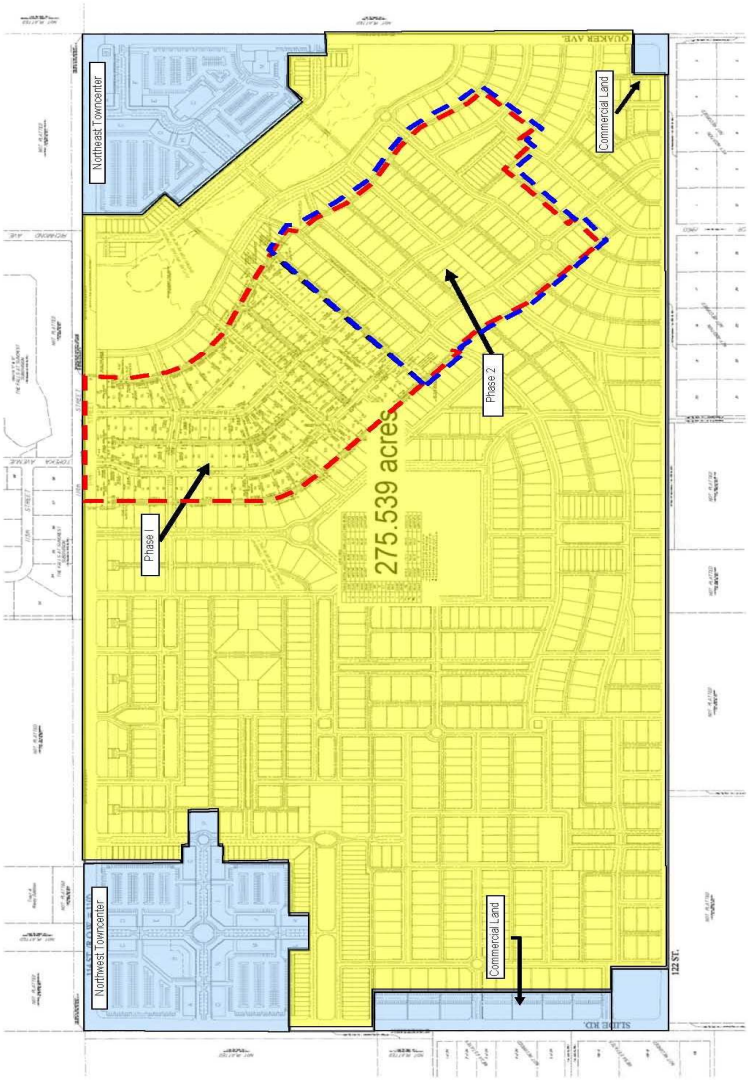
- Collect sufficient assessments for the payment of debt service on the 2008A bonds.

Revenue Overview

- Assessment revenues are projected to increase $4,631, or 2.5 percent in FY 2016-17.

Expenditure Overview

- Expenditures increased $4,631, or 2.5 percent, in FY 2016-17.
- Transfer to Vintage Township Public Facilities Corporation increased $17,131, or 10.2 percent in FY 2016-17.



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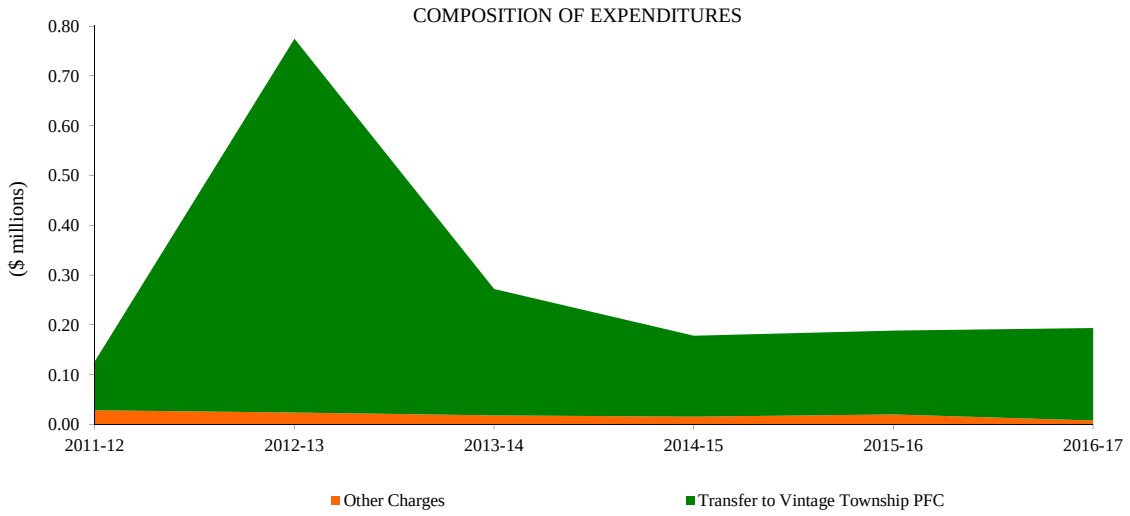
Vintage Township PID



	Actual	Actual	Actual	Amended	Budget	% Change
FUNDING SOURCES	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	from Amended
Assessments	$ 715,813	204,394	186,425	188,548	193,179	2.5
Interest	-	-	6	-	-	-
Total Revenue Sources	715,813	204,394	186,432	188,548	193,179	2.5
Utilization of Net Assets	58,795	67,219	-	-	-	-
TOTAL FUNDING SOURCES	$ 774,607	271,613	186,432	188,548	193,179	2.5

EXPENDITURES						
Other Charges	$ 23,954	18,138	15,342	20,000	7,500	(62.5)
Transfer to Vintage Township PFC	750,653	253,476	162,801	168,548	185,679	10.2
TOTAL EXPENDITURES	$ 774,607	271,613	178,144	188,548	193,179	2.5

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Lubbock Economic Development Alliance Overview

Mission and Purpose

The Lubbock Economic Development Alliance (LEDA) fund was established in FY 2004-05 and assumed the economic development activities for the City. LEDA programs include:

- Business Retention
- Business Recruitment
- Workforce Development
- Marketing of Lubbock's assets to attract primary job-producing investments

Goals and Objectives

- Encourage the creation and retention of jobs for existing businesses located in the City.
- Encourage the establishment of new businesses in the City.
- Stimulate business and commercial activities.
- Enhance the qualifications of the workforce presently in the City and attract qualified workers to the City in order to fill the needs of employers.

Accomplishments for FY 2015-16

- Received an unqualified audit opinion.
- Announced the location of new businesses locating or expanding in Lubbock, including an international company with a $140 capital investment in the community.
- Assisted businesses in the creation of new jobs.
- Assisted businesses who invested in new buildings and equipment that results in new capital investment in Lubbock.
- Worked with businesses to grow the local workforce and increase employment in Lubbock.
- Partnered with South Plains College to expand a new comprehensive community college program within the City of Lubbock.
- Continued implementation of the Strategic Plan for LEDA and the Lubbock community.
- Partnered with Workforce Solutions and TWC in obtaining a total of $165,000 in grants to assist seven area school districts in enhancing their Career and Technical Education (CTE) programs.

Objectives for FY 2016-17

- Continue keeping financial records and documentation in superior condition that will result in an unqualified opinion from independent auditors.
- Encourage business retention and expansion and new business recruitment that will result in more new businesses locating or expanding in the City.
- Seek out and respond to leads for potential new businesses that have an interest in relocating to the City.
- Call on businesses, site selectors, or others to recruit new positions or businesses to the City.
- Develop relationships with existing or new businesses that will result in the creation of more new jobs.
- Increase sales and marketing efforts to companies and site selectors in order to develop relationships with expanding companies.
- Continue working with local employers and educational entities to develop the quality workforce that is needed, including critical needs areas.

Revenue Overview

- An election was held on November 4, 2003 that authorized the collection of one-eighth of a cent of City sales tax for economic development purposes. Sales tax projected revenue totals $5,803,025, up .5 percent from the prior fiscal year.
- The grant from Market Lubbock, Inc. decreased $140,996, or 10.1 percent, for FY 2016-17.
- Utilization of Net Assets decreased by 47.4 percent to $2,424,775 due to the majority of infrastructure for the Monsanto project being completed in FY 15-16.

Expenditure Overview

- Funds are expended on administrative activities necessary to provide support and tools to encourage businesses to expand or relocate their business to the City. Expenses for administration, training, and maintenance of the business parks equate to approximately 24.5 percent of the total budget, and are budgeted to decrease $138,679, or 5.6 percent, in FY 2016-17.
- Funds are expended for business activities necessary to develop relationships with companies and site selectors that will enable LEDA to encourage businesses to expand or relocate their business to the City. Expenses related to promotions, sales meetings, and travels equate to 8.1 percent of the total budget and are budgeted to increase $118,300, or 17.9 percent in FY 2016-17. The increase is due to the expansion of sales, marketing, and promotions to include not only traditional marketing expenses but also travel, meeting expenses, and economic development efforts to increase primary jobs and capital investment in the City.

Lubbock Economic Development Alliance Overview

- Funds are expended for incentives for businesses that invest new capital or create new jobs in the City. Expenses for incentives are expected to equate to 12.1 percent of the total budget and are budgeted to decrease $153,100, or 11.6 percent, in FY 2016-17.
- Infrastructure development equates to 30.6 percent of the budget, and is budgeted to decrease $2,268,600, or 43.5 percent, for FY 2016-17. Infrastructure projects in excess of $45.8M have been identified for the Lubbock Business Park and Rail Port.
- Special projects equates to 24.6 percent of the budget, and is budgeted to increase $204,000, or 9.4 percent in FY 2016-17. The increase includes funds for Workforce projects to partner with local community colleges and public school districts to increase skill-training availability.

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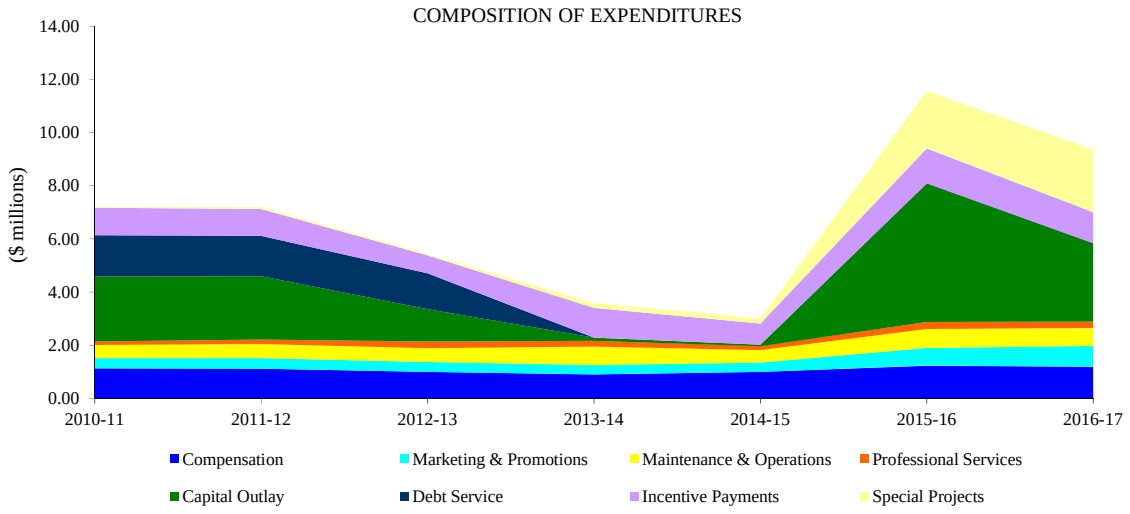
Component Unit - LEDA



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ 20,141	31,630	73,773	62,111	130,000	109.3
Sales Tax	5,187,512	5,387,578	5,669,778	5,774,154	5,803,025	0.5
Grant from Market Lubbock, Inc.	2,150,000	2,531,079	2,432,528	1,390,996	1,250,000	(10.1)
Grant from U.S. Commerce Dept./ EDA	552,297	-	-	-	-	-
Other Income	7,969	675,231	20,192	18,320	13,000	(29.0)
Total Revenue Sources	7,917,919	8,625,518	8,196,271	7,245,581	7,196,025	(0.7)
Utilization of Net Assets	-	-	-	4,613,298	2,424,775	(47.4)
TOTAL FUNDING SOURCES	$ 7,917,919	8,625,518	8,196,271	11,858,879	9,620,800	(18.9)

EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 983,588	896,562	982,763	1,223,900	1,184,100	(3.3)
Benefits	216,355	193,806	202,128	297,184	261,300	(12.1)
Marketing & Promotions	371,585	351,935	351,282	661,500	779,800	17.9
Maintenance & Operations	521,407	693,587	472,542	716,195	667,500	(6.8)
Professional Services	255,043	218,085	152,256	259,100	244,800	(5.5)
Capital Outlay	1,221,367	112,867	54,242	5,217,300	2,948,700	(43.5)
Debt Service	1,346,022	-	-	-	-	-
Incentive Payments	667,333	1,128,032	795,193	1,320,700	1,167,600	(11.6)
Special Projects	53,184	179,230	188,442	2,163,000	2,367,000	9.4
TOTAL EXPENSES	$ 5,635,884	3,774,104	3,198,848	11,858,879	9,620,800	(18.9)

City of Lubbock TEXAS

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Market Lubbock Economic Development Corp. Overview

Mission and Purpose

- Enhance and encourage economic development and job creation, and facilitate the development of new industries. Manage and oversee the operations of Visit Lubbock and Foreign Trade Zone 260 (FTZ). Visit Lubbock encompasses the operations of the Convention and Visitors Bureau (CVB) and Lubbock Sports.

Goals and Objectives

- Promote, assist, and enhance economic development and projects for eliminating unemployment and underemployment in the City.
- Encourage public and social economic welfare of citizens by promoting the inner city and economic development diversification of the area through the development or expansion of commercial activity.

Accomplishments for FY 2015-16

- Received an unqualified audit opinion.
- As FTZ 260 Grantee, oversaw the management of two active foreign trade zone businesses.
- Continued to work with Texas Tech University to form the Texas Tech Innovation and Entrepreneurship Sponsorship, a 3 year initiative to encourage innovation and entrepreneurship in Lubbock.
- Teamed with United Properties Southwest to complete an 80,500 square-foot, rail served building at Lubbock Rail Port.
- Conducted and began implementation of a Strategic Plan for Visit Lubbock and the Lubbock community.
- Booked over 38,300 room nights for meetings and conventions held in Lubbock with an economic impact of approximately $12 million, based on Destination Marketing Association International (DMAI) standards.
- Serviced more than 40,000 room nights for meetings and conventions held in the City.
- Booked more than 155,000 room nights for sports events held in the City with an economic impact of approximately $48.5 million, based on DMAI standards.
- Serviced 145,000 room nights for sports events held in the City.

Objectives for FY 2016-17

- Continue maintaining financial records and documentation in superior condition that results in an unqualified opinion from independent auditors.
- Pursue avenues and partnerships for encouraging the development of new business and job creation through entrepreneurial programs, incentives and workforce initiatives.
- Continue efforts on multi-channeled national workforce campaign in an effort to recruit a work-ready labor force to Lubbock to meet the needs of business.
- Continue to implement the strategies and actions specified in LEDA and Market Lubbock's Strategic Plan.
- Continue the Texas Tech Innovation and Entrepreneurship Sponsorship and evaluate opportunities for enhancing the program.
- Facilitate the activation of qualifying businesses and continue to offer technical assistance to all existing Lubbock FTZ businesses.
- Continue working with businesses that will benefit from FTZ status, and support the existing FTZ approved businesses.
- Continue recruiting new businesses, retain and expand existing businesses, and develop the workforce through training and placement assistance.
- Continue to work with community partners to promote downtown redevelopment and recruit new businesses to the downtown area, according to their needs.
- Increase press and editorial coverage for the City on State and National websites.
- Continue to implement goals and strategies of the Visit Lubbock Strategic Plan.
- Promote Lubbock attractions to the travel writer market.
- Continue building relationships with new and existing meeting planners in order to increase the amount of conventions that come to the City.
- Continue to service and maintain the large number of existing annual sports events, and develop relationships that would bring new regional, national and international sporting events to the City.
- Continue to build and communicate a consistent brand to target audiences outside of Lubbock, as well as to constituents of Lubbock.
- Continue to increase Visit Lubbock's social media presence.

Revenue Overview

- Market Lubbock, Inc. (MLI) is funded with 2.315 cent property tax rate allocation, minus the City of Lubbock economic development expense, and interest on investments. Property tax revenue is estimated at $2.92 million, an increase of $85,902, or 3.0 percent, from FY 2015-16.
- Visit Lubbock and the Lubbock Sports are funded by an allocation of the hotel occupancy tax. Hotel occupancy tax revenues are estimated at $3.49 million, a decrease of $399,737, or 10.3 percent below FY 2015-16 funding levels.

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Market Lubbock Economic Development Corp. Overview

Expenditure Overview

Market Lubbock, Inc.

- Compensation increased $21,800, or 19.7 percent, for FY 2016-17. This includes increase in allocations for shared staff among Lubbock Economic Development Alliance (LEDA), Market Lubbock, Inc. (MLI) and Visit Lubbock.
- Maintenance and Operations increased $1,300, or 4.6 percent.
- Professional Services increased $5,000 or 7.4 percent.
- Incentive Payments increased $250,000, or 54 percent, for FY 2016-17 to assist in the recruitment and development of downtown businesses.
- Special Projects increased $256,000, or 34.6 percent, for FY 2016-17 to continue innovation and entrepreneurship initiatives and enhance the skills of the local workforce.
- Grants to LEDA decreased $140,996, or 10.1 percent due to efforts in MLI for downtown redevelopment projects and workforce initiatives. The granting of funds from MLI to LEDA allows LEDA to continue to promote economic development in Lubbock, and to continue with required infrastructure projects for new businesses locating at Lubbock Business Park and Lubbock Rail Port.

Visit Lubbock, Inc.

- Compensation increased $36,100 or 4.3 percent due to budgeting for a possible 3% merit raise, an increase of staff due to expanding events and a higher allocation of shared staff to Visit Lubbock based on increasing activity and successes.
- Maintenance and operations decreased by $66,578 or 25 percent due to postage for Visitor's Guides being removed from M&O to more accurately reflect the Visitors Guides as a marketing expense.
- Marketing and promotions increased by $15,435 or 1.1 percent in FY 2016-17.
- Professional services decreased $24,860 or 18.1 percent in FY 2016-17.
- Capital Outlay remained relatively flat for FY 2016-17.
- Incentives decreased $45,000 or 30 percent in FY 2016-17.

Lubbock Sports, Inc.

- Compensation increased $3,800, or 1.5 percent.
- Marketing and promotions increased $201,456 or 66 percent for FY 2016-17, due primarily to reclassifying bid fees more accurately to this category, as well as to reflect increased sales efforts and more opportunities for site visits from competitive sporting events.
- Sports servicing decreased $236,500, or 35.5 percent for FY 2016-17, due primarily to reclassifying bid fees, site visits, and sports marketing efforts more accurately to marketing and promotions.

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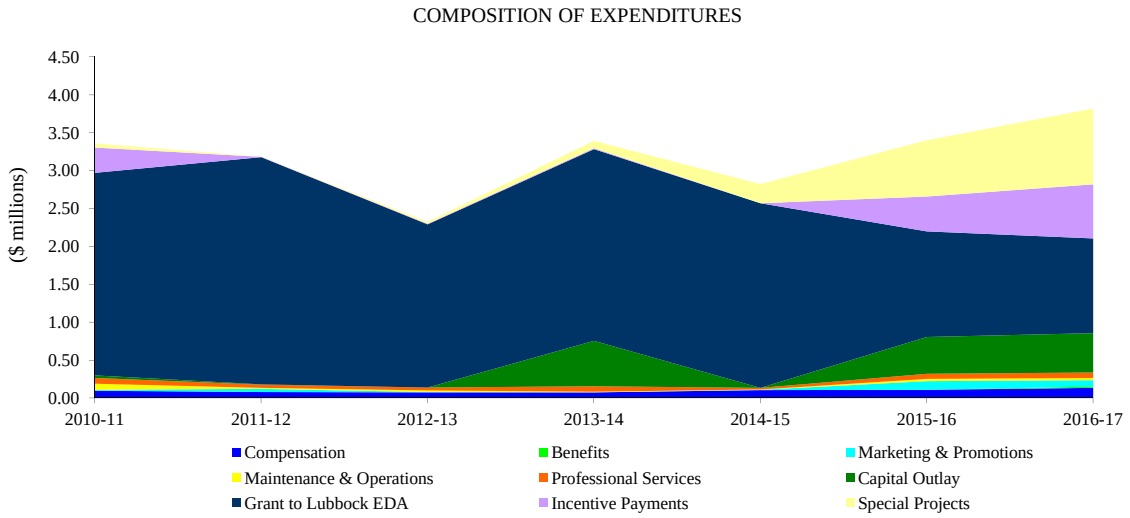
Component Unit - Market Lubbock, Inc.



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ 3,204	5,279	4,321	2,620	3,520	34.4
Property Tax Allocation	3,394,499	3,406,947	3,009,165	2,831,797	2,917,699	3.0
Lease Income	-	-	6,190	6,150	-	(100.0)
Total Revenue Sources	3,397,703	3,412,226	3,019,676	2,840,567	2,921,219	2.8
Utilization of Net Assets	-	-	-	559,529	895,881	60.1
TOTAL FUNDING SOURCES	$ 3,397,703	3,412,226	3,019,676	3,400,096	3,817,100	12.3

EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 76,400	74,456	105,650	110,800	132,600	19.7
Benefits	-	-	-	-	19,200	-
Marketing & Promotions	5,098	2,400	4,905	113,100	82,800	(26.8)
Maintenance & Operations	20,082	5,323	3,017	28,300	29,600	4.6
Professional Services	38,033	71,971	21,932	68,000	73,000	7.4
Capital Outlay	-	599,816	-	485,000	520,000	7.2
Grant to Lubbock EDA	2,150,000	2,531,079	2,432,528	1,390,996	1,250,000	(10.1)
Incentive Payments	9,700	10,900	-	462,900	712,900	54.0
Special Projects	25,000	97,390	255,000	741,000	997,000	34.5
TOTAL EXPENSES	$ 2,324,313	3,393,335	2,823,032	3,400,096	3,817,100	12.3

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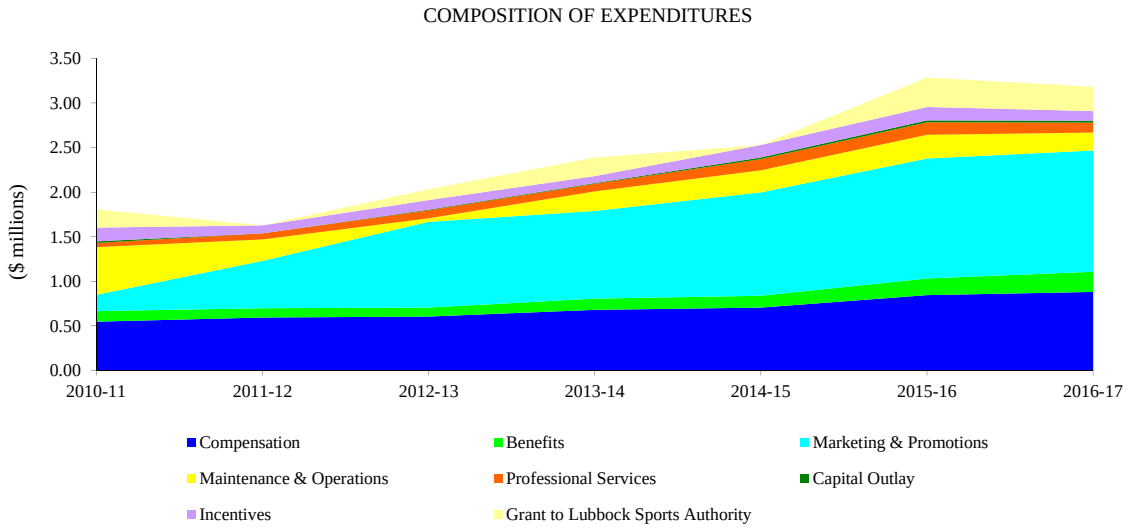
Market Lubbock, Inc. - Visit Lubbock, Inc.



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ 4,359	6,473	7,850	8,848	6,840	(22.7)
Hotel/Motel Tax	2,170,290	2,426,239	2,692,434	3,067,349	2,657,998	(13.3)
Hotel/Motel Tax True-Up	-	-	-	173,307	-	(100.0)
Sale of Promotional Items	3,861	5,058	1,647	2,500	2,000	(20.0)
Advertising Income	35,870	39,695	78,984	34,000	36,000	5.9
Grant from Lubbock Sports Authority	-	-	-	-	-	-
Other Income	-	42	-	-	-	-
Total Revenue Sources	2,214,380	2,477,507	2,780,915	3,286,004	2,702,838	(17.7)
Utilization of Net Assets	-	-	-	-	478,872	-
TOTAL FUNDING SOURCES	$ 2,214,380	2,477,507	2,780,915	3,286,004	3,181,710	(3.2)

EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 604,123	679,118	703,128	843,700	879,800	4.3
Benefits	98,346	123,601	133,929	187,300	224,500	19.9
Marketing & Promotions	963,902	985,385	1,159,979	1,347,265	1,362,700	1.1
Maintenance & Operations	39,453	218,892	246,876	266,348	199,770	(25.0)
Professional Services	90,206	85,568	127,804	137,360	112,500	(18.1)
Capital Outlay	6,152	7,378	17,342	22,950	22,975	0.1
Incentives	107,339	79,330	137,726	150,000	105,000	(30.0)
Grant to Lubbock Sports Authority	124,730	207,369	-	331,081	274,465	(17.1)
TOTAL EXPENSES	$ 2,034,251	2,386,641	2,526,784	3,286,004	3,181,710	(3.2)

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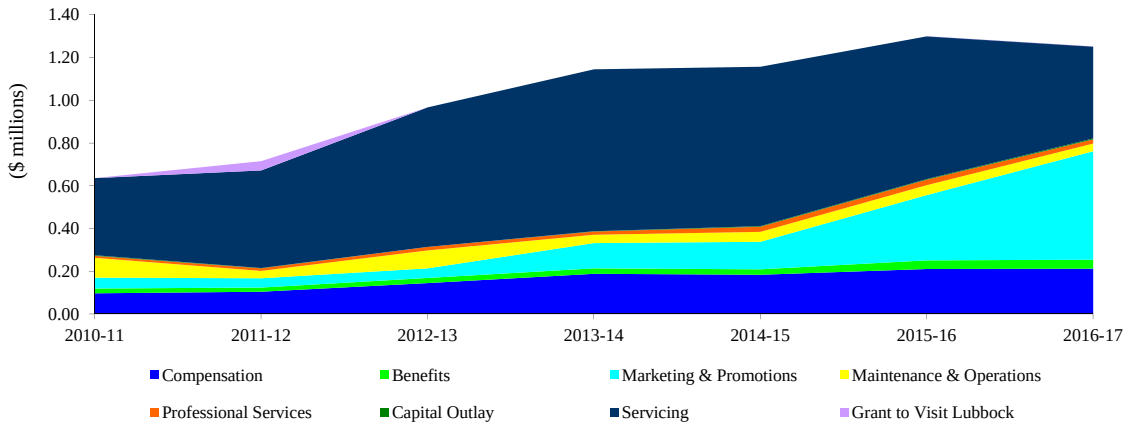
Market Lubbock, Inc. - Sports Authority

COMPOSITION OF EXPENDITURES



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Interest Earnings	$ 1,158	1,721	2,087	2,352	2,160	(8.2)
Hotel/Motel Tax	688,223	760,116	843,512	823,109	832,723	1.2
Hotel/Motel Tax True-Up	-	-	-	54,296	-	(100.0)
Event Revenue	44,355	30,455	29,690	87,500	57,000	(34.9)
Event Trust Fund	-	139,218	62,441	-	-	-
Grant from Visit Lubbock	124,730	207,369	-	331,080	274,465	(17.1)
Transfer from Hotel Tax Fund	-	8	-	-	-	-
Total Revenue Sources	858,466	1,138,887	937,730	1,298,337	1,166,348	(10.2)
Utilization of Net Assets	107,982	4,630	219,033	-	84,507	-
TOTAL FUNDING SOURCES	$ 966,448	1,143,517	1,156,763	1,298,337	1,250,855	(3.7)

EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	Change from Amended
Compensation	$ 145,405	187,728	184,152	210,600	212,400	0.9
Benefits	23,670	25,653	25,112	40,300	42,300	5.0
Marketing & Promotions	44,352	118,213	128,886	305,144	506,600	66.0
Maintenance & Operations	84,287	38,628	46,782	47,003	35,230	(25.0)
Professional Services	15,919	15,100	22,554	24,240	19,800	(18.3)
Capital Outlay	684	1,302	3,062	4,050	4,025	(0.6)
Servicing	652,131	756,893	746,215	667,000	430,500	(35.5)
Grant to Visit Lubbock	-	-	-	-	-	-
TOTAL EXPENSES	$ 966,448	1,143,517	1,156,763	1,298,337	1,250,855	(3.7)

City of Lubbock TEXAS

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Civic Lubbock, Inc.

Mission and Purpose

Created by the Lubbock City Council in 1956, Civic Lubbock, Inc. is a non-profit 501(c)(3) corporation established to foster and promote educational, cultural, and entertainment programs, to include the visual and performing arts for the citizens of Lubbock and the surrounding areas.

Goals and Objectives

- Fund and promote cultural and entertainment activities and attractions.
- Operate concessions in the Civic Center, Auditorium, Coliseum, and other locations.
- Operate the Select-A-Seat ticketing service.

Accomplishments for FY 2015-16

- Administered and distributed $459,592 in grant funding from the Cultural Arts Grant Program, the Texas Commission on the Arts and other local grants.
- Funded the continuation of a long range arts and entertainment calendar that is used by event organizers when planning their events.
- Inducted two individuals into the West Texas Walk of Fame.
- Initiated an annual project entitled Lubbock Music NOW to recognize musicians living in the Lubbock area and "working the circuit"; the compact disc (CD) will feature original music and give citizens and visitors a time capsule of what the local music scene is producing.
- Collaborated with the Lubbock Arts Alliance to solicit a Call for Entry for original works of visual art to be considered for the cover of the Lubbock Music NOW 2016 CD.
- Through a Request for Proposal (RFP) process, selected a new ticketing software provider for Select-a-Seat; conversion and implementation is in progress.
- Amended the License Agreement with the City of Lubbock to allocate 10 percent of Civic Lubbock's allocation of the Hotel Occupancy Tax for the acquisition and maintenance of public art on City-owned property, effective with the FY 2016-17 allocation.

Objectives for FY 2016-17

- Implement fundraising efforts using grants and donations for future projects.
- Administer the Cultural Arts Grant Program and other grant programs that fund local cultural arts and entertainment events and projects.
- Plan and conduct future inductions into the West Texas Walk of Fame.
- Provide funding for and create the Lubbock Music NOW 2017 CD.
- Administer a portion of Civic Lubbock's allocation from the Hotel Occupancy Tax for the acquisition and maintenance of public art on City-owned property.

Revenue Overview

- Total revenues increased $113,479, or 5.0 percent, when compared with the FY 2015-16 Operating Budget.

Expenditure Overview

- Budgeted expenditures increased $117,979, or 5.0 percent, when compared with the FY 2015-16 Operating Budget. Increases in compensation, supplies, maintenance, other charges, grants/special events, and cost of goods sold were minimally offset by decreases in benefits and capital outlay/reimbursements.

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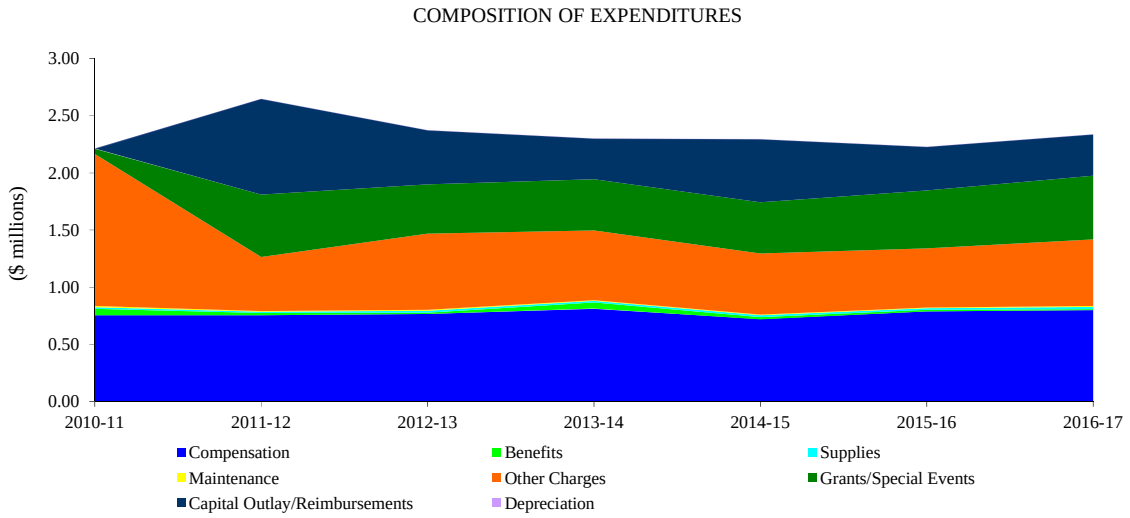
Civic Lubbock, Inc. - Overview



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Civic Center Concessions	$ 400,824	404,804	400,844	338,128	383,427	13.4
Auditorium/Coliseum Concessions	214,300	189,214	173,914	195,363	192,174	(1.6)
Select-A-Seat	862,892	752,258	730,255	638,738	706,807	10.7
Grants	385,668	393,074	404,554	421,400	451,850	7.2
Other Income	617,752	643,328	839,850	683,600	656,450	(4.0)
Total Revenue Sources	2,481,436	2,382,678	2,549,417	2,277,229	2,390,708	5.0
Utilization of Net Assets	-	50,401	-	65,000	69,500	6.9
TOTAL FUNDING SOURCES	$ 2,481,436	2,433,079	2,549,417	2,342,229	2,460,208	5.0

EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ 763,441	810,267	717,753	787,327	796,755	1.2
Benefits	12,742	53,986	18,369	11,742	8,962	(23.7)
Supplies	16,630	15,194	16,052	15,000	19,890	32.6
Maintenance	8,131	5,477	6,708	6,672	8,749	31.1
Other Charges	665,966	609,787	533,990	518,219	581,576	12.2
Grants/Special Events	431,392	448,198	448,603	505,950	558,940	10.5
Capital Outlay/Reimbursements	473,273	355,245	552,064	380,301	359,467	(5.5)
Cost of Goods Sold	8,572	134,925	121,430	117,018	125,869	7.6
TOTAL EXPENSES	$ 2,380,147	2,433,079	2,414,969	2,342,229	2,460,208	5.0

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Civic Lubbock, Inc. - Functional Overview

Civic Center Concessions

FUNDING SOURCES	Budget FY 2016-17
Alcohol Sales	$ 146,818
Concession Sales	128,051
Catering	90,919
Soda Machine Sales	-
Merchandise	5,356
Other Income/ Expense Rebate	10,821
Interest	131
Food Vendors	1,264
Discounts Taken	67
TOTAL FUNDING SOURCES	$ 383,427

EXPENSES	
Compensation	$ 193,311
Benefits	1,360
Supplies	2,120
Maintenance	4,149
Other Charges	49,980
Cost of Goods	74,133
Capital Outlay/Reimbursements	10,352
TOTAL EXPENSES	$ 335,405

Administration

FUNDING SOURCES	Budget FY 2016-17
Other Income / Service Charge	$ 328,700
Stagehand Wage Reimbursement	280,000
Stagehand Service Charge	47,600
Interest	150
Grants	451,850
TOTAL FUNDING SOURCES	$ 1,108,300

EXPENSES	
Compensation	$ 301,420
Benefits	4,480
Supplies	2,000
Maintenance	1,600
Other Charges	68,713
Capital Outlay/Reimbursements	325,000
Grants/Walk of Fame	558,940
TOTAL EXPENSES	$ 1,262,153

Auditorium/Coliseum Concessions

FUNDING SOURCES	Budget FY 2016-17
Concession Sales	$ 118,023
Alcohol Sales	61,207
Merchandise	12,754
Interest	120
Food Court Vendors %	-
Other Income/Expense Rebate	-
Discounts Taken	70
TOTAL FUNDING SOURCES	$ 192,174

EXPENSES	
Compensation	$ 84,929
Benefits	1,232
Supplies	5,670
Maintenance	2,000
Other Charges	25,902
Cost of Goods	48,628
Capital Outlay/Reimbursements	12,615
TOTAL EXPENSES	$ 180,976

Select-A-Seat

FUNDING SOURCES	Budget FY 2016-17
Handling Fees	$ 681,098
Professional Fees	8,850
Commission Revenue	200
Printing Fee Revenue	3,920
Other Income	9,636
Interest Income	218
Maintenance Support Revenue	1,200
Equipment Rental Revenue	510
Discounts Taken	1,175
Southwestern Bell Grant	-
TOTAL FUNDING SOURCES	$ 706,807

EXPENSES	
Compensation	$ 217,095
Benefits	1,890
Supplies	10,100
Maintenance	1,000
Other Charges	436,981
Cost of Goods	3,108
Capital Outlay/Reimbursements	11,500
TOTAL EXPENSES	$ 681,674

City of Lubbock TEXAS

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Vintage Township Public Facilities Corporation Overview

Department Mission and Purpose

Pursuant to the authority of the "Public Facility Corporation Act," Chapter 303 of the Texas Local Government Code, cities may approve and authorize the creation of one or more nonmember, non-stock, nonprofit public facility corporations for the purpose of financing, refinancing, or providing for the acquisition, construction, rehabilitation, renovation, repair, equipping, furnishing, and placement in service of public facilities in an orderly, planned manner and at the lowest possible borrowing costs.

The Vintage Township Public Facilities Corporation was created to issue Certificates of Obligation for the construction of public improvements and amenities in the Vintage Township Public Improvement District (PID).
Series 2008A bonds were issued for the construction of public amenities, such as parks and green spaces, signage, lighting, art work, water features and fountains, hardscape, landscape, and irrigation.

Series 2008B bonds were issued for construction of public infrastructure, such as water and sewer infrastructure, streets, and storm water drainage infrastructure and facilities.

Goals and Objectives

- Utilize assessments from the Vintage Township PID to pay debt service on 2008A and 2008B bonds.

Accomplishments for FY 2015-16

- Sufficient assessments were collected to pay the debt service on the bonds.

Revenue Overview

- The transfer from Vintage Township PID increased by $17,131, or 10.2 percent.

Expenditure Overview

- The debt service payment increased by $17,131, or 10.2 percent.

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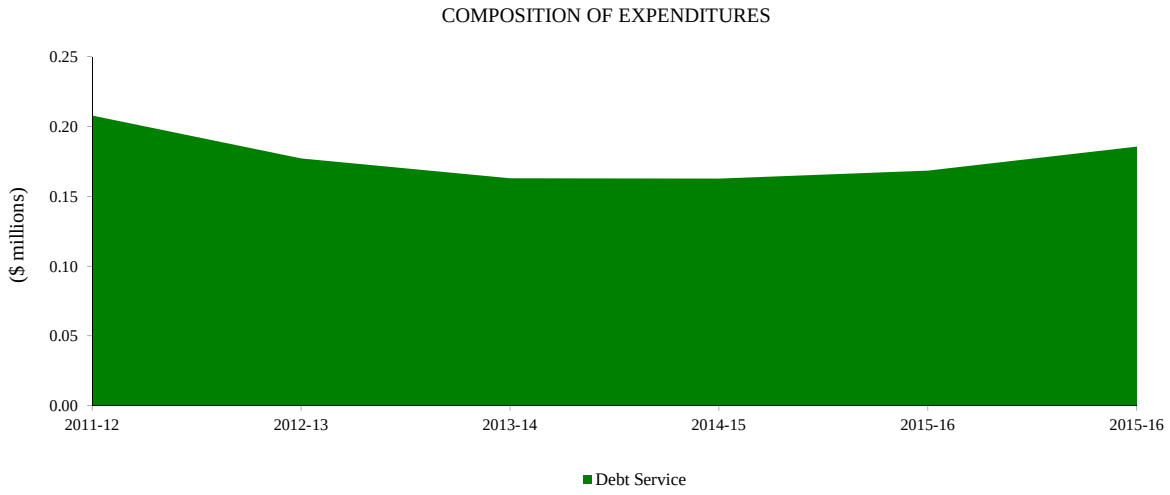
Vintage Township Public Facilities Corp. - Overview



FUNDING SOURCES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Transfer from Vintage PID	$ 750,653	253,476	162,801	168,548	185,679	10.2
Total Revenue Sources	750,653	253,476	162,801	168,548	185,679	10.2
Utilization of Net Assets	-	-	-	-	-	-
TOTAL FUNDING SOURCES	$ 750,653	253,476	162,801	168,548	185,679	10.2

EXPENSES	Actual FY 2012-13	Actual FY 2013-14	Actual FY 2014-15	Amended FY 2015-16	Budget FY 2016-17	% Change from Amended
Compensation	$ -	-	-	-	-	-
Benefits	-	-	-	-	-	-
Supplies	-	-	-	-	-	-
Maintenance	-	-	-	-	-	-
Other Charges	-	-	-	-	-	-
Debt Service	177,175	163,096	162,801	168,548	185,679	10.2
TOTAL EXPENSES	$ 177,175	163,096	162,801	168,548	185,679	10.2

Financial Policy

The City has an important responsibility to its citizens to carefully account for public funds, prudently manage municipal finances, and to plan for the adequate funding of services desired by the public. These financial policies enable the City to achieve a long-term stable and positive financial condition by exercising integrity, prudence, responsible stewardship, planning, accountability, and full and continuous disclosure.

In addition to the guidelines presented in the City's Financial Policies, the City has implemented the following best practices as identified in Standard & Poor's "Ten Ways to Improve a G.O. Rating – Best Management Practices Make a Difference":

- Establish or enhance rainy day/budget stabilization reserves.
- Establish regular economic/revenue reviews to identify potential budget problems early.
- Prioritize spending plans/establish contingency plans for operating and capital budgets as a fallback financial strategy.
- Have a formalized capital improvement plan or a debt-affordability model to assess future financial liabilities.
- Develop a pay-as-you-go financing strategy as part of your operating and capital budget.
- Plan ahead and anticipate the impact of capital and operating costs on a multi-year financial plan.
- Establish benchmarks and priorities for the direction of your government and adhere to them.
- Establish and maintain effective management systems.
- Consider the affordability of actions or plans before they become part of your budget.
- Have a well-defined and coordinated economic development strategy.

I. OPERATIONS

A. REVENUES

The Annual Operating Budget shall be prepared such that current revenues plus transfers in will be sufficient to support current expenditures and transfers out. In order to maintain a stable level of services, the City shall use a conservative, objective, and analytical approach when preparing revenue estimates. The process includes an analysis of probable economic changes and their impacts on revenues, historical collection rates, and trends in revenue shortfalls.

Charges for services and other revenues will be annually examined and adjusted as deemed necessary to respond to cost increases or any other changing circumstances. Revenue sources along with support for projections are included in the Operating Budget, which is a useful tool for monitoring revenue trends.

COLLECTIONS

Property Tax Collections: The City encourages the Lubbock Central Appraisal District to follow an aggressive policy of collecting property tax revenues. An average collection rate of at least 98 percent of current levy shall be maintained.

Other Revenue Collections: The City monitors and minimizes administrative costs necessary to generate revenues. Delinquent accounts and insufficient checks are turned over to an outside collections agency for collection. As collections are made, the collection agency remits the payments (net of fees) to the City. If insufficient checks are uncollectable, they are returned to the City and turned over to the District Attorney's office for collection. Collection efforts for overdue payments are pursued to the fullest extent of the law.

ENTERPRISE FUND REVENUES

Enterprise Funds are self-supporting from their own source rates, fees, and charges. Cost recovery includes direct operating and maintenance expense, indirect cost recovery, in-lieu of transfers to the General Fund for property tax and franchise fee payments, capital expenditures and debt service payments, where appropriate. Rate models are maintained to identify all sources and uses of funds and provide a 10-year planning tool for rate setting. Rate increases are proposed for consideration to the City Council during the annual budget process.

PROPERTY TAX

All taxable property within the City is subject to the assessment, levy, and collection by the City of a continuing, direct annual ad valorem tax. The ad valorem tax is sufficient to provide payment of principal and interest on all ad valorem tax debt and for operations and maintenance costs as allowed by Article XI, Section 5, of the Texas Constitution as applicable to the City.

Financial Policy

SALES TAX
The City has adopted the Municipal Sales and Use Tax Act, Chapter 321 Texas Tax Code, which grants the City the power to impose and levy a local sales and use tax within the City. In January 1995, the voters of the City approved the imposition of an additional sales and use tax of one-eighth percent as authorized by Chapter 323 Texas Tax Code, as amended. Collection of the additional tax commenced in October 1995 with the proceeds to be dedicated to the reduction of ad valorem taxation. In November 2003, voters approved an additional one-quarter percent sales and use tax, with the proceeds of one-eighth percent of the tax dedicated to the reduction of ad valorem taxation, and an additional one-eighth percent of the tax, under Section 4A of the Texas Development Corporation Act (Article 5190.6, Texas Revised Civil Statutes), to be used for economic development in the City. The collection of taxes authorized in the November 2003 election commenced in October 2004.

Collection and enforcement of the City's sales tax is handled through the offices of the Comptroller of Public Accounts, State of Texas, who remits the proceeds of the tax to the City on a monthly basis, after deduction of a two percent service fee. The proceeds of one and three-eighths percent are credited to the General Fund and one-eighth percent is credited to the Lubbock Economic Development Alliance Special Revenue Fund prior to the transfer to Lubbock Economic Development Alliance (LEDA).

DONATIONS/CONTRIBUTIONS
Donations from individuals and/or groups are managed in accordance with the *City of Lubbock Donations Policy*. Donations are to be managed in accordance with intended use and restrictions.

Assets donated or dedicated to the City are managed in accordance with the *City of Lubbock Donated Asset Policy*. Assets are to be recorded at estimated fair market value at time of transfer.

GRANTS
Grants are managed in accordance with the *City of Lubbock Grants Management Policy*. The City applies for grants that are consistent with the objectives and high priority needs identified by the City Council. The potential for incurring ongoing costs, including the assumption of support for grant-funded positions from local revenues, will be considered prior to applying for a grant. The City recovers indirect costs wherever possible. All grant applications are reviewed for their cash match requirements, their potential impact on the operating budget, and the extent to which they meet City policies. Funding sources for local matches will be identified prior to the application process. The City terminates grant-funded programs and associated positions when grant funds expire unless an alternate funding source is identified.

INTEREST EARNINGS
Investments are managed in accordance with the *City of Lubbock Investment Policy*. Interest earnings from the investment of unexpended funds are credited to the Investment Pool Internal Service Fund. The Investment Pool Fund receives all interest income for all City funds. Expenses necessary to generate interest income are charged to the Investment Pool Fund and then the net interest income is distributed to each fund in the ratio of their total cash balance in the total portfolio. Operating Fund interest may be used for any City budget purpose, upon approval by the City Council during the budget process. Bond interest earnings are used for debt service or bond projects.

HOTEL/MOTEL TAX
Hotel/Motel tax is 13 percent of the cost of a room night for hotels and motels in the City. There is an amount of six percent that is remitted to the State and seven percent that is remitted to the City. Hotel/Motel taxes are allocated in accordance with Ordinance No. 2012-O0071. A detailed allocation is provided in the Operating Budget.

Changes to this allocation must be approved by City Council.

B. EXPENDITURES

The City operates on a current funding basis. Expenditures are budgeted and controlled so as not to exceed an amount equal to current revenues plus the planned use of appropriable net position accumulated through prior year savings. (The use of appropriable net position is guided by the Appropriable Net position Policy in Section C.

Prior to the proposal of any initiatives or plans that use resources, City Staff develops a financial impact

analysis from which to evaluate affordability. This process is completed prior to the proposal of the initiative in the annual budget process.

City staff and City management review expenditures on a monthly basis, at a minimum, to ensure that expenditures track budget projections. If at any time an operating deficit exists or is projected, corrective action will be recommended. Corrective action may include, but is not limited to, a hiring freeze, expenditure reductions, fee increases, or use of fund balance. Expenditure deferrals to the following fiscal year, short-term loans, or use of one-time revenue sources will be avoided as attempts to balance the budget.

PURCHASING

The two important issues central to the Purchasing function are 1) the control aspect or commitment to full compliance with State purchasing statutes, which serve to maintain the public's confidence and trust in all contracting activities; and 2) the service commitment of the Purchasing Department to each City department.

Routine Purchases

Departments may issue purchase orders less than $500 and make Purchasing Card transactions up to $5,000 to procure items for which there is an approved budget when the item does not require technical or administrative review by specific departments (i.e. computer hardware and software, radio equipment, telecommunications equipment, fleet vehicle/ equipment supplies and services, and printing/ reproduction services). For a complete listing of all purchasing policies, please refer to the *Purchasing Policies and Procedures Manual*.

Petty Cash

Purchases using Petty Cash are subject to the same rules and documentation requirements as other City purchases. Additional information regarding petty cash purchases may be found in the *Petty Cash Administrative Policy*.

Purchasing Card Program

The Purchasing Card Program allows City employees to make small dollar expenditures (up to $5,000) required for normal operations. The intent of this program is to enhance the capabilities of field personnel to perform operational tasks without an administrative delay of obtaining a purchase order. Cards are issued in the name of the City and the individual Cardholder. The Cardholder is the only person entitled to use the card issued in their name and is responsible for all charges made against the card. Cardholders reconcile their receipts to a secure online statement. The cardholder's approver reviews the statement and receipts and forwards to the Program Administrator. Spending limits that have been provided to each Cardholder sets the maximum dollar amount for each single purchase (Single Transaction Limit), daily number of transactions, and the total dollar amount for all purchases made with a Purchasing Card within a monthly billing cycle. Each time a Cardholder makes a purchase with his/her Purchasing Card, the bank will electronically check transaction limits and the authorization request will be declined should the amount exceed these limits.

Additional information on the Purchasing Card policies and procedures may be found in the *Purchasing Card Policy*.

Under $500 purchase orders

Departments have the authority to issue purchase orders for amounts up to $500. However, departments are encouraged to use the more efficient Purchasing Card whenever possible.

Requisitions

For purchases less than $5,000, the department head must electronically approve requisitions. For purchases greater than $5,000, the department head's supervisor must electronically approve requisitions.

Emergency Purchases

Emergency Purchasing procedures are outlined in the *Purchasing Policies and Procedures Manual*. An emergency is defined as a disruption, which may vitally affect the public health, welfare or safety (i.e. flood, bombing, tornado, etc.). Department heads or their designees may purchase goods or services and then follow up later with a requisition describing the emergency along with an invoice indicating the receipt of goods and services.

When an emergency has been declared that qualifies for reimbursement under the Federal Emergency Management Agency (FEMA), the Accounting and Purchasing Departments will be notified. The Accounting Department will determine which

accounts require an emergency subsidiary account and will assign the emergency subsidiary account and notify Purchasing. For purchases of goods, the Purchasing Department will ensure that all requisitions and purchase orders include the emergency subsidiary account for any items directly related to the emergency. The Emergency Operations Center will communicate to the Purchasing and Accounting Department when the emergency period has ended.

C. FINANCIAL CONDITIONS, RESERVES AND STABILITY RATIOS

FUND BALANCE/NET POSITION

When fund resources exceed uses, the result is fund balance accumulations for governmental funds and net position for proprietary funds. Reserves are maintained in fund balances/net position, at levels sufficient to protect the City's creditworthiness and to provide contingency funds in the event of emergency and/or unforeseen cash outlays. Additionally, reserves are created when the City Council takes action to set funds aside for a specific purpose or according to legal restrictions on the use of assets. Designations of fund balance/retained earnings are made when the appropriate level of management requests an amount, purpose, and timeframe for the designation. Designations are not authoritative and may be reallocated at any time. Reserves require City Council or other appropriate authoritative action to reallocate.

General Fund

The City targets an unrestricted fund balance in an amount equal to at least 20 percent of regular General Fund operating revenues to meet unanticipated contingencies and fluctuations in revenue.

Water and Wastewater Funds

The City targets appropriable net position in the Water and Wastewater funds in an amount equal to 25 percent of regular operating revenues. 10-year rate models are maintained in the Water Fund and Wastewater Funds to identify all sources and uses of funds and to provide a planning tool for rate setting. The rate model is a model where all projected revenues, operating and capital disbursements, debt service requirements, and transfers in/out are compiled to determine the rate necessary to yield the targeted ending balance. When the model predicts the need for a rate increase, further intensive review is performed to determine if capital project construction timelines can be adjusted in order to smooth the rate. At a minimum, the rolling ten-year rate models are updated and analyzed as part of the budget preparation process and again mid-fiscal year, once prior year financial statements are issued.

Solid Waste, Airport and Storm Water Funds

The City targets appropriable net position in the Airport, Storm Water, and Solid Waste funds in an amount equal to 15 percent of regular operating revenues. 10-year rate models are maintained in the Solid Waste Fund, Airport Fund, and Storm Water Fund to identify all sources and uses of funds and to provide a planning tool for rate setting. The rate model is a model where all projected revenues, operating and capital disbursements, debt service requirements, and transfers in/out are compiled to determine the rate necessary to yield the targeted ending balance. When the model predicts the need for a rate increase, further intensive review is performed to determine if capital project construction timelines can be adjusted in order to smooth the rate. At a minimum, the rolling ten-year rate models are updated and analyzed as part of the budget preparation process and again mid-fiscal year, once prior year financial statements are issued. In the Solid Waste Fund, funds are designated in landfill closure and post closure care reserves for the purpose of covering the City's landfill sites when they stop accepting waste and to perform certain maintenance and monitoring functions at the sites for thirty years after closure.

Internal Service Funds

The City targets appropriable net position in the Internal Service Funds in an amount equal to eight percent of regular operating revenues.

Other Enterprise and Special Revenue Funds

The City targets appropriable net position in the Cemetery Fund, Civic Centers Fund, and Lake Alan Henry Fund in an amount equal to eight percent of regular operating revenues.

RISK MANAGEMENT

The City developed the Self-Insurance/Risk Fund for the purpose of self-insuring liability and workers' compensation. Funding is in the form of departmental contributions based on risk exposure

and prior experience as determined by an actuary. As of April 1999, the City converted workers' compensation from self-insurance to participation in a governmental risk pool under a guaranteed cost program. In September 1999, the City purchased an excess liability policy with a $250,000 per occurrence self-insured retention (SIR). Effective October 2006, the City increased its SIR to $500,000 per occurrence. The City has consistently maintained policies of insurance for Airport Liability, Fire and Extended Coverage (Property), Boiler and Machinery and various equipment, crime and fine arts floaters. Reserves are reviewed annually by Risk Management staff and external auditors to assess financial stability. An actuarial study of the Self-Insurance Fund is obtained annually. Additionally, all Risk exposures not insured or uninsurable (i.e. pollution, water contamination, environmental) are potential liabilities expenditures against the retained earnings of the fund. City staff actively participates in programs to reduce expenses by actively managing claims and encouraging and supporting strong safety and loss prevention programs. The City targets appropriable net position in the Risk Fund in an amount equal to twenty percent of operating revenues.

SELF-INSURANCE HEALTH FUND

The City's health insurance plan is self-insured under an Administrative Services Only (ASO) Agreement. The City purchases stop loss coverage on an individual and aggregate basis in the event that costs exceed a certain threshold. In addition, the City purchases an excess policy for organ and tissue transplants which pays outside the stop loss coverage.

Funding for the health plan is derived from charges to departments on a per employee basis. The City provides health coverage to all full time employees and subsidizes coverage for dependents and retirees.

Employees contribute to the health plan through payroll deductions for dependent coverage in addition to the subsidy. Retirees contribute to the health plan through payments to Accounting for amounts not subsidized. The City's health plan is qualified Medicare Part D. The City is reimbursed by Medicare for a portion of prescription drugs for retirees or disabled members that are Medicare qualified.

Reserve and rate stabilization balances are analyzed annually to identify funding progress. Any unrestricted cash balance should be utilized as rate stabilization for volatile health costs.

INVESTMENTS

The City's principal investment objectives are listed in order of priority:

(1) Compliance – with all Federal, State, and other legal requirements (includes but is not limited to Chapter 2256 "Public Funds Investment Act" as amended and Chapter 2257 "Public Funds Collateral Act" as amended, of the Texas Government Code).
(2) Safety – Preservation of capital and the protection of investment principal.
(3) Liquidity – Maintenance of sufficient liquidity to meet anticipated disbursements and cash flows.
(4) Diversification – Maintenance of diversity in market sector and maturity to minimize risk in a particular sector.
(5) Yield – Attainment of a market rate of return equal to or higher than the benchmark performance measure established by the Chief Financial Officer.

The City has a formal written investment policy document that is reviewed annually and approved by the City Council. The Audit and Investment Committee monitors investment policies and results. Results are reported to management and to the City Council monthly, in either an oral or written presentation. For additional detail, please refer to the *City of Lubbock Investment Policy and Strategy*.

The City will utilize the Audit and Investment Committee to assist in monitoring the performance and structure of the City's investments. The Audit and Investment Committee shall be responsible for the investment strategy decisions, activities, and the establishment of written procedures for the investment operations consistent with this policy. Monitoring of the portfolio shall be performed by the Audit and Investment Committee no less than quarterly and verified by the City's independent auditor at least annually.

RETIREMENT PLANS

Each qualified employee is included in one of two retirement plans in which the City participates. These are the Texas Municipal Retirement System (TMRS) and the Lubbock Fire Pension Fund (LFPF). The City does not maintain accounting records, hold the

investments or administer either fund. Funds are appropriated annually to meet the actuarially determined funding levels of the plan. TMRS is a statewide agent multiple-employee retirement system that provides pension benefits through a nontraditional joint contributory, defined contribution plan. LFPF is a single-employer, defined benefits pension plan maintained by members of the City's Fire Department with authority under the Texas Local Fire Fighters Retirement Act (Texas Revised Civil Statutes Article 6243e). The City also provides opportunities for investment by its employees of several tax-deferred long-term savings plans.

INVENTORIES
Inventories in Enterprise and Internal Service Funds consist of expendable supplies held for consumption. Inventories are valued at cost using the average cost method of valuation and when inventory is issued, it is accounted for using the consumption method. The targeted inventory turnover ratio is set at 1.8 times. Therefore, if an inventory item is not sold and replaced 1.8 times during a year, it is evaluated to determine if there is sufficient need to keep the item in stock.

In addition, for large volumes of parts and supply needs, such as for the electric and water infrastructure, the City solicits bids to establish annual pricing contracts with vendors to help supply maintenance and construction projects. Vendors are chosen in a competitive process and may be one or more vendors. The City contracts with the vendor to deliver only the inventory items needed, to the electric and water operations, "just-in-time" for use. This process reduces storage and leftover inventory items. The targeted turnover ratio and the primary vendor alliances also minimize inventory loss due to obsolescence.

D. BUDGET APPROPRIATION/CONTROL

Budget controls are designed to ensure compliance with legal provisions embodied in the annual appropriated budget approved by the City Council. Activities of the General Fund are included in the annual appropriated budget. Project-length financial plans are adopted for the capital program funds. The level of budgetary control (that is the level at which expenditures cannot legally exceed the appropriated amount) is established by fund. A budget supplement, approved by City Council, is required if actual expenditures will exceed total budget. Fund appropriations of the City Council are allocated to programs, offices, departments, divisions, projects and character and object of expenditures by the City Manager.

BASIS OF BUDGETING
Annual budgets are adopted on a cash basis for all governmental funds. All annual appropriations lapse at fiscal year-end except for grants, special revenue funds, and capital project funds which maintain project-length budgets.

Each City department develops and maintains a mission to implement City Council goals and objectives. Performance benchmarks and activity measures are developed as part of the budget process that measure and track actual performance to budget benchmarks. When performance measures are developed, resources necessary to achieve the performance are estimated and submitted to City Management staff for review and modification.

Annually, the City Manager submits to City Council a proposed operating budget and capital program for the upcoming fiscal year. Public hearings are conducted to obtain taxpayer comments, and the budget is legally enacted through passage of an ordinance by the City Council.

Budgetary control is maintained by department and by the following category of expenditures: compensation, benefits, supplies, maintenance, professional services/training, other charges, scheduled charges, and capital outlay. All budget supplements must be approved by the City Council. Any necessary transfer of funds between accounts, departments or programs can be made by the City Manager for City purposes as a result of unusual or unforeseen conditions during the administration of the fiscal year.

The City uses a combination of Planned Program Budgeting, Zero Based Budgeting, and traditional line item budgeting to determine its operating budget.

The City approach annually reexamines existing program activities and analyzes the effect of reducing or reallocating current levels of resources.

Re-evaluation of programs allows a response to changing economic and political environment, the needs of the community, the citizens, and employees.

BUDGET CONTROL
Control of expenditures is accomplished administratively through City Council adopted budget ordinances. Departmental budgets are reviewed monthly with management. The Finance Department prepares the monthly management report to advise the City Manager and City Council.

BUDGET REPORTS/MONITORING
Departments review cost center budget reports from the financial system that provide detail by line item of the status of expenditures as compared to budget. A summary report on contracts awarded and the status of the City's various capital projects is prepared as a monthly report and presented to the City Manager and the City Council.

Various tools are used to assist management in examining critical issues and economic conditions which could include, but are not limited to, rate models, rolling 5-year forecasts, revenue projections, cash flow analyses, and other tools that may be useful in planning for the future.

E. MANAGEMENT SYSTEMS

City Management is committed to providing effective information technology tools to support the financial operations of the City. Financial hardware and software is maintained by the Information Technology Department.

II. ACCOUNTING, AUDITING AND FINANCIAL REPORTING

BASIS OF ACCOUNTING
The financial statements of the City conform to generally accepted accounting principles (GAAP) of the Governmental Accounting Standards Board (GASB) and recommended practices adopted by Government Finance Officers Association (GFOA).

INTERNAL CONTROL
The City is responsible for establishing and maintaining an internal control structure designed to provide reasonable, but not absolute, assurance that the assets of the City are protected from loss, theft, or misuse. The concept of reasonable assurance recognizes that (1) the cost of a control should not exceed the benefits likely to be derived and (2) the valuation of costs and benefits require estimates and judgments by management.

EXTERNAL AUDITING
The City is audited annually by outside independent auditors. The auditors must be a CPA firm that has the breadth and depth of staff to conduct the City's audit in accordance with GAAP and contractual requirements. The auditor's report on the City's financial statements must be completed in sufficient time such that the Comprehensive Annual Financial Report (CAFR) may be presented to the City Council at a Council meeting in February following the fiscal year end.

The auditors are accountable to the City Council and will have access to direct communication with the City Council if staff is unresponsive to auditor recommendations or if the auditors consider such communication necessary to fulfill their legal and professional responsibilities. The City will evaluate audit services at the conclusion of the auditor's contract term.

INTERNAL AUDITING
The Internal Auditor annually prepares an audit plan to audit such programs, accounts, areas, and/or processes as have been defined as priority areas by management. Reports are distributed to responsible department heads, directors, City Manager Direct Reports and the City Manager. Responses are required within a reasonable length of time, usually 30 days. The Internal Audit staff assists during the annual audit by the external auditors.

EXTERNAL FINANCIAL REPORTING
The Accounting Department prepares and publishes a CAFR. The CAFR is the official annual report for the City and contains appropriate statements, schedules and other information for the major operations of the City and its component units. Also included is an official audit opinion, and transmittal letter from management. The CAFR is prepared in accordance with GAAP and is submitted annually to the GFOA for evaluation for the Certificate of Achievement of Excellence in Financial Reporting. The CAFR is published and presented to the City Council at a City

Council meeting in February following the fiscal year end. CAFRs are distributed to appropriate federal and state agencies, and other users, including but not limited to, other cities, bondholders, city staff, financial institutions, required information depositories, and others.

The Single Audit report is prepared and presented to grantors no later than nine months following the fiscal year end. The Single Audit report lists the status and current operations of all federal/state and local funding awarded and received.

INTERNAL FINANCIAL REPORTING
The Finance Department prepares and publishes a Monthly Management Report. The report updates the financial and operational status on a monthly basis.

III. CAPITAL ASSETS

CAPITAL IMPROVEMENT PROJECTS
Within the resources available each fiscal year, the City shall maintain capital assets and infrastructure at a sufficient level to protect the City's investment to minimize future replacement and maintenance costs, and to maintain service levels. As part of the annual budget process, the City reviews a projected five-year need for capital improvements and equipment, the current status of the City's infrastructure, replacement and renovation needs, and potential new projects. When projects are contemplated, related costs such as operations and maintenance costs are evaluated along with capital expenditures to assess affordability prior to proposal of the projects. All operation and maintenance costs are required to be included in any capital project proposal. All projects, ongoing and proposed, will be prioritized based on an analysis of current needs and resource availability. Capital project appropriations are approved on a project-length basis.

Capital project funds are used to accumulate resources to construct, install, or purchase new assets. They also enhance or improve existing facilities.

Capital Projects must have a cost of $25,000 or more and generally have a life of five or more years. Many of the projects require more than one year for completion and are accounted for on a life-to-date basis. Capital Project summaries include the projects and funds necessary over the next five years as part of overall long-term capital planning. Major sources of funding for capital projects are contributions from operating funds, debt issuance, Federal and State grants, and surpluses in fund balances/net position.

Project costs are capitalized and added to the City's Fixed Assets. If a project does not meet the criteria for capitalization, the costs will be treated as operating expenses and expensed as incurred.

CAPITAL REPLACEMENT POLICY
The City shall annually prepare a schedule for the replacement of its non-infrastructure capital assets and replace those assets within the resources available each fiscal year.

PROPERTY, PLANT, AND EQUIPMENT
Property, Plant, and Equipment is managed in accordance with the City of Lubbock Property, Plant, and Equipment Policy. Items having a unit cost of $5,000 or more with a useful life longer than one year are capitalized as Fixed Assets. Fixed Assets are depreciated monthly using the straight line method of depreciation. Fixed Assets are inventoried annually by department managers, with vehicles being inventoried on a biennial basis. Transfers and deletions are initiated and authorized by department managers.

Fixed assets are reported in the Government Wide Financial Statements and the proprietary fund statements. Capital assets are recorded at cost or estimated historical cost if purchased or constructed. Donated assets are recorded at the estimated fair value on the date of donation.

FINANCING
There are three basic methods of financing capital requirements:

- Funds may be budgeted from current revenues;
- Purchases may be financed through surplus unreserved/undesignated fund balance/retained earnings balances, subject to policy;
- Debt may be issued in accordance with the *Debt Policy*.

Debt is issued for new capital items. Pay-as-you go financing is used for (1) any operating capital outlay, and (2) permanent capital maintenance items. Items

financed with debt must have useful lives that are less than the maturity of the debt.

IV. ECONOMIC DEVELOPMENT

PROMOTION OF A POSITIVE BUSINESS ENVIRONMENT

The City, through its regulatory and administrative functions strives to provide a positive business environment in which local businesses can grow, flourish and create jobs. The City Council and Staff are sensitive to the needs, concerns, and issues facing local businesses. In 1995, the City Council created Market Lubbock, Inc. to coordinate the economic development function. In 2004, voters passed a 1/8 cent sales tax for economic development. Lubbock Economic Development Alliance was formed to administer the sales tax and promote business expansion/retention and recruitment of new business for the City.

EXPANDING THE ECONOMY

The City encourages and participates in economic development efforts to expand Lubbock's economy and tax base and to increase local employment. These efforts focus on areas that include but are not limited to newly developing areas, inner city areas, and the Central Business District. The City's economic development program also seeks to expand the non-residential share of tax base to decrease the tax burden on residential homeowners.

ECONOMIC INCENTIVES

The City uses economic programs such as Enterprise Zones which provide incentives for businesses expanding or locating in specific areas of the City. These incentives include tax abatement and others, as allowed by law. The City also uses tax abatement in areas outside the Enterprise Zones to encourage industrial growth and development in Lubbock. The City coordinates with state and federal agencies on offering any incentives to programs they may provide for potential economic expansion. The City uses due caution in the analysis of tax incentives used to encourage development and periodically reviews tax abatement contracts to ensure that the community is receiving promised benefits in added value and job creation.

INTERLOCAL COOPERATION

The City's economic development program encourages close cooperation with other local jurisdictions, chambers of commerce, and groups interested in promoting the economic well-being of the area. The City, through LEDA, participates in a regional economic development entity called the High Ground of Texas, in recognition that promotion of regional economic development has a direct benefit to Lubbock citizens.

FREEPORT TAX EXEMPTION

A Freeport tax exemption exempts Freeport property from ad valorem taxation. Freeport property is various goods that are detained in Texas for 175 days or less and that are for the purpose of assembly, storage, manufacturing or processing. The City Council approved a Freeport tax exemption per Resolution No. 6142, dated December 16, 1998.

REDEVELOPMENT POLICIES

The City shall follow redevelopment policies for the acquisition, clearing, and reuse of land that is already platted, with public improvements already constructed, accepted, and maintained by the City. The policies may also include vacant, platted property. The City reserves the right to either participate or not participate depending on the amount of public benefit. The City may work with a developer(s) and negotiate appropriate City participation to support redevelopment deemed beneficial to the public. The City will not normally assume the role of lead developer.

<u>Land Aggregation Polices</u>

The purchase and aggregation of parcels is the responsibility of the developer(s) and the City does not intend to use eminent domain, unless the City Council agrees special circumstances exist. If the City does use eminent domain to obtain property for public use in a redevelopment project, including streets and utility rights of ways and easements, it will require the redevelopment to pay the cost of the condemnation if it benefits the redevelopment project. The City may determine that the abandonment of existing City right-of-way, easements, or public property will be a public benefit to a redevelopment project.

Financial Policy

Public Infrastructure

Redevelopment normally involves the reuse of land where paved streets, water and wastewater lines, and storm water facilities are already maintained by the City. The City will participate in the repair of structures only if the funds are available and there is sufficient public benefit. The City only participates in the rerouting and widening of streets, utility lines, and facilities if the cost is less than the cost of replacing and the benefit to the public outweigh the cost.

Amenities

Redevelopment may include the installation or replacement of improvements that improve or enhance the architectural, aesthetic, or character of a reuse project. These expenses can enhance the success and long term viability of the project. These items include lighting, landscaping, sidewalks, trails, street furniture, transit facilities, etc. The City may choose to pay for the developer portion of such amenities in those redevelopment situations where the benefits outweighs the cost.

TAX INCREMENT FINANCING POLICIES

The City shall use Tax Increment Financing (TIF) for the creation of Tax Increment Reinvestment Zones (TIRZ) as a useful funding mechanism to finance new public improvements in designated areas in order to simulate new private investments. The area needs to be an unproductive, underdeveloped, blighted area, or an area that is predominately open and underdeveloped. The City will not consider creating a TIRZ in a neighborhood, commercial district, or area where there is limited public purpose and the sole benefit is to the property owners to the detriment of the General Fund and the other taxpayers in the City. A TIRZ will also not be considered where there is not a significant proposed increase in property values due to private development or redevelopment.

The TIRZ is eligible to finance capital costs, financing costs, construction costs, real property assembly costs, relocation expenses, professional services, and administration and organization costs, direct operating costs for zone and project facilities, and improvements that add to the viability of the project and enhance property values. Funding sources my include Gap Financing, Pay-As-You-Go Financing, Revenue Bonds, Certificates of Obligation, and City Financing. Regardless of the source of funds, the TIRZ Financing Plan should attempt to correlate private improvements with the corresponding increment in property taxes, the timing of the receipts of those funds, and the debt issuance to fund public improvements.

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City of Lubbock, TX
Finance Department
Investment Policy and Investment Strategy for 2016

Policy

The Executive Director of Finance, or Designee, of the City of Lubbock, Texas, is charged with the responsibility to prudently and properly manage any and all funds of the City. Time and demand deposits must be fully collateralized and all transactions appropriately authorized. The following investment policy addresses the procedures, controls, and practices, which must be exercised to ensure sound fiscal management. The statutory foundation for this Policy is the Public Funds Investment Act (the "Act", Texas Government Code 2256) and the Public Funds Collateral Act, (Texas Government Code 2257.)

Scope

This policy shall apply to the investment of all financial assets and all funds of the City of Lubbock (hereinafter referred to as the "City") over which it exercises financial control. In order to effectively make use of the City's cash resources, all moneys, with the exception of certain bond proceeds which must be segregated and accounted for separately ("Bond Funds"), shall be pooled into one investment account ("Operating Funds"). The investment income derived from this account shall be distributed to the various City funds in accordance with the existing City Policy.

These funds are accounted for in the City of Lubbock Comprehensive Annual Financial Report (CAFR) and include:

General Fund
Special Revenue Funds
Debt Service Funds
Capital Projects Funds
Enterprise Funds (excluding WTMPA)
Internal Service Funds
Agency Funds

The Bond Funds Portfolio includes bond proceeds recorded in Capital Projects, Enterprise, and Internal Service Funds, while the Operating Portfolio includes all other resources in the other funds listed.

Objectives

The City's principal investment objectives are listed in order of priority:

A. Compliance with all Federal, State, and other legal requirements (includes but is not limited to Chapter 2256 Public Funds Investment Act, as amended and Chapter 2257 Public Funds Collateral Act, as amended, of the Texas Government Code)
B. Safety: Preservation of capital and the protection of investment principal.
C. Liquidity: Maintenance of sufficient liquidity to meet anticipated disbursements and cash flows.
D. Diversification: Maintenance of diversity in market sector and maturity to minimize market risk in a particular sector.
E. Marketability: Ability to liquidate investments before maturity if the need arises.
F. Yield: Attainment of a market rate of return equal to or higher than the performance measure established by the Executive Director of Finance, or Designee.

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Responsibility and Control

Delegation of Authority

The ultimate responsibility and authority for investment transactions involving the City resides with the Executive Director of Finance, or Designee. The Executive Director of Finance, or Designee, has delegated the investment function to the Debt and Investment Analyst, or Designee, and all are designated as Investment Officers. (2256.005(f)) The Debt and Investment Analyst, or Designee is charged with executing the day-to-day investment functions for the City following the guidance and recommendations of the City's Audit and Investment Committee.

Audit and Investment Committee

The City will utilize the Audit and Investment Committee to assist in monitoring the performance and structure of the City's investments. The Audit and Investment Committee shall be responsible for the investment strategy decisions, activities, and the establishment of written procedures for the investment operations consistent with this policy. Monitoring of the portfolio shall be performed by the Audit and Investment Committee no less than quarterly and verified by the City's independent auditor at least annually. The Audit and Investment Committee shall discuss investment reports, investment strategies, and investment and banking procedures.

Investment Advisors

The Executive Director of Finance, or Designee, may in his/her discretion, with Council approval, appoint one or more investment advisors, registered with the Securities and Exchange Commission under the Investment Advisors Act of 1940 (15 U.S.C. Section 80b-1 et seq.), to assist in the management of a portion of the City's assets. To be eligible for consideration, an investment advisor shall demonstrate to the Audit and Investment Committee knowledge of cash management and experience in managing public funds. Selection of any investment advisor shall be based upon their expertise in public cash management. An appointed investment advisor may be granted investment discretion within the guidelines of this Investment Policy with regard to the City's assets placed under its management. A contract made under authority of the Act may not be for a term longer than two years on the original contract term. A renewal or extension of the contract must be made by the City Council by resolution. (2256.003)

Standard of Care

The standard of care is to be used for managing the City's assets (2256.006), which states, "Investments shall be made with judgment and care, under prevailing circumstances, that a person of prudence, discretion, and intelligence would exercise in the management of the person's own affairs, not for speculation, but for investment, considering the probable safety of capital and the probable income to be derived." Investment officers acting in accordance with written procedures and exercising due diligence, shall not be held personally liable for a specific security's credit risk or market price changes, provided deviations from expectations are reported in a timely fashion and appropriate action is taken to control adverse developments. The City’s independent auditor will perform a compliance audit of management controls on investments and adherence to investment policies annually.

In accordance with the Act (2256.005 and 2256.008), the Investment Officers shall attend 10 hours of investment training within 12 months of assuming duties and 8 hours not less than once in a two-year period that begins on the first day of the City’s fiscal year and consists of the two consecutive fiscal years after that date. The investment training session shall be provided by an independent source approved by the Audit and Investment Committee. Training must include education in investment controls, security risks, strategy risks, market risks, and diversification of investment portfolio in order to ensure the quality and capability of investment management in compliance with the Act.

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Investment Portfolio

Authorized Investments

The following are authorized investments for the City and all are authorized and further defined by the Act:

- Obligations of the United States or its agencies and instrumentalities, which have a liquid market with a readily determinable market value but excluding mortgage backed securities. (2256.009(1))
- Direct obligations of this state or its agencies and instrumentalities (2256.009(2)) rated not less than A or its equivalent by at least one nationally recognized investment rating firm. (2256.009(a)(5))
- Other obligations, the principal and interest of which are unconditionally guaranteed or insured by, or backed by the full faith and credit of, this state or the United States or their respective agencies and instrumentalities, including obligations that are fully guaranteed or insured by the Federal Deposit Insurance Corporation or by the explicit full faith and credit of the United States. (2256.009(a)4))
- Obligations of states (other than Texas), agencies, counties, cities, and other political subdivisions of any state rated not less than A or its equivalent by at least one nationally recognized investment rating firm. (2256.009(a)(5))
- Fully collateralized certificates of deposit issued by a state or national bank that has its main office or a branch office in Texas and guaranteed, or insured by the Federal Deposit Insurance Corporation or its successor, secured by obligations authorized by this subchapter, or secured in any other manner and amount provided by law for deposits of the City (2256.0010)
- Constant dollar, investment pools rated not less than AAA or an equivalent rating by at least one nationally recognized rating service and authorized by the City Council and as further defined by the Act**,** which invests in eligible securities as authorized by this subchapter (2256.016).

The following investments are prohibited by the Act (2256.009(b)):

- An obligation whose payment represents the coupon payments on the outstanding principal balance of the underlying mortgage-backed security collateral and pays no principal, i.e. interest-only collateralized mortgage obligations (IO's).
- Obligations whose payment represents the principal stream of cash flow from the underlying mortgage-backed security collateral and bears no interest, i.e. principal-only collateralized mortgage obligations (PO's).
- Collateralized mortgage obligations that have a stated final maturity date of greater than 10 years.
- Collateralized mortgage obligations the interest rate of which is determined by an index that adjusts opposite to the changes in a market index, i.e. CMO inverse floaters.
- Investment in the aggregate of more than 80 percent of the entity's monthly average fund balance, excluding bond proceeds and reserves and other funds held for debt service, in money market mutual funds or mutual funds; investment in the aggregate of more than 15 percent of its monthly average fund balance, excluding bond proceeds and reserves and other funds held for debt service, in mutual funds; investment of any portion of bond proceeds, reserves, and funds held for debt service, in mutual funds; and investment of its funds or funds under its control, including bond proceeds and reserves and other funds held for debt service, in any one mutual fund in an amount that exceeds 10 percent of the total assets of the mutual fund (2256.014).

Existing Investments

The Investment Officer is not required to liquidate investments that were authorized investments at the time of purchase (2256.017).

Effect of Loss of Required Rating

An investment that requires a minimum rating does not qualify as an authorized investment during the period the investment does not have a minimum rating. The Investment Officer shall take all prudent measures that are consistent with the City's investment policy to liquidate the investment(s) that does not have the minimum rating

(2256.021). In accordance with Section (2256.005(b)), the Investment Officer shall monitor rating changes in current investments by keeping a monthly record of ratings issued by three nationally recognized rating agencies.

Investment Diversification

It is the intent of the City to diversify the investment instruments within the portfolio to avoid incurring unreasonable risks inherent in over-investing in specific instruments, individual financial institutions or maturities. The asset allocation in the portfolio should, however, be flexible depending upon the outlook for the economy and the securities markets. When conditions warrant, the guidelines below may be exceeded by approval of the Audit and Investment Committee.

The City may invest to the following limits as a percentage of its total investment portfolio, these limitations do not apply to Bond Funds:

100% in United States Treasury Obligations
100% in Investment Pools
80% in Federal Instrumentalities or Agencies
50% in Certificates of Deposit
30% in Municipal Bonds

Investment Pools

In accordance with the Act (2256.016) investment pools must be continuously rated no lower than AAA or equivalent, with a weighted average maturity of less than 60 days. The pool must have an advisory board. A thorough investigation of the pool is required prior to investing, and on a continual basis, as due diligence, and shall include but is not limited to, the following topics:

- A description of eligible investment securities, and a written statement of investment policy and objectives.
- A description of interest calculations, method of distribution, and treatment of gains and losses.
- A description of the method used to safeguard securities (including the settlement processes), and the frequency and method by which securities are priced.
- An annual audited financial statement.
- The yield and expense ratio of the pool, including a statement regarding how yield is calculated.
- The frequency of audit of the program.
- A description of eligible participants along with allowable frequency and size of deposits and withdrawals.
- A schedule for receiving statements and portfolio listings.
- The policy under which reserves, retained earnings, etc. may be utilized by the pool.
- A fee schedule, and when and how it is assessed.
- Information related to the fund's eligibility for accepting bond proceeds.
- Investments in a qualifying Investment Pool should be limited to no more than 5% of the total assets in the pool.

Investment Strategy

The City of Lubbock maintains portfolios, which utilize four specific investment strategy considerations, designed to address the unique characteristics of the fund groups represented in the investment portfolios. The policies detailed below are subject to an annual review to occur prior to the annual City Council action regarding the Investment Policy. (2256.005(d))

(1) Operating Funds and Commingled Pools Containing Operating Funds

The investment strategy for the portfolio containing operating funds, the Operating Portfolio, has as its primary objective to assure that anticipated cash flows are matched with adequate investment liquidity. Investment maturities shall be matched against liabilities including debt service requirements.

The secondary objective of the Operating Portfolio is to create a portfolio structure, which will experience minimal volatility during economic cycles. This will be accomplished by purchasing high quality, short- to medium-term securities, which will complement each other in a laddered maturity structure.

The City shall maintain a dollar-weighted average maturity of two (2) years or less based on the stated final maturity dates of each security in its Operating Portfolio. The City shall at all times maintain at least 10% of its operating investment portfolio in instruments maturing in 120 days or less.

(2) Debt Service Funds

The investment strategy for debt service funds shall have as the primary objective the assurance of investment liquidity adequate to cover each succeeding debt service obligation on the required payment date. Securities purchased shall not have a stated final maturity date which exceeds any unfunded debt service payment date. The maximum weighted average maturity shall not exceed one (1) year.

(3) Debt Service Reserve Funds

The investment strategies for debt service reserve funds shall have as the primary objective the ability to generate a dependable revenue stream to the appropriate debt service fund from securities with a low degree of volatility. Except as may be required by the bond ordinance specific to an individual issue, securities should be of high quality with short- to intermediate-term maturities. The maximum weighted average maturity shall not exceed one (1) year. Volatility shall be further controlled through the purchase of securities carrying the highest coupon available within the desired maturity and quality range using a laddered maturity structure. Such securities will tend to hold their value during economic cycles.

(4) Bond Funds

The investment strategy for bond funds will have as their primary objective to assure that anticipated cash flows are matched with adequate investment liquidity. These portfolios should include at least 10% in highly liquid securities to allow for flexibility and unanticipated project outlays. The stated final maturity dates of securities held shall not exceed the estimated project completion date. The maximum weighted average maturity shall not exceed two (2) years.

Cash Flow

A cash flow analysis shall be reviewed and updated no less than semi-annually. This cash flow analysis is the basis for matching liabilities or obligations with security maturities as outlined in the strategies previously listed.

Maximum Maturity

The maximum maturity of any individual security the City may invest in shall be 5 years.

Derivatives

A derivative is any security whose cash flow characteristics (coupon, redemption amount, or stated and estimated maturity) depend upon one or more indices or that has embedded futures or options. They can be linked to different market sectors or interest rate scenarios including: 1) increasing or decreasing interest rates, 2) U.S. Treasury yield curve, 3) foreign yield curves, 4) relationship between two different yield curves, 5) foreign exchange rates 6) equity price movements, and 7) commodity price movements.

The City shall define a derivative for purposes of investment as any mortgaged backed security to eliminate possible extension, volatility and reinvestment risk. The City will not invest in any mortgage-backed securities (MBS) whether a straight pass-through mortgage backed or further derived mortgage backed security (CMO).

The City shall not define United States Agency and Instrumentality debentures as derivatives. Debentures have a defined maturity date, which cannot extend regardless of their structure. These will be restricted to a maximum maturity of three (3) years. Floating rate debentures may only float on the U.S. Treasury rates and not exceed one (1) year in maturity.

The Investment Officers will monitor the development of new financial instruments and may present to the Audit and Investment Committee amendments to the above definition. The Investment Officers will not invest in derivatives without approval from either the Committee or City Council.

Management Style

The City seeks an active, rather than passive, management of its portfolio assets. Assets may be sold at a loss only if the Investment Officers feel that the sale of the security is in the best long-term interest of the City. Supporting documentation shall be maintained by the Investment Officer for all sales of securities in which there is a book loss or where a security is sold in order to simultaneously purchase another security.

Authorized Financial Broker/Dealers and Institutions

The City shall maintain a list of authorized broker/dealers and financial institutions, which are approved by the Audit and Investment Committee for investment purposes. It shall be the policy of the City to purchase securities only from those authorized institutions and firms. The Committee will review and approve the list at least annually.

To be eligible for authorization, each broker/dealer or financial institution shall:

1. Complete and submit to the City a Broker/Dealer Questionnaire, which includes the firm's most recent financial statements.
2. Provide a written instrument certifying that they have received and thoroughly reviewed the City's investment policy and have implemented reasonable procedures and controls and understand the parameters set by the City of Lubbock.
3. Be a member of the FDIC (Financial Institutions only)
4. Be a "primary" dealer or regional dealer that qualifies under Securities & Exchange Commission Rule 15C3-1 (uniform net capital rule). All broker/dealers must submit: (a) audited financial reports (b) proof of National Association of Security Dealers certification, and (c) proof of state registration (Broker/Dealers only).
5. Provide competitive offers, resulting in the sale of a security, to the City. If there are no sales from a particular broker/dealer over a 12-month period, this broker/dealer will be removed from the approved broker/dealer listing (Broker/Dealers only).

The Investment Officer, or investment advisor, shall maintain a file of all Broker/Dealer Questionnaires. Broker/dealers and other financial institutions will be selected on the basis of their expertise in cash management and their ability to provide service to the City's account.

The Investment Officers shall exercise due diligence in monitoring the activities of other officers and subordinate staff members engaged in transactions with the City. Employees of any firm or financial institution offering securities or investments to the City of Lubbock shall be trained in the precautions appropriate to public-sector investments and shall be required to familiarize themselves with the City's investment objectives, policies and constraints. In the advent of a material adverse change in the financial condition of the firm or financial institution, the City will be informed immediately by telephone and in writing.

All investment transactions must be competitively transacted and executed with broker/dealers or financial institutions that have been authorized by the City. The City will obtain at least 3 competitive offers. Exception: New issues will not be required to be competitively transacted as all broker/dealers would show the same price and yield.

Selection of Financial Institutions

Depositories shall be selected through the City's banking services procurement process, which shall include a formal request for application. In selecting depositories, the services available, service costs, and credit-worthiness of institutions shall be considered, and the Investment Officers, shall conduct a comprehensive review of prospective depositories' credit characteristics and financial history.

The City shall select financial institutions from which the City may purchase certificates of deposit in accordance with the Act and this Policy. The City of Lubbock will have a written depository agreement with any financial institution with whom the City of Lubbock has time or demand deposits. The Investment Officer shall monitor the financial condition of financial institutions where certificates of deposit are held and report quarterly to the Audit and Investment Committee.

Collateralization of Public Deposits

Collateralization requirements are governed by Texas Government Code Chapter 2257 Public Funds Collateral Act. Collateralization will be required on three types of investments: time deposits, demand deposits, and repurchase agreements. In order to anticipate market changes and provide a level of security for all funds, the required minimum collateral level will be 102% of market value of principal and accrued interest monitored and maintained by the financial institution. The City of Lubbock chooses to limit collateral to the following:

Underlying collateral shall be composed of those investments approved in this policy and mortgage-backed securities as defined in Texas Government Code Chapter 2257.002. The maturity of the collateral security shall be no longer than a 30-year stated final maturity. Market value of the collateral shall be priced at least daily for repurchase agreements and monthly for time and demand deposits (including mortgage-backed securities).[A,E]

Collateral shall always be held by an independent third party with whom the City of Lubbock has a current custodial agreement. This should be evidenced by a written agreement in an effort to satisfy the Uniform Commercial Code (UCC) requirement for control. A safekeeping receipt must be supplied to the City of Lubbock for any transaction involving sales/purchases/maturities of securities and/or underlying collateral, which the City of Lubbock will retain. The right of collateral substitution is granted provided the substitution has prior approval of the City and is followed by the delivery of an original safekeeping receipt to the City of Lubbock, and the replacement collateral is received prior to the release of original collateral.

The collateral agreement must be:

- In writing
- Approved by the board of directors of the depository or its loan committee; and,
- Continuously, for the time of its execution, an official record of the depository institution.

Safekeeping of Securities

All securities owned by the City shall be held in City designated third party safekeeping. All trades executed by a dealer will settle Delivery vs. Payment through the City’s safekeeping agent.

Securities purchased by the City shall be held in a segregated account. Collateral pledged to the City securing Certificates of Deposit shall be held in joint custody at the Federal Reserve Bank. It is the intent of the City that all securities be perfected in the name of the City.

Securities held in custody for the City shall be independently audited on an annual basis to verify investment holdings.

Delivery versus Payment

All security transactions, including collateral for repurchase agreements, entered into by the City of Lubbock shall be conducted on a delivery-versus-payment (DVP) basis. That is, funds shall not be wired or paid until verification has been made that the collateral was received by the Trustee. The collateral shall be held in the name of the City. The Trustee's records shall assure the notation of the City's ownership of or explicit claim on the securities. The original copy of all safekeeping receipts shall be delivered to the City.

Same Day Funds Settlement Procedures

All new issues will be made depository book-entry eligible, and principal and interest will be paid to the depository by fiscal agents in same-day funds on the payment date. For all existing issues, payments of principal and interest will be made to depositories by the fiscal agents in same-day funds on the payment date.

Each depository should pay bondholders in same-day funds on the payment date. On, or prior to the morning of the payment date, CUSIP number identification and dollar amount notifications will be sent to each depository using automated communications.

Reporting

Investment reports shall be prepared monthly and be signed and submitted by the Investment Officers, in a timely manner. These reports will be submitted to the City Manager and City Council. This report shall describe in detail the investment position of the City, disclose the market value and book value of each fund group as well as each separate investment, and state the maturity date of each security and accrued interest for the reporting period. It must also express the compliance of the portfolio to the investment strategy contained in the City's Investment Policy, the Act, and Generally Accepted Accounting Principles (GAAP). Market pricing information is obtained through the use of appropriate software available either externally such as through investment advisors, or internally. A written record shall be maintained of all bids and offerings for securities transactions in order to insure that the City receives competitive pricing. An independent auditor will review monthly investment reports on an annual basis, as required by the Act.

Changes in Statutes, Ordinances or Procedures

This policy is designed to operate within the restrictions set forth in applicable State of Texas and Federal laws and statutes, but it does not permit all activity allowed by those laws. Changes to state or federal laws, which restrict a permitted activity under this policy, shall be incorporated into this policy immediately upon becoming law. Changes to state or federal laws, which do not further restrict this policy, shall be reviewed by the Audit and Investment Committee and recommended to the City Council when appropriate.

Performance Review

The Audit and Investment Committee shall meet no less than quarterly to review the portfolio's adherence to appropriate risk levels and to compare the portfolio's total return to the established investment objectives and goals.

The Investment Officers shall periodically establish a benchmark yield for the City's investments, which shall be equal to the average yield on the United States Treasury security, which most closely corresponds to the portfolio's actual weighted average maturity, or other benchmark as approved by the Audit and Investment Committee. When comparing the performance of the City's portfolio, all fees and expenses involved with managing the portfolio should be included in the computation of the portfolio's rate of return.

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Ethics and Conflicts of Interest

Investment Officers, employees, and Audit and Investment Committee Members involved in the investment process shall refrain from personal business activity that could conflict with proper execution of the investment program, or which could impair their ability to make impartial investment decisions. Employees and investment officials shall disclose to the City Manager and the Texas Ethics Commission, any material financial interests in financial institutions that conduct business within this City, and they shall further disclose any large personal financial/investment positions that could be related to the performance of this City's portfolio. A disclosure statement with the Texas Ethics Commission and the City Manager will also be filed if an Investment Officer, employee, or Audit and Investment Committee Member is related within the third degree by consanguinity or within the second degree by affinity, as determined under Chapter 573, to an individual seeking to sell an investment to the City. Employees and officers shall subordinate their personal investment transactions to those of the City particularly with regard to the timing of purchases and sales.

Internal Controls

The Investment Officers shall establish a system of internal controls, which shall be documented in writing. The internal controls shall be reviewed by the Audit and Investment Committee and with the independent auditor on an annual basis. The controls shall be designed to prevent losses of public funds arising from fraud, employee error, misrepresentation by third parties, unanticipated market changes, or imprudent actions by employees and officers of the City.

Policy Revisions

The City Council shall adopt a written instrument by rule, order, ordinance, or resolution stating that it has reviewed the investment policy and investment strategies and that the written instrument so adopted shall record any changes made to either the investment policy or investment strategies (2256.005(e)). The Audit and Investment Committee will review the Investment Policy and Investment Strategies annually. The Audit and Investment Committee shall forward modifications to the Policy or a resolution stating there are no changes to the City Council annually for City Council action.

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Authority/Date Issued:

City Council Resolution # 5728/December 18, 1997
City Council Resolution # 5867/May 28, 1998
City Council Resolution #6600/November 4, 1999
City Council Resolution #2000-R0418/November 27, 2000
City Council Resolution #2001-R0471/November 8, 2001
City Council Resolution #2003-R0065/February 13, 2003
City Council Resolution #2003-R0474/October 23, 2003
City Council Resolution #2004- R0560/November 18, 2004
City Council Resolution #2005- R0478/October 13, 2005
City Council Resolution #2007- R0242/June 14, 2007
City Council Resolution #2007- R0402/August, 23, 2007
City Council Resolution #2008-R0113/April 10, 2008
City Council Resolution #2009-R0138/April 9, 2009
City Council Resolution #2010-R0159/April 8, 2010
City Council Resolution #2011-R0135/April 14, 2011
City Council Resolution #2012-R0033/January 26, 2012
City Council Resolution #2013-R0050/January 31, 2013
City Council Resolution #2014-R0002/January 9, 2014
City Council Resolution #2014-R0002/January 8, 2015

Investment Policy Glossary

AGENCIES: Federal agency securities and/or Government-sponsored enterprises.

ASKED: The price at which securities are offered.

BANKERS' ACCEPTANCE (BA): A draft or bill or exchange accepted by a bank or trust company. The accepting institution guarantees payment of the bill, as well as the issuer.

BROKER: A broker brings buyers and sellers together for a commission.

CERTIFICATE OF DEPOSIT (CD): A time deposit with a specific maturity evidenced by a certificate. Large-denomination CD's are typically negotiable.

COLLATERAL: Securities, evidence of deposit or other property, which a borrower pledges to secure repayment of a loan. Also refers to securities pledged by a bank to secure deposits of public monies.

COMPREHENSIVE ANNUAL FINANCIAL REPORT (CAFR): The official annual report for the City of Lubbock, Texas. It includes combined financial statements for all fund types and account groups as well as combining financial statements, as applicable, and footnotes prepared in conformity with GAAP. It also includes supporting schedules necessary to demonstrate compliance with finance-related legal and contractual provisions, extensive introductory material, and a detailed Statistical and Supplemental Information Section.

COUPON: (a) The annual rate of interest that a bond's issuer promises to pay the bondholder on the bond's face value. (b) A certificate attached to a bond evidencing interest due on a payment date.

DEALER: A dealer, as opposed to a broker, acts as a principal in all transactions, buying and selling for his own account.

DEBENTURE: A bond secured only by the general credit of the issuer.

DELIVERY VERSUS PAYMENT: There are two methods of delivery of securities: delivery versus payment and delivery versus receipt. Delivery versus payment is delivery of securities with an exchange of money for the securities. Delivery versus receipt is delivery of securities with an exchange of a signed receipt for the securities.

DERIVATIVES: (1) Financial instruments whose return profile is linked to, or derived from the movement of one or more underlying index or security, and may include a leveraging factor, or (2) financial contracts based upon notional amounts whose value is derived from an underlying index or security (interest rates, foreign exchange rates, equities or commodities).

DISCOUNT: The difference between the cost price of a security and its maturity when quoted at lower than face value. A security selling below original offering price shortly after sale also is considered to be at a discount.

DISCOUNT SECURITIES: Non-interest bearing money market instruments that are issued a discount and redeemed at maturity for full face value, *e.g.*, U.S. Treasury Bills.

DIVERSIFICATION: Dividing investment funds among securities offering independent returns.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC): A federal agency that insures bank deposits, currently up to $250,000 per depositor, per insured bank, for each account ownership category..

FEDERAL FUNDS RATE: The rate of interest at which Fed funds are traded. This rate is currently pegged by the Federal Reserve through open market operations.

FEDERAL RESERVE SYSTEM: The central bank of the United States created by Congress and consisting of a seven member Board of Governors in Washington, D.C., 12 regional banks and about 5,700 commercial banks that are members of the system.

LIQUIDITY: A liquid asset is one that can be converted easily and rapidly into cash without a substantial loss of value. In the money market, a security is said to be liquid if the spread between bid and asked prices is narrow and reasonable size can be done at those quotes.

LOCAL GOVERNMENT INVESTMENT POOL (LGIP): The aggregate of all funds from political subdivisions that are placed in the custody of the State Treasurer for investment and reinvestment.

MARKET VALUE: The price at which a security is trading and could presumably be purchased or sold.

MASTER REPURCHASE AGREEMENT: A written contract covering all future transactions between the parties to repurchase—reverse repurchase agreements that establishes each party's rights in the transactions. A master agreement will often specify, among other things, the right of the buyer-lender to liquidate the underlying securities in the event of default by the seller-borrower.

MATURITY: The date upon which the principal or stated value of an investment becomes due and payable.

MONEY MARKET: The market in which short-term debt instruments (bills, commercial paper, bankers' acceptances, etc.) are issued and traded.

OPEN MARKET OPERATIONS: Purchases and sales of government and certain other securities in the open market by the New York Federal Reserve Bank as directed by the FOMC in order to influence the volume of money and credit in the economy. Purchases inject reserves into the bank system and stimulate growth of money and credit; sales have the opposite effect. Open market operations are the Federal Reserve's most important and most flexible monetary policy tool.

PORTFOLIO: Collection of securities held by an investor.

PRIMARY DEALER: A group of government securities dealers who submit daily reports of market activity and positions and monthly financial statements to the Federal Reserve Bank of New York and are subject to its informal oversight. Primary dealers include Securities and Exchange Commission (SEC)-registered securities, broker-dealers, banks, and a few unregulated firms.

PRUDENT PERSON RULE: An investment standard. In some states the law requires that a fiduciary, such as a trustee, may invest money only in a list of securities selected by the custody state—the so-called legal list. In other states the trustee may invest in a security if it is one which would be bought by a prudent person of discretion and intelligence who is seeking a reasonable income and preservation of capital.

QUALIFIED PUBLIC DEPOSITORIES: A financial institution which does not claim exemption from the payment of any sales or compensating use or ad valorem taxes under the laws of this state, which has segregated for the benefit of the commission eligible collateral having a value of not less than its maximum liability and which has been approved by the Public Deposit Protection Commission to hold public deposits.

RATE OF RETURN: The yield obtainable on a security based on its purchase price or its current market price. This may be the amortized yield to maturity on a bond the current income return.

REPURCHASE AGREEMENT (RP OR REPO): A holder of securities sells these securities to an investor with an agreement to repurchase them at a fixed price on a fixed date. The security "buyer" in effect lends the "seller" money for the period of the agreement, and the terms of the agreement are structured to compensate him for this. Dealers use RP extensively to finance their positions. Exception: When the Fed is said to be doing RP, it is lending money, that is, increasing bank reserves.

SAFEKEEPING: A service to customers rendered by banks for a fee whereby securities and valuables of all types and descriptions are held in the bank's vaults for protection.

SECURITIES & EXCHANGE COMMISSION: Agency created by Congress to protect investors in securities transactions by administering securities legislation.

SEC RULE 15C3-1: See Uniform Net Capital Rule.

TREASURY BILLS: A non-interest bearing discount security issued by the U.S. Treasury to finance the national debt. Most bills are issued to mature in three months, six months, or one year.

TREASURY BONDS: Long-term coupon-bearing U.S. Treasury securities issued as direct obligations of the U.S. Government and having initial maturities of more than 10 years.

TREASURY NOTES: Medium-term coupon-bearing U.S. Treasury securities issued as direct obligations of the U.S. Government and having initial maturities from two to 10 years.

UNIFORM NET CAPITAL RULE: Securities and Exchange Commission requirement that member firms as well as nonmember broker-dealers in securities maintain a maximum ratio of indebtedness to liquid capital of 15 to 1; also called net capital rule and net capital ratio. Indebtedness covers all money owed to a firm, including margin loans and commitments to purchase securities, one reason new public issues are spread among members of underwriting syndicates. Liquid capital includes cash and assets easily converted into cash.

YIELD: The rate of annual income return on an investment, expressed as a percentage. (a) **INCOME YIELD** is obtained by dividing the current dollar income by the current market price for the security. (b) **NET YIELD** or **YIELD TO MATURITY** is the current income yield minus any premium above par or plus any discount from par in the purchase price, with the adjustment spread over the period from the date of purchase to the date of maturity of the bond.

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Debt Policy

The City's Executive Director of Finance is charged with the responsibility for prudently and properly managing any and all debt incurred by the City. The following policy provides the methods, procedures, policies and practices which, when exercised, ensure the sound fiscal management of the City's debt program.

SCOPE

This policy applies to all long-term debt securities issued by the City. This may include general obligation bonds, certificates of obligation, revenue bonds, capital leases, private placements, and letters of credit. The debt of the City is recorded in the *Government-Wide Financial Statements* with columns breaking out governmental activities from business-type activities. The fund financial statements use a different measurement focus for governmental funds, recording debt service expenditures rather than long-term liabilities. The fund financial statements for *Enterprise and Internal Service Funds* record long-term liabilities for accounting purposes, but focus on debt service expenditures when budgeting.

General-purpose debt and tax-supported debt instruments are recorded in the *Government-Wide Financial Statements in the governmental activities column* while current principal and interest requirements and necessary resources to service debt instruments are recorded in the *Debt Service Fund*.

Most self-supported Certificates of Obligation are recorded in the fund that generates the user fees that are pledged to repay the debt. For example, Water Certificates of Obligation are recorded in the *Water Enterprise Fund*, Sewer Certificates of Obligation in the *Sewer Enterprise Fund*, and so on. An exception is when the self-supported issuance is supported by a dedicated revenue source in Special Revenue Funds, such as Hotel/Motel Tax, Gateway Franchise Fees, and Tax Increment Financings. These certificates are recorded in the *Government-Wide Financial Statements in the governmental activities column*, as they are general-purpose debt.

Revenue bonds are recorded in the Enterprise Fund that generates the user fees that are the underlying revenue pledge for the debt. For example, Electric Revenue Bonds are recorded as a liability in the *Electric Enterprise Fund.*

Capital leases for governmental funds are recorded in the *Government-Wide Financial Statements* in the governmental activities column, while the debt service expenditures are recorded in the *General Fund*. Capital leases for business-type activities are recorded in the *Enterprise Funds* and the *Internal Service Funds* based on the fund that collects the fees that support the lease. The funds are accounted for in the *City of Lubbock Comprehensive Annual Financial Report ("CAFR").*

DEBT LIMITS

While there is no direct debt limitation in the City Charter or under state law, the City operates under a Home Rule Charter that limits the maximum tax rate, for all City Purposes, to $2.50 per $100 assessed valuation. Administratively, the Attorney General of the State of Texas will permit allocation of $1.50 of the $2.50 maximum tax rate for general obligation debt service.

The City evaluates new debt issuance as it relates to the current debt level. The amount of debt retired each year is compared to the amount of debt to be issued any given year and an analysis performed to determine the community's ability to assume and support additional debt service payments. When appropriate, the issuance of self-supporting revenue bonds and self-supporting certificates of obligation are also considered.

An objective, analytical approach is used to make the determination of whether debt is issued. The process compares generally accepted standards of affordability to the current values for the City. Those standards may include measures such as: debt per capita, debt as a percent of assessed value, debt service payments as a percent of current revenues and/or current expenditures, and the level of overlapping net debt of all local taxing jurisdictions.

OBJECTIVES

Legal and Regulatory Compliance

The City's debt policies and procedures are designed to ensure compliance with all state and federal law governing debt, including but not limited to, state law, federal law, U.S. Constitution, Internal Revenue Service rules and regulations, Securities and Exchange Commission ("SEC") regulations, Municipal Securities Rulemaking Board ("MSRB") regulations, court rulings, existing debt covenants, and City Charter provisions.

As a result of the importance of complying with all legal and regulatory requirements, the Executive Director of Finance and the City Attorney will coordinate all activities necessary to issue debt, including but not limited to the following:

- selection of bond counsel;
- review ordinances and resolutions provided by bond counsel;
- review all documents necessary to issue debt provided by bond counsel; and
- verify compliance with the City Charter.

RESPONSIBILITY AND CONTROL

The ultimate responsibility and authority for issuing debt is approval by the City's governing body, the City Council. The Executive Director of Finance is charged with the responsibility for the appropriate management of

Debt Policy

the City's debt program. The Senior Financial Analyst executes the day-to-day debt functions of the debt program following the policies and procedures as well as the guidance and recommendations of the Executive Director of Finance, Senior Management, and City Council.

SELECTION OF SERVICE PROVIDERS

Financial Advisors

The Executive Director of Finance provides recommendations for the selection of a financial advisor for the City's debt program. The financial advisor may perform the following duties including but not limited to the following: presenting all available financing alternatives, comprehensive analyses for debt refinancing, recommendations for alternative financial structures, development of timing and sale of new issues, coordinating the market timing and pricing of debt securities, issuing and disseminating the bond offering documents and other disclosure requirements, coordinating with the underwriters of the bond issuance, seeking and coordinating ratings from the nationally recognized rating agencies, and providing guidance and advice about debt-related topics and the capital markets.

The recommendations to select a financial advisor may be based on the results of a formal request for proposal process or may be based on a quantitative and qualitative analysis of financial advisors. In either case, when the recommendation is made for Senior Management and City Council approval, the basis for the recommendation will be submitted for review. The engagement of a financial advisor is implemented through the approval of a contract by the City Council that has a term of no more than five years.

Bond Counsel

The Executive Director of Finance coordinates with the City Attorney and Senior Management on the selection of bond counsel. When the bond counsel has been selected, they are responsible for providing an opinion to investors in two specific areas: First, the bond counsel must assure investors that the securities are valid and legally binding obligations of the City. Second, the bond counsel will state whether the interest on the bonds is exempt from federal taxation. The bond counsel also prepares all bond documents necessary to execute the bond issuance. The bond counsel is responsible for coordinating with the City Attorney's Office, City Secretary's Office and Finance Office as well as the City's financial advisor to ensure that all tasks associated with the bond issuance are completed within prescribed timeframes.

Paying Agent/Registrar

The City's financial advisor may conduct a request for proposal process to select the paying agent/registrar for each new issue and may recommend the successful candidate for approval by City staff.

Underwriters

In a negotiated sale (See Methods of Sale), the Executive Director of Finance, after review with Senior Management, makes recommendations about which underwriting firms to include in the underwriting syndicate. A diverse group of securities firms will be chosen based on past performance, demonstrated ability to resell, prior municipal issuance experience and other factors.

Bond Insurer

Credit quality and marketability of securities may be enhanced through the purchase of municipal bond insurance. The City may pay a single premium and in turn the bond insurer unconditionally guarantees the payment of principal and interest to bondholders in the event of default.

Prior to purchasing insurance for an issue, the City performs a cost-effectiveness analysis with assistance from the financial advisor and bond counsel. Due to the City's high credit quality, the costs of insurance typically outweigh the benefits the City may derive by insuring an issue, but due consideration will be given to the possibility of insurance.

CAPITAL PROGRAM

One of the City Council's goals is to maintain the excellent quality of the City's infrastructure. One of the mechanisms to achieve that objective is the maintenance of a Capital Program.

Citizen's Advisory Committee

Approximately every five years, the City initiates the development of a multi-year financing and management tool that identifies public facility and equipment requirements, places these requirements in order of priority, and schedules them for funding and implementation. The City Council begins the process by appointing a Citizen Advisory Committee, made up of citizens that have skills and abilities suited to make recommendations on needed capital improvements.

A finance subcommittee is typically appointed and charged with the objective of evaluating the current debt capacity and recommending an amount of debt (including structure, maturity and other relevant elements) the City can incur within parameters set by City Council regarding tax rates, fee structure, and debt policies.

When the Citizen's Advisory Committee has made their recommendations, and the City Council approves all or part of these recommendations, an election is scheduled to seek a public vote to approve the issuance of general-purpose debt that will be supported by property tax. The amount of general-purpose debt approved by the voters is issued, as needed, for construction of approved capital projects. The underlying asset that is being financed should have useful life that is equal to, or longer than the

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maturity schedule of the debt issued for the financing of the asset. Since issuing debt costs more than purchasing assets outright, the use of financing will be carefully evaluated to ensure that benefits, tangible and/or intangible, derived from financing exceed the related financing costs.

Ongoing Capital Needs – "Pay-as-you-Go"
Capital Projects are generally defined as costs to construct an asset or system improvement that exceeds $25,000 and has a useful life of at least five years.

The City Council's goals and policies focus on infrastructure maintenance. The City strives to maintain capital assets and infrastructure at a sufficient level to protect the City's investment to minimize future replacement and maintenance costs, and to maintain service levels.

An annual review of the (1) need for capital improvements and equipment, (2) current status of the City's infrastructure, (3) replacement and renovation needs, and (4) potential new projects, is implemented during the budget process. All projects, ongoing and proposed, are prioritized based on an analysis of current needs and resource availability. For every capital project, all operation and maintenance costs are included in the proposal as well as start date, requested total budget, the amount expected to be expended each year, and proposed sources of financing.

The Finance Office matches all of the eligible requests which represent the full range of capital needs, with all known sources of funding. The requests are prioritized in order of need. Decisions are made on prioritization of proposed projects using sound judgment of criteria such as:

- requirements on operations to meet anticipated growth;
- need for an orderly replacement of existing capital facilities and equipment;
- current levels of capital repair and replacement including obsolescence;
- projects that demonstrate an ultimate cost recovery/savings;
- ongoing and projected future maintenance requirements; and
- the extent to which a project addresses a public health or safety issue or court order/mandate.

Capital Projects may be funded using current revenues (property tax, dedicated tax, Enterprise User fees, etc.) grant funds, contributions (such as developer contributions) and the issuance of debt.

Capital Projects are considered for issuance of debt when construction is to provide infrastructure to meet growth needs, so that future residents may service the debt in addition to current users and when the project requires an immediate large capital outlay or is for an unusually large total amount. This reduces the onerous tax burden that would be necessary to fund the Capital Project on a "pay-as-you-go" basis.

Current operating and maintenance costs are not funded with debt issuance.

METHODS OF SALE
The City typically chooses from three different methods of selling debt securities. The methods and the description of each method are listed below:

1. **Competitive Sale –** Bonds are awarded in an auction-style of sale to an underwriter or syndicate of underwriters that provides the lowest True Interest Cost (TIC) bid. TIC is defined as the rate, which will discount the aggregate amount of debt service payable over the life of the bond issue to its present value on the date of delivery. The successful underwriter is required to provide a "good faith deposit" to the City in the amount of two percent of the total issuance. This deposit will be returned to the lead underwriter within 24 hours of the successful delivery of the bonds. Competitive sales offer all interested underwriters an opportunity to compete for the reoffering of the City's bonds.

2. **Negotiated Sale –** The City chooses an underwriter or underwriting syndicate that is interested in reoffering a particular series of bonds to investors. The terms of the sale, including the size of the underwriter's discount, date of sale, and other factors are negotiated between the City and the Underwriter(s). The lead underwriter is required to provide a "good faith deposit" to the City in the amount of one percent of the total issuance.

   Although the method of sale is termed negotiated, individual components of the sale may be competitively bid. The components are subject to a market analysis and reviewed prior to recommendation by staff. Negotiated sales are more advantageous when there needs to be some flexibility in the sale date and market volatility is a concern.

   Negotiated sales are also often used when the issue is particularly large, if the sale of the debt issuance would be perceived to be more successful with pre-marketing efforts when a desired debt structure is a necessity and when market timing is a consideration.

3. **Private Placement –** The sale of debt securities to a limited number of sophisticated investors without the use of certain traditional financing documents such as an official statement and the possibility of no credit

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ratings. The City may engage a placement agent to identify likely investors. A private placement is beneficial when the issue size is small or when the security for the bonds is weak since the private placement permits issuers to sell riskier securities at a higher yield to investors that are familiar with the credit risk.

The City considers the following criteria when determining the appropriate method of sale for any debt issuance:

- Complexity of the Issue – Municipal securities with complex security features require greater marketing and buyer education efforts on the part of the underwriter, to improve the investors' willingness to purchase.
- Volatility of Bond Yields – If municipal markets are subject to abrupt changes in interest rates, there may need to be some flexibility in the timing of the sale to take advantage of positive market changes or to delay a sale in the face of negative market changes.
- Familiarity of Underwriters with the City's Credit quality – If underwriters are familiar with the City's credit quality, a lower True Interest Cost may be achieved. Awareness of the credit quality of the City has a direct impact on True Interest Cost an underwriter will bid on an issue. Therefore, where additional information in the form of presale marketing benefits the interest rate, a negotiated sale may be recommended.
- Size of the Issue – The City may choose to offer sizeable issues as negotiated so that pre-marketing and buyer education efforts may be done to promote the bond sale.
- Costs of Issuance – Should the City decide to offer a small issue, it may choose a private placement in order to avoid the usual higher costs of issuance generally associated with competitive and negotiated sales.

COMPETITIVE SALE BIDDING PARAMETERS

The City seeks to identify bidding parameters such that bidders have sufficient flexibility to make the best possible bid. Bidding parameters are structured in the initial planning of the sale to enhance the attractiveness of the offering such that the lowest "True Interest Cost" may be achieved.

Bid Verifications

The City of Lubbock awards successful bidders on the basis of the lowest "True Interest Cost".

Good Faith Deposits

Bidders collectively choose a bank to be the good faith bank to represent several in providing a good faith deposit. The bidders keep funds on deposit to cover the good faith check if necessary. The Financial Advisor collects a cashier's check in advance for two percent of the issue if the issue is competitive or for one percent of the issue if the issue is negotiated. Bidders not covered by the good faith bank must provide a good faith check at the time they submit their bid. Good faith checks of the non-winning bidders will be returned immediately after the bid is awarded, usually through overnight mail. The good faith check of the winning bidder is returned within 24 hours of the issue closing, usually through overnight mail.

Allowable Discounts

In most cases, the City requires bidders to purchase bonds at par. When there are no prevailing limitations, a discount may be permitted when market conditions indicate a discount will be a more competitive bid and when there is flexibility to increase the par amount of the issue. If there is considerable market activity on the date of the proposed sale or other market-related factor to necessitate improving the marketability of the issue, discounts may be permitted. Bidders are notified in advance of the allowance for discounts.

Term Bonds

Bidders may form term bonds based on the length of the maturity schedule. In a 20-year maturity they may form anywhere between 3-5 terms. The resulting term bond structure must completely mirror the serial bond structure.

NEGOTIATED SALE – DESIGNATION POLICIES

In a negotiated sale, the City reserves the right to mandate a "priority of orders" that dictates the sequence in which investors are allocated bonds. In the absence of a specific policy mandated by the City on a particular bond sale the order of priority will be (1) City Residents orders, (2) Net Designated orders, and (3) Syndicate Member orders.

The City uses designation rules that reward performance in a negotiated sale. The most common order type used by the City is the Net Designated Orders. This type of order permits the investor placing the order to designate which syndicate members receive credit for its order. The City, at its discretion, may require that each investor must designate a minimum number of syndicate members and no one firm may receive more than 50 percent and no less than 10 percent credit. The minimum number of firms to be designated will be decided by the City prior to pricing the bonds.

Retention

Prior to pricing a bond issue, the City will select a lead underwriter and co-managing underwriters for the underwriting syndicate. Each member of the syndicate will then be assigned an "account liability" for purposes of determining the amount of the unsold bonds that will be allocated to each member of the syndicate. The total account liabilities will add up to 100 percent and the lead underwriter will typically have a larger liability than the co-managing underwriters.

Debt Policy

Management Fee
A management fee may be awarded to compensate the underwriters for providing assistance in structuring of the transaction, review of documents, coordination of the working group, efforts to obtain credit enhancement and other tasks. The management fee is typically allocated in the same allocation as the account liabilities.

BOND RATING AGENCY APPLICATION
Prior to issuing new debt or to issuing refunding debt, the City will submit a rating application to at least two of the nationally recognized rating agencies, which are Moody's Investors Service, Standard & Poor's Rating Service and Fitch Ratings.

As part of the application process, City staff and elected officials may make a bond rating presentation directly to the credit analysts of the selected rating agencies. The City evaluates each time whether the circumstances favor making the presentation at the Bond Rating Agency offices, as a site visit or in some other convenient location. Included in the presentation, staff compiles information relevant to the City's current economic and financial condition as well as City initiatives. When issues occur frequently, the rating agency application and offering document will be supplemented by a minimum of a written presentation of updated information about the City since the last rating application.

Annually, the City will distribute the CAFR and the current operating and capital budgets to each of the bond rating agencies that maintain ratings on the City's outstanding debt obligations. Information about the City is also available on the City's website, www.mylubbock.us.

DISCLOSURE DOCUMENTS
The financial advisor normally assists the City in the preparation of the Official Statement in conjunction with the sale of bonds. The Official Statement contains relevant economic, financial and debt information to prospective purchasers of the new issue. Underwriters are required by SEC Rule 15c2-12 to obtain a copy of the Official Statement that is "deemed final" within 10 days following the bidding or purchasing a new issue of securities. The senior underwriter files a copy of each Official Statement with the Nationally Recognized Municipal Securities Information Repositories ("NRMSIRs").

Continuing Disclosure
The City is required under the provisions of SEC Rule 15c2-12 to provide current information annually to update certain information typically required in each Official Statement. The Official Statement provides relevant information in a series of tables. Those tables are updated and provided in the City's Comprehensive Annual Financial Report on an annual basis. This ensures the underwriters and investors have the opportunity to preview current information about the City prior to bidding or purchasing part or all of a City issuance or purchasing outstanding obligations in the secondary market. The CAFRs are filed with current appointed NRMSIRs.

Additionally, the City will also provide timely notices of certain events to the Municipal Securities Rulemaking Board ("MSRB"). The City will provide notice of any of the following events with respect to bonds issued within ten business days after the occurrence of an event: (1) principal and interest payment delinquencies; (2) non-payment related defaults, if material; (3) unscheduled draws on debt service reserves reflecting financial difficulties; (4) unscheduled draws on credit enhancements reflecting financial difficulties; (5) substitution of credit or liquidity providers, or their failure to perform; (6) adverse tax opinions, the issuance by the Internal Revenue Service of proposed or final determinations of taxability, Notices of Proposed Issue (IRS Form 5701-TEB) or other material notices or determinations with respect to the tax status of the Obligations, or other material events affecting the tax status of the Obligations; (7) modifications to rights of holders of the Obligations, if material; (8) redemption of the Obligations, if material, and tender offers, if material; (9) defeasances; (10) release, substitution, or sale of property securing repayment of the Obligations; (11) rating changes; (12) bankruptcy, insolvency, receivership or similar event of the City; (13) the consummation of a merger, consolidation, or acquisition involving the City or the sale of all or substantially all of the assets of the City, other than in the ordinary course of business, the entry into a definitive agreement to undertake such an action or the termination of a definitive agreement relating to any such actions, other than pursuant to its terms, if material; and (14) appointment of a successor Paying Agent/Registrar or change in the name of the Paying Agent/Registrar, if material. (Neither the Obligations nor the Ordinances make any provision for debt service reserves or liquidity enhancement.)

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Debt Policy

BOND TYPE & STRUCTURE

Fixed Interest versus Variable Interest

The City primarily issues fixed rate bonds to protect the organization against interest rate risk. The City has the option to issue variable rate bonds, and may do so if market conditions warrant consideration of such a structure.

General Obligation Bonds

The City issues General Obligation Bonds for general purpose capital improvements when benefits accrue to the entire community. General Obligation Bonds are also used when the expectation of the project is that it will not generate significant revenues.

The City pledges its "full faith and credit" and levies property tax to repay the debt. In order to issue General Obligation Bonds, the City's voters must authorize the amount to be issued through a referendum.

General Obligation Bonds are sold for a term equal to, or less than, the useful life of the project that it is funding.

Certificates of Obligation

The City has the opportunity to issue Certificates of Obligation, which are basically general obligation debt that do not require voter approval.

Although voter approval is not required additional notification requirements do apply.

Certificates of Obligation are often issued in cases where user fees are pledged to repay the debt. Current examples include Water, Sewer, Solid Waste, and Airport.

Certificates of Obligation are available for governments when the improvements being sought are necessary for the health, safety and welfare of the citizens.

Revenue Bonds

The City issues Revenue Bonds primarily for Lubbock Power & Light. Revenue Bonds are secured by a specific source of revenue. There is no tax pledge. Revenue Bonds are issued to pay for improvements that benefit the users that repay the debt through user fees.

Typically the City is required to fund a Reserve Fund that has no less than the highest annual debt service payment or an average annual debt service amount on deposit as a contingency. Another method to provide for contingencies is to purchase a Surety Bond in the amount of the average annual debt service or highest annual debt service. The costs of both methods are evaluated prior to a revenue bond issue by the City and the Financial Advisor. The City fully complies with reserve fund requirements set forth in any and all bond covenants.

When Revenue Bonds are issued or are outstanding, coverage requirements consistent with the bond covenant will be maintained.

Conduit Securities

The City acts as a conduit for tax-exempt financing for several entities within the City. Those entities include but are not restricted to (1) Lubbock Health Facilities Development Corporation, (2) Lubbock Housing Finance Corporation, (3) Lubbock Educational Facilities Authority, Inc., and (4) Vintage Township Public Facilities Corporation.

The City assumes no liability for the timely payment of debt issued by entities that issue conduit financing.

The City may compel the entity issuing conduit financing to (1) commit to provide the municipal securities market with continuing disclosure information, (2) issue an Official Statement or other disclosure document that clearly describes the lack of direct financial support from the City, or (3) obtain an opinion that states that the City will not be liable for the payment of principal and interest in the event of default by the conduit borrower. If the opinion cannot be obtained, the City may ask the conduit borrower to purchase bond insurance or provide a letter of credit in the City's name to protect taxpayers in event of default.

Structure

Bonds are generally issued for 10 or 20 years, depending on the life of the asset. Typically interest is paid in the first fiscal year after a bond sale and principal is paid no later than the second fiscal year after the debt is issued. Call provisions for bond issues shall be made as short as possible consistent with the lowest interest cost to the City. The targeted maximum length to call is 10 years.

INVESTMENT OF BOND PROCEEDS

The City maintains in its Investment Policy document approved by the City Council, the strategy and policies for investing bond proceeds. Interest on bond proceeds is restricted such that it may only be used to fund projects that have the same purpose as the purpose for which the bonds were originally issued. Construction proceeds are typically invested in short-term securities so that they are liquid. Interest & Sinking funds may be invested longer as they have to be maintained for the life of the issue.

ARBITRAGE COMPLIANCE

The City will follow a policy of full compliance with all arbitrage rebate requirements of the federal tax code and Internal Revenue Service regulations and will perform (via contract consultant) arbitrage calculations for each issue subject to rebate on an annual basis. All necessary rebates will be filed and paid when due.

Debt Policy

Arbitrage Calculations & Rebate
On fixed-yield issues, the calculation of rebate must be performed no later than each 5-year anniversary date of the issuance ("Delivery Date") of the bonds and at final maturity. Where bond interest earnings exceed the arbitrage yield, the City rebates those excess earnings to the Internal Revenue Service. The City keeps detailed records of investments and construction and provides this information to the consultant for the arbitrage calculation.

Exceptions to Rebate Calculations
Six-month Exception – Where 95 percent of the proceeds will be spent within six months and the other five percent will be spent within 12 months.

Twenty-Four Month Exception – Only available to a construction issue has the following expenditure goals:

- 10 percent in 6 months
- 45 percent in 12 months
- 75 percent in 18 months
- 100 percent in 24 months (with a 5% de minimus holdback)

The irrevocable election must be made on or before the date the bonds are issued. This option includes a "penalty in lieu of rebate". When the spending schedule is not met, the issuer pays a 1 1/12 percent penalty each six months on the cumulative shortfall for the spending goals specified above.

Eighteen-Month Exception - Available for any type of proceeds and includes the following spending schedule:

- 15 percent in 6 months
- 60 percent in 12 months
- 100 percent in 18 months (with a 5% de minimus holdback)

REFUNDING & RESTRUCTURING OPTIONS
The City may elect to refund existing debt for any of the following reasons:

- To achieve interest rate savings in a declining interest rate environment;
- To update covenants on outstanding debt which impair efficient operations, require burdensome coverage, or prohibit necessary or desirable activities;
- To restructure the pattern of debt service associated with outstanding bond issues; and
- To alter bond characteristics such as call provision or payment dates.

Types of Refunding

- Current refundings are when outstanding debt is callable within 90 days. Most City debt has a ten-year call date built into its structure. When debt reaches the call date, refunding bonds may be issued to pay off the old debt.
- Advance refundings are refundings where the debt is not callable within 90 days. In an advance refunding the proceeds to defease the debt at its call date are placed in an irrevocable escrow until the call date. The amount to be deposited into the escrow is calculated by identifying the amount necessary to deposit, which will earn a fixed rate of interest, to accumulate to the amount necessary to be available upon the call. This practice prevents exposure to the practice of "yield burning" since there are no excess earnings under this structure.

The Tax Reform Act of 1986 limits each issue to one advance refunding for all issues issued after 1986.

When interest rate savings is the principal reason for advance refunding an issue, the City will have as a goal to, but not a requirement to, include issues that contribute three percent or more present value savings. Other factors may also affect the City's decision to advance refund an issue.

DEBT RATIOS
The City has identified key debt ratios that investors and financial analysts use when reviewing the City's creditworthiness. The City has established a floor and ceiling amount for each debt ratio and will periodically update for investors and others the values for these ratios. These ratios include:

- Debt as a percentage of assessed value – This ratio indicates the relationship between the City's debt and the taxable value of property in the City or the City's ability to repay the debt.
- Debt per capita is the ratio that indicates the per capita debt burden and is a general indicator of the City's debt burden.
- Debt per capita as a percentage of per capita income is a measure of the capacity of citizens to finance tax-supported debt. A low ratio means that taxes required to pay debt represent a smaller portion of the average citizen's income.
- Debt Service as a percent of general governmental expenditures – City's ability to repay debt without hampering other City services.
- Unreserved General Fund Balance as a percent of General Fund Operating Expenses.

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ORDINANCE NO._____

AN ORDINANCE APPROVING AND ADOPTING A BUDGET FOR FISCAL YEAR 2016-17; APPROVING SUMMARY OF ESTIMATED AND FORECASTED REVENUES, APPROPRIATIONS, AND UTILIZATION OF NET ASSETS FOR ALL FUNDS OF THE CITY; PROVIDING FOR NECESSARY TRANSFERS OF FUNDS BETWEEN ACCOUNTS AND DEPARTMENTS, IF REQUIRED; AUTHORIZING REDUCTION OF SPENDING BY CITY MANAGER IF NECESSARY; PROVIDING FOR FILING OF ADOPTED BUDGET; ESTABLISHING CIVIL SERVICE CLASSIFICATIONS AND POSITIONS; APPROPRIATING FUNDS FOR THE FISCAL YEAR 2016-17 OPERATING BUDGET AND CAPITAL PROGRAM OF THE CITY OF LUBBOCK; APPROVING INCLUSION OF EQUIPMENT AND PROPERTY TO BE PURCHASED AS A PART OF THE VEHICLE/EQUIPMENT DEBT FINANCING PROGRAM; AUTHORIZING THE MAYOR TO APPROPRIATE CERTAIN FUNDING DURING A DULY DECLARED STATE OF DISASTER; APPROVING ALL PERMIT, LICENSE, FEES, AND CHARGES FOR SERVICE RECOMMENDED TO BE ADJUSTED; APPROVING THE PAY PLAN AND POSITIONS; AMENDING SUBSECTION 22.04.041(a) AND 22.04.041(b) OF THE CODE OF ORDINANCES OF THE CITY OF LUBBOCK BY REVISING WASTEWATER RATES CONTAINED THEREIN AND PROVIDING AN EFFECTIVE DATE FOR SUCH; AMENDING SUBSECTION 22.03.084 OF THE CODE OF ORDINANCES OF THE CITY OF LUBBOCK BY REVISING WATER RATES AS CONTAINED THEREIN AND PROVIDING AN EFFECTIVE DATE FOR SUCH; ACCEPTING THE BUDGETS FOR LUBBOCK ECONOMIC DEVELOPMENT ALLIANCE, MARKET LUBBOCK, INC., CIVIC LUBBOCK, INC., AND VINTAGE TOWNSHIP PUBLIC FACILITIES CORPORATION; FINDING THAT PROPER NOTICE OF MEETING PROVIDED BY LAW AND RATIFYING SUCH; PROVIDING FOR PUBLICATION; AND PROVIDING FOR A SAVINGS CLAUSE.

WHEREAS, the City Manager has prepared certain figures for the Fiscal Year 16-17 Proposed Operating Budget and Capital Program (Proposed Budget) and has submitted the same to the City Council; and

WHEREAS, the City Manager filed the Proposed Budget with the City Secretary for the fiscal year beginning October, 1, 2016; and

WHEREAS, the City Secretary posted notice that the Proposed Budget had been filed and a public hearing called thereon by the City Council at City Hall; and

WHEREAS, the City Council determined that the Proposed Budget, as revised, is appropriate and correct in all respects and that all requirements of the law have been satisfied; and

WHEREAS, the City Council of the City of Lubbock deems it to be in the best interest of the citizens of the City of Lubbock to adjust the wastewater base charge and volume charge, and water base charge rates within the City of Lubbock to reflect costs of service;

NOW THEREFORE:

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF LUBBOCK:

SECTION 1: THAT the budget proposed by the City Manager and filed with the City Secretary for fiscal year October 1, 2016 through September 30, 2017, which is attached hereto as Exhibit A, be and is hereby approved and adopted as the FY 2016-17 Adopted Operating Budget and Capital Program (Adopted Budget), and made a part of this ordinance for all purposes.

SECTION 2. THAT a summary of estimated and forecasted revenues, appropriations, and any utilization of net assets for all Funds of the City is hereby approved in all respects and is attached hereto as Exhibit B.

SECTION 3. THAT any necessary transfer of funds between accounts below the department level recommended by the City Manager for City purposes or as a result of unusual or unforeseen conditions during the administration of the Adopted Budget are hereby approved, however, any transfer of funds between departments shall be presented to the City Council for approval by ordinance before such funds can be transferred between funds or expended. A listing of transfers between funds that are included in the Adopted Budget are attached hereto as Exhibit C.

SECTION 4. THAT to the extent that actual revenues are projected to be less than the budgeted revenues, the City Manager is authorized to reduce spending as necessary in order to prevent expenditures from exceeding available revenue sources; and that in the event such action is taken, the City Manager shall immediately notify the City Council in writing with appropriate explanation.

SECTION 5. THAT all balances of appropriation in each fund, which support authorized obligations, or are encumbered at the close of business for the fiscal year ended September 30, 2016 are hereby declared to be re-appropriated into the Adopted Budget beginning October 1, 2016.

SECTION 6. THAT a copy of the Adopted Budget shall be filed with appropriate officials as required by law.

SECTION 7. THAT in accordance with Section 2.06.109 of the Code of Ordinances of the City of Lubbock, the civil service classifications and positions set forth in the Adopted Budget are hereby established by Ordinance.

SECTION 8. THAT the funding, as set forth in the Adopted Budget, and as also set forth in the Capital Program, are hereby appropriated by project and scope as set forth in the Capital Budget. A Capital Program Summary is attached hereto as Exhibit D.

SECTION 9. THAT any additional appropriation or the addition of capital projects shall be presented to the City Council for approval by ordinance before such funds can be expended. The appropriation for a project in the Capital Program shall continue in force until the purpose for which the appropriation was made has been accomplished or abandoned.

SECTION 10. THAT the inclusion of equipment and property to be purchased as a part of the Vehicle/Equipment Debt Financing Program is hereby approved and the City Manager and/or Executive Director of Finance and/or his or her designee are hereby directed to execute any and all documents necessary to consummate such purchases as outlined in the Vehicle Debt Financing Summary, set forth on Exhibit E and in the Equipment Debt Financing Schedule, set forth on Exhibit F.

SECTION 11. THAT during a duly declared state of disaster the Mayor may authorize up to $500,000 from the General Fund, to be reimbursed by state or federal funds, for expenditures related to widespread or severe damage, injury, or loss of property.

SECTION 12. THAT all fees, fines and charges for service recommended to be adjusted are approved as listed in Exhibit A.

SECTION 13. THAT the pay plan is approved as listed in Exhibit G.

SECTION 14. THAT personnel is adopted as reflected in Exhibit H. Sworn Police Officers totaling 433 are authorized and funded.

SECTION 15. THAT Subsection 22.04.041(a) and (b) of the Code of Ordinances, City of Lubbock, Texas are hereby amended to read as follows:

Section 22.04.041 Rates charged for wastewater service

(a) Effective December 1, 2016, the city, through the city manager, shall charge and collect from every customer and every customer shall pay a monthly base charge for wastewater service which shall be billed to all customers based upon the water meter size as follows:

Meter Size	Base Charge
No meter	$68.14
Three-quarter inch (3/4”) meter	18.00
One inch (1”) meter	21.93
One and a half inch (1.5”) meter	31.71
Two inch (2”) meter	43.47
Three inch (3”) meter	70.95
Four inch (4”) meter	110.16
Six inch (6”) meter	208.21
Eight inch (8”) meter	325.84
Ten inch (10”) meter	463.13

(b) Effective December 1, 2016, in addition to the base charge, the city, through the city manager, shall determine the volume of wastewater flow produced by each customer as described herein and each customer shall pay the wastewater volume rate per one thousand (1,000) gallons, which shall be as follows:

Flow rate: $2.91

The volume of wastewater flow produced by a single family residential customer and customers not required to meter as provided in section 22.04.042 of the Code of Ordinances of the city shall be determined by calculating the average water volume used as measured by the non-irrigation meter readings for the months of November, December, January, and February. This volume shall be defined as the history based consumption (HBC), and it shall be updated for billing purposes in March of each year. In the event a residential customer or premises (i.e., a new premises) does not have a water consumption history for such months, a water volume of 7,000 gallons per month shall be utilized by default. If the volume of water used drops below the HBC volume, the lesser volume shall be used for determining the volume used for single-family residential customers in the calculation of the monthly bill for services rendered. If the volume of water exceeds the HBC volume, the HBC volume shall be used for determining the volume used for single-family residential customers in the calculation of the monthly bill for services rendered. The volume of wastewater flow produced by other customers shall be determined as provided for in section 22.04.042 of the Code of Ordinances of the city.

SECTION 16. THAT the wastewater volume charge and base charge as set forth in this Ordinance shall be effective for all billings for such services dated on or after December 1, 2016. The wastewater base charge and volume charge currently in effect shall remain in effect until the effective date of the wastewater base charge and volume charge as set forth herein.

SECTION 17. THAT Subsection 22.03.084 of the Code of Ordinances, City of Lubbock, Texas is hereby amended to read as follows:

Section 22.03.084 Water base charge

Effective December 1, 2016, the city, through the city manager, shall charge and collect from every customer and every customer shall pay a monthly base charge for water which shall be billed to all customers based upon the water meter size as follows:

Meter Size	Water Base Charge
Three-quarter inch (3/4") meter	$ 18.00
One inch (1") meter	30.05
One and a half inch (1.5") meter	59.91
Two inch (2") meter	96.30
Three inch (3") meter	191.97
Four inch (4") meter	299.91
Six inch (6") meter	599.65
Eight inch (8") meter	959.47
Ten inch (10") meter	1,379.38

SECTION 18. THAT the water base charge as set forth in this Ordinance, except as otherwise provided herein, shall be effective for all billings for such services dated on or after December 1, 2016. The water base charge currently in effect shall remain in effect until the effective date of the Water Base Charge as set forth herein. The water volume charge currently in effect shall remain in effect.

SECTION 19. THAT the proposed budgets of the following Component Units and Related Entities are accepted as presented by their Boards: Lubbock Economic Development Alliance, Market Lubbock, Inc., Civic Lubbock, Inc., and Vintage Township Public Facilities Corporation.

SECTION 20. THAT the City Council finds and declares that sufficient written notice of the date, hour, place and subject of this meeting of the Council was posted at a designated place convenient to the public at the City Hall for the time required by law preceding this meeting, that such place of posting was readily accessible at all times to the general public, and that all of the foregoing was done as required by law at all times during which this Ordinance and the subject matter thereof has been discussed, considered and formally acted upon. The City Council further ratifies, approves and confirms such written notice and the contents of posting hereof.

SECTION 21. THAT the City Secretary is hereby authorized and directed to cause publication of the descriptive caption of this Ordinance as an alternative method of publication as provided by law.

SECTION 22. THAT should any section, paragraph, sentence, clause, phrase or word of this Ordinance be declared unconstitutional or invalid for any reason, the remainder of this Ordinance shall not be affected thereby.

SECTION 23. THAT matters revised after the proposed budget was filed with the City Secretary are as follows:

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AND IT IS SO ORDERED

Passed by the City Council on first reading this _____ day of _____, 2016.

VOTING FOR PASSAGE:	VOTING AGAINST PASSAGE:
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Council members present but not voting: _____

Council members absent: _____

****

Passed by the City Council on second reading this _____ day of _____, 2016.

VOTING FOR PASSAGE:	VOTING AGAINST PASSAGE:
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Council members present but not voting: _____

Council members absent: _____

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_____
DANIEL M. POPE, MAYOR

ATTEST:

_____
Rebecca Garza
City Secretary

APPROVED AS TO CONTENT:

_____
Cheryl Brock
Executive Director of Budget

APPROVED AS TO FORM:

_____
Amy L. Sims
Assistant City Attor

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Exhibit B - All Funds Summary

		Budget FY 2016-17
General Fund Revenue Sources		
Taxes		
Property Tax	$	53,660,815
Delinquent Taxes		802,000
Sales Tax		63,833,276
Mixed Beverage Tax		1,275,000
Bingo Tax		280,000
Suddenlink		1,195,000
Xcel Energy		3,000
South Plains Electric Cooperative		1,392,912
Atmos		540,000
West Texas Gas		7,000
Telecom Right of Way		1,732,065
Development Services		233,550
General Government		92,521
City Secretary		316,360
Public Safety		925,408
Public Works		69,582
Public Health		50,473
Animal Shelter		127,000
Cultural/Recreational		1,084,818
Museum		278,350
Licenses and Permits		3,254,775
Intergovernmental		363,137
Fines and Forfeitures		3,227,000
Rental		10,700
Recoveries of Expenditures		986,170
Other		437,500
Transfers from Special Revenue Funds		149,860
Transfers from LP&L		9,621,062
Transfers from Water Fund		9,795,736
Transfers from Wastewater Fund		5,020,687
Transfers from Solid Waste		2,501,260
Transfers from Airport Fund		1,751,227
Transfers from Stormwater		2,628,757
Total General Fund Revenue	**$**	**167,647,001**
Utilization of Net Assets		1,240,915

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Exhibit B - All Funds Summary

		Budget FY 2016-17
General Fund Appropriation Units		
Administrative Services:		
City Attorney	$	2,132,154
City Council		315,470
City Manager		1,092,458
City Secretary		1,242,117
Facilities Management		3,345,226
Finance		2,827,689
Human Resources		544,653
Internal Audit		392,733
Non-departmental		7,193,459
Public Information		507,992
Administrative Services Appropriation		19,593,951
Community Services:		
Building Inspection		2,014,746
Planning		682,956
Community Services Appropriation		2,697,702
Cultural and Recreation Services:		
Library		3,700,160
Museums		1,047,999
Parks		10,600,550
Cultural and Recreation Services Appropriation		15,348,709
Public Works:		
Engineering		1,364,931
Streets		3,094,923
Traffic		3,682,283
Public Works Appropriation		8,142,136
Public Safety and Health Services:		
Animal Services		2,039,799
Codes and Environmental Health		2,735,948
Fire		47,313,783
Municipal Court		1,866,524
Police		61,586,009
Public Health		968,791
Vector Control		515,004
Public Safety & Health Services Appropriation		117,025,856
Other Appropriation:		
Transfers		4,607,291
Payroll Accrual/Other Adjustments *		1,472,270
Other Appropriation		6,079,561
Total General Fund Appropriation	**$**	**168,887,915**

* Raises are included in payroll lump sum amount and will be spread to each cost center; therefore budgets for individual cost centers will change to adjust for this.

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Exhibit B - All Funds Summary

	Budget FY 2016-17
Debt Service Fund Revenue Sources	
Tax Collection	$ 20,235,607
Transfer from North Overton TIF	3,190,249
Transfer from CBD TIF	649,401
Transfer from Hotel Tax Fund	93,688
Transfer from Gateway	8,314,581
Transfer from Stormwater (Street Maintenance)	5,308,446
Subsidy on BABs	427,694
Total Debt Service Fund Revenue	38,219,666
Utilization of Net Assets	93,361
Debt Service Fund Expenditures	
General Obligation Bonds	19,960,263
North Overton TIF	3,216,121
CBD TIF	652,682
Gateway	8,438,727
Stormwater (Street Maintenance)	5,308,446
Hotel Tax	93,688
Fiscal Agent Fees	15,543
Bond Sale Charges	100,000
Transfer to Civic Centers	527,557
Total Debt Service Fund Appropriation	38,313,027
Fleet Services Fund	
Total Fleet Service Fund Revenue	1,536,792
Total Fleet Service Fund Appropriation	1,487,792
Health Benefits Fund	
Total Health Benefits Fund Revenue	33,504,739
Utilization of Net Assets	37,327
Total Health Benefits Fund Appropriation	33,542,066
Information Technology Fund	
Total Information Technology Fund Revenue	13,433,639
Total Information Technology Fund Appropriation	13,433,639
Investment Pool Fund	
Total Investment Pool Fund Revenue	148,736
Total Investment Pool Fund Appropriation	148,736
Print Shop and Warehouse Fund	
Total Print Shop and Warehouse Fund Revenue	411,508
Utilization of Net Assets	96,723
Total Print Shop and Warehouse Fund Appropriation	508,231
Risk Management Fund	
Total Risk Management Fund Revenue	5,957,318
Total Risk Management Fund Appropriation	5,956,856
Airport Fund	
Total Airport Fund Revenue	10,961,484
Utilization of Net Assets	86,835
Total Airport Fund Appropriation	11,048,319
Cemetery Fund	
Total Cemetery Fund Revenue	422,500
Transfer from General Fund	315,203
Utilization of Net Assets	43,000
Total Cemetery Fund Appropriation	780,703

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Exhibit B - All Funds Summary

	Budget FY 2016-17
Civic Centers Fund	
Total Civic Center Fund Revenue	$ 659,400
Transfer from General Fund	660,612
Transfer from HOT Fund	1,676,781
Transfer from Debt Service Fund	527,557
Utilization of Net Assets	150,000
Total Civic Center Fund Appropriation	3,674,350
Lake Alan Henry Fund	
Total Lake Alan Henry Fund Revenue	576,660
Total Lake Alan Henry Fund Appropriation	541,548
Lubbock Power and Light Fund	
Total Lubbock Power and Light Fund Revenue	234,275,496
Utilization of Net Assets	683,664
Total Lubbock Power and Light Fund Appropriation	234,959,160
Solid Waste Utility Fund	
Total Solid Waste Utility Fund Revenue	21,630,119
Utilization of Net Assets	1,854,132
Total Solid Waste Utility Fund Appropriation	23,484,251
Storm Water Utility Fund	
Total Storm Water Utility Fund Revenue	23,687,217
Total Storm Water Utility Fund Appropriation	22,295,993
Transit Fund	
Total Transit Fund Revenue	9,879,080
Transfer from General Fund	2,247,383
Transfer from Economic Development Fund	400,000
Total Transit Fund Appropriation	12,526,463
Wastewater Utility Fund	
Total Wastewater Utility Fund Revenue	43,100,914
Utilization of Net Assets	2,171,432
Total Wastewater Utility Fund Appropriation	45,272,345
Water Utility Fund	
Total Water Utility Fund Revenue	82,988,525
Utilization of Net Assets	2,448,229
Total Water Utility Fund Appropriation	85,436,755
Abandoned Vehicle Fund	
Total Abandoned Vehicle Fund Revenue	600,000
Total Abandoned Vehicle Fund Appropriation	599,860
Animal Assistance Program	
Total Animal Assistance Program Fund Revenue	112,000
Total Animal Assistance Program Fund Appropriation	110,179
Central Business District TIF Fund	
Total Central Business District TIF Fund Revenue	1,008,801
Utilization of Net Assets	153,407
Total Central Business District TIF Fund Appropriation	1,162,208
Community Development Fund	
Total Community Development Fund Revenue	2,802,540
Total Community Development Fund Appropriation	2,802,540
Criminal Investigation Fund	
Total Criminal Investigation Fund Revenue	272,000
Utilization of Net Assets	114,783
Total Criminal Investigation Fund Appropriation	386,783

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Exhibit B - All Funds Summary

	Budget FY 2016-17
Department of Justice Asset Sharing	
Utilization of Net Assets	$ 25,000
Total Department of Justice Asset Sharing Appropriation	25,000
Economic Development Fund	
Total Economic Development Fund Revenue	3,348,210
Total Economic Development Fund Appropriation	3,348,210
Emergency Management Grant Fund	
Transfer from General Fund	434,093
Total Emergency Management Grant Fund Appropriation	434,093
Gateway Streets Fund	
Total Gateway Streets Fund Revenue	7,523,979
Utilization of Net Assets	787,519
Total Gateway Streets Fund Appropriation	8,311,498
Hotel Occupancy Tax Fund	
Total Hotel Motel Tax Fund Revenue	6,879,428
Total Hotel Motel Tax Fund Appropriation	6,879,428
Lubbock Business Park TIF	
Total Lubbock Business Park TIF Revenue	479,751
Total Lubbock Business Park TIF Appropriation	15,769
Lubbock Economic Development Alliance Fund	
Total Lubbock Economic Development Alliance Fund Revenue	5,803,025
Total Lubbock Economic Development Alliance Fund Appropriation	5,803,025
Municipal Court Fund	
Total Municipal Court Fund Revenue	236,890
Total Municipal Court Fund Appropriation	227,317
North and East Lubbock Neighborhood and Infrastructure Fund	
Total North and East Lubbock Neighborhood Fund Revenue	164,899
Total North and East Lubbock Neighborhood Fund Appropriation	164,899
North Overton Public Improvement District Fund	
Total North Overton Public Improvement District Fund Revenue	625,160
Utilization of Net Assets	37,840
Total North Overton Public Improvement District Fund Appropriation	663,000
North Overton Tax Increment Fund	
Total North Overton Tax Increment Fund Revenue	4,619,339
Total North Overton Tax Increment Fund Appropriation	3,365,738
North Point Public Improvement District Fund	
Total North Point Public Improvement District Fund Revenue	130,200
Utilization of Net Assets	28,887
Total North Point Public Improvement District Fund Appropriation	159,087
Quincy Park Public Improvement District Fund	
Total Quincy Park Public Improvement District Fund Revenue	106,303
Utilization of Net Assets	10,729
Total Quincy Park Public Improvement District Fund Appropriation	117,032
Valencia Public Improvement District Fund	
Total Valencia Public Improvement District Fund Revenue	12,764
Total Valencia Public Improvement District Fund Appropriation	4,725
Vintage Township Public Improvement District Fund	
Total Vintage Township Public Improvement District Fund Revenue	193,179
Total Vintage Township Public Improvement District Fund Appropriation	193,179

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Exhibit B - All Funds Summary

	Budget FY 2016-17
Lubbock Economic Development Alliance	
Total Lubbock Economic Development Alliance Revenue	$ 7,196,025
Utilization of Net Assets	2,424,775
Total Lubbock Economic Development Alliance Appropriation	9,620,800
Market Lubbock, Inc.	
Total Market Lubbock, Inc. Revenue	2,921,219
Utilization of Net Assets	895,881
Total Market Lubbock Inc. Appropriation	3,817,100
Market Lubbock, Inc. - Visit Lubbock, Inc.	
Total Market Lubbock, Inc. - Visit Lubbock, Inc. Revenue	2,702,838
Utilization of Net Assets	478,872
Total Market Lubbock Inc. - Visit Lubbock, Inc. Appropriation	3,181,710
Market Lubbock, Inc. - Sports Authority	
Total Market Lubbock, Inc. - Sports Authority Revenue	1,166,348
Utilization of Net Assets	84,507
Total Market Lubbock Inc. - Sports Authority Appropriation	1,250,855
Civic Lubbock, Inc.	
Total Civic Lubbock, Inc. Revenue	2,390,708
Utilization of Net Assets	69,500
Total Civic Lubbock, Inc. Appropriation	2,460,208
Vintage Township Public Facilities Corporation	
Total Vintage Township Public Facilities Corporation Revenue	185,679
Total Vintage Township Public Facilities Corporation Appropriation	185,679

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Exhibit C - Transfers Summary

To	From	Description	Amount
Airport Capital Projects Fund	Airport Enterprise Fund	Airport Pay-as-you-go Capital	$ 487,680
Airport Enterprise Fund	Airport PFC Fund	Airport PFC Debt Service	881,505
Civic Center Enterprise Fund	General Fund	Civic Center Operations	660,612
Civic Center Enterprise Fund	Hotel Occupancy Tax Fund	Civic Center Operations/Marketing	1,676,781
Civic Center Enterprise Fund	Debt Service Fund	Debt Service Payment	527,557
Civic Center Capital Projects Fund	Hotel Occupancy Tax Fund	CIP Pay-as-you-go Capital	534,696
Cemetery Enterprise Fund	General Fund	Cemetary Operations	315,203
Central Business District TIF	North & East Lbk Ngbhd & Infrstr	CBD Operations & Debt	41,225
Debt Service Fund	Central Business District TIF	Debt Service Payment	649,401
Debt Service Fund	Gateway Streets Fund	Debt Service Payment	8,314,581
Debt Service Fund	Hotel Occupancy Tax Fund	Debt Service Payment	93,688
Debt Service Fund	North Overton TIF	Debt Service Payment	3,190,249
Debt Service Fund	Stormwater Enterprise Fund	Debt Service Payment	5,308,446
Emergency Management	General Fund	Grant Match	434,093
Fleet Capital Projects Fund	Fleet Operating Fund	CIP Pay-as-you-go Capital	153,000
Gateway Streets Fund	Lubbock Power & Light	Payment in Lieu of Franchise Fee	4,277,327
General Fund	Abandoned Vehicle	Vehicle/Equipment Debt Payment	149,860
General Fund	Airport Enterprise Fund	Aircraft Rescue Fire Fighting (ARFF)	1,503,872
General Fund	Airport Enterprise Fund	Indirect Cost	247,355
General Fund	Lubbock Power & Light	Payment in Lieu of Franchise Fee	6,415,991
General Fund	Lubbock Power & Light	Payment in Lieu of Property Tax	2,138,664
General Fund	Lubbock Power & Light	Indirect Cost	1,066,407
General Fund	Solid Waste Enterprise Fund	Payment in Lieu of Franchise Fee	1,081,940
General Fund	Solid Waste Enterprise Fund	Indirect Cost	300,767
General Fund	Solid Waste Enterprise Fund	Paved Streets	681,994
General Fund	Solid Waste Enterprise Fund	Payment in Lieu of Property Tax	436,559
General Fund	Stormwater Enterprise Fund	Payment in Lieu of Franchise Fee	1,182,417
General Fund	Stormwater Enterprise Fund	Indirect Cost	159,701
General Fund	Stormwater Enterprise Fund	Playa Lake	364,978
General Fund	Stormwater Enterprise Fund	Payment in Lieu of Property Tax	921,661
General Fund	Wastewater Enterprise Fund	Payment in Lieu of Franchise Fee	2,155,046
General Fund	Wastewater Enterprise Fund	Indirect Cost	615,024
General Fund	Wastewater Enterprise Fund	Payment in Lieu of Property Tax	2,250,617
General Fund	Water Enterprise Fund	Payment in Lieu of Franchise Fee	4,149,426
General Fund	Water Enterprise Fund	Indirect Cost	926,355
General Fund	Water Enterprise Fund	Paved Streets	773,731
General Fund	Water Enterprise Fund	Payment in Lieu of Property Tax	3,946,224
General Facilities Capital Project Fund	General Fund	General Fund Pay-as-you-go Capital	950,000
General Facilities Capital Project Fund	Hotel Occupancy Tax Fund	CIP Pay-as-you-go Capital	126,000
Grant Fund	Transit	Grant Funds	988,165
Information Technology Capital Project Fund	Information Technology Fund	CIP Pay-as-you-go Capital	87,500
Information Technology Capital Project Fund	Self Insurance Health Fund	CIP Pay-as-you-go Capital	303,020
Lubbock Power & Light	Solid Waste Enterprise Fund	Utility Collections	837,779
Lubbock Power & Light	Stormwater Enterprise Fund	Utility Collections	837,779
Lubbock Power & Light	Wastewater Enterprise Fund	Utility Collections	1,385,767
Lubbock Power & Light	Water Enterprise Fund	Utility Collections	2,481,742
Lubbock Power & Light	Water Enterprise Fund	Water Collection Service	93,243
Lubbock Power & Light Capital Project Fund	Lubbock Power & Light	LP&L Pay-as-you-go Capital	15,240,000
North Overton TIF	Hotel Occupancy Tax Fund	North Overton TIF	550,197
North Overton TIF Capital	North Overton TIF	CIP Pay-as-you-go Capital	150,000
Solid Waste Capital Projects Fund	Solid Waste Enterprise Fund	Solid Waste Pay-as-you-go Capital	264,628
Stormwater Capital Projects Fund	Stormwater Enterprise Fund	Stormwater Pay-as-you-go Capital	989,900
Transit Enterprise Fund	Economic Development Fund	Transit Operations	400,000
Transit Enterprise Fund	General Fund	Transit Operations	2,247,383
Vintage Township Public Facilities Corporation	Vintage Township PID	Debt Service Payment	185,679
Wastewater Capital Project Fund	Wastewater Enterprise Fund	Wastewater Pay-as-you-go Capital	1,178,000
Wastewater Enterprise Fund	Solid Waste Enterprise Fund	Environmental Compliance	30,626
Wastewater Enterprise Fund	Water Enterprise Fund	Environmental Compliance	30,626
Water Capital Projects Fund	Water Enterprise Fund	Water Pay-as-you-go Capital	3,693,243
Water Enterprise Fund	Lake Alan Henry Fund	Lake Alan Henry Debt Service	164,180
WTMPA	Lubbock Power & Light	WTMPA Operating Costs	1,794,985

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Exhibit D - Capital Program Summary

Project Number	Project Name	Appropriation to Date	Appropriation FY 2016-17
8532	Supplemental Water Supply for LAH	$ 1,300,000	-
8534	FEMA Restudy - System G	500,000	-
8536	Facility Demolition	1,362,748	-
8543	Lake Alan Henry Repairs/Maintenance	5,005,889	-
8545	Concrete Drainage Channel Repairs	865,000	-
8550	Golf Course Improvements	571,290	53,400
8552	Airfield Asphalt Repair	4,800,000	-
8555	Sanitary Sewer Manhole Adjustments	500,000	-
8563	Sign Upgrades and Replacement	498,634	-
8577	Environmental Assessments for Gateway Projects	650,000	-
8579	Wastewater Direct Reuse Evaluation	300,000	-
8585	Air Switch Replacement	376,200	-
8586	Digital Orthos and Storm Water Utility Maint	250,000	200,000
8587	Water System Master Plan & Model Update	900,000	50,000
8593	Consolidated Rental Car Facility Design	1,050,000	-
8594	Coliseum Parking Lot Seal Coat	91,141	-
8595	Storm Water Master Plan	1,000,000	500,000
8597	Wastewater Indirect Reuse Evaluation	250,000	-
8598	Brackish Well Field Evaluation	390,000	-
8599	Storm Water Utility Fee Restructure	1,305,191	-
8600	Water Damaged Facilties	2,064,072	-
8601	Safety Management System (SMS) Development	150,000	-
8602	Capacitor Controller Change Out	76,575	75,000
8604	Thoroughfare Plan Restudy	125,000	-
8605	Bicycle Plan Restudy	75,000	-
8606	FEMA Restudy - Systems B,C,D	500,000	-
8607	Water and Wastewater Rate Structure Evaluation	150,000	-
90095	North University Enhancement Project	1,914,229	-
90346	Lift Station Rehabilitation	2,085,000	500,000
91003	Bailey County Wellfield Improvements	4,985,583	14,000,000
91007	Comprehensive Water Line Changeouts	4,019,040	500,000
91032	Water Treatment Plant Improvements	15,402,000	2,000,000
91033	Pumping System Improvements	2,806,875	-
91039	Sewer Lines Ahead of Street Paving	3,885,000	-
91190	City Hall Improvements	1,128,500	-
91195	Civic Center Renovation	9,126,853	534,696
92144	Buddy Holly Center	1,139,809	-
92169	Irrigation Automation & Control Systems	2,857,960	-
92174	Northwest Lubbock and Maxey Park Project	41,950,000	35,000,000
92175	Storm Water Repair and Replacement	1,100,000	250,000
92191	Airport Facility Improvements	3,852,165	-
92216	Traffic Signal Upgrades	4,750,000	-
92217	34th Street Reconstruct.-Quaker to Ave. Q	22,557,200	-

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Exhibit D - Capital Program Summary

Project Number	Project Name	Appropriation to Date	Appropriation FY 2016-17
92221	Northwest Water Reclamation Plant	$ 94,300,000	-
92227	Underground Utilities	8,050,000	3,000,000
92238	Facility Maintenance Fund	1,166,000	-
92249	SEWRP Solids Handling Facility Improvements	46,000,000	-
92253	Locate and Replace Water Valves	2,250,000	-
92254	North Overton TIF Public Improvements	2,247,031	150,000
92255	BCWF Supply Line/Pumping System	42,000,000	600,000
92262	Cemetery Permanent Improvements	71,375	-
92265	Erskine - MLK to East Loop 289	1,700,000	-
92266	Upgrade 800 MHZ Radio System to P25 Compliance	13,300,000	-
92273	Substation Land Acquisition	4,065,000	3,000,000
92282	Downtown Redevelopment Underground	2,505,500	510,000
92286	Glenna Goodacre Boulevard Extension	500,000	-
92289	98th Street - University Avenue to US 87	10,175,300	-
92308	Landfill Improvements	75,000	-
92310	South Lubbock Sanitary Sewer Expansion Phase II	19,000,000	-
92311	SEWRP Emergency Generator and Switch Gear	2,200,000	-
92313	Pump Station Disinfection Systems	1,000,000	-
92318	Major Sanitary Sewer Mains Rehabilitation	7,500,000	2,000,000
92322	Erskine Street - Indiana Avenue to Quaker Avenue	8,670,000	350,000
92323	Facility Renovations/Upgrades	2,870,370	-
92324	Document Management System	231,574	-
92325	Runway 17R/35L Construction Phase II	26,522,369	-
92326	Auditorium Air Handler Unit Replacement	432,000	-
92328	Aztlan Mural Shelter	135,000	-
92329	Codes and Env. Health Software Replacement	250,000	-
92331	Fiberoptic Communications	250,000	155,000
92332	T&D Grid Information Systems	1,260,500	1,800,000
92341	Directional Fault Indicators	307,100	-
92344	Distribution Line Equipment	100,000	-
92348	New Fire Station #1	5,095,000	-
92349	Communications System Expansion	1,050,000	-
92350	Southside Office Building Expansion	275,380	157,600
92353	South Lubbock Water Treatment Plant Expansion	1,000,000	2,000,000
92358	City Hall Elevator Installation	667,000	-
92359	Facility Roof Replacements	647,000	440,000
92361	Terminal Building Remodel-Design Only	4,636,050	-
92362	Buddy Holly Center Renovations Phase II	438,380	126,000
92363	Patterson Branch Library Renovations	700,000	-
92364	Lewis Ball Field Complex Facility Improvements	82,446	-
92365	Lakewood Development	566,192	-
92366	Emergency Operations Center	6,728,800	-
92367	Municipal Square Repairs	688,000	210,000

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Exhibit D - Capital Program Summary

Project Number	Project Name	Appropriation to Date	Appropriation FY 2016-17
92368	Future Public Improvements	$ 200,000	-
92369	Municipal Facilities Replacements/Renovations	63,000,000	-
92370	Transportation Improvements	500,000	-
92371	Runway 17R/35L Construction Phase III	20,804,862	-
92372	Aircraft Rescue & Fire Fighting Facility	4,500,000	-
92373	Dispatch Control Center - EOC	1,563,662	-
92379	Quaker Storm Water Project - Feeder Rebuild	300,000	-
92380	Feeder Circuits - Northwest	404,200	205,000
92390	Cell 5 Development 2252	6,379,200	-
92391	Landfill 69 Shop Renovation	312,500	-
92393	Cell VI Final Expansion at 69	571,767	-
92396	Bailey County Well Field Data Radios	650,000	-
92397	Pump Station 10	1,000,000	12,000,000
92398	Water Vehicle Replacement	322,219	-
92399	Gateways	1,500,000	-
92401	E1 Fleet Module	249,000	153,000
92402	Substation Capacity Upgrade - Chalker	11,267,590	-
92403	69/115kV Line Rebuild: Thompson-Vicksburg	120,000	980,000
92404	115kV Line Construction: Northwest-Mackenzie	750,000	15,250,000
92405	69/115kV Line Rebuild: Chalker-Thompson	225,000	1,575,000
92406	69/115kV Line Rebuild: Chalker-Oliver	225,000	1,475,000
92407	Autotransformer - Southeast	150,000	850,000
92408	69kV Capacitor Upgrade - Vicksburg	50,000	-
92410	69kV Capacitor Upgrade - Slaton	50,000	-
92411	Substation Battery Replacement	120,000	200,000
92412	Substation RTU Replacement	150,000	150,000
92413	MGL - Control System Upgrade	1,000,000	2,600,000
92422	Landfill 69 Gas Collection System Replacement	352,550	-
92424	Water Vehicle Replacement FY 15-16	929,375	-
92425	Park Maintenance Facility	600,000	-
92426	Solid Waste Vehicle Replacement FY 15-16	256,603	-
92427	Wastewater Vehicle Replacement FY 15-16	416,264	-
92428	T&D Center Renovations	1,088,000	-
92430	Ground Transportation Improvements	200,000	-
2008249	Remove and Reseal All Joints in Concrete	-	260,410
2009465	Update Wastewater Collection System Master Plan	-	500,000
2010092	Landfill 69 Groundwater Remediation	-	500,000
2010095	Evaluation of Bailey County Supply Line	-	750,000
2011040	Terminal Building Remodel	-	20,737,224
2013032	Lowhead C Pump Station and Supply Line	-	750,000
2013071	SEWRP Improvements Plant 3	-	1,000,000
2014045	Customer Service Information Systems	-	2,000,000
2015016	69/115kV Line Rebuild: Brandon-Vicksburg	-	300,000

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Exhibit D - Capital Program Summary

Project Number	Project Name	Appropriation to Date	Appropriation FY 2016-17
2015017	69/115kV Line Rebuild: Brandon-Erskine	$ -	30,000
2015018	69/115kV Line Rebuild: Erskine-Mackenzie	-	2,200,000
2015025	115kV Bus Expansion - Mackenzie	-	1,000,000
2015026	Substation Capacity Upgrade - McDonald	-	5,000,000
2015028	Substation Capacity Upgrade - Slaton	-	400,000
2015080	Future Substation - North	-	500,000
2016021	CKE - GT2 Control System Upgrade	-	345,000
2016022	Autotransformer - Co-op	-	400,000
2016024	Autotransformer - Vicksburg	-	400,000
2016025	Autotransformer - Mackenzie	-	400,000
2016026	Substation Rebuild - Holly	-	1,500,000
2016027	Substation Rebuild - Oliver	-	3,000,000
2016028	Substation Rebuild - Coop	-	300,000
2016029	Substation Rebuild - Slaton	-	900,000
2016030	Future Substation - New Oliver 345kV	-	1,600,000
2016031	Future Substation - North Addition 345kV	-	1,600,000
2016032	Future Substation - New Wadsworth 345kV	-	1,600,000
2016034	Future Substation - Red Raider	-	500,000
2016035	69/115kV Line Rebuild: Holly-Southeast	-	250,000
2016036	69/115kV Line Rebuild: Holly-Slaton	-	200,000
2016037	Infrastructure Upgrade - Lubbock State School	-	600,000
2016038	69/115kV Line Rebuild: Southeast-Oliver	-	1,800,000
2016039	FY 2016-17 Sub. Breaker Repl. - Distribution	-	125,000
2016044	E1 Employee Self Service	-	390,520
2016050	CKE - GT3 Control System Upgrade	-	475,000
2016051	CKE - GT3 Inlet Filter House	-	600,000
2016058	Lake Alan Henry Watershed Management	-	400,000
2016063	Gates and Fencing	-	71,628
2016066	FY 2016-17 Substation Relay Upgrade	-	510,000
2016067	FY 2016-17 Sub. Breaker Repl. - Transmission	-	765,000
2016068	FY 2016-17 15kV System Reconductor or Rebuild	-	510,000
2016069	FY 2016-17 Service Distribution	-	510,000
2016070	FY 2016-17 Underground Distribution	-	2,035,000
2016071	FY 2016-17 Overhead Lines	-	1,780,000
2016073	FY 2016-17 Street Lights	-	350,000
2016074	FY 2016-17 Distribution Transformers	-	2,555,000
2016078	SEWRP Transformer Rehabilitation	-	500,000
2016079	98th Street from Upland Avenue to Milwaukee Avenue	-	3,200,000
2016080	Strategic Water Supply Planning	-	200,000
2016088	Fleet/Solid Waste Complex Exterior Renovations	-	193,000
2016089	Asset Management	-	200,000
2016091	Transmission Line & Easement Maintenance	-	200,000
2016109	Mechanical System Improvements	-	150,000

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Exhibit D - Capital Program Summary

Project Number	Project Name	Appropriation to Date	Appropriation FY 2016-17
2016111	Terminal Apron Maintenance	$ -	77,270
2016115	Mobile Workforce Management System	-	500,000
2016116	CKE - Gas Yard Metering Station	-	100,000
2016117	CKE - Roofing Projects	-	75,000
2016124	CKE - GT2 Generator Overhaul	-	350,000
2016127	Elevated Storage Tanks	-	200,000
2016144	MGL - Office/Warehouse	-	1,500,000
2016156	FY 2016-17 Vehicles and Equipment	-	1,670,000
2016160	Substation Office Renovation	-	115,000
2016171	Street Maintenance Program Phase II	-	7,000,000
2016172	Major Repairs at Fire Facilities Phase II	-	300,000
2016175	69/115kV Line Rebuild: Coop-Mackenzie	-	275,000
2016176	69/115KV Line Reconductor: Holly-Wadsworth	-	100,000
2016177	69/115KV Line Reconductor: Co-op-Wadsworth	-	100,000
2016178	MGL - Protective Relays	-	300,000
2016183	Water Line Replacement Phase II	-	250,000
2016184	Water Lines Ahead of Street Pavings Phase II	-	250,000
2016185	Water Meter Replacements Phase II	-	450,000
2016186	Sewer Line Replacement Phase II	-	400,000
2016188	Sewer Tap Replacements Phase II	-	300,000
2016189	Water Reclamation Plant Replacements Phase II	-	450,000
2016190	Land Application Production and Monitoring Well II	-	50,000
2016195	Water Vehicle Replacement FY 16-17	-	693,243
2016196	Wastewater Vehicle Replacement FY 16-17	-	628,000
2016197	Utility Infrastructure Upgrades/Relocations	-	700,000
2016198	Storm Water Vehicle Replacement FY 16-17	-	289,900
Total Appropriation		**$ 590,583,113**	**187,765,891**

* $2.0 million of bonds were appropriated FY 2015-16 but funding was not issued in FY 2015-16 due to project delays. The bonds will be issued in FY 2016-17

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Exhibit D - Capital Program Summary

Funding Source	Funding to Date	FY 2016-17
2001 General Obligation Bonds	$ 483,504	-
2003 General Obligation Bonds	16,496	-
2003 Tax and Waterworks Revenue CO's	532,522	-
2004 Tax and Waterworks CO's	60,000	-
2005 General Obligation Bonds	11,606	-
2005 Wastewater Revenue CO's	539,641	-
Airport Fund Pay-As-You-Go	500,000	-
Civic Center Capital Project Fund	432,000	-
Federal Grant Funding	56,028,676	3,737,224
FY 2006 Airport Revenue CO's	37,594	-
FY 2006 Tax Supported CO's	275,507	-
FY 2006 Wastewater Revenue CO's	200,257	-
FY 2006 Water Revenue CO's	2,000,000	-
FY 2007 10-Year Wastewater Revenue CO's	312,699	-
FY 2007 Airport Revenue CO's	161,502	-
FY 2007 General Obligation Bonds	5,651	-
FY 2007 Storm Water Revenue CO's	297,896	-
FY 2007 Tax Supported Revenue CO's	330,523	-
FY 2007 TIF Revenue CO's	29,175	-
FY 2007 Water Revenue CO's	709,223	-
FY 2008 10-year Water Revenue CO's	330,000	-
FY 2008 Storm Water Revenue CO's	600,000	-
FY 2008 Tax Supported Revenue CO's	22,437	-
FY 2008 TIF Revenue CO's	676,105	-
FY 2008 Wastewater Revenue CO's	2,844,770	-
FY 2008 Water Revenue CO's	3,225,888	-
FY 2009 10-year Water Revenue CO's	3,445,350	-
FY 2009 Gateway Streets Revenue CO's	3,153,038	-
FY 2009 General Fund Pay-As-You-Go	185,951	-
FY 2009 General Obligation Bonds	859,288	-
FY 2009 LP&L Certificates of Obligation	49,189	-
FY 2009 Storm Water Pay-As-You-Go	15,000	-
FY 2009 Storm Water Revenue CO's	1,472,225	-
FY 2009 Tax Supported Revenue CO's	171,350	-
FY 2009 TIF Revenue CO's	41,731	-
FY 2009 Water Revenue CO's	1,175,000	-
FY 2010 10-year Water Revenue CO's	384,485	-
FY 2010 Airport Pay-As-You-Go	413,498	-
FY 2010 CBD TIF Revenue CO's	27,852	-
FY 2010 Gateway Streets Revenue CO's	3,603,630	-
FY 2010 General Fund Pay-As-You-Go	44,824	-
FY 2010 General Obligation Bonds	12,407,200	-
FY 2010 LP&L Certificates of Obligation	180,808	-
FY 2010 Solid Waste Revenue CO's	151	-
FY 2010 Storm Water Pay-As-You-Go	100,000	-
FY 2010 Storm Water Revenue CO's	1,500,000	-

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Exhibit D - Capital Program Summary

Funding Source	Funding to Date	FY 2016-17
FY 2010 TIF Revenue CO's	$ 20	-
FY 2010 Wastewater Pay-As-You-Go	250,000	-
FY 2010 Wastewater Revenue CO's	1,860,359	-
FY 2010 Water Revenue CO's	23,364,588	-
FY 2011 10-year Water Revenue CO's	8,572,000	-
FY 2011 Airport Pay-As-You-Go	762,406	-
FY 2011 CBD TIF Revenue CO's	1,500,000	-
FY 2011 Gateway Streets Revenue CO's	1,270,372	-
FY 2011 General Fund Pay-As-You-Go	883,839	-
FY 2011 General Obligation Bonds	5,125,000	-
FY 2011 PFC Revenue CO's	480,607	-
FY 2011 Solid Waste Revenue CO's	1,393,867	-
FY 2011 Storm Water Pay-As-You-Go	750,000	-
FY 2011 Tax Supported Revenue CO's	412,011	-
FY 2011 Wastewater Revenue CO's	11,755,533	-
FY 2011 Water Revenue CO's	5,660,807	-
FY 2012 10-Year Wastewater Revenue CO's	250,000	-
FY 2012 10-year Water Revenue CO's	2,200,000	-
FY 2012 Airport Pay-As-You-Go	775,000	-
FY 2012 Airport Revenue CO's	105,348	-
FY 2012 General Fund Pay-As-You-Go	72,190	-
FY 2012 General Obligation Bonds	10,000,000	-
FY 2012 LP&L Pay-As-You-Go	490,000	-
FY 2012 Storm Water Revenue CO's	33,925,918	-
FY 2012 Tax Supported Revenue CO's	300,000	-
FY 2012 Transfer from Cemetery Fund	71,375	-
FY 2012 Wastewater Revenue CO's	2,000,000	-
FY 2012 Water Revenue CO's	12,500,000	-
FY 2013 10-Year Certificates of Obligation	2,825,000	-
FY 2013 10-Year LP&L Revenue Bonds	290,000	-
FY 2013 10-Year Wastewater Revenue CO's	1,450,000	-
FY 2013 Airport Pay-As-You-Go	775,000	-
FY 2013 CBD TIF Pay-As-You-Go	800,000	-
FY 2013 General Fund Pay-As-You-Go	284,000	-
FY 2013 General Obligation Bonds	5,725,000	-
FY 2013 LP&L Revenue Bonds	1,102,007	-
FY 2013 Solid Waste Pay-As-You-Go	75,000	-
FY 2013 Storm Water Revenue CO's	5,253,961	-
FY 2013 Wastewater Pay-As-You-Go	300,000	-
FY 2013 Wastewater Revenue CO's	22,250,000	-
FY 2014 10-Year Certificates of Obligation	3,490,000	-
FY 2014 10-Year Wastewater Revenue CO's	926,517	-
FY 2014 10-year Water Revenue CO's	700,000	-
FY 2014 Airport Pay-As-You-Go	833,736	-
FY 2014 CBD TIF Revenue CO's	2,472,148	-
FY 2014 Gateway Streets Revenue CO's	1,560,348	-

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Exhibit D - Capital Program Summary

Funding Source	Funding to Date	FY 2016-17
FY 2014 General Fund Pay-As-You-Go	$ 3,131,944	-
FY 2014 LP&L Pay-As-You-Go	1,057,000	-
FY 2014 LP&L Revenue Bonds	9,787,248	-
FY 2014 PFC Revenue CO's	2,638,446	-
FY 2014 Solid Waste Revenue CO's	275,380	-
FY 2014 Storm Water Pay-As-You-Go	350,000	-
FY 2014 Tax Supported Revenue CO's	500,000	-
FY 2014 Wastewater Revenue CO's	39,283,565	-
FY 2014 Water Pay-As-You-Go	500,000	-
FY 2014 Water Revenue CO's	1,000,000	-
FY 2015 10-Year Certificates of Obligation	3,400,000	-
FY 2015 10-year Water Revenue CO's	500,000	-
FY 2015 Airport Pay-As-You-Go	565,000	-
FY 2015 Airport Revenue CO's	5,000,000	-
FY 2015 CBD TIF Revenue CO's	3,000,000	-
FY 2015 CFC Fund Pay-As-You-Go	1,050,000	-
FY 2015 Gateway Streets Revenue CO's	2,937,912	-
FY 2015 General Fund Pay-As-You-Go	2,342,191	-
FY 2015 LP&L Pay-As-You_Go	500,000	-
FY 2015 LP&L Revenue Bonds	2,000,000	-
FY 2015 PFC Revenue CO's	1,431,008	-
FY 2015 Risk Fund Pay-As-You-Go	1,000,000	-
FY 2015 Solid Waste Pay-As-You-Go	347,500	-
FY 2015 Storm Water Pay-As-You-Go	750,000	-
FY 2015 Tax Supported Revenue CO's	17,989,438	-
FY 2015 Wastewater Pay-As-You-Go	250,000	-
FY 2015 Wastewater Revenue CO's	71,413,176	-
FY 2015 Water Pay-As-You-Go	1,522,219	-
FY 2016 10-Year Certificates of Obligation	3,335,000	-
FY 2016 10-Year LP&L Revenue Bonds	1,780,500	-
FY 2016 10-Year Solid Waste Revenue CO's	4,985,182	-
FY 2016 10-Year TIF Revenue CO's	1,500,000	-
FY 2016 10-Year Wastewater Revenue CO's	250,000	-
FY 2016 10-Year Water Revenue CO's	6,000,000	-
FY 2016 30-Year LP&L Revenue Bonds	1,570,000	-
FY 2016 7-Year Solid Waste Revenue CO's	889,317	-
FY 2016 Airport Pay-As-You-Go	828,081	-
FY 2016 CBD TIF Pay-As-You-Go	200,000	-
FY 2016 CBD TIF Revenue CO's	2,250,000	-
FY 2016 Fleet Pay-As-You Go	249,000	-
FY 2016 General Fund Pay-As-You-Go	2,529,000	-
FY 2016 LP&L Pay-As-You-Go	1,097,575	-
FY 2016 LP&L Revenue Bonds	4,500,000	-
FY 2016 PFC Pay-As-You-Go	360,000	-
FY 2016 PFC Revenue CO's	283,605	-
FY 2016 Solid Waste Pay-As-You-Go	256,603	-

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Exhibit D - Capital Program Summary

Funding Source		Funding to Date	FY 2016-17
FY 2016 Storm Water Pay-As-You-Go	$	2,050,000	-
FY 2016 Tax Supported Revenue CO's		60,698,515	-
FY 2016 Wastewater Pay-As-You-Go		416,264	-
FY 2016 Wastewater Revenue CO's		18,800,000	-
FY 2016 Water Pay-As-You-Go		2,479,375	-
FY 2016 Water Revenue CO's		9,467,915	-
FY 2017 10-Year LP&L Revenue Bonds		-	4,950,000
FY 2017 10-Year Tax Revenue CO's		-	7,000,000
FY 2017 10-Year Wastewater Revenue CO's		-	1,250,000
FY 2017 10-Year Water Revenue CO's		-	2,000,000
FY 2017 30-Year LP&L Revenue Bonds		-	38,240,000
FY 2017 Airport Pay-As-You-Go		-	487,680
FY 2017 CBD TIF Revenue CO's		-	3,700,000
FY 2017 Fleet Pay-As-You Go		-	153,000
FY 2017 General Fund Pay-As-You-Go		-	950,000
FY 2017 LP&L Pay-As-You-Go		-	15,240,000
FY 2017 LP&L Revenue Bonds		-	14,520,000
FY 2017 North Overton TIF Pay-As-You-Go		-	150,000
FY 2017 PFC Revenue CO's		-	17,000,000
FY 2017 5-Year Solid Waste Revenue CO's		-	500,000
FY 2017 Solid Waste Pay-As-You-Go		-	264,628
FY 2017 Solid Waste Revenue CO's		-	157,600
FY 2017 Storm Water Pay-As-You-Go		-	989,900
FY 2017 Storm Water Revenue CO's		-	35,250,000
FY 2017 Tax Supported Revenue CO's		-	3,550,000
FY 2017 Wastewater Pay-As-You-Go		-	1,178,000
FY 2017 Wastewater Revenue CO's		-	3,900,000
FY 2017 Water Pay-As-You-Go		-	3,693,243
FY 2017 Water Revenue CO's		-	29,800,000
General Capital Project Fund		1,708,871	53,400
Grant Funding		90,000	-
Health Fund Pay-As-You-Go		-	303,020
Hotel/Motel Funds		4,736,895	660,696
Information Technology ISF Pay-As-You-Go		-	87,500
LAH Repair/Replacement Fund		505,889	-
Public Works Capital Project Fund		200,000	-
Radio Shop Fund Pay-As-You-Go		222,435	-
State Grant Funds		477,424	-
TxDOT Participation		478,278	-
Wastewater Capital Project Fund		823,483	-
Wastewater Pay-As-You-Go		200,000	-
Water Utility Pay-As-You-Go		103,680	-
Total Funding	**$**	**588,583,113**	**189,765,891**

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Exhibit E - Vehicle Debt Financing Summary

	Existing Vehicle Information			New Vehicle Information			
	Model	Mileage	To-Date Cost	No.	Replacement		Cost
GENERAL FUND							
Building Inspection	NEW	n/a	n/a	1	1/2 Ton Ext. Cab	$	27,000
Environmental Health	NEW	n/a	n/a	1	1/2 Ton Ext. Cab 4x4		28,000
Fire Equip Maintenance	2001 E-1 Quint	126,418	$13,906	1	Quint		850,000
Fire Equip Maintenance	2003 E-1 Pumper	112,522	13,335	1	Pumper		725,000
Fire Equip Maintenance	2003 E-1 Pumper	107,164	16,819	1	Pumper		725,000
Fire Suppression	NEW	n/a	n/a	1	Trailer Mounted Breathable Air Compressor		100,000
Park Maintenance	2011 Skid Steer	1160 hrs	12,460	1	Skid Steer		40,000
Park Maintenance	2001 Kubota Trim Mower	180 hrs	6,321	1	Mower		25,000
Park Maintenance	1989 Ag Tractor	1664 hrs	11,689	1	4x4 Tractor w/ front loader		49,000
Park Maintenance	2006 3/4 Ton 4x4 PU	145,239	18,817	1	3/4 Ton Crew Pickup		34,000
Police Investigations	2009 Ford Crown Vic	----------Various---------		4	Mid Size SUV		144,000
Police Patrol	2006 Chevrolet Tahoe	106,804	17,140	1	2 Door Sedan		45,000
Police Patrol	2006 Chevrolet Tahoe	69,543	15,422	1	Full Size SUV		54,061
Police Patrol	2009 Ford Crown Vic	68,894	17,700	1	2 Door Sedan		45,000
Police Patrol	2009 Ford Crown Vic	105,000	20,172	1	2 Door Sedan		45,000
Police Patrol	2009 Ford Crown Vic	----------Various---------		4	Full Size SUV		216,244
Police Patrol	2010 Ford Crown Vic	62,683	wrecked	1	Full Size SUV		54,061
Police Patrol	2008 Ford Crown Vic	90,382	wrecked	1	Full Size SUV		54,061
Police Patrol	2009 Ford Crown Vic	79,934	23,086	1	Crew Cab 4x4 PU		42,000
Police Patrol	2009 Ford Crown Vic	n/a	wrecked	1	Crew Cab 4x4 PU		42,000
Streets	NEW	n/a	n/a	1	Asphalt Paver		225,000
Streets	2004 Chevy Pothole Patcher	139,258	75,229	1	Pothole Patcher		145,000
Streets	2003 Chevy 1 Ton PU	102,366	17,794	1	1 Ton 4x4 Flatbed		33,000
Street Drainage	2005 1/2 Ton Pickup	91,421	6,396	1	1/2 Ton Ext. Cab Pickup		27,000
						$	**3,774,427**
ENTERPRISE FUNDS							
Cemetery	2001 1 1/2 Ton Dump Truck	17,326	$12,450	1	1 1/2 Ton Dump Truck	$	60,000
Cemetery	2007 2WD Four Wheeler	12,852	1,788	1	ATV - 2WD Four Wheeler		12,000
Citibus	2001 Passenger Bus	----------Various---------		4	40 Ft. Low Floor Bus		2,000,000
Landfill	2009 D8 Dozer	3,045	244,159	1	D8 Dozer		875,000
Landfill	1999 Cat 163H AWD Grader	14,121	n/a	1	AWD Motor Grader		350,000
Residential Collection	2008 Cart Truck	55,434	33,613	1	Cart Truck		187,425
Residential Collection	2007 Container Truck	86,248	23,158	1	Container Truck		92,945
Residential Collection	2009 Forklift	1,111	4,203	1	Forklift		55,000
Residential Collection	2011 Freightliner Sideloader	117,712	93,129	1	Sideloader		187,425
Residential Collection	2012 Freightliner Sideloader	92,754	47,321	1	Sideloader		187,425
Residential Collection	2012 Freightliner Sideloader	89,846	28,891	1	Sideloader		187,425
						$	**4,194,645**
					TOTAL ALL FUNDS	**$**	**7,969,072**

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Exhibit F - Equipment Debt Financing Schedule

GENERAL FUND	No. of Items	Life	Replacement Request	Replacement Cost
Patterson Library	2	5	Security Cameras	20,000
Groves Library	1	5	Security Cameras	10,000
Fire Suppression	187	10	Self Contained Breathing Apparatus *	1,427,768
TOTAL GENERAL FUND				1,457,768

INTERNAL SERVICE FUNDS	Items	Life	Request	Cost
Information Technology	1	5	Storage Area Network	220,000
Information Technology	1	5	Servers	140,000
Information Technology	1	5	Core Networks	50,000
Information Technology	1	5	Edge Networks	50,000
Information Technology	165	5	Rugged Tablet Replacements	627,000
TOTAL INTERNAL SERVICE FUNDS				1,087,000

TOTAL EQUIPMENT REPLACEMENT	$ 2,544,768

* Includes all equipment/software related to the replacement of the self contained breathing apparatus.

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Exhibit G - MAG Unified Pay Plan

Pay Grade	Minimum	Midpoint	Maximum
101	$ 14,516.32	18,000.32	22,499.36
102	15,242.24	18,900.96	23,624.64
103	16,003.52	19,845.28	24,806.08
104	16,804.32	20,837.44	26,047.84
105	17,644.64	21,879.52	27,349.92
106	18,526.56	22,973.60	28,716.48
107	19,452.16	24,121.76	30,151.68
108	20,425.60	25,328.16	31,659.68
109	21,446.88	26,594.88	33,242.56
110	22,518.08	27,924.00	34,904.48
111	23,645.44	29,319.68	36,649.60
112	24,828.96	30,786.08	38,482.08
113	26,068.64	32,325.27	40,406.08
114	27,372.80	33,941.44	42,427.84
115	28,741.44	35,638.72	44,547.36
116	30,178.72	37,421.28	46,775.04
117	31,686.72	39,291.20	49,115.04
118	33,271.68	41,256.80	51,569.44
119	34,935.68	43,318.08	54,148.64
120	36,682.88	45,485.44	56,856.80
121	38,515.36	47,758.88	59,698.08
122	40,441.44	50,146.72	62,684.96
123	42,463.20	52,655.20	65,817.44
124	44,586.88	55,286.40	69,108.00
125	46,816.64	58,052.80	72,564.96
126	49,156.64	60,954.40	76,192.48
127	51,615.20	64,001.60	80,003.04

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Exhibit G - MAG Unified Pay Plan

Pay Grade	Minimum	Midpoint	Maximum
128	$ 54,194.40	67,202.72	84,002.88
129	56,904.64	70,561.92	88,202.40
130	59,750.08	74,089.60	92,612.00
131	62,739.04	77,794.08	97,244.16
132	65,875.68	81,685.76	102,105.12
133	69,168.32	85,768.80	107,211.52
134	72,627.36	90,057.76	112,571.68
135	76,259.04	94,560.96	118,200.16
136	80,071.68	99,288.80	124,109.44
137	84,075.68	104,253.76	130,316.16
138	88,277.28	109,464.16	136,832.80
139	92,691.04	114,938.72	143,673.92
140	97,327.36	120,685.76	150,856.16
141	102,192.48	126,719.84	158,400.32
142	107,303.04	133,055.52	166,320.96
143	112,667.36	139,709.44	174,636.80
144	118,302.08	146,694.08	183,368.64
145	124,217.60	154,028.16	192,535.20
146	130,428.48	161,730.40	202,163.52
147	136,949.28	169,817.44	212,270.24
148	143,796.64	178,308.00	222,884.48
149	150,985.12	187,222.88	234,029.12
150	158,535.52	196,584.96	245,729.12

Exhibit G - Police Pay Plan

Steps	Annual Cadet PNCSP	Annual Entry Level I PNCE1	Annual Entry Level II PNCE2	Annual Patrol Officer PCS1	Annual Corporal PCS2	Annual Sergeant PCS3	Annual Lieutenant PCS4	Annual Captain PCS5	Annual Asst. Chief PCS6
A	$ 46,542.08	47,783.84	50,298.56	53,320.80	68,276.00	73,421.92	85,408.96	96,638.88	108,842.24
B				56,621.76	69,607.20	76,702.08	88,926.24	101,123.36	118,295.84
C				60,084.96	70,998.72	80,132.00	92,591.20	105,822.08	128,571.04
D				63,930.88					
E				65,490.88					
F				67,052.96					

Steps	Hourly Cadet PNCSP	Hourly Entry Level I PNCE1	Hourly Entry Level II PNCE2	Hourly Patrol Officer PCS1	Hourly Corporal PCS2	Hourly Sergeant PCS3	Hourly Lieutenant PCS4	Hourly Captain PCS5	Hourly Asst. Chief PCS6
A	$ 22.376	22.973	24.182	25.635	32.825	35.299	41.062	46.461	52.328
B				27.222	33.465	36.876	42.753	48.617	56.873
C				28.887	34.134	38.525	44.515	50.876	61.813
D				30.736					
E				31.486					
F				32.237					

Progression in each pay grade is based on seniority in that pay grade. Seniority is defined as the total time of employment as a police officer for the City of Lubbock. Time-off for disciplinary suspension does not constitute a loss of seniority time, nor does it constitute a break in service. The time involved in "move-up" is also not included. It does mean all years of service as an officer with the City of Lubbock, whether interrupted or uninterrupted, and not merely the last continuous period of service. Seniority credit shall be figured to five decimal places.

All employees start at Entry Level I, grade PNC(E1). Employees progress to Entry Level II, grade PNC(E2) upon 12 months of continuous service with the Lubbock Police Department at Entry Level I. Employees progress to grade PCS1 upon six months of continuous service with the Lubbock Police Department at Entry Level II. Police Officers then progress through steps A-D in PCS1 annually, and Step E at 8 years and Step F at 12 years. Progress from Step A to Step C in the other grades requires two years in each step. However, if the anniversary date occurs during the last half of the pay period, the increase does not become effective until the beginning of the next pay period.

Note: A probationary period of 18 months begins on the first day of employment with the department as a classified employee unless the employee is already certified as a Peace Officer by Texas Commission of Law Enforcement Officer Standards and Education at that time. In this case the probationary period is 12 months. Upon completion of 12 months, a certified Peace Officer attains Civil Service status and receives longevity pay; however the employee does not advance to Police Officer, PCS1 until completion of 6 months as Entry Level II as indicated above.

Biweekly rate = Hourly rate * 80. Annual rate = Biweekly rate * 26. Monthly rate = Annual rate / 12.

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Exhibit G - Fire Pay Plan (40 Hour Shifts)

Steps	Probationary Fire Fighter FNCS1	Fire Fighter FCS1	Equipment Operator FCS2	Lieutenant FCS3	Captain FCS4	Battalion Chief FCS5	Division Chief FCS6	Deputy Chief FCS7
1	$ 49,063.04	52,039.52	70,389.28	76,252.80	85,271.68	98,044.96	109,133.44	116,274.08
2		52,911.04	70,715.84	76,668.80	85,906.08	98,814.56	109,572.32	117,796.64
3		53,784.64	71,038.24	77,080.64	86,544.64	99,580.00	110,011.20	119,323.36
4		54,658.24	71,364.80	77,498.72	87,181.12	100,351.68	110,450.08	120,848.00
5		55,533.92	71,689.28	77,910.56	87,817.60	101,117.12	110,888.96	122,372.64
6		56,407.52	72,013.76	78,324.48	88,454.08	101,886.72	111,327.84	123,895.20
7		57,279.04	72,338.24	78,738.40	89,090.56	102,654.24	111,768.80	125,421.92
8		58,154.72	72,662.72	79,154.40	89,731.20	103,425.92	112,209.76	
9		59,028.32	72,987.20	79,570.40	90,367.68	104,191.36	112,646.56	
10		59,901.92	73,309.60	79,984.32	91,004.16	104,960.96		
11		60,775.52	73,636.16	80,400.32	91,640.64	105,728.48		
12		61,651.20	73,960.64	80,812.16	92,279.20			
13		62,522.72	74,285.12	81,228.16	92,915.68			
14		63,398.40	74,609.60	81,642.08				
15		64,274.08	74,936.16	82,060.16				
16		65,145.60	75,258.56					
17		66,019.20	75,585.12					
18		66,894.88						
19		67,768.48						
20		68,642.08						

Steps	Hourly Probationary Fire Fighter FNCS1	Hourly Fire Fighter FCS1	Hourly Equipment Operator FCS2	Hourly Lieutenant FCS3	Hourly Captain FCS4	Hourly Battalion Chief FCS5	Hourly Division Chief FCS6	Hourly Deputy Chief FCS7
1	$ 23.588	25.019	33.841	36.660	40.996	47.137	52.468	55.901
2		25.438	33.998	36.860	41.301	47.507	52.679	56.633
3		25.858	34.153	37.058	41.608	47.875	52.890	57.367
4		26.278	34.310	37.259	41.914	48.246	53.101	58.100
5		26.699	34.466	37.457	42.220	48.614	53.312	58.833
6		27.119	34.622	37.656	42.526	48.984	53.523	59.565
7		27.538	34.778	37.855	42.832	49.353	53.735	60.299
8		27.959	34.934	38.055	43.140	49.724	53.947	
9		28.379	35.090	38.255	43.446	50.092	54.157	
10		28.799	35.245	38.454	43.752	50.462		
11		29.219	35.402	38.654	44.058	50.831		
12		29.640	35.558	38.852	44.365			
13		30.059	35.714	39.052	44.671			
14		30.480	35.870	39.251				
15		30.901	36.027	39.452				
16		31.320	36.182					
17		31.740	36.339					
18		32.161						
19		32.581						
20		33.001						

Progression in each pay grade is based on seniority in that pay grade. Seniority is defined as the total time of employment as a fire fighter for the City of Lubbock. Time-off for disciplinary suspension does not constitute a loss of seniority time, nor does it constitute a break in service. The time involved in "move-up" is also not included. It does mean all years of service as a fire fighter with the City of Lubbock, whether interrupted or uninterrupted, and not merely the last continuous period of service. Seniority credit shall be figured to five decimal places.

Employees who successfully complete one (1) year as Probationary Fire Fighter move to grade FCS1. Fire Fighters advance through each step annually in FCS1 until reaching the 20th step. Progression through the steps in the other grades also requires one year in each step. However, if the anniversary date occurs during the last half of the pay period, the increase does not become effective until the beginning of the next pay period.

Biweekly rate=Hourly rate*80. Annual rate=Biweekly rate*26. All conversions are approximate.

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Exhibit G - Fire Pay Plan (Kelly Shifts)

Steps	Probationary Fire Fighter FNCS1	Fire Fighter FCS1	Equipment Operator FCS2	Lieutenant FCS3	Captain FCS4	Battalion Chief FCS5	Division Chief FCS6	Deputy Chief FCS7
1	$ 46,435.84	49,252.48	66,618.03	72,168.62	80,703.95	92,791.76		
2		50,076.52	66,926.70	72,562.72	81,304.76	93,522.10		
3		50,903.32	67,232.62	72,951.32	81,908.32	94,244.18		
4		51,730.12	67,541.29	73,348.18	82,511.88	94,974.52		
5		52,559.68	67,849.96	73,736.78	83,112.69	95,699.34		
6		53,386.48	68,155.88	74,128.13	83,716.26	96,429.68		
7		54,210.52	68,461.80	74,519.48	84,317.06	97,154.51		
8		55,040.08	68,770.47	74,913.59	84,923.38	97,884.85		
9		55,866.88	69,076.38	75,307.70	85,526.95	98,609.68		
10		56,693.68	69,382.30	75,699.05	86,127.76	99,337.26		
11		57,520.48	69,690.97	76,093.16	86,731.32	100,064.85		
12		58,347.28	69,999.64	76,481.76	87,334.88			
13		59,174.08	70,305.56	76,875.86	87,938.45			
14		60,000.88	70,611.48	77,267.22				
15		60,830.43	70,922.90	77,664.08				
16		61,654.48	71,226.06					
17		62,481.28	71,534.74					
18		63,310.83						
19		64,137.63						
20		64,964.43						

Steps	Hourly Probationary Fire Fighter FNCS1	Hourly Fire Fighter FCS1	Hourly Equipment Operator FCS2	Hourly Lieutenant FCS3	Hourly Captain FCS4	Hourly Battalion Chief FCS5	Hourly Division Chief FCS6	Hourly Deputy Chief FCS7
1	$ 16.849	17.871	24.172	26.186	29.283	33.669		
2		18.170	24.284	26.329	29.501	33.934		
3		18.470	24.395	26.470	29.720	34.196		
4		18.770	24.507	26.614	29.939	34.461		
5		19.071	24.619	26.755	30.157	34.724		
6		19.371	24.730	26.897	30.376	34.989		
7		19.670	24.841	27.039	30.594	35.252		
8		19.971	24.953	27.182	30.814	35.517		
9		20.271	25.064	27.325	31.033	35.780		
10		20.571	25.175	27.467	31.251	36.044		
11		20.871	25.287	27.610	31.470	36.308		
12		21.171	25.399	27.751	31.689			
13		21.471	25.510	27.894	31.908			
14		21.771	25.621	28.036				
15		22.072	25.734	28.180				
16		22.371	25.844					
17		22.671	25.956					
18		22.972						
19		23.272						
20		23.572						

Progression in each pay grade is based on seniority in that pay grade. Seniority is defined as the total time of employment as a fire fighter for the City of Lubbock. Time-off for disciplinary suspension does not constitute a loss of seniority time, nor does it constitute a break in service. The time involved in "move-up" is also not included. It does mean all years of service as a fire fighter with the City of Lubbock, whether interrupted or uninterrupted, and not merely the last continuous period of service. Seniority credit shall be figured to five decimal places.

Employees who successfully complete one (1) year as Probationary Fire Fighter move to grade FCS1. Fire Fighters advance through each step annually in FCS1 until reaching the 20th step. Progression through the steps in the other grades also requires one year in each step. However, if the anniversary date occurs during the last half of the pay period, the increase does not become effective until the beginning of the next pay period.

Kelly shift rate (k)=Biweekly rate (40 Hour Shifts)/112. Annual rate=Biweekly rate*26. All conversions are approximate.

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Exhibit H - Position Control Summary

	Budget FY 2016-17
Administrative Services	
City Attorney	16
City Council	-
City Manager	7
City Secretary	12
Facilities Management	16
Finance	31
Human Resources	6
Internal Audit	3
Public Information	7
Total Administrative Services	98
Community Services	
Building Inspection	26
Planning	7
Total Community Services	33
Cultural and Recreation Services	
Library	36
Museums	8
Parks	81
Total Cultural and Recreation Svcs	125
Public Works	
Engineering	16
Streets	36
Traffic	37
Total Public Works	89
Public Safety and Health Services	
Animal Services	24
Codes and Environmental Health	33
Fire	429
Municipal Courts	20
Police	544
Public Health	17
Vector Control	6
Total Public Safety and Health Services	1,073
TOTAL	1,418

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Exhibit H - Position Control Summary

	Budget FY 2016-17
Internal Service Funds	
Fleet	17
Health Benefits	4
Information Technology	47
Investment Pool	1
Print Shop/Warehouse	6
Risk Management	7
Total Internal Service Funds	82
Enterprise Funds	
Airport	48
Cemetery	7
Civic Centers	27
Lake Alan Henry	1
Lubbock Power and Light	303
Solid Waste	113
Storm Water	38
Wastewater	79
Water	171
Total Enterprise Funds	787
Special Revenue Funds	
Community Development	13
Economic Development	-
Emergency Management	2
Gateway Streets	-
Juvenile Case Manager	-
Municipal Court	2
Total Special Revenue Funds	17
TOTAL CITY FUNDS	2,304

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RESOLUTION

WHEREAS, the City Council of the City of Lubbock has adopted an FY 2016-17 budget that will raise more property tax revenue than was generated in the previous year; and

WHEREAS, Chapter 102 of the Texas Local Government Code requires the City Council to "ratify" the adoption of a budget that will raise more property tax revenue than was generated in the prior year by a separate vote; NOW THEREFORE:

BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF LUBBOCK:

THAT the City Council of the City of Lubbock hereby ratifies the adoption of a FY 2016-17 budget that will raise more property tax revenue than was generated in the previous year.

Passed by the City Council this _____ day of _____, 2016.

VOTING FOR PASSAGE:	VOTING AGAINST PASSAGE:
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Council members present but not voting: _____

Council members absent: _____

****

_____
DANIEL M. POPE, MAYOR

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ATTEST:

_____
Rebecca Garza, City Secretary

APPROVED AS TO CONTENT:

_____
Cheryl Brock
Executive Director of Budget

APPROVED AS TO FORM:

_____
Amy L. Sims, Assistant City Attorney

Res.Property Tax Revenue Ratification
7-1-16

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ORDINANCE NO. _____

AN ORDINANCE SETTING THE TAX RATE AND LEVYING A TAX UPON ALL PROPERTY SUBJECT TO TAXATION WITHIN THE CITY OF LUBBOCK, TEXAS, FOR THE YEAR 2016; APPORTIONING SAID LEVY AMONG THE VARIOUS FUNDS AND ITEMS FOR WHICH REVENUE MUST BE RAISED; FIXING THE TIMES IN WHICH SAID TAXES SHALL BE PAID AND ASSESSING PENALTY AND INTEREST FOR NONPAYMENT OF SUCH TAXES WITHIN THE TIME PROVIDED.

WHEREAS, after all notices have been given and hearings held as provided by law, the City Council has determined the tax rate necessary to produce the revenue necessary to operate the affairs of the City of Lubbock for the next fiscal year; NOW THEREFORE:

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF LUBBOCK:

SECTION 1. THAT there shall be levied and assessed upon all property subject to taxation within the City of Lubbock, Texas, for the year 2016 the sum of $0.53802 per One Hundred and No/100s Dollars ($100.00) valuation thereof and the same shall be applied to the various funds in the following manner:

$0.13967 per $100 valuation to the Interest and Sinking Fund;

$0.37520 per $100 valuation to the General Fund; and

$0.02315 per $100 valuation to the Economic Development Fund.

SECTION 2. THAT all ad valorem taxes shall be paid before the first day of February 2017, and taxes not paid by that time shall be increased by such penalty and interest as is provided by Chapter 18 of the Code of Ordinances of the City of Lubbock.

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AND IT IS SO ORDERED

Passed by the City Council on first reading this _____ day of _____, 2016.

VOTING FOR PASSAGE:	VOTING AGAINST PASSAGE:
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Council members present but not voting: _____

Council members absent: _____

****

Passed by the City Council on second reading this _____ day of _____, 2016.

VOTING FOR PASSAGE:	VOTING AGAINST PASSAGE:
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

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_____ _____

Council members present but not voting: _____

Council members absent: _____

****

_____
DANIEL M. POPE, MAYOR

ATTEST:

_____
Rebecca Garza
City Secretary

APPROVED AS TO CONTENT:

_____
Cheryl Brock
Executive Director of Budget

APPROVED AS TO FORM:

_____
Amy L. Sims
Deputy City Attorney

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RESOLUTION

WHEREAS, the City Council of the City of Lubbock, by Ordinance No. 2002-O0049, amended Subsection 18.03.001(b)(2) of the Code of Ordinances of the City of Lubbock to allow for allocation of Hotel Occupancy Tax Revenues by Resolution; and

WHEREAS, the City Council of the City of Lubbock deems it to be in the best interest of the citizens of Lubbock to adjust the current allocation of hotel occupancy tax revenues; NOW THEREFORE,

BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF LUBBOCK:

1. THAT the funds received from the tax levied under Section 18.03.001(a) of the Code of Ordinances of the City of Lubbock, net of collection expenses, shall be distributed as follows:

Convention & Tourism Bureau	38.63691 percent
Civic Center Operations/Marketing	24.37385 percent
Visiting Participatory & Spectator Sports Marketing	12.10454 percent
North Overton TIF Conference Center Debt Service	7.99771 percent
Transfer to CIP – Buddy Holly Center	1.83155 percent
Civic Lubbock, Inc.	5.92120 percent
Transfer to CIP – Civic Center Renovation	7.77239 percent
Buddy & Maria Elena Holly Plaza Debt Service	1.36185 percent
Total	100.00000 percent

2. THAT to the extent revenue is collected, each of the above entities will receive 100% of actual revenue at the percentage indicated.

_____
DANIEL M. POPE, MAYOR

ATTEST:

_____
Rebecca Garza, City Secretary

APPROVED AS TO CONTENT:

_____
Cheryl Brock,
Executive Director of Budget

APPROVED AS TO FORM:

_____
Amy L. Sims,
Deputy City Attorney

RES.Hotel-Motel 16-17

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Glossary of Financial Terms

Accrual Accounting – The basis of accounting where transactions are recognized in the financial statements when they occur, regardless of when cash is actually received or spent.

Activity Measures – A numerical value used to describe and evaluate work performed in carrying out activity. For example, "The number of alleys bladed per month."

Ad Valorem Tax – An ad valorem tax is based "according to value" of property and is used as the source of monies to pay general obligation debt and to support the General Fund.

Appropriable Net Assets – The amount available in an internal service or enterprise fund that may be spent, generally equivalent to current assets less current liability as stated at the end of the fiscal period.

Appropriation – A legal authorization granted by a legislative body to make expenditures and incur obligations for specific purposes. An appropriation is usually limited in amount and time when it may be expended.

Arbitrage – The interest earnings derived from invested bond proceeds or debt service fund balances.

Assessed Valuation – Valuation set upon real estate or other property by a government as a basis for levying taxes. (Note: Property values are established by the Lubbock Central Appraisal District).

Audit – An examination of organization financial statements and the utilization of resources.

Balance Sheet – A financial statement that presents the assets, liabilities, reserves and balances, of a specific governmental fund as of a specified date.

Balanced Budget – A budget in which planned expenditures can be met by current income from taxation and other government receipts.

Bond – A written promise to pay a specified sum of money, called the face value or principle amount, at a specified date or dates in the future, called the maturity date(s), together with periodic interest at a specified rate. The most common types of bonds are general obligation and revenue. Bonds are frequently used to finance the construction of large capital projects.

Budget – A plan of financial operation that links all planned revenues and expenditures with various municipal services. The term "budget" usually indicates a financial plan for a single fiscal year.

Budget Document – The instrument used by the budget-making authority to present a comprehensive financial program to the appropriation body.

Budget Ordinance – The official enactment, by City Council, to legally authorize City staff to obligate and expend resources.

Budgetary Control – The control or management of a governmental or enterprise fund in accordance with an approved budget to keep expenditures within the limitations of available appropriations and revenues.

Budgeted Funds – The funds that have been formally or legally appropriated by the City Council.

Capital Program – Items in the Capital Program are usually construction projects or major capital purchases designed to improve and maintain the value of the City's assets.

Capital Outlay – Expenditures which result in the acquisition of or addition to fixed assets.

Cash Accounting – A basis of accounting in which transactions are recorded when cash is either received or expended for goods and services.

Cash Management – The management of cash necessary to pay for government services while investing temporary cash excesses in order to earn interest revenue. Cash management refers to forecasting inflows and outflows of cash, mobilizing cash to improve its availability for investment, establishing and maintaining banking relationships, and investing funds to achieve the highest interest and return available for temporary cash balances.

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Glossary of Financial Terms

Certificates of Obligation – Certificates of obligation are debt instruments secured by the ad valorem taxing power of a city. They do not require voter authorization.

CFO – Chief Financial Officer

City Council – The Mayor and six (6) Council members collectively acting as the legislative and policy making body of the City.

Current Assets – Those assets that are available or can be made readily available to finance current operations or to pay current liabilities.

Current Liabilities – Debt or other legal obligation arising out of transactions in the past which must be liquidated, renewed or refunded within one year.

Current Taxes – Taxes that are levied and due within one year.

Debt Service – The City's obligation to pay the principal and interest of all bonds and other debt instruments according to a pre-determined payment schedule.

Debt Service Fund – A fund established to account for the accumulation of resources for the payment of principal and interest on long term debt. May also be called an Interest and Sinking Fund.

Delinquent Taxes – Taxes remaining unpaid on and after the date on which a penalty for non-payment is attached.

Department – An administrative subsection of the City which indicates management responsibility for an operation.

Depreciation – The process of estimating and recording the lost usefulness, expired useful life or diminution of service from a fixed asset that cannot or will not be restored by repair and will be replaced. The cost of the fixed asset's lost usefulness is the depreciation or the cost to reserve in order to replace the item at the end of its useful life.

Encumbrances – The pledge to expend appropriated funds to purchase an item or service. To encumber funds means to set aside funds for future expenditures.

Enterprise Fund – A governmental accounting fund in which the services provided are financed and operated similarly to those of a private business. The rates for these services are established to insure that revenues are adequate to meet all necessary expenditures. Enterprise Funds are established for services including water and wastewater, electric, solid waste, airport, storm water, and transit.

Estimated Revenue – The amount of projected revenue to be collected during the fiscal year.

Expenditure – The actual outflow of funds paid for an asset, goods, or services obtained.

Expenses – A decrease in net total assets. Expenses represent the total cost of operations during a period regardless of the timing of related expenditures.

FAA – Federal Aviation Administration.

Fees – Fees are charges for services.

Fiscal Year – A 12-month period to which the annual operating budget applies. The City determines its financial position and the results of its operations at the end of the Fiscal Year. The Fiscal Year for Lubbock begins October 1 and ends September 30.

Fixed Assets – Assets of a long term character which are intended to continue to be held or used, such as land, buildings, machinery, and equipment.

Franchise Fee – A fee paid by public service utilities for use of public property in providing their services to the citizens of the community.

FTE – FTE means full time equivalent, authorized position, filled or vacant.

Full Faith and Credit – A pledge of the general taxing power of a government to repay debt obligations. This term is typically used in reference to general obligation bonds.

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Glossary of Financial Terms

Fund – A fiscal and accounting entity with a self balancing set of accounts that records all financial transactions for specific activities or government functions. Commonly used funds in public accounting are: general fund, special revenue funds, debt service funds, capital projects funds, enterprise funds, trust and agency funds, and internal service funds.

Fund Balance – The excess of assets over liabilities, also known as surplus funds.

Funding Source – A funding source is the specifically identified dollars allocated to meet budgeted requirements.

GASB – Government Accounting Standards Board, an independent, non-profit agency responsible for the promulgation of accounting and financial reporting procedures for governmental entities.

General Fund – The fund used to account for all financial resources except those required to be accounted for in another fund. This fund includes most of the basic operating services such as fire and police protection, health services, parks and recreation, libraries, street maintenance, and general administration.

GAAP – Generally Accepted Accounting Principles - GAAP are the uniform minimum standards of, and guidelines to, financial accounting and reporting. They govern the form and content of the basic financial statements of an entity. GAAP encompasses the conventions, rules, and procedures necessary to define the accepted accounting practices at a particular time. They include both broad guidelines of general application and detailed practices and procedures. GAAP provide a standard by which to measure financial presentation.

General Obligation Bonds – Bonds for the payment of which the full faith and credit of the City are pledged. These bonds require voter authorization.

Goals – Targets or plans that are reflective of major departmental activities.

GFOA – Government Finance Officers Association

Governmental Accounting – Governmental Accounting is the composite activity of analyzing, recording, summarizing, reporting, and interpreting the financial transactions of government.

Governmental Funds – Funds generally used to account for tax-supported activities. Examples of governmental funds are the general fund, special revenue funds, and debt service funds.

Grants – Contributions of assets from another government or entity to be used or expended for a specified purpose, activity, or facility.

Historically Underutilized Business (HUB) - A HUB is defined as a small business concern which is at least 51% owned and controlled by one or more socially and economically disadvantaged individuals, or in the case of any publicly owned business, at least 51% of the stock of which is owned by one or more socially and economically disadvantaged individuals. Socially and economically disadvantaged include Women, Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, and Asian-Indian Americans

Infrastructure – The underlying permanent foundation or basic framework such as streets, drainage facilities, water and wastewater mains.

Interdepartmental Services – Interdepartmental services are budgeted expenses for services provided by another department.

Interest and Sinking Fund – A fund established to account for the accumulation of resources for the payment of principal and interest on long term debt. May also be called a debt service fund.

Interfund Transfers – Amounts transferred from one fund to another.

Internal Service Fund – A fund used to account for the financing of goods or services provided by one department to another on a cost reimbursement basis. Some examples include Fleet Services, Print Shop, Central Warehouse, Information Technology, Health Benefits, and Risk Management.

Levy – To impose taxes, special assessments, or service charges for the support of City services.

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Glossary of Financial Terms

Line - Item Budget – A budget that lists each expenditures category (personnel, supplies, maintenance, etc.) separately, along with the dollar amount budgeted for each specified category.

LEDA – Lubbock Economic Development Alliance

Long-Term Debt Account Group – This account group presents general obligation debt and other long-term obligations of the City which are not an integral part of the financing and operations of proprietary funds. General obligation debt of proprietary funds is reflected in their respective financial statements.

MLI – Market Lubbock Inc.

Modified Accrual Accounting – A basis of accounting in which expenditures are accrued but revenues are accounted for on a cash basis. This accounting technique is a combination of cash and accrual accounting since expenditures are immediately incurred as liability while revenues are not recorded until they are actually received or are "measurable" and "available for expenditure." This type of accounting basis is conservative and is recommended as the standard for most governmental funds.

Operating Budget – The plans of current expenditures and the proposed means of financing them. The annual operating budget is the primary means by which most of the financing, acquisition, spending, and service delivery activities of a government are controlled. The use of annual operating budgets is usually required by law.

Ordinance – A formal legislative enactment by the governing board of a municipality. It is not in conflict with any higher form of law, such as state statute or constitutional provision, it has the full force and effect of law within the boundaries of the municipality to which it applies.

PFC – Passenger Facility Charge – Passenger Facility Charges are authorized by the Federal Aviation Administration (“FAA”). PFC revenues must be used for allowable costs of FAA approved airport projects, including debt service on airport obligations issued for approved airport projects.

Performance Measures – Specific quantitative and qualitative measures of work performed as an objective of the department. For example, the percentage of thoroughfares swept monthly, the percentage of lost and runaway children located, or the percentage of library books re-shelved within 48 hours.

PILOT – Payment In Lieu of Taxes

Property Tax – Taxes that are levied on both real and personal property according to the property's valuation and tax rate.

Reserve – An account used to earmark a portion of fund balance to indicate that it is not appropriate for expenditure. A reserve may also be an account used to earmark a portion of fund equity as legally segregated for a specific future use.

Retained Earnings – The equity account reflecting the accumulated earnings of the enterprise funds.

Revenue – Funds that the City receives as income. It includes such items as tax payments, fees from specific services, receipts from other governments, fines, forfeitures, grants, shared revenues, and interest income.

Revenue Bonds – Bonds whose principle and interest are payable exclusively from earnings of an Enterprise Fund.

ROW – Right-of-way.

Special Revenue Fund – A fund used to account for the proceeds of specific revenue sources that are legally restricted to expenditure for specified purposes.

Taxes – Compulsory charges levied by a government for financing services performed for the common benefit. This definition does not include specific charges made against particular persons or property for current or permanent benefits such as special assessments. The definition also does not include charges for services rendered only to those paying such charges, for example, sanitation service charges.

Tax Rate – The tax rate is the amount of the tax levied for each $100 of assessed valuation.

Glossary of Financial Terms

Transfers – Transfers are the authorized exchanges of cash or other resources between funds.

Underwriter – A company or entity that administers the public issuance and distribution of securities from a corporation or other issuing body.

Working Capital – Budgeted working capital is calculated as a fund's current assets less current liabilities and outstanding encumbrances. The term is used to indicate unencumbered fund balances in enterprise funds.

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Acknowledgments

The Finance Department would like to thank the City Council, management, and staff for their assistance in preparing the FY 2016-17 Operating Budget and Capital Program. Preparation of this document would not have been possible without the timely cooperation and assistance of each City department.

Thanks to Jerrod Sarchet in the City of Lubbock Print Shop for the cover design of this document.

Cover photo credit: Visit Lubbock – www.visitlubbock.org

Alternative Formats

In accordance with the Americans with Disabilities Act, this document is available in alternative formats upon request. Please contact the Finance Department at 806.775.2105.

The Operating Budget and Capital Program are also available online on the City of Lubbock website at:
http://finance.ci.lubbock.tx.us

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City of Lubbock TEXAS

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